AN EVALUATION OF THE STRENGTH OF SLENDER PILLARS

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Abstract

Pillars with width to height ratios of less than 1.0 are frequently created in underground hard rock mines. The strength of slender pillars can be estimated using empirically developed equations. However, the equations can provide variable results when the width-to-height ratios approach 0.5. This paper investigates some of the issues affecting pillar strength at low width-to-height ratios in hard brittle rock. The investigation includes an evaluation of empirical pillar strength data presented in the literature and observations of pillar performance in underground limestone mines in the eastern United States, supplemented by numerical modeling in which failure processes and sensitivity of slender pillars to variations in rock mass properties are evaluated. The results showed that the strength of slender pillars is more variable than that of wider pillars. The numerical model results demonstrated the increasing role of brittle rock failure in slender pillar strength. The absence of confinement in slender pillars can result in a fully brittle failure process, while wider pillars fail in a combined brittle and shearing mode. The onset of spalling in slender pillars occurs at or near the ultimate strength, while this is not the case for wider pillars. Slender pillars are shown to be more sensitive to the presence of discontinuities than wider pillars, which can partly explain the increased variability of slender pillar strength. Two examples are presented, which illustrate failure initiation by brittle spalling and the sensitivity of slender pillars to the presence of discontinuities.

Introduction

The National Institute of Occupational Safety and Health (NIOSH), Pittsburgh Research Laboratory has embarked on a project to develop pillar design guidelines for underground limestone mines. A survey of mining methods and pillar and room dimensions in 70 underground limestone mines [1] showed that the room and pillar method was used in 69 of the 70 mines surveyed. The average depth of cover was 80 m, varying between 7 m (23 ft) and 610 m (2,000 ft). Pillars were typically square in plan view but rectangular or rib pillars are also used. During initial development the average pillar width-to-height (w:h) ratio was 1.73 but reduced to 0.92 after bench mining of the floor. The minimum and maximum w:h ratio observed in the study was 0.4 and 1.73 but reduced to 0.92 after bench mining of the floor. The whole may not have reached its peak resistance.

Case histories of pillar failure from a number of empirical studies [5, 6, 7, 8] are summarized in Figure 1, which represents the pillar strength as a function of the width-to-height ratio. The pillar strength is normalized by the uniaxial compressive strength (UCS) of the rock material. The graph also shows the upper and lower bounds of the failed cases. Pillar strength can be estimated from empirical equations that have been developed by observing both failed and stable pillar configurations. The pioneering work in this field was carried out for coal mine pillar design [2, 4]. Several empirically based pillar strength equations have since been developed for hard rock mines [5, 7, 9]. Analytical methods to estimate pillar strength have been developed, such as Wilson’s confined core model [10] and a similar model by Barron [11]. Although these methods have assisted in understanding pillar failure mechanics, they have not found wide acceptance as design tools in the mining industry.

More recently, numerical models have found increasing use in pillar design [12]. For example, Hoek and Brown [12] used the results of elastic models to estimate the strength of pillars in various rock mass classes. Martin and Maybee [14] used elastic models to evaluate the role of numerical modeling in pillar design is now well established and has assisted in developing new approaches to pillar stability assessment and design, such as the development of a semi-empirical hazard prediction system for pillars and stopes in a deep Canadian mine [20].

Owing to the limited cases of pillar failure in underground limestone mines, purely empirical methods that rely on the study of pillar failures have limited application. NIOSH is, therefore, following an approach which combines empirical studies of pillar performance in limestone mines, and numerical model analysis of slender pillars. Two examples of slender pillar instability in limestone mines are presented and discussed.

For the purpose of this paper, pillars with width to height ratios of less than 1.0 will be called slender pillars. Pillar strength is defined as the peak load bearing capacity per unit area of a pillar. A pillar is considered to be failed if it is compressed beyond its strength and sheds load. During underground observations it can be difficult to visually assess whether a pillar has failed or not, since rock failure might be observed around the perimeter of the pillar, but the pillar as a whole may not have reached its peak resistance.

Slender Pillars in Empirical Studies

Case histories of pillar failure from a number of empirical studies [5, 6, 7, 8] are summarized in Figure 1, which represents the pillar strength as a function of the width-to-height ratio. The pillar strength is normalized by the uniaxial compressive strength (UCS) of the rock material. The graph also shows the upper and lower bounds of the failed cases.

The empirical studies were all carried out at metal mines with good to very good quality rock masses (RMR 60-85). Pillar failure was determined by visual inspection in all the cases, and pillar loads were estimated by the tributary area method [2] or through numerical modeling. None of the failed pillars were affected by major structures such as faults, so that the pillar stability was reflective of the general rock mass behavior. It can be seen that slender pillars are well represented by the case histories.
Variability of Failure Strength of Case Histories

Figure 1 clearly shows that for the presented case histories, the pillar strength becomes highly variable as the width to height ratio decreases. The standard deviation of the strengths of slender pillars is 25.4%, while it is 7.8% for the wider pillars. The variability can be caused by several factors, which can include uncertainty of the actual rock strength, uncertainty of the pillar stress, variations in the degree and severity of jointing, a variation in the bedding characteristics, and the presence of weak bands in the pillars.

The uncertainty and variability of pillar strength and loading is accounted for in pillar design by selecting an appropriate safety factor. The safety factor is the ratio of the average pillar strength to average pillar load. If pillar strength or loads are highly variable, a larger safety factor is required to account for the increased variability. The objective when selecting a safety factor is to limit the failure probability of the pillars to some acceptable level. For example, a safety factor of 1.6 is commonly used for development pillar design in South Africa, achieving a failure probability of less than 0.5% [23].

The high variability of slender pillar strength, seen in the case history database, implies that slender pillars require a higher safety factor than wider pillars.

Empirical Equations and Slender Pillar Strength

A review of the empirically developed pillar strength equations for hard rock mines reveals that the equations can be placed into three groups:

1. Power equations: the Hedley and Grant [5] equation is an example of a power equation used in hard rock pillar design:

   \[ S = k \left( \frac{W}{h} \right)^{0.75} \]

   where \( k \) is the strength of a unit cube of the rock material forming the pillar, \( W \) is the pillar width and \( h \) is the height of the pillar. This equation follows the form of the coal pillar strength equation developed by Salamon and Munro [2].

2. Linear equations: such as the equation originally proposed by Obert and Duvall [24] based on laboratory tests on rock samples:

   \[ S = \sigma_p \left( 0.778 + 0.222 \frac{W}{h} \right) \]

   where \( \sigma_p \) is the strength of a pillar with a width-to-height ratio of 1.0.

3. An equation based on pillar confinement developed by Lunder and Pakalnis [9]:

   \[ S = (K \cdot UCS)(C_1 + \kappa C_2) \]

   where \( \kappa \) (kappa) is a pillar friction term, \( C_1 \) and \( C_2 \) are empirically derived constants determined to be 0.68 and 0.52, respectively, and \( K \) is the rock mass strength size factor, determined to be 0.44. The value of \( \kappa \) can be determined as:

   \[ \kappa = \tan^{-1} \left( \frac{1 - C_{pav}}{1 + C_{pav}} \right) \]

   where \( C_{pav} \) is the average pillar confinement, which can be found by:

   \[ C_{pav} = 0.46 \log \left( \frac{W_p + 0.75 h}{h} \right)^{1.4} \]

   where \( W_p \) is the pillar width and \( h \) the pillar height.

These three forms of equations were compared by entering similar rock strength parameters in each. This was achieved by setting the large scale strength of the rock mass (\( k \)) equal to 0.42 times the UCS in the Hedley–Grant [5] equation and similarly setting the value of \( \kappa \) in the Obert-Duval [24] equation. The result is shown in Figure 2.

Comparing the three curves shows that the Hedley-Grant and the Lunder-Pakalnis equations predict similar pillar strengths when the w:h ratio exceeds 0.6, but they diverge at lower w:h ratios. Interestingly, the Lunder-Pakalnis equation predicts constant pillar strength below w:h ratios of 0.4. The Obert-Duval equation is linear and predicts higher strength for slender pillars than the other two equations. For example, the Obert-Duval equation predicts a strength of 0.38 times the UCS for a pillar with a w:h ratio of 0.5, while the Hedley-Grant
equation predicts 0.30, and the Lunder-Pakalnis equation predicts 0.31. There is a difference of 26% between the highest and the lowest predictions.

The review shows that the three forms of pillar strength equations considered will result in significantly different estimates of slender pillar strength for the same rock mass strength data. The equations also predict different trends in strength, especially at low width to height ratios. When designing slender pillars, the selection of a strength equation can, therefore, have a significant impact on the resulting dimensions of slender pillars. The numerical modeling discussed in this paper was carried out partly to evaluate pillar strength issues at low w:h ratios.

**Pillar Failure In Hard Rock Mines**

Pillar failure modes in hard rock mines can be divided into two categories [1]. The first category is failure of the rock mass, in which spalling or crushing occurs through the intact rock as well as shearing along natural joint planes in the rock. This failure mode is progressive and can be described in the following stages, after Krauland and Soder [21]: 1) Slight spalling of pillar corners and walls; 2) Severe spalling; 3) Appearance of fractures in the central part of the pillar; 4) Occurrence of rock falls from the pillar, emergence of an hour-glass shape; 5) Disintegration of the pillar, or, alternatively, the formation of a well developed hour glass with the central parts completely crushed. In this category of failure, brittle spalling occurs initially through the intact rock, followed by shearing and crushing of the rock mass. The initial brittle failure appears to be independent of the natural joints and bedding planes in strong rock [26,17].

The second category of failure is structure controlled, where shearing occurs along an individual geological structure such as a through-going joint or fault. Other modes of structural failure can occur when weak bedding layers or soft joint fill exists in a pillar which can extrude and destroy the pillar by inducing tension in the surrounding rock. Sliding along weak roof or floor contacts can induce similar failure modes in a pillar and is classified under the structural failure mode.

**Assessment of Slender Pillar Strength Using Numerical Models**

Numerical models were used to further investigate the strength of slender pillars and to address some of the issues related to the pillar strength equations. The FLAC3D [25] finite difference software was used to conduct the modeling. The software has the capability to model elastic and strain softening behavior using an elasto-plastic constitutive law. Important to this project was for the models to replicate realistically the failure processes observed in hard rock pillars.

**Modeling Brittle Rock Mass Failure**

It is important that the two-stage process of brittle spalling followed by shearing should be replicated in the numerical models. The phenomenon of brittle spalling has received much attention in the rock mechanics literature in recent years [27, 28, 17]. It has been found that the onset of brittle spalling typically occurs at 0.3-0.5 the uniaxial compressive strength of the rock, which is the stress level required for crack initiation. Stacey [29] presented a number of cases in which brittle spalling occurred below 0.2 times the UCS and possibly as low as 0.04 in one case. The brittle cracks typically extend and develop into fractures that are parallel to the major principal stress. According to Kaiser, et al. [28], at low confinement, stresses crack dilation inhibits the mobilization of frictional resistance, until the rock is sufficiently damaged. They proposed a bilinear strength envelope for rock around underground openings, in which the strength at low confinement is independent of friction and is equal to 0.3-0.5 times the UCS, followed by friction hardening at higher confinement, increasing up to the strength predicted by the Hoek-Brown [22] or similar rock strength criteria. The change from brittle spalling to frictional resistance occurs at a ratio of the maximum to minimum principal stress of 10 to 20, which depends on the heterogeneity and jointing in the rock mass.

The FLAC3D software has a built-in constitutive model for bilinear rock strength based on the Mohr-Coulomb strength criterion, in which strain hardening or softening is a function of the deviatoric plastic strain [25]. This model can include ubiquitous joints, which can be used to evaluate the effect of through-going joint sets on rock mass strength. The bilinear model is well suited to simulate the brittle/frictional development of rock mass strength as a function of confining stress. The initial brittle strength was based on the assumptions that spalling initiates at 0.33 times the UCS, and the transition from brittle to frictional strength occurs at \( f_y = 20.0 \). For the brittle section of the strength curve, the friction value was set to zero, after Martin et al. [27]. The parameters for the fully developed frictional rock mass strength were based on the Hoek-Brown [12] criterion by approximating the predicted rock mass strength with appropriate Mohr-Coulomb parameters.

Figure 3 shows the Hoek-Brown [12] strength curve and the approximate bilinear strength curve for a rock mass rating (RMR) of 70, a UCS of 120 MPa (17,400 psi), and Hoek-Brown [12] m-parameter of 12.0, to simulate a good quality rock mass.

![Figure 3. Bilinear and Hoek-Brown[13] rock strength plots for a Rock Mass Rating of 70.0](image)

The strain softening parameters for the models were determined as part of the model calibration process because they are affected by model element size [25]. All the models were run using identical element sizes.

**Modeling of Structure Controlled Failure**

The effect of through-going joints was modeled using the ubiquitous joint facility of the bilinear constitutive model in FLAC3D. The software allows joint sets to be defined in each model element having a specific orientation and Coulomb strength parameters. During the analysis of the effect of structure controlled failure, the rock mass maintained its brittle characteristics through the bilinear constitutive model.

**Model Geometry and Loading Conditions**

The models were set up to simulate a single pillar with the adjacent roof and floor rocks, as shown in Figure 4. Both the pillar width and room width were set to 12 m, resulting in 75% extraction. The height of the pillar was varied to simulate different width to height ratios. Vertical symmetry planes were defined to coincide with the vertical sides of the model, simulating a repeating system of rooms and pillars. Owing to symmetry, only one half of the width of the rooms was included in the models. The floor of the models was fixed in the
vertical direction. The top surface of the model was subject to an applied downward velocity which simulated crushing of the pillar under increased compression. The applied velocity was subject to servo control to maintain the unbalanced forces in the model within acceptable levels [25].

Figure 4. Model used to simulate a pillar in FLAC3D.

The models were run to equilibrium under elastic conditions subject to a vertical field stress of 2.7 MPa (390 psi), simulating a mine at 100 m (328 ft) depth. The horizontal stress was also set at 2.7 MPa (390 psi). After reaching equilibrium in the elastic state, the pillar material was changed from elastic to the bilinear Mohr-Coulomb material type. The model was then subject to increasing vertical loading by applying the servo controlled velocities at the top of the model. The models were compressed until the pillar had completely failed and had reached a residual strength of less than 50% of the peak strength.

During the simulations the average vertical stress at mid height of the pillar was calculated at regular intervals. The peak value of this stress was considered to represent the pillar strength. In addition, the closure between the top and bottom of the pillar was recorded, so that a pillar stress–strain curve could be developed. A routine was developed using the internal programming language available in FLAC3D, which recorded whether failure of an element occurred during the initial brittle stage or the shearing stage of the strength curve.

Model Calibration and Testing

Model calibration was carried out by simulating pillars with w:h ratios of 0.3, 0.4, 0.66, 0.8, 1.0, 1.5, and 2.0 and comparing the results to the Lunder-Pakalnis [9] empirically developed pillar strength equation. The models were all set up to simulate a good quality rock mass with an RMR value of 70. This value of RMR is in the center of the range of RMR values of 60 to 80 reported for the case histories used by Lunder and Pakalnis [9] to develop the strength equation. Details of the input data for this model are presented in Table 1. The calibration was carried out by varying the rate of cohesion softening in the models and keeping all the other parameters constant. Figure 5 shows the final result of the calibration runs. As shown, the model results predict a flattening of the strength curve at low w:h ratios similar to the Lunder-Pakalnis [9] curve.

Figure 5. Results of model calibration against the Lunder-Pakalnis [9] empirically derived pillar strength equation.

Table 1. Input Parameters for the RMR=70 model

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Elastic modulus</td>
<td>70 GPa (1x10^7 psi)</td>
</tr>
<tr>
<td>Poisson ratio</td>
<td>0.2</td>
</tr>
<tr>
<td>Intact rock strength (UCS)</td>
<td>120 MPa (17,400 psi)</td>
</tr>
<tr>
<td>First stage (brittle) cohesion</td>
<td>20 MPa (2,900 psi)</td>
</tr>
<tr>
<td>First stage (brittle) friction angle</td>
<td>0º</td>
</tr>
<tr>
<td>Second stage cohesion</td>
<td>6.5 MPa (940 psi)</td>
</tr>
<tr>
<td>Second stage friction angle</td>
<td>42.7º</td>
</tr>
<tr>
<td>Tensile strength</td>
<td>7 MPa (1,000 psi)</td>
</tr>
<tr>
<td>Dilation angle</td>
<td>30º</td>
</tr>
</tbody>
</table>

The sensitivity of the models to the rock strength parameters was tested by varying the rock mass strength parameters to simulate RMR values of 60 to 80. This was achieved by modifying the uniaxial compressive strength as well as the cohesion and friction values, in accordance with the Hoek-Brown [12] strength criterion. The spalling limit was maintained at 30% of the UCS in all the models. The results are presented in figure 6, which shows that a reduction in the RMR to 60 does not have a significant effect on the pillar strength, while an increase to RMR=80 results in a rapid increase in the strength of wider pillars. In all cases, the strength of pillars with w:h of 0.8 and less was equal to the brittle strength of the rock. All the model runs described below were carried out using the rock mass strength parameters for an RMR value of 70, as shown in table 1.

Pillar Failure Modes Derived From Model Results

Inspection of the extent of brittle failure and shearing failure in the models showed that the pillars with w:h ratios of 0.8 and below fail in the brittle mode, owing to the absence of sufficient confinement in these pillars to mobilize the frictional component of the rock strength.
This explains the flattening of the pillar strength curve to the brittle rock strength seen in figure 6. The extent of brittle and shear failure in pillars with w:h ratios of 0.5, 1.0, and 2.0 are presented in figure 7, illustrating the increasing role of brittle failure as the w:h ratio decreases.

Failure of the wider model pillars initiates by brittle failure around the outside of the pillar, which commences when the stress in the outer skin of the pillar exceeds the brittle rock strength. The brittle failure process continues as the pillar load increases. As the pillar approaches its peak strength, shear failure starts to develop behind the brittle failure zone. The pillar load can start to decrease before shear failure has progressed to the pillar core. This type of behavior is similar to the results of compression tests on small coal pillars reported by Wagner [29].

Slender pillars with w:h ratios of 0.8 and below also start to fail by brittle spalling when the average pillar stress approaches the brittle rock strength. However, a small increase in load results in failure of the entire pillar followed by rapid load shedding. In these slender models, brittle failure did not always commence at the outer skin of the pillar, but could start near the pillar center. According to the model results, pillars with w:h ratios of 0.8 or less will be at or near their ultimate strength when they start to show signs of brittle failure.

Model Results of the Effect of Inclined Discontinuities

The strength parameters used in the models discussed so far are based on the assumption that the rock mass strength is isotropic, implying that the discontinuity orientations and spacings are also isotropic. In practice, one of the discontinuity sets can be dominant and will result in anisotropic strength in the rock mass. To investigate the effect of a single dominant discontinuity set on pillar strength, the ubiquitous joint facility in FLAC3D was used. A single discontinuity set, striking parallel to one of the pillar sides, was introduced into the pillar models. The discontinuity dip was varied from 50° to 70° in each model. The discontinuity strength was selected to simulate rough joints with unaltered joint walls that are continuous relative to the pillar dimensions. The Coulomb parameters used for these discontinuities were determined using the approach of Barton and Choubey [31]. The strength parameters were Cohesion = 1.2 MPa (170 psi) and Friction angle = 42°.

The results are summarized in Figure 8, which shows that the presence of the inclined discontinuities can have a significant effect on the strength of slender pillars, while the wider pillars are affected to a much lesser degree. For example, discontinuities dipping at 70° reduce the strength of a pillar with w:h ratio of 2.0 by 13%, while the strength of a pillar with a w:h ratio of 0.5 is reduced by 62%.
width was measured to be 16.4 m (53 ft), and the depth of cover is 140 m (464 ft).

The limestone is a strong rock mass with a UCS of 150 MPa (22,000 psi). Jointing is near vertical with an average spacing of about 50 cm (1.6 ft). Joint surfaces are rough, and the joint continuity is less than 3 m (10 ft). Bedding joints are poorly developed and did not appear to affect the pillar stability.

The pillars were about 15 years old and were reported to be progressively spalling to the current hourglass shape, as shown in figure 9. Based on visual observations, it is not certain whether these pillars have failed. Inspection of the pillars revealed that open vertical fractures or joints could be seen in the pillar ribs. Columnar fragments of rock about 2m long were scattered about the pillars, as seen in the foreground. The average pillar stress, calculated by the tributary area method, is 15 MPa (2,175 psi), which is only 10% of the UCS of the intact rock. This is at the lower end of the range of observed cases of brittle spalling. The presence of near-vertical open fractures and joints seems to confirm that a brittle failure process is taking place in these pillars.

Example of the Effect of Inclined Discontinuities

The second case is a limestone mine in Western Pennsylvania, which uses the room and pillar method of mining. The limestone is massive, fine to medium grained with cross bedding. Jointing is spaced at 0.4 to 2.0 m (1.3-6.6 ft), and the joint trace length is seldom more than 3 m (10 ft). Joint surfaces are rough and do not contain any fill material. The bedding joints are poorly developed. Occasional prominent discontinuities with variable dip exist within the limestone formation. The UCS of this very strong limestone has been found to be up to 265 MPa (38,420 psi).

The pillars are square, 10.4 m (34 ft) wide, and 8.2 m (27 ft) high on development. Room width was 13.4-14.6 m (44 to 48 ft). Benching was carried out, increasing the pillar height to 18.6 m (61 ft), which reduced the width to height ratio from 1.3 to 0.56. The depth of cover was approximately 91 m (300 ft). Several of the benched and partially benched pillars in this layout failed, while the development pillars are in good condition. Figure 10 shows one of the failed pillars at the edge of the benching operation that failed along two prominent discontinuities. The photograph was taken from the upper mining bench and does not show the full height of the benched side of the pillar. The stress at failure of this pillar is estimated to be 14.4 MPa (2,080 psi), based on tributary area loading. However, using the Lunder-Pakalnis [9] equation for pillar strength, and a conservative value of the UCS at 200 MPa (29,000 psi), the benched pillars are predicted to have a strength of 64 MPa (9,280 psi), and one would not expect failure to occur. The failure can, however, be explained by the weakening effect of the prominent discontinuities observed in the pillar. The discontinuities could have significantly reduced the strength of the benched pillar, while having only a minor effect before benching, as predicted by the numerical models. This example demonstrates the importance of considering the potential effect of prominent discontinuities when designing slender pillars.

Figure 9. A pillar with a width to height ratio of 0.77 showing the effect of brittle failure and spalling. Open vertical fractures/joints are visible in the pillar.

Figure 10. Partially benched pillar that failed along two prominent discontinuities dipping at approximately 60°.

Conclusions

This evaluation of the strength of slender pillars has revealed the following:

1. Empirical studies show that the strength of slender pillars is more variable than the strength of wider pillars. The increased variability implies that higher safety factors are required when designing slender pillars to account for the variability.

2. Pillar strength equations developed from empirical studies can predict significantly different strengths for slender pillars, even if identical rock strength values are used.

3. Numerical models revealed that the process of brittle spalling and failure at low confinement plays an important role in the strength of slender pillars. The absence of a confined core causes failure to occur at the relatively low brittle strength of the rock.

4. Numerical model results show that, for slender pillars, the difference between the pillar load at the onset of brittle spalling and the ultimate pillar strength can be small, implying that slender pillars are at or near the point of failure when they start to spall. This is not the case with wider pillars, where the ultimate strength can be much higher than the load required to initiate brittle spalling.

5. Slender pillars are more sensitive to the presence of inclined discontinuities than wider pillars. Numerical models showed that relatively strong, inclined discontinuities can reduce the strength of slender pillars by as much as 70%, while wider pillars are affected...
to a much lesser degree. This sensitivity can partly explain the large variability in slender pillar strength seen in the results of published empirical pillar strength studies.

6. The onset of brittle failure at relatively low stress and the significant reduction of slender pillar strength by prominent discontinuities have been observed in underground limestone mines.

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References


