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### Introduction of new vaccines for immunization in pregnancy – Programmatic, regulatory, safety and ethical considerations

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#### Abstract

Immunizing pregnant women is a promising strategy to reduce infectious disease-related morbidity and mortality in pregnant women and their infants. Important pre-requisites for the successful introduction of new vaccines for immunization in pregnancy include political commitment and adequate financial resources: trained, committed and sufficient numbers of healthcare workers to deliver the vaccines; close integration of immunization programs with antenatal care and Maternal and Child Health services; adequate access to antenatal care by pregnant women in the country (especially in low and middle-income countries (LMIC)); and a high proportion of births occurring in health facilities (to ensure maternal and neonatal follow-up can be done). The framework needed to advance a vaccine program from product licensure to successful country-level implementation includes establishing and organizing evidence for anticipated vaccine program impact, developing supportive policies, and translating policies into local action. International and national coordination efforts, proactive planning from conception to implementation, regulatory guidance, pharmacovigilance) and country-specific and cultural factors must be taken into account during the vaccines introduction.

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#### Keywords

Vaccines; Immunisation; Pregnancy; Maternal Immunization; Introduction; Program; Regulatory; Safety; Vaccination coverage; Health policies; Antenatal care; Global policies; Country-level policy making; Pharmacovigilance; Healthcare providers; Antenatal care; Vaccine hesitancy

#### 1. Introduction

Immunization in pregnancy is a promising strategy to reduce infectious disease-related morbidity and mortality in pregnant women and their infants [1,2]. Pregnant women and their infants are at high risk of adverse pregnancy outcomes from infections e.g. influenza in the last trimester can lead to severe maternal disease and rubella and zika infections in the mother can lead to congenital anomalies, such as congenital microcephaly. Approximately 1.8 million children die within the first month of life [3] with many deaths due to infections with the potential to be prevented through existing vaccines or vaccines under development for delivery to pregnant women. Immunization in pregnancy protects the mother, the fetus and the newborn through the transplacental delivery of high concentrations of protective IgG (Immunoglobulin G) antibodies, particularly before active immunization by preventing infection in the mother, blocking subsequent transmission of infection to the infants (cocooning) [4].

Vaccines against tetanus, pertussis and seasonal influenza have been recommended for routine immunization in pregnant women in high-income countries (HIC) and in some low and middle-income countries (LMIC) for many years and have been determined to be safe and effective at preventing infections [1,2,5]. In spite of this, their uptake has been variable and for some of them e.g. influenza vaccine, well below desired levels. Despite a recommendation by WHO, influenza immunization for pregnant women has not been incorporated into immunization programs in many LMIC. Concerns about immunization of pregnant women include safety of the vaccine for the mother and fetus, vaccine effectiveness in disease prevention, limited data on the overall disease burden in pregnant women and their infants, and the lack of concern for disease by the health care provider and the mother. New vaccines for administration to pregnant women are currently under development such as respiratory syncytial virus (RSV), group B streptococcus (GBS), cytomegalovirus (CMV) [2,6,7] and monovalent pertussis vaccines [8].

In 2015, the World Health Organization (WHO) Strategic Advisory Group on Immunization (SAGE) reviewed progress toward implementation of maternal influenza immunization in pregnancy globally [9]. SAGE noted that there are still limited data from pregnant women, healthcare providers, and immunization program managers to inform implementation, communications, and advocacy, particularly in low resource settings.

#### 2. Implementation of immunization in pregnancy

While awaiting the licensure of new maternal vaccines, generalizable data can and should be collected from existing maternal immunization programs regarding important pre-requisites

for their success. These include: political commitment and adequate financial resources; trained, committed and sufficient numbers of healthcare workers (HCW) to deliver the vaccines; close integration of antenatal care and existing maternal and child health and immunization programs, especially in LMIC; adequate access to antenatal care by pregnant

#### 2.1. Framework for country-level implementation for a new vaccine for pregnant women

(so that maternal, neonate and infant follow-up can be adequately done) [10–13].

Based on the relatively recent adoption of *Haemophilus influenzae* type b (Hib), rotavirus and pneumococcal conjugate vaccines for infants in LMIC and on an existing set of WHO guidelines for new vaccine introduction [10], a group of vaccine authorities developed a theoretical framework for the supportive data, policies, and activities needed to advance a vaccine program from product licensure to successful country-level implementation (Fig. 1) [11]. This schematic organizes the major steps in the process of establishing and organizing evidence, developing supportive global policies, and translating policies into local action. International and national coordination efforts, proactive planning from conception to implementation of the immunization in pregnancy programs [11–13]. We will use this framework developed for implementation of infant immunizations to discuss the specific approach to immunization in pregnancy.

women in the country; and a high number of pregnant women delivering in health facilities

#### 2.2. Supportive global vaccine policy

The WHO has recommended routine immunization of pregnant women with tetanus, pertussis, and influenza vaccines in certain contexts [14–16]. Other vaccines are recommended in pregnant women with high-risk exposure during outbreaks, including meningococcal and yellow fever vaccines [17,18]. WHO has also produced guidance for new vaccine introduction into routine immunization schedules [10], a global guidance document for maternal influenza immunization implementation, as well as a broader maternal immunization field guide for the Americas [19,13]. WHO antenatal care guidelines also recommend strengthening immunization platforms during pregnancy [20]. WHO's Global Advisory Committee on Vaccine Safety has extensively reviewed and published on the safety of immunization during pregnancy. They found no evidence of adverse pregnancy outcomes from maternal immunization with inactivated virus or bacterial vaccines, or toxoid [21].

For LMIC eligible for financing by GAVI, financial support is an important prerequisite for new vaccine introduction. GAVI's financing decisions are determined by their Vaccine Investment Strategy (VIS) recommendations, which are reviewed every 5 years. These recommendations are based on the vaccine's impact on health, equity and social protection, value for money, economic impact and global health security. WHO works closely with GAVI to determine the new vaccines that will be included in the next VIS cycle and helps to develop the evaluation criteria based on potential cost, vaccine impact and implementation feasibility.

#### 2.3. Country level policy making

The Expanded Programme on Immunization (EPI) managers in countries make a preliminary, decision regarding the introduction of new vaccines or the expanded use of existing vaccines based on the political, financial, technical, programmatic, and feasibility considerations. The individual country National Immunization Technical Advisory Groups (NITAG) must support any decision [22]. Once there is a technical consensus, the proposal can be presented to the political decision-makers [14].

According to the Global Vaccine Action Plan, endorsed by the WHO Member States, every country has committed to having independent NITAGs. They assist their governments in immunization policy formation by providing evidence-based advice [22]. Small countries in close proximity, with similar epidemiologic profiles, and with other commonalities may participate in sub-regional immunization technical advisory groups e.g. Caribbean Immunization Technical Advisory Group (CITAG) (a sub-regional NITAG) for the 22 small countries/territories in the Caribbean [23].

Each NITAG interprets available data to determine the policy recommendations that make the most sense within the national context. Some of the evidence that may be reviewed by the NITAGs for the introduction of vaccines for pregnant women is listed in Table 1 [14,24]. Existence of these data would help to assess whether the introduction of the vaccines for pregnant women into the national immunization program would achieve the desired national public health objectives.

Guidance for the introduction of immunization in pregnancy programs is also available from national public health agencies and medical societies like the American College of Obstetricians and Gynecologists [25].

#### 2.4. Planning and implementation

After the decision to introduce a vaccine, a plan of action for the introduction of the new vaccines for pregnant women should be developed. Some suggested points for consideration are included in Table 2 [13,14].

#### 3. Vaccine offered to pregnant women

The vaccine could be offered to the pregnant women as part of antenatal care (ANC) visits (along with other health interventions routinely offered in the country), outpatient care (as part of general practice, gynecology, or high-risk clinics), vaccination on demand and at other health services that pregnant women might seek for their own care or for the care of their other children. Strengthening existing maternal and neonatal initiatives such as prevention of mother to child transmission of HIV or syphilis and incorporating immunization of pregnant women into these initiatives would be useful. Outreach strategies could include house-to-house vaccination, vaccination campaigns, vaccinating pregnant women at work and educational facilities, and mobile vaccination clinics.

The timing of immunization is important, especially in LMIC where pregnant women often access ANC initially in their second or third trimesters. This also avoids concerns of effects

on fetal development or association mistakenly with fetal loss (which is common in the first trimester). Placental transport is most effective from approximately 34 weeks of gestation and higher levels of maternal antibodies are available for transportation to the fetus, resulting in optimal protection of the newborn infant. The first dose of the routine immunization is started early in the neonatal period in LMIC, hence the transplacental antibodies are required to persist for a relatively short duration to protect the infant. For example, for Tetanus Toxoid (TT) vaccines in India, if the immunization status of the pregnant woman is unknown, the recommendation is immunization as soon as the pregnancy is detected followed by a second dose after 4 weeks. If the woman received two doses of TT in her previous pregnancy within 3 years, then one dose of TT is recommended 4 weeks prior to the expected date of delivery.

Vaccination coverage in pregnant women could be used as an indicator for the integration of immunization in pregnancy with ANC services. Latin American countries have successfully implemented these health policies [14,26].

#### Advantages of immunisation in pregnancy programs integration with antenatal care services

Immunization in pregnancy could provide additional benefits beyond the prevention of infectious diseases in the mother and infant. Health systems for antenatal care delivery, in general, could benefit from efforts to strengthen vaccine delivery, with increased attention to evidence-based policy-making, regulation, staffing, training, documentation, cold chain, supply chain, monitoring and evaluation of coverage, adverse event assessment, and quality of care metrics [27]. It would potentially improve coverage, reduce costs, and increase the efficiency of both the antenatal care and immunization programs [14]. Pregnant women and their families can also benefit if vaccine introduction encourages increased antenatal care attendance, counselling, and recommended screening and interventions during pregnancy [28,29]. Systemic factors that are important to improve ANC utilization in LMIC include the quality and satisfaction associated with the service. Provision of immunization might help to improve both these factors and encourage ANC uptake. Further, accompanying family members could also receive catch-up immunization or care during these interactions if the services for them were available at the health centers [30]. This would decrease the time that families must invest in traveling to health facilities and the economic costs to the families and society. However, for these integrated efforts to be successful, health system strengthening is critical. This is currently often not the case in some HIC and LMIC, especially in smaller health care clinics in these countries, as there currently are different days for ANC and childhood immunizations.

#### 5. Challenges for immunisation in pregnancy implementation

While safe and effective vaccines and global policies recommending their use are necessary for the success of a new vaccine program, they are not sufficient. Nowhere is this observation more relevant than with the maternal influenza immunization strategy. Influenza vaccines have an excellent safety profile in pregnant women [31], have been shown to prevent maternal influenza [32], and have been documented to confer protection against

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influenza in infants [33]. However, although pregnant women are recommended to be prioritized for influenza vaccine receipt by the WHO, only 42 percent of WHO Member States have adopted recommendations for immunization of pregnant women with influenza vaccines, mostly in the American Region [34]. The coverage of influenza immunization of pregnant women in the United States is less than 50 percent despite recommendations for many years from the Centers for Disease Control and Prevention (CDC), Advisory Committee on Immunization Practices (ACIP) and the American College of Obstetricians and Gynecologists (ACOG) [25]. Maternal influenza immunization has not been adopted by most LMIC. Reasons for not having a national maternal influenza vaccination policy are multifactorial and differ among countries. Countries with policies tend to be HIC, use more new vaccines, have more robust NITAGs to formulate vaccine policy recommendations, and have stronger health systems to administer vaccines [34].

In the case of maternal influenza immunization, key data gaps and challenges remain. Expert reviews of the strategy have identified lack of robust estimates for severe influenza disease in mothers or their infants as a major obstacle to the strategy [33,35]. Effective mechanisms for the purchase and procurement of influenza vaccines are also critical for successful immunization programs [36].

To implement an immunization in pregnancy program for a new vaccine, programmatic challenges exist in using the antenatal care platform to immunize pregnant women. The capacity of antenatal care to deliver vaccines is LMIC is poorly characterized, however in these settings antenatal care is likely to be in need of strengthening. WHO has recommended that pregnant women should have at least 8 antenatal visits [37] and has highlighted the need to improve the quality and monitoring of antenatal care. Only about 42 percent of women from LMIC have at least four antenatal care visits, and 35 percent still only have one antenatal care visit [38,39]. Robust antenatal care involves the delivery of many evidence-based interventions across several visits [40]. A review of the quality of antenatal care found the coverage of important evidence-based interventions to be suboptimal in many LMIC [41].

Some of the factors which add further to the complexity of the introduction of new vaccines for pregnant women are mentioned in Table 2 [42,43,2,14]. Integrated approaches would need to be supported by the availability of adequate numbers and trained HCW, financial resources, logistics of vaccine acquisition, storage, administration, and tracking, and consistent tools and documents across different programs and services [44]. It is unknown how integrated service delivery can affect the smooth operations of health care delivery. On the one hand, it may decrease the time and the economic costs to the families and society, but on the other hand, it may possibly increase HCW's daily burden of work and may require additional training, resources and support. Some of the barriers that affect HCW provision of vaccines during pregnancy and measures to address the issues are listed in Table 3 [1,14,45–47].

#### 6. Vaccine hesitancy

Vaccine hesitancy is the refusal of vaccines or a delay in acceptance despite the availability of immunization services. It is often cited as a problem that can be particularly acute during pregnancy, as pregnant women are encouraged to avoid medicines with known or uncertain risks to the fetus. Some of the factors influencing vaccine hesitancy and the measures to address it in pregnant women, their partners, and extended families are mentioned in Table 4 [1,14,43,46–48].

Lower uptake of vaccination in pregnancy has been associated with younger age, not being married, lacking healthcare insurance or an obstetric care provider, having a history of preterm delivery, belonging to a lower socioeconomic status, having a lower educational level, and being a racial or ethnic minority [1,14,43,46–48].

To address this issue, communication, advocacy, and social mobilization are useful to increase awareness among key stake-holders about the importance of immunization of pregnant women, adhering to the vaccination schedule, the safety and effectiveness of the vaccines, avoiding miscommunication and rumors, improving immunization coverage and rapid detection, reporting and addressing of possible adverse events (AE) following immunizations (AEFIs). Research from HIC and LMIC has shown that understanding the benefits of immunization by pregnant women (and especially in LMIC, their partners, and extended families) comes through education by HCW, and high-quality obstetric care with acceptable and affordable immunization services offered through well-staffed clinics, pharmacies, churches, and other settings. Recent studies support the development of interventions to promote vaccine uptake which are evidence-based and highlight vaccine safety during pregnancy to reduce these concerns [2,49].

#### 7. System considerations

#### 7.1. International regulatory guidance

National Regulatory Authorities in LMIC often refer to international guidelines from the Food and Drug Administration (FDA) and European Medicines Agency (EMA). Available guidance from the FDA and EMA and International Conference on Harmonization of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH) highlight a lack of harmonization and safety monitoring expectations for immunization in pregnancy [2,50]. These vaccines present significant regulatory challenges because their safety and efficacy must be determined both in the mother and infant. In the ICH and FDA guidelines, general guidance is available and specific requirements are now emerging, with the inclusion of available data in the vaccine label on immunization of pregnant women [2,51].

In the United States, no vaccine currently has an approved label for an indication for use during pregnancy. This is due to a lack of evidence from controlled clinical trials in pregnant women at the time of initial licensure of the vaccine, on the safety of the vaccine in the vaccinated woman and infant and the effectiveness of the vaccine to protect the infant. This is needed to include an indication for use in pregnant women in the vaccine label. Pregnant women were excluded from most pre-licensure trials for licensed vaccines in HIC. Public

health authorities have recommended the same vaccine for immunization in pregnancy based on reproductive toxicity studies in the preclinical phase, post-licensure surveillance, observational studies, data from small numbers of pregnant women inadvertently vaccinated in vaccination campaigns and clinical trials, and the vaccine's perceived benefit and minimal risk for the mother and infant [2,51,52]. Currently, vaccines recommended by the ACIP (e.g. Tetanus, Diphtheria and Pertussis (Tdap), and inactivated influenza) can be used in pregnant women, as they are approved for use in adults and not contraindicated for use during pregnancy [2,51–53].

Differences between the public health recommendations regarding the vaccine use in pregnant women and the absence of the indication on the vaccine label can lead to confusion in HCW and pregnant women and result in lower compliance with national vaccination recommendations [54]. The language in the vaccine inserts may be misinterpreted to suggest safety concerns [2,51,53]. To address the potential for misinterpretation of -pregnancy-related language in vaccine inserts, the FDA issued the Pregnancy and Lactation Labeling Rule (PLLR) to improve understanding of vaccine risks [2,50,52,55]. The PLLR eliminates the earlier letter categories (A, B, C, D, X) meant to signify risk, which were difficult to practically implement [2,54].

The revised PLLR regulation requires narrative descriptions of clinically relevant information on the risks of using the vaccine in pregnant and lactating women to be included in the label. The data can come from clinical trials, epidemiologic studies, pregnancy registries, and case series reporting rare events. As new information becomes available, the vaccine label needs to be updated. This may help towards informing HCW's prescriptions for immunization, counselling pregnant women and communicating information on the benefits and risks of the vaccine use in pregnancy and lactation and in males and females with reproductive potential [2,52,55,56].

In 2015, the Vaccines and Related Biological Products Advisory Committee of the FDA determined that the regulatory approval process for vaccines for use in pregnant women to prevent disease in the infant will be guided by regulations in Title 21 of the Code of Federal Regulations (21 CFR) and standards mentioned in applicable guidance documents i.e. the ICH and FDA Guidance documents. The vaccine development program and licensure will be product-specific to support the particular indication. Key considerations could include the use of immunological endpoints as markers of infant protection, evaluation of immune interference of transplacentally derived antibody with childhood vaccines and the duration of immunity in both the vaccines already recommended for use during pregnancy [2,54].

Using standardized case definitions for maternal and neonatal outcomes will enable the pooling of data from clinical and observational studies for the vaccine labels [2]. Having clarity regarding vaccine labeling related to pregnancy will help reduce variability in the labels and ensure that health care providers and pregnant women have a higher level of confidence in vaccines to be administered in pregnancy. This will hopefully increase the uptake of immunization in pregnancy [2,52,53]. Well-defined international guidance could provide a roadmap for regulation of vaccines used during pregnancy in LMIC.

For new RSV and GBS vaccines under development, advance agreement on the design and parameters of clinical trials that could support licensure for immunization in pregnancy by regulatory authorities in countries will be useful. Important work done by WHO to define the technological roadmap and preferred product characteristics for the RSV and GBS vaccines has been accomplished [57,58]. Another area of importance will be to agree on plans regarding post-licensure (either full or conditional licensure) follow-up to gather further safety and efficacy information from the field if investigational candidate vaccines are deployed in LMIC that currently do not have systems for such surveillance in place.

#### 7.2. Pharmacovigilance of immunisation in pregnancy

Monitoring the safety of vaccines in the woman and infant should be an important part of any immunization in pregnancy program. HIC have developed vaccine safety monitoring systems that have been used to monitor the safety of vaccines for pregnant women [59–63]. Unlike HIC, LMIC face challenges in their efforts to monitor the safety of immunization in pregnancy. Some limitations encountered by LMIC include lack of background population data on important pregnancy or infant outcomes necessary for immunization in pregnancy safety surveillance [2,64]. Prematurity, small for gestational age, and pregnancy complications are not uncommon in pregnancies, even in the absence of vaccination. The baseline rates of adverse pregnancy outcomes in a population should be enumerated to understand expected baselines of AE to inform vaccine safety assessments and clinically important effect sizes, if there were safety signals that must be captured by safety monitoring systems [2,65].

Adverse events following immunization (AEFI) surveillance should be established to identify AE if they were to occur. This is to ensure that the risk–benefit balance remains positive in pregnant women and their newborns [66]. Vaccine pharmacovigilance includes three stages: signal detection, development of a causality hypothesis, and testing of the hypothesis [67].

**7.2.1. Spontaneous reporting systems**—Signal detection in the post-marketing setting mainly relies on spontaneous reports of AEFI and literature case reports (passive pharmacovigilance) [67].

Many LMIC have spontaneous reporting systems with different degrees of pharmacovigilance [68]. There are national spontaneous reporting databases that collaborate with the WHO Programme for International Drug Monitoring. The Global Individual Case Safety Reports Database System (VigiBase) is a WHO global database which contains over 16 million individual case safety reports with member countries of the WHO Programme for International Drug Monitoring submitting reports since 1968. VigiBase is linked to medical and drug classifications such as WHO ART (Adverse Reactions Terminology), MedDRA (Medical Dictionary for Regulatory Activities), WHO ICD (International Classification of Diseases), and WHODrug to enable structured data entry, retrieval and analysis [69]. Analyses of spontaneous reports may provide a signal for higher than expected AE rates or potentially previously unrecognized AE. However, there are many limitations to the evaluation of passive reports including extremely low reporting of AEFIs by healthcare

providers [2,66], selective reporting of AE, lack of denominator data, incomplete data on AE, lack of evidence supporting the diagnosis submitted in many reports and the lack of control groups [70].

AEFI causal relationships can usually not be determined based on individual reports [71]. Determining if there is a causal relationship between vaccination in early pregnancy and birth defects would require a statistically significant difference in the group of pregnant women receiving the vaccines compared to a control group. This is often limited by an inadequate sample size/power to detect differences. A false positive finding, where an association between the vaccine and outcome is incorrectly identified can potentially affect public trust in vaccination and/or the rates of vaccine coverage [2,72,73].

**7.2.2.** Active surveillance—Signals coming from spontaneous reports warrant additional epidemiological and clinical investigations and further confirmation in a controlled study may be required [73]. Pharmacoepidemiological studies using large electronic health record databases make it possible to study the association of rare AE and provide timely answers to meet the short deadlines of the pharmacovigilance decisionmaking process. This not only simplifies the logistics and reduces the costs of pharmacoepidemiological research, but can also increase it validity [74]. However, these electronic databases contain observational data which has not been collected for research purposes. Vaccine safety studies that use these databases are limited by the quality of the information. As a result, biased results due to potential misclassification of the exposure (vaccination) and/or outcome (AE) are possible [75]. The combined use of multiple healthcare databases for the conduct of post-marketing active surveillance studies for vaccine safety is being increasingly done especially in HIC to increase the statistical sample size and heterogeneity of exposure. There are issues associated with this approach, including the different underlying healthcare systems, type of information collected, lack of harmonized case definitions, medical event coding systems, language and programs selected for data management and analyses. These large databases also need to respect countryspecific data anonymization and privacy regulations. Specific software (e.g. Jerboa) deal with privacy issues by using a common data model and sharing only aggregated and anonymized data. Providing remote research environments for storage and safe access to the data from different databases is necessary [76].

Several HIC have developed active surveillance systems for AEFI for analyses of the association between a vaccine and one or more pre-specified adverse health outcomes e.g. CDC's Vaccine Safety Datalink (VSD), the US FDA's Post-Licensure Rapid Immunization Safety Monitoring (PRISM) and IMPACT, Canada's Immunization Monitoring program. Rapid Cycle Analysis (RCA) (frequent (e.g., weekly) analysis) of the VSD allows more timely analysis of pre-specified AE of special interest so the general public can be informed rapidly of possible risks of recently licensed vaccines or new immunization schedules. TreeScan (https://www.treescan.org/), a free analytical software for large datasets, can be used to identify previously unspecified outcomes by simultaneously evaluating thousands of groups of AE and potential AE, to determine if there is a higher probability of any adverse event occurring among people exposed to a particular vaccine [77].

Some LMIC have surveillance and survey systems in place that have been established to collect population health data including maternal health data e.g. health and demographic surveillance systems (HDSS). However, such databases might contain aggregated data making analysis impossible at the individual level. Households are visited regularly and this could serve as a platform to collect data on the safety of immunization in pregnancy. Pregnancy registries may be set up and used to collect immunization in pregnancy safety data, but their use in LMIC has been limited. Health information systems (HIS) can collect data on subjects who attend health facilities; however, they can lack standardized definitions and be biased. One potential approach for safety monitoring of maternal vaccines in LMIC is the use of a network of hospitals or health centers within a country or in several countries using case-only analytic methods to circumvent the difficulty of obtaining denominator data to calculate rates of AE. An example of this is monitoring conducted by the Pediatric Investigators Collaborative Network on Infections (PICNIC) in Canada for RSV-associated deaths in pediatric patients [78]. A similar approach could be used to study the safety of vaccines for pregnant women as was done to assess the safety of the pandemic H1N1 influenza vaccine in pregnant women in Taiwan [79]. Landscape analysis could be used to identify sentinel surveillance sites in countries to study the safety of vaccines administered to pregnant women.

The Latin American Center for Perinatology (CLAP) was established in 1970 with the aim of strengthening health care services especially primary care for mothers and neonates. In recent years, CLAP has compiled data from 29 countries in Latin America using an electronic, standardized perinatology clinical record. This tool has helped with the analyses of health outcomes of interest for Maternal and Neonatal Immunisation. CLAP is also establishing a surveillance network of sentinel hospital sites across the Region. These sentinel centers will actively look for and investigate pregnancy and infant outcomes following immunization in pregnancy [80].

A limitation, not unique to LMIC but also present in some HIC, is the lack of harmonized methods for vaccine safety studies, lack of standard definitions for important pregnancy and infant outcomes such as fetal loss, stillbirth, birth defects, and maternal morbidity and causality assessment for maternal or fetal AEFI in pregnancy [2,64,81]. This is being addressed through the Global Alignment of Immunization safety Assessment in pregnancy (GAIA) project, which was set up in 2014 in response to the WHO call for a globally concerted approach to actively monitor the safety of vaccines and immunization in pregnancy programs, with a specific focus on LMIC needs and requirements [2,64]. The project has developed a core set of 25 globally standardized case definitions of selected key obstetric and neonatal outcomes to determine the level of diagnostic certainty in the assessment of an event to ensure comparability and harmonization of data collected in different resource settings [81,82]. In addition, guidelines and tools for assessment of the safety of vaccines used in clinical trials in pregnant women have been developed. The guidelines have been supported by WHO Global Advisory Committee on Vaccine Safety [2,64,82,83]. The GAIA outputs have been developed based on a standard global consensus process with investigators, academia, vaccine manufacturers and public health institutes. They are being increasingly utilized in the field of immunization in pregnancy and maternal and child health [2,64].

The surveillance of vaccine safety in pregnant women in LMIC is especially challenging. The small differences in risk that would be expected need big sample sizes to perform studies with adequate statistical power. To date, most published studies on vaccine safety in pregnancy have been from HIC [68]. This may be explained in part by the lack of appropriate databases in LMIC. The strengthening, adaptation, and use of the expanding information sources are the next step to generate complementary information for the continuous benefit-risk assessment process.

#### 7.3. Ethical considerations for immunization in pregnancy programs

The principle of fair distribution of research benefits requires that research addresses diverse health needs across different classes/groups of people and not disproportionately focus on the health needs of a limited class of people. In the past, groups considered vulnerable have had additional protections to participate in research, often including investigations designed to exclude them (e.g. women of reproductive age, pregnant women, children etc.) [84–86]. Due to the exclusions, information about the prevention, diagnosis, and treatment of diseases that affect such groups is limited. This has resulted in injustice to pregnant women from a justice, equity, medical need, ethical and public health perspective [2,84–87]. Pregnant women were denied autonomy and a right to decide on research participation for themselves. <sup>83</sup>Not vaccinating pregnant women deprives them of the protection they deserve against infectious diseases [84-87]. The International Ethical Guidelines for Health-related Research Involving Humans by the Council for International Organizations of Medical Sciences (CIOMS), in collaboration with WHO, note that pregnant women are not vulnerable simply because they are pregnant [84]. There is a need to redress these injustices by encouraging the participation of previously excluded groups, including pregnant women, in medical research and offering immunization to pregnant women if the vaccines have been licensed or recommended for use in pregnancy in those countries [2,84–87].

Based on the principle of autonomy, in no case must the permission of another person replace the requirement of individual informed consent by the pregnant woman to receive the vaccine. In case the pregnant women so desires, the information about the vaccines can be shared with her spouse and extended family [85,86]. Providing easily understandable, culturally sensitive, comprehensive information in the locally spoken language on the benefits and risks of immunization in pregnancy is important, so that pregnant women can make their own decision to get immunized [2,84–87].

Active dialogue between pregnant women and HCW in the community is necessary to understand pregnant women's beliefs about the necessity and safety of immunization in pregnancy. Taking the perspectives of women seriously contributes to the ethical justification and trustworthiness of the program. Women should also be adequately represented in decision-making bodies that influence national- and international-level policy decisions about immunization in pregnancy programs (including the NITAG, community advisory groups, policymakers, scientific bodies' etc.) [2,84–87].

#### 8. Advancing of immunisation of pregnant women

Robust disease burden and cost-effectiveness estimates for RSV and GBS are being collected and will serve to inform expectations of vaccine program impact [6,7]. These vaccines are also being developed with WHO programmatic suitability criteria in mind, so clinical development will consider issues of product storage volume, cold chain, injection devices, and waste disposal that are of particular concern in LMIC [88]. GBS and RSV vaccines have several advantages over influenza vaccines, as they will not require annual reformulation; they will likely have longer shelf lives, and will not require frequent stock rotations [6,7]. However, the kinetics of the antibody responses to these vaccines will need to be characterized [6,7]. Maternal antibodies generally wane over a period of less than 6 months. If there are higher titers of maternal antibody present in the neonate after birth, they will persist for a longer time in the infant. Immunizing pregnant women during the late second or third trimester (after 20 weeks and, preferably at 27–36 weeks of gestation) is recommended [89,90].

There is reason to be optimistic about the implementation of immunization in pregnancy for a number of maternal and neonatal pathogens. Using GBS as an example, it will be far easier to administer a vaccine than to culture clinical specimens from every pregnant woman for GBS carriage and then treat each colonized women with antibiotics to prevent a single case of early-onset GBS disease [91]. Routinely collecting clinical specimens for culture from pregnant women for GBS is not done in LMIC. Even with the development of highly specific and sensitive rapid bedside GBS diagnostic tests, their use would likely not be possible in LMIC due to logistic and financial considerations. In addition, the culturing and treatment approach has no effect on the prevention of late-onset GBS meningitis in the infant, as shown by the existing data from the United States [92].

#### 9. Conclusion

An investment in an immunization in pregnancy strategy would provide public health benefit by preventing infectious diseases in pregnant women and their infants and strengthening country antenatal care and health care delivery systems. To implement an immunization in pregnancy program for a new vaccine, programmatic challenges exist in using the antenatal care platform to deliver vaccines, especially in LMIC. These are mentioned in Table 2. Based on the lessons learned from current immunization in pregnancy programs, if the introduction of new vaccines for pregnant women is systemically done this may considerably decrease the morbidity and mortality associated with vaccine-preventable infectious diseases in pregnant women and their infants.

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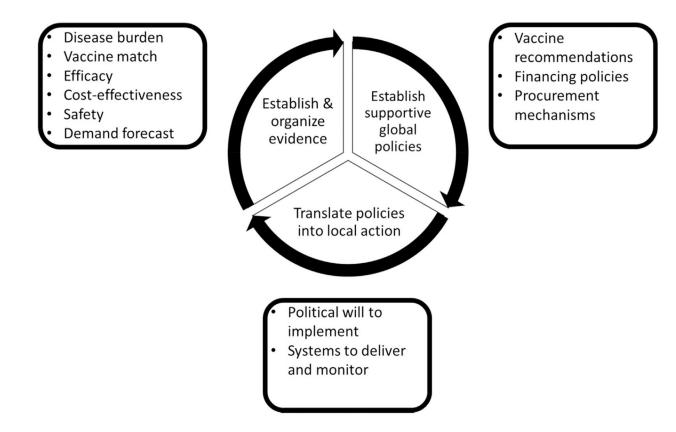
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#### Fig. 1.

Schematic describing the evidence, policies, and actions required to achieve the successful implementation of a new vaccine strategy. Note: Adapted from a previously published work [12].

#### Table 1

Some evidence needed by the National Immunization Technical Advisory Group (NITAG) for the introduction of Immunization in Pregnancy vaccines.

•	Disease Burden
•	Epidemiology
•	Vaccine Safety
•	Efficacy
•	Effectiveness
•	Cost-effectiveness
•	Quality
•	Anticipated impact of the immunization program
•	Vaccines risk vs benefit for each target population
•	Feasibility and scheduling (how the vaccine would fit into the national immunization schedule)
•	Comparison of the impact of the vaccine with other interventions
•	Demand forecast for the vaccine
•	Regular and timely vaccine supply to meet the demand forecast
•	Disease's visibility in society and how it compares to other public health concerns
•	Implementation costs of the new vaccine for pregnant women
•	Current immunization program's performance (to identify areas that need to be strengthened)
•	Adequate infrastructure needed to monitor the disease burden and vaccine safety (maternal, fetal and infant adverse events)
•	Regulatory and ethical considerations

<ul> <li>Offing the traject populations for the new vaccine at different levels of the health system (tational, regional, and localized series is a dimension and meansion dimension di dimension dimension dimension dimension dimensio</li></ul>	<ul> <li>Defining the target populations for the new vaccine at different levels of the health system (rational, regional, and local levels)</li> <li>Equivalential objectial servel lance (semiled survel lance for high incidence diseases, and national survel lance for lower incidence diseases, and national survel lance for lower incidence diseases, and national survel lance for lower is the maxime of the vaccine introduction</li> <li>Panning for the financing of the vaccine introduction</li> <li>Earbishing vaccination strategies</li> <li>Adequate procurement mechanisms for the vaccine introduction</li> <li>Handraziation of vaccine disregut productions of the national vaccination schedule and establishment of standard operating procedures for new vaccine introduction</li> <li>Well-functioning cold chain, wate disposal and supply chain integrated into the national immunization program's astandard operating procedures for new vaccine introduction</li> <li>Well-functioning cold chain wate disposal and supply chain integrated into the national immunization program's astandard operating procedures for new vaccine introduction</li> <li>Well-functioning cold chain wate disposal and supply chain integrated into the national immunization program's material and solution anong the stateholders and regional platforms for immunisation in pregnanty worten, and the manufactures, material and introduction</li> <li>Contation anong the stateholders and regional platforms across different programs and solutions, supervision, and evaluation for the program.</li> <li>Monitoring, supervision, and evaluation for the program.</li> </ul>	ors to (	Factors to consider for the introduction of new vaccines for pregnant women	rogramma	Programmatic challenges for LMC
r lower hment of gram's na and ns and	<ul> <li>Epidemiological surveilhance (sertinel surveilhance for high incidence diseases, and national surveilhance for lower incidence diseases is recommended).</li> <li>Planning for the financing of the vaccine introduction.</li> <li>Establishing vaccination strategies</li> <li>Adequate procurement mechanisms for the vaccine delivery through modifications of the national vaccination schedule and establishment of standard operating procedures for new vaccine introduction.</li> <li>Well-functioning cold chain, waste disposal and supply chain integrated into the national immunization program straining systems.</li> <li>Well-functioning cold chain, waste disposal and supply chain integrated into the national regulatory for systems.</li> <li>Condination among the stakeholders and regional platforms for immunisation in pregnancy (e.g. vaccine media) predicts, non-governmental organisations (NGOs), religious and community, leaders, pregnant women, and the neidil health and immunization programs, funders, healthbeare works, scientific communities, programs and child health and immunization programs, funders, healthbeare works, scientific communities, programs and child health and immunization programs interemental organisations (NGOs), religious and community leaders, pregnant women, and the neidil.</li> <li>Consistent tools, documents (forms, reports) and information technology platforms across different programs and societies.</li> <li>Monioring, supervision, and evaluation for the program.</li> </ul>		Defining the target populations for the new vaccine at different levels of the health system (national, regional, and local levels)		Absence of background data on disease burden and maternal and neonatal outcomes
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mal and i the ns and •••••••	<ul> <li>Coordination among the stakeholders and regional platforms for immunisation in pregnancy (e.g. vaccine manufacturers. national regulatory bodies, ethics communices, NITAGs, pharmacovigilance programs, matemal and child health and immunization programs, funders, healthcare workers, scientific communities, professional societies, non-governmental organisations (NGOs), religious and community leaders, programs and media)</li> <li>Consistent tools, documents (forms, reports) and information technology platforms across different programs and services</li> <li>Monitoring, supervision, and evaluation for the program.</li> </ul>	•	Well-functioning cold chain, waste disposal and supply chain integrated into the national immunization program's existing systems	•••	Gaps in vaccine availability Insufficient doses of vaccines to cover all pregnant
	<ul> <li>Consistent tools, documents (forms, reports) and information technology platforms across different programs and services</li> <li>Monitoring, supervision, and evaluation for the program</li> <li>information for the program</li> </ul>	•	Coordination among the stakeholders and regional platforms for immunisation in pregnancy (e.g. vaccine manufacturers, national regulatory bodies, ethics committees, NITAGs, pharmacovigilance programs, maternal and child health and immunization programs, funders, healthcare workers, scientific communities, professional societies, non-governmental organisations (NGOs), religious and community leaders, pregnant women, and the modio.	•	women Poor logistics for vaccine acquisition, storage, administration, and tracking
	Monitoring, supervision, and evaluation for the program	•	Consistent tools, documents (forms, reports) and information technology platforms across different programs and	•	Lack of integrated approaches among stakeholders and the different programs
<ul> <li>Lack of sufficient numbers of trained healthcare workers</li> <li>Incorrect beliefs regarding immunization found in pregnant women. communities and healthcare workers (e.g. pregnant women not perceived to be an increased trist for diverse, do not believe that vaccination is a necessary preventative health measure, conspiraty theory thinking, eschewing medical providers in favor of "complementary" or "alternative" medical providers in favor of "anting pregnant women, fetuses and infants)</li> <li>Lack of reporting and causality assessment of adverse events</li> <li>Ineffective communication of the risks by health car workers</li> </ul>	ling for stakeholders	•	Monitoring, supervision, and evaluation for the program	•	Lack of promotion of maternal immunization polici by health authorities
<ul> <li>Incorrect beliefs regarding immunization found in pregnant women, communities and healthcare workers (e.g., pregnant women or perceived to be at increased risk for disease, do not believe that vaccination is a necessary preventative health measure, conspiracy theory thinking, eschewing medical providers in favor of "complementary" or "atternative" medical providers in favor of "complementary" or "internative" medical providers in favor of "complementary" or "atternative" medical providers and infants)</li> <li>Lak of reporting and causality assessment of adverse events</li> </ul>	ling for stakeholders			•	Lack of sufficient numbers of trained healthcare workers
<ul> <li>Lack of reporting and causality assessment of adverse events</li> <li>Ineffective communication of the risks by health can workers</li> </ul>	ting for stakeholders			•	Incorrect beliefs regarding immunization found in pregnant women, communities and healthcare workers (e.g. pregnant women not perceived to be at increased risk for disease, do not believe that vaccination is a necessary preventative health measure, conspiracy theory thinking, eschewing medical providers in favor of "complementary" or "alternative" medical practices during pregnancy, concerns about safety of vaccines for pregnant women, fetuses and infants)
Ineffective communication of the risks by health car workers	ning for stakeholders			•	Lack of reporting and causality assessment of adverse events
	ning for stakeholders			•	Ineffective communication of the risks by health can workers

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Table 2

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Pharmacovigilance plans

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Crisis plans for thorough and timely response to adverse events and communication to the public and media

Kochhar et al.

#### Table 3

Some barriers that impact Healthcare Workers (HCW) provision of vaccines during pregnancy and measures to address them.

arriers	
•	Lack of knowledge and education
•	Misconceptions about the risk of the disease
•	Concerns about the need for vaccination during pregnancy and vaccine safety and efficacy
•	Lack of training on the technical and communication aspects
•	Vaccination not being part of their routine practice
•	Misconceptions regarding their patients preference for vaccination doing pregnancy
•	Lack of time, staff, vaccines, syringes, needles, cold chain equipment
•	Increased workload
•	Inadequate reimbursement
•	Concern about liability
•	Inconsistent guidelines
•	Inability to track vaccination status of pregnant women
leasures	to address the barriers
•	Chart reminders
•	Documentation in pregnancy records
•	Medical notes and perinatal guidelines
•	Education tailored to the needs of physicians (including family physicians and obstetricians),
	HCW and Pregnant Women (including peer to peer training/ mentoring)
•	Comprehensive guidelines
•	Computer decision supporting algorithms that aid in identifying women needing immunization
•	Standing and opt-in orders for vaccination
•	Addressing liability issues
•	Avoiding the administration of vaccines during the first trimester
•	Information technology support for timely monitoring of program successes, challenges and impact of adding Immunisation in Pregnancy to the existing Programs
•	Single-dose vaccination schedules

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Some factors influencing Vaccine Hesitancy in pregnant women and measures to address barriers.

# **Factors influencing Vaccine Hesitancy**

- Perceptions about the risk of the disease and disease severity
- Lack of recommendations by healthcare workers (HCW), government, and advisorybodies
- Lack of knowledge about vaccines during pregnancy
- Mistrust of vaccines
- Concerns about vaccine safety and effectiveness
- Fear of needles
- Lack of vaccines being offered by HCW, access to vaccination services, availability of vaccines and low ANC participation
- Payment required for the vaccine and administration costs
- Lack of effective communication and dissemination of recommendations from HCW, and public health bodies
- Societal factors like family influence, social norms, religion and lack of decision-making autonomy/ skills among pregnant women

## Measures to address barriers

- Education by HCW (including nurses, midwives and doctors)
- Strong healthcare worker recommendations for vaccination, including verbal, face-to-face recommendations from a physician
- Risk communication developed in collaboration with key stakeholders
- Targeting specific groups in the community e.g. women's-groups, community and religious leaders
- Positive media coverage
- High-quality obstetric care
- Acceptable and affordable immunization services offered through well-staffed clinics (including ANC clinics, community health centers, health posts, private health facilities, and hospitals), pharmacies, churches/ faith-based organizations, and other settings.
- Immunization services readily accessible by transportation, with convenient timings, and absence of long queues
- Reminders and follow-up (including automated text message reminders about vaccination)