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Risk of lung cancer in lung transplant recipients in the United States

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Abstract

Lung transplant recipients have an increased risk of lung cancer that is poorly understood. Prior studies are largely descriptive and single-center, and have not examined risk factors or outcomes in this population. This registry-linkage study utilized matched transplant and cancer registry data from 17 US states/regions during 1987–2012. We used standardized incidence ratios (SIRs) to compare incidence with the general population, Poisson models to identify lung cancer risk factors, and Cox models to compare survival after diagnosis. Lung cancer risk was increased among lung recipients (SIR 4.8, 95% CI 4.1–5.5). Those with single lung transplant had 13-fold (95% CI 11–15) increased risk in the native lung. Native lung cancer risk factors included age, prior smoking, time since transplant, and idiopathic pulmonary fibrosis. Compared with cases in the general population, lung cancers in recipients were more frequently localized stage ($p=0.02$) and treated surgically ($p=0.05$). However, recipients had higher all-cause (adjusted HR 1.90, 95% CI 1.52–2.37) and cancer-specific mortality (adjusted HR 1.67, 95% CI 1.28–2.18). In

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Supporting Information

Additional supplementary materials may be found online in the Supporting Information section for this article.

conclusion, lung cancer risk is increased after lung transplant, especially in the native lung of single lung recipients. Traditional risk factors are associated with lung cancer in these patients. Lung cancer survival is worse among lung recipients despite earlier diagnosis.

1. Introduction

Lung transplant is increasingly utilized for patients with end-stage lung disease, with 13,000 US recipients alive with a functioning transplant in 2016.(1) Lung recipients face many long-term medical issues related to use of immunosuppressive medications, infection, and allograft dysfunction.(2–5) Among these risks, lung recipients have approximately twice the risk of cancer as the general population, and the incidence of lung cancer, specifically, may be even higher.(6–8) Excluding skin cancer, lung cancer represents the most common malignancy to arise after lung transplant.(8)

The increased risk of lung cancer following lung transplant is poorly understood, and may be attributable to immunosuppression, systemic inflammation, or lung infections.(9–11) For the large number of recipients with a single lung transplant (SLT) with a retained native lung, pre-existing pulmonary damage likely plays an important role as well.(12) Case series suggest that most incident lung cancers occur in the native lungs of SLT recipients, among former smokers, and among patients with pre-existing chronic obstructive pulmonary disease (COPD) or idiopathic pulmonary fibrosis (IPF).(13, 14) To date, there have been no studies systematically examining specific lung cancer risk factors and outcomes in patients after lung transplant.

To understand the epidemiology of lung cancer following lung transplant, we utilized data from the Transplant Cancer Match (TCM) Study, which links US transplant and cancer registries. Our primary objectives were three-fold: to evaluate lung cancer risk in lung recipients compared to the general population, distinguishing between cancers in the native and transplanted lungs; to evaluate risk factors for the development of lung cancer; and to compare lung cancers cases between lung recipients and other individuals with respect to histology, stage, treatment and survival.

2. Materials & Methods

2.1. Study Population

The TCM Study (www.transplantmatch.cancer.gov) links the US Scientific Registry of Transplant Recipients (SRTR) with 17 state and regional cancer registries (see Table 1 footnote).(6) The SRTR includes data on donors, candidates, and recipients in the US, submitted by the members of the Organ Procurement and Transplantation Network (OPTN), and is described elsewhere.(15) The Health Resources and Services Administration, US Department of Health and Human Services, provides oversight of the OPTN and SRTR. The TCM Study covers approximately half of US transplants during 1987–2012. We evaluated first-time lung recipients who resided in the area covered by a participating registry at waiting list entry or transplant for inclusion (n=11,571); after exclusions, the final cohort was comprised of 8,993 first-time lung recipients (see Figure 1).

2.2. Data Collection and Variables

Characteristics of recipients and donors, transplant characteristics, and baseline immunosuppression and induction therapy were obtained from SRTR records. Recipient cigarette use was assessed using two SRTR variables: history of cigarette use or history of smoking >10 pack-years. Donor cigarette use was only reported in the dataset if >20 pack-years of lifetime use. Lung disease group was based on recipients' primary listing diagnosis and categorized into 8 groups (see Table 1). We also assessed grouped diagnoses based on the 4 Lung Allocation Score (LAS) groups. We chose the 8 clinical disease groups for our analyses as we felt these better reflected clinicopathological as LAS grouping is partially based on waitlist mortality.⁽¹⁶⁾ Pre-transplant spirometry testing values (forced expiratory volume in 1 second [FEV1], forced vital capacity [FVC]) were obtained from SRTR.

Incident lung cancers were ascertained using International Classification of Diseases for Oncology, 3rd edition codes (ICD-O-3) from the linked cancer registry records. Histologic subtype was classified as non-small cell lung cancer (NSCLC), small cell lung cancer (SCLC) and other. NSCLC was further subdivided into adenocarcinoma, squamous cell carcinoma, and other NSCLC for some analyses. Clinical stage at cancer diagnosis was based on summary stage (localized, regional or distant). Cancer treatment (surgery, radiation, chemotherapy) and cause of death were ascertained from cancer registries, as described below.

2.3. Statistical Analyses

Follow-up of lung recipients for incident lung cancer began at 3 months after transplant (to avoid pre-existing cancers) and ended at the earliest of lung cancer diagnosis, death, graft failure/retransplant, loss to follow-up, or end of registry coverage (December 2012). We compared incidence among lung recipients to the general population using standardized incidence ratios (SIR, defined as observed cases/expected cases). Expected counts were obtained by applying general population lung cancer rates to person-time at risk, stratified by age, sex, race/ethnicity, calendar year, and registry area. We calculated overall SIRs and separate SIRs for cancers in the native and transplanted lungs by generating observed and expected counts separately for left and right lungs, then summing across recipients' lungs based on the transplant procedure (left SLT, right SLT, bilateral transplant [BLT]). SIRs use the recipients' demographic characteristics to calculate the expected number of cancers, but when the SIRs were calculated separately for transplanted lungs, we alternatively standardized by donor characteristics.

Poisson regression was utilized to calculate incidence rate ratios (IRRs) to examine risk factors for native lung cancers. Each SLT recipients' relevant lung was used for this analysis. Risk factors assessed in univariate models for association with native lung cancer included recipient sex, age at transplant, education level, lung disease group, LAS diagnosis group, history of smoking, time since transplant, listing FEV1, listing FVC, baseline antimetabolite therapy, baseline calcineurin inhibitor, induction regimen and transplanted lung (left vs. right). LAS diagnosis group, baseline antimetabolite/calcineurin inhibitor and induction regimen were not included in our final multivariable model because of lack of significant association in univariate models. FEV1 and FVC demonstrated some differences in risk

between categories of percent predicted values, but no significant trend. Thus, they were also not included. IRRs for lung disease group were based on effect coding, which compares incidence in each group to overall average incidence. In order to retain subjects with missing data in the models, “missing” was included as a category for each of these variables of interest. We also used Poisson regression to examine risk factors for lung cancers arising in donor lungs. Given a limited number of cases, we only assessed a few risk factors in univariate models including: donor and recipient age, single vs. bilateral lung transplant, donor and recipient smoking, and time since transplant.

We then compared lung cancer cases in lung recipients to cases in the general population, restricted to cancer registry areas that provided information on vital status (see Table 5 footnote). Hispanic ethnicity was inconsistently reported by cancer registries, so we excluded the few cases for which Hispanic ethnicity was indicated. We used chi-squared testing to compare characteristics of cases with or without a prior lung transplant. The probability of all-cause mortality following cancer diagnosis was calculated for transplant and non-transplant cases using Kaplan-Meier curves with log-rank testing. We used Cox proportional hazards regression to compare all-cause mortality and lung cancer-specific mortality following cancer diagnosis, adjusting for age at diagnosis, sex, race/ethnicity, year of diagnosis, stage, and treatment modality. Some multivariable models were restricted to registries that provided information on cause of death and cancer treatment (see Table 5 and 6 footnotes). Analyses are reported overall and for NSCLC cases, as SCLC is staged and treated differently.⁽¹⁷⁾ A final survival model was created to compare transplant and non-transplant NSCLC cases with local or regional stage disease undergoing potentially curative therapy (either surgery or radiation).^(18–20) To account for any differences in the relationship between lung transplant and survival over time, we developed survival models separately for 3 eras according to year of cancer diagnosis (1992–2002, 2003–2006, 2007–2012). We then tested an interaction term between year of cancer diagnosis and an indicator variable for having a lung transplant. Finally, among our cohort of lung transplant recipients, we compared survival between those who developed lung cancer and those who did not, treating the occurrence of lung cancer as a time-dependent risk factor.

The TCM Study was approved by human subjects committees of the National Cancer Institute and participating cancer registries. All statistical analyses were two-sided and performed using SAS (versions 9.3 and 9.4, SAS Institute, Cary, NC). A p-value of < 0.05 was considered statistically significant.

3. Results

3.1. Cohort description and lung cancer risk

The cohort of 8,993 first-time lung recipients were near evenly split between males and females, and the median age at transplant was 54 years (Table 1). The leading indications for transplant were COPD with or without alpha-1 antitrypsin deficiency (COPD/A1AT, 34%), IPF (25%) and cystic fibrosis (CF, 16%). Of recipients with available smoking data (77%), 64% had pre-transplant cigarette use. Forty-five percent received SLT and 55% received BLT. Donors were more likely to be male, more likely to be non-smokers, and were much younger than recipients (Table 2).

There were 183 incident lung cancers during follow-up (528 per 100,000 person-years). The median time from transplant to cancer was 3.9 years (interquartile range [IQR] 1.8–6.2) and was similar between those who developed lung cancer in a native (4.0 years, IQR 1.8–6.2) or a donor lung (3.5 years, IQR 0.93–6.9). We excluded all cases occurring in the first 3 months, and only 4 of 183 cases occurred in the subsequent 3 months. Most lung cancers occurred in SLT recipients (n=169, 92%) and, within this group, in the native lung (n=153, 91%). Overall, lung cancer risk was elevated almost 5-fold in lung recipients compared to the general population (SIR 4.8, 95% confidence interval [CI] 4.1–5.5) (Table 3). Risk of lung cancer in the native lung in SLT recipients was markedly high (SIR 13, 95% CI 11–15). Risk was not elevated in transplanted lungs when standardized by recipient characteristics (SIR 1.0, 95% CI 0.69–1.5). However, given the younger age of donors, when standardized by donor characteristics, the risk was strongly increased (SIR 5.0, 95% CI 3.3–7.3).

Thirty-seven percent (n=67) of lung cancer diagnoses were squamous cell carcinoma, 32% (n=58) adenocarcinoma, and 22% (n=40) other NSCLC. Eight percent (n=15) were SCLC and 2% (n=3) were other histologic subtypes. The SIR for squamous cell cancer was elevated to 8.1 (95% CI 6.3–10.3) while the SIR for adenocarcinoma was 4.4 (95% CI 3.3–5.7). In contrast, the SIR for SCLC was lower at 2.6 (95% CI 1.5–4.2).

3.2. Risk factors for incident lung cancer

We examined risk factors for native lung cancer (n=153) in SLT recipients (n=4,072) (Table 4). Older age at transplant, lower education level, history of cigarette smoking prior to transplant, and longer time since transplant were significantly associated with increased risk in multivariable models. Ninety percent of cases of native lung cancers occurred in recipients with either COPD/A1AT or IPF. However, while an IPF diagnosis was associated with significantly higher risk of native lung cancer (adjusted IRR 1.6, 95% CI 1.0–2.6), risk in recipients with COPD/A1AT was similar to the average risk across disease groups. Lung cancer incidence was also higher in a native right lung compared to a native left lung. In unadjusted models to explore risk factors for lung cancer arising in the donor lung (n=26), donor and recipient age, recipient smoking, and having a single lung transplant were associated with incidence of donor lung cancer (Table S1).

3.3. Lung cancer stage and survival

Lung cancer cases in lung recipients in the restricted cohort (n=121) were compared to 1,168,149 other lung cancer patients from the general population. Lung cancer patients with a lung transplant were younger ($p<0.001$) compared to other lung cancer patients (Table 5). Based on summary stage, lung cancers in lung recipients were more likely than other cases to be localized, both overall and for the subset of NSCLC (28% vs. 20%, $p=0.04$). Lung cancer patients with a lung transplant were more likely to receive surgery compared to other lung cancer patients (37% vs. 26%, $p=0.05$). Among NSCLC patients with localized/regional disease, lung recipients were also more likely to receive surgery (93% vs. 69%, $p=0.01$) and less likely to receive radiation (11% vs. 43%, $p=0.001$). Despite being more likely to present in localized stage, lung transplant patients had shorter survival after cancer diagnosis than other lung cancer patients (median 153 vs. 245 days, $p<0.001$; Figure 2A).

Survival was also worse in lung transplant recipients when analysis was stratified to NSCLC ($p < 0.001$, Figure 2B) or SCLC ($p = 0.02$, Figure 2C).

In Cox models adjusted for stage and cancer treatment, survival outcomes were worse, for all cancer cases and specifically for those with NSCLC (all-cause mortality: adjusted HR 1.84, 95% CI 1.46–2.33; cancer-specific mortality: adjusted HR 1.62, 95% CI 1.22–2.15) (Table 6). In analyses restricted to patients with NSCLC diagnosed at localized/regional stage who received potentially curative therapy (surgery and/or radiation), survival was also worse among lung recipients ($p < 0.001$, Figure 1D; all-cause mortality: adjusted HR 2.18, 95% CI 1.54–3.10; cancer-specific mortality: adjusted HR 1.83, 95% CI 1.15–2.90). The association between transplantation and increased mortality appeared similar across the three time eras (Table S2), and interaction terms between year of lung cancer diagnosis and having a lung transplant were not significant for all-cause or lung cancer specific mortality.

Finally, among the cohort of lung transplant recipients, we compared survival between those who developed lung cancer in follow-up and those who did not, adjusted for age at transplant, sex, race/ethnicity and year of transplant. The risk of death was substantially higher among subjects who developed lung cancer compared to those who did not (unadjusted HR 7.25, 95% CI 6.17–8.53; adjusted HR 6.51, 95% CI 5.53–7.67).

4. Discussion

In this study, we present novel findings on incidence, risk factors, and outcomes of lung cancer among lung transplant recipients. We found that native lung cancer in SLT recipients occurred at a 13-fold higher rate than in the general population, and a number of established cancer risk factors were associated with increased risk. We also observed higher than expected lung cancer risk in transplanted lungs, when risk was standardized to characteristics of the lung donors. Finally, we demonstrated that mortality was elevated in lung recipients with lung cancer compared to other lung cancer patients, despite a higher likelihood of localized stage at diagnosis and surgical therapy.

A key finding of this study is that lung cancer risk is greatly increased in the native lungs of patients after SLT. These results are similar to a prior national cohort study which reported that 84% of all lung cancer cases occurred in SLT recipients. (8) Despite pre-existing damage in these native lungs, most appear to arise after transplant as: (1) patients undergo extensive evaluation prior to transplant (including chest computed tomography [CT]), which would detect many pre-existing cancers, (2) there were very few cases diagnosed within 6 months of transplant, and (3) the median time to lung cancer diagnosis in our population was 3.9 years after transplant. Nonetheless, pre-existing damage in the native lung is likely the most critical factor in the elevated risk for native lung cancer. The majority of patients who developed lung cancer in the native lung had listing diagnoses of COPD and IPF. An IPF diagnosis was associated with above-average risk, and this condition is a strong cancer risk factor in the general population. (21–23) Also, traditional risk factors, such as older age and smoking, were associated with further increased risk. Our finding of elevated risk of right-sided cancer confirmed the performance of our model, as the risk of right-sided lung cancer

is increased by a similar magnitude in the general population, owing to relative size of the two lungs. (24, 25)

Our findings suggest that transplant-related factors also contribute to carcinogenesis. First, we found that native lung cancer risk increased with time from transplant, which may reflect cumulative effects of immunosuppression, local inflammation, and/or repeated pulmonary infections. Second, increased risk was not limited to native lung cancers alone. We initially observed that cancer risk in the transplanted lungs was similar to risk in the general population using the recipient's characteristics. However, that comparison was somewhat misleading, because the transplanted lungs in the recipients come from donors who are, on average, much younger. Indeed, when we standardized by characteristics of the lung donor, a marked increased risk of cancer in the donor lung was readily apparent. Finally, there was a histology shift in the lung cancer cases after transplant, with an especially large increase in the risk for squamous cell lung cancer, suggesting unique processes leading to different tumor biology from that underlying lung cancers in the general population. As mentioned in the Methods, we did not find an association between specific baseline immunosuppression regimen or induction regimen and lung cancer (data not shown). The majority of patients are on a combination of an antimetabolite, a calcineurin inhibitor, and corticosteroids, so this may indicate that risk is related to immunosuppression in general, rather than a specific commonly-used agent.

We also found observations pertaining to stage of disease and treatment. More cancer cases in recipients were diagnosed at localized stage compared to lung cancers in other patients, which is likely attributable to increased surveillance. We found that lung recipients were more likely to receive more aggressive treatment, with 93% of recipients with localized or regional stage cancer undergoing surgery. Surgery is considered the gold standard for curative therapy (with the alternative being stereotactic body radiation therapy) for early stage NSCLC.(19, 20) This difference in treatment could reflect a number of possibilities, including more aggressive goals of care for transplant recipients, higher likelihood of surgical candidacy (with patients having previously undergone an extensive transplant evaluation), and lack of contribution of the native lung (where most cases occur) to transplant recipients' gas exchange.

A novel aspect of this study is our examination of survival, comparing lung cancer outcomes in patients with lung transplant to the general population. Despite the increase in surgical treatment, survival was worse for lung cancer cases arising in lung transplant recipients. When we assessed NSCLC patients with local and regional stages who received potentially curative treatment, we again observed higher cancer-specific mortality in lung recipients. All-cause mortality following lung cancer diagnosis was high. There is likely a component of mortality which is due to allograft dysfunction, related to pulmonary toxicity of cancer treatments and/or reduction in immunosuppression in response to a cancer diagnosis. Nonetheless, the added deaths attributed to lung cancer may also reflect more aggressive cancer biology, lack of effective immune control of tumor progression, or simply death attributed to cancer with major contributions from transplant-related comorbid illness. This finding of worse survival is consistent with previous studies that suggest worse prognosis for certain malignancies after solid organ transplant. (26, 27) A previous study of NSCLC

presenting after any solid organ transplant demonstrated that these patients present at earlier stages but had worse overall survival than the general population.(28) Lung cancer has the lowest 5-year survival of all common malignancies.(29) In our analysis, mortality was 6.5-fold elevated among recipients who developed lung cancer, demonstrating the high lethality attributable to this cancer among lung recipients.

These findings have important implications. We define the high risk of lung cancer in native lungs of SLT recipients, and the high mortality in these patients despite a higher likelihood of presenting in early stage. The results may provide some evidence for a benefit of BLT over SLT among at-risk recipients, such as those with IPF. Our results also have implications for counseling and post-transplant surveillance for lung cancer in patients receiving SLT. While the frequency of post-transplant clinical surveillance typically decreases after a few years, we found an increasing incidence of lung cancer with further time since transplant. There are no data on screening with annual chest CT in the transplant population as is currently recommended for high-risk current and former heavy smokers in the general population. (30, 31) We caution that screening in lung recipients may be complicated by interpretation of imaging given pre-existing lung disease, and given the lower survival of early stage cancers demonstrated here, screening may detect more cancer without an associated survival benefit. Additional research in this area will be needed.

This study has notable strengths. This is the first study to comprehensively compare lung cancer after lung transplant to other lung cancers in the general population. The study cohort includes approximately half of all lung transplants performed in the US over the study period, with data on lung recipients and donors (from the SRTR) and largely complete ascertainment of lung cancers (from cancer registries). There are limitations as well. Some analyses were limited by missing data or lack of granular details. For example, smoking status was missing for 23% of recipients and we did not have detailed information on the exposure such as pack-years of tobacco use. This limits our analysis where, particularly for native lung cancer, smoking is expected to be a strong and dose-dependent risk factor. We would expect heavy smoking to be a much more significant risk factor for post-transplant cancer than we determined for smoking overall. We also lacked longitudinal information on details of immunosuppression regimens, other than baseline regimen and induction, and post-transplant complications. This prevented us from evaluating the impact of post-transplant risk factors which likely contribute to carcinogenesis in both native and donor lungs. It is possible that these factors contribute to development of lung cancer, but the absence of data in our study would not bias associations with the baseline factors that we assessed. The small number of cases of donor lung cancers limited us to an exploratory analysis, and we could not assess whether post-transplant events, such as infections and chronic rejection, contribute to cancers in the donor lung. The study is also limited by lack of additional data beyond December 2012. Finally, stage is most completely recorded in the cancer registries as a summary stage (localized, regional or distant), but this does not completely align with TNM staging used to guide NSCLC treatment. (20)

In conclusion, we found a nearly 5-fold increase in risk in lung cancer among lung transplant recipients compared to the general population, with a 13-fold increase for native lung cancer among SLT recipients. Traditional cancer risk factors, such as age, smoking and

an IPF diagnosis, were associated with increased risk in these recipients. While our findings point to an additional influence of post-transplant factors on lung cancer risk, the unique contribution of specific factors such as immunosuppressants, and role of post-transplant infections on risk of lung cancer will require future examination. Survival is poor following a lung cancer diagnosis, and despite earlier stage at diagnosis and more aggressive treatment, lung recipients had worse overall and cancer-specific mortality than other lung cancer patients. These findings provide important insights into the epidemiology of lung cancer after lung transplant, suggest a potential benefit of BLT over SLT, and may be useful in understanding which lung transplant recipients are at highest risk for lung cancer.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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Abbreviations

A1AT	alpha-1 antitrypsin deficiency
BLT	bilateral lung transplant
CF	cystic fibrosis

CI	confidence interval
COPD	chronic obstructive pulmonary disease
CT	computed tomography
FEV1	forced expiratory volume in 1 second
FVC	forced vital capacity
IPF	idiopathic pulmonary fibrosis
IRR	incidence rate ratio
LAS	Lung Allocation Score
NSCLC	non-small cell lung cancer
OPTN	Organ Procurement and Transplantation Network
SCLC	small cell lung cancer
SIR	standardized incidence ratio
SLT	single lung transplant
SRTR	Scientific Registry of Transplant Recipients
TCM	Transplant Cancer Match

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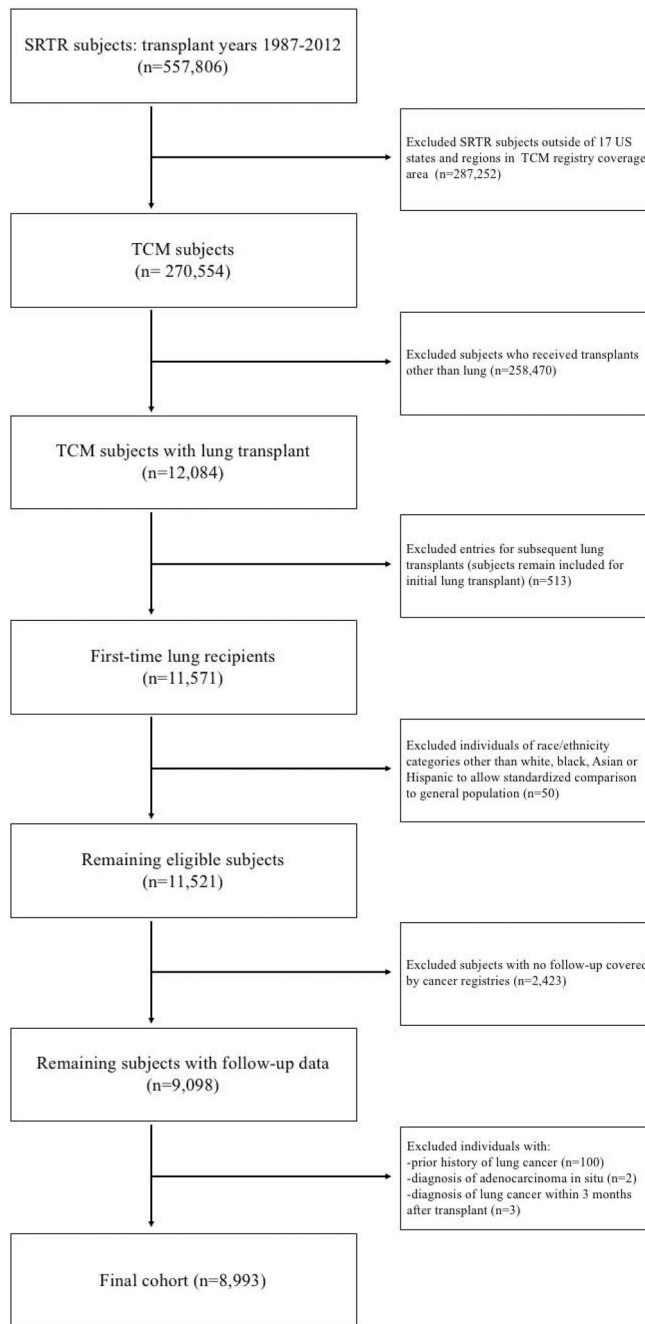


Figure 1. Flow chart of selection of eligible study subjects. SRTR=Scientific Registry of Transplant Recipients. TCM=Transplant Cancer Match study

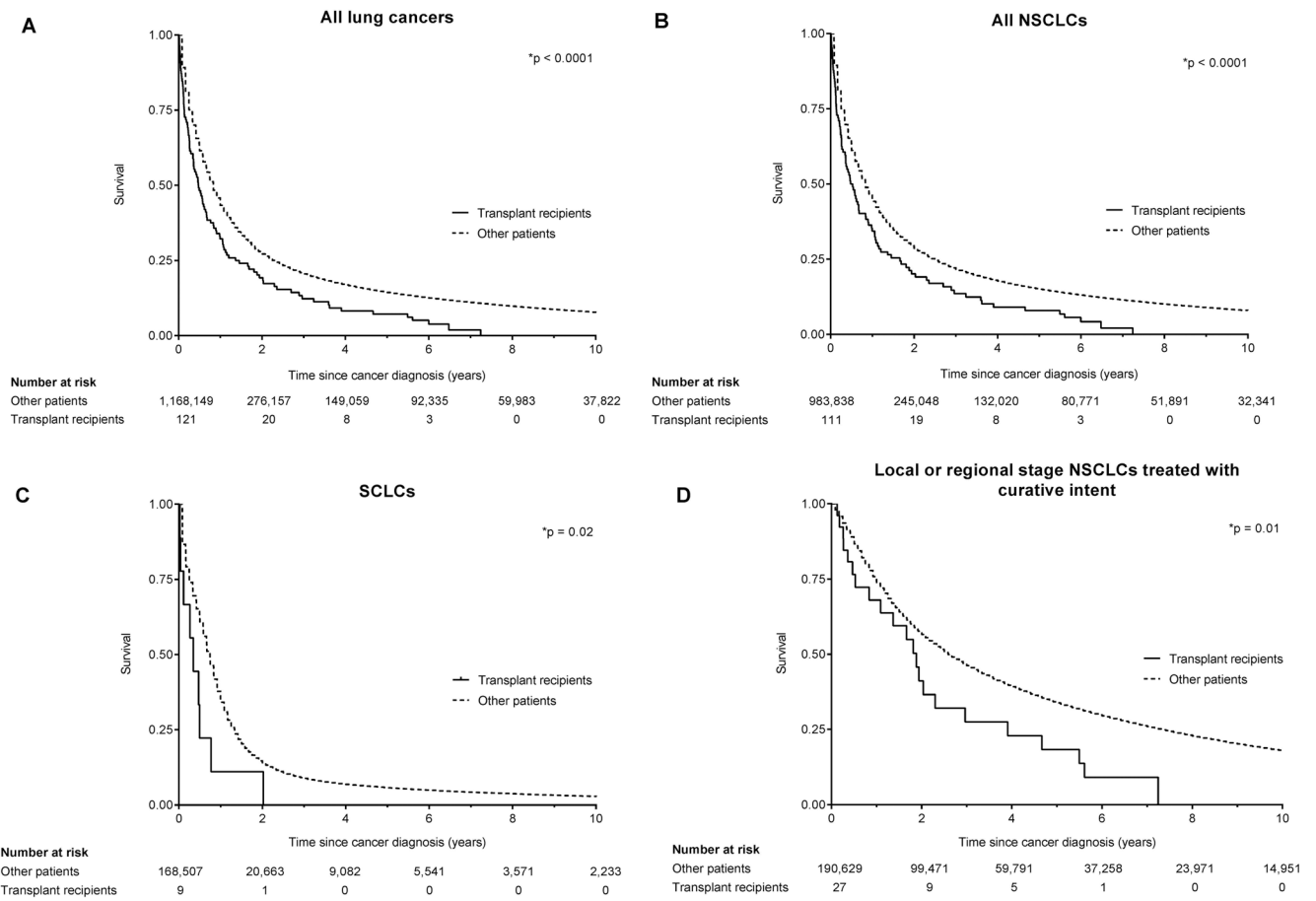


Figure 2. Kaplan-Meier curves comparing overall survival in lung transplant recipients to other lung cancer patients. P-values are based on the log-rank test. NSCLC=non-small cell lung cancer, SCLC=small cell lung cancer.

Table 1.

Characteristics of cohort of first-time lung transplant recipients in the US Transplant Cancer Match Study (n=8993)*

Characteristic	Transplant Recipient (number and %)
Sex	
Male	4752 (52.8%)
Female	4241 (47.2%)
Age at transplant, years	
0–17	386 (4.3%)
18–34	1210 (13.5%)
35–49	1825 (20.3%)
50–64	4640 (51.6%)
65	932 (10.4%)
Race/ethnicity	
White, non-Hispanic	7635 (84.9%)
Black, non-Hispanic	624 (6.9%)
Hispanic	590 (6.6%)
Asian/Pacific Islander	144 (1.6%)
Highest education level	
None	15 (0.2%)
Grade School	334 (3.7%)
High School	2690 (29.9%)
Attended college	1817 (20.2%)
College degree	1247 (13.9%)
Graduate degree	509 (5.7%)
Not applicable (<5 years old)	59 (0.7%)
Missing/unknown	2322 (25.8%)
History of pre-transplant cigarette use	
Yes	4410 (49.0%)
No	2551 (28.4%)
Missing/Unknown	2032 (22.6%)
Lung disease group	
COPD/A1AT	3091 (34.4%)
IPF	2212 (24.6%)
CF	1401 (15.6%)
Other obstructive lung diseases	522 (5.8%)
Inflammatory and fibrotic lung diseases	748 (8.3%)
Airways diseases	200 (2.2%)
Pulmonary hypertension and vascular diseases	544 (6.1%)
Missing/Other	275 (3.1%)

Characteristic	Transplant Recipient (number and %)
LAS grouped lung diagnosis	
Diagnosis group A	4143 (46.1%)
Diagnosis group B	525 (5.8%)
Diagnosis group C	1407 (15.7%)
Diagnosis group D	2767 (30.8%)
Missing/Other	151 (1.7%)
Pre-transplant FEV1 %-predicted	
30 %-predicted	4227 (47.0%)
31–50% predicted	1722 (19.2%)
51–80% predicted	1330 (14.8%)
>80% predicted	216 (2.4%)
Missing	1498 (16.7%)
Pre-transplant FVC %-predicted	
30% predicted	878 (9.8%)
31–50% predicted	3423 (38.1%)
51–80% predicted	2762 (30.7%)
>80% predicted	437 (4.9%)
Missing	1493 (16.6%)
Transplant procedure type	
Single left lung	2036 (22.6%)
Single right lung	2036 (22.6%)
Bilateral sequential double lung	4665 (51.9%)
En-Bloc double lung	256 (2.9%)
Calendar year of transplant	
1987–1994	964 (10.7%)
1995–1999	1762 (19.6%)
2000–2004	2341 (26.0%)
2005–2009	3196 (35.5%)
2010–2012	730 (8.1%)
Induction therapy	
None	5471 (60.8%)
Anti-thymocyte globulin (ATG)	811 (9.0%)
Anti-IL-2R antibody only	2443 (27.2%)
Alemtuzumab only	131 (1.5%)
Monoclonal antibody only (other than anti-IL2R and alemtuzumab)	99 (1.1%)
Combination induction therapy	38 (0.4%)
Calcineurin inhibitor maintenance[†]	
Tacrolimus	4711 (52.4%)
Cyclosporine	3385 (37.6%)

Characteristic	Transplant Recipient (number and %)
Both	105 (1.2%)
Neither	792 (8.8%)
Antimetabolite maintenance [†]	
Azathioprine	4687 (52.1%)
Mycophenolate	3537 (39.3%)
Both	97 (1.1%)
Neither	672 (7.5%)
Steroid maintenance [†]	
Yes	8651 (96.2%)
No	342 (3.8%)
mTOR inhibitor maintenance [†]	
Yes	81 (0.9%)
No	8912 (99.1%)

* The cohort includes lung transplant recipients in California, Colorado, Connecticut, Florida, Georgia, Hawaii, Illinois, Iowa, Kentucky, Michigan, New Jersey, New York, North Carolina, Pennsylvania, Texas, Utah and the Seattle-Puget Sound area of Washington state.

[†] Maintenance regimens defined at baseline (initial discharge following transplant).

A1AT: alpha-1 antitrypsin deficiency; COPD: chronic obstructive pulmonary disease; IPF: idiopathic pulmonary fibrosis; CF: cystic fibrosis; FEV1: forced expiratory volume in 1 second; FVC: forced vital capacity; LAS: Lung Allocation Score

LAS group A: obstructive lung diseases and others; group B: primary pulmonary hypertension and other pulmonary vascular diseases; group C: CF and immunodeficiency diseases; group D: IPF, fibrotic diseases and others

Table 2.

Characteristics of lung donors for first-time lung transplant recipients in the US Transplant Cancer Match Study (n=8,256)

Characteristic	Lung Donor (number and %)
Sex	
Male	5125 (62%)
Female	3131 (38%)
Age at donation	
0–17	1463 (18%)
18–34	3514 (44%)
35–49	2082 (25%)
50–64	1054 (13%)
65	43 (0.52%)
Race/ethnicity	
White, non-Hispanic	5354 (65%)
Black, non-Hispanic	1290 (16%)
Hispanic	1370 (17%)
Asian/Pacific Islander	242 (2.9%)
Lungs donated	
Single left lung	1345 (16%)
Single right lung	1341 (16%)
Both lungs	5570 (67%)
Cigarette use >20 pack-years	
Yes	1471 (18%)
No	6055 (73%)
Missing/Unknown	730 (8.8%)

Table 3.

Standardized incidence ratios for lung cancer in lung recipients.

Lung cancer grouping	SIR (95% CI) *
Lung cancer overall	4.8 (4.1–5.5)
NSCLC	5.2 (4.4–6.1)
Adenocarcinoma	4.4 (3.3–5.7)
Squamous cell carcinoma	8.1 (6.3–10)
SCLC	2.6 (1.5–4.2)
Native lung cancer in SLT recipients	13 (11–15)
Donor lung cancer	
Using recipient standardization	1.0 (0.69–1.5)
Using donor standardization	5.0 (3.3–7.3)

* SIRs compare observed lung cancer incidence in transplant recipients to general population incidence, standardized by age, sex, race/ethnicity, calendar year of transplant and registry area using recipient characteristics unless otherwise specified.

SIR=standardized incidence ratio, SLT=single lung transplant, NSCLC=non-small cell lung cancer, SCLC=small cell lung cancer

Table 4.

Risk factors for native lung cancer in single-lung recipients (n=4,072)

Characteristic	Cases	Unadjusted IRR (95% CI)	P-value	Adjusted IRR (95% CI)*	P-value
Gender			0.55		0.99
Male	80			1.0 (Ref)	
Female	73	0.91 (0.66–1.3)		1.0 (0.72–1.4)	
Age at transplant, years			0.001		0.02
35–49	14	0.44 (0.25–0.77)		0.54 (0.30–0.96)	
50–64	117	1		1.0 (Ref)	
65	22	1.3 (0.84–2.1)		1.5 (0.91–2.3)	
Education level			0.18		0.03
None/grade school	4	1.1 (0.41–3.1)		1.2 (0.44–3.4)	
High school	48	1		1.0 (Ref)	
Attended college	31	1.0 (0.66–1.6)		1.1 (0.68–1.7)	
College degree	10	0.56 (0.28–1.1)		0.61 (0.30–1.2)	
Graduate degree	3	0.38 (0.12–1.2)		0.34 (0.11–1.1)	
Missing/unknown	57	0.99 (0.68–1.5)		1.4 (0.93–2.1)	
Native lung (site of cancer)			0.004		0.005
Right	91	1.6 (1.2–2.2)		1.6 (1.2–2.2)	
Left	62	1		1.0 (Ref)	
Lung disease group[†]			<0.001		<0.001
Idiopathic pulmonary fibrosis	46	1.8 (1.1–2.7)		1.6 (1.0–2.6)	
COPD/A1AT	92	1.6 (1.1–2.3)		1.1 (0.69–1.6)	
Cystic fibrosis	0	--		--	
Other obstructive lung disease	5	0.57 (0.26–1.3)		0.57 (0.25–1.3)	
Other inflammatory or fibrotic lung disease	4	0.63 (0.26–1.5)		0.67 (0.28–1.6)	
Pulmonary hypertension and pulmonary vascular disease	4	1.4 (0.58–3.3)		1.9 (0.79–4.7)	
Other [‡]	2	0.73 (0.22–2.4)		0.79 (0.24–2.6)	
History of cigarette smoking			<0.001		<0.001
Yes	111	1		1.0 (Ref)	
No	12	0.50 (0.27–0.90)		0.45 (0.28–0.72)	
Missing/unknown	30	0.53 (0.38–0.80)		0.45 (0.24–0.85)	
Time since transplant			0.007		<0.001
91 days – 1 year	13	1		1.0 (Ref)	
1 – 2 years	28	2.0 (1.0–3.8)		2.0 (1.1–3.9)	
2 – 4 years	37	1.8 (0.94–3.3)		1.9 (1.0–3.6)	
4 – 6 years	33	2.5 (1.3–4.8)		2.8 (1.5–5.4)	
>6 years	42	2.8 (1.5–5.2)		3.6 (1.9–6.7)	

* Poisson regression model incidence rate ratios (IRRs) are mutually adjusted for the variables appearing in this table.

[†] IRRs were calculated using effect coding, so that risk for each diagnosis group is compared to the average risk across diagnosis groups.

[‡] Other diseases also includes the category “other airways diseases.” No recipients had cystic fibrosis as a result of the restriction to single-lung recipients and age at transplantation to 35 years and older.

A1AT=Alpha-1 antitrypsin deficiency; COPD: chronic obstructive pulmonary disease

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Table 5.

Lung cancer cases in lung recipients compared to other lung cancer cases in the general population *

Characteristics	Lung cancer cases in lung recipients	Other lung cancer cases in the general population	P-value
Total number of patients	121 (100%)	1,168,149 (100%)	
Age at cancer diagnosis, years			<0.001
Median (IQR)	62 (58–66)	69 (61–76)	
<60	38 (31.4%)	244,866 (21.0%)	
60–69	68 (56.2%)	342,912 (29.4%)	
70	15 (12.4%)	580,371 (49.7%)	
Sex			0.70
Male	68 (56.2%)	636,356 (54.5%)	
Female	53 (43.8%)	531,793 (45.5%)	
Race			0.52
White	108 (89.3%)	1,001,643 (85.8%)	
Black	10 (8.3%)	120,770 (10.3%)	
Asian/Pacific Islander	3 (2.5%)	45,736 (3.9%)	
Year of diagnosis			<0.001
1992–1996	5 (4.1%)	262,446 (22.5%)	
1997–2001	22 (18.2%)	322,113 (27.6%)	
2002–2005	41 (33.9%)	262,703 (22.5%)	
2006–2012	53 (43.8%)	320,887 (27.5%)	
Lung cancer histology			0.006 [§]
NSCLC	111 (91.7%)	983,838 (84.2%)	
Adenocarcinoma	39 (32.2%)	411,241 (35.2%)	
Squamous cell carcinoma	42 (34.7%)	250,318 (21.4%)	
Other NSCLC	30 (24.8%)	322,279 (27.6%)	
SCLC	9 (7.4%)	168,507 (14.4%)	
Other lung cancer	1 (0.8%)	15,804 (1.4%)	
Cancer summary stage			0.019
Localized	33 (27.3%)	214,272 (18.3%)	
Regional	29 (24.0%)	277,663 (23.8%)	
Distant	55 (45.5%)	570,530 (48.8%)	
Unstaged	4 (3.3%)	105,684 (9.0%)	
Surgical therapy[‡]			0.048
Yes	31 (36.9%)	178,546 (26.0%)	
No	53 (63.1%)	498,319 (72.6%)	
Unknown	0	9,927 (1.4%)	
Radiation therapy[‡]			0.007
Yes	19 (22.6%)	254,221 (37.0%)	

Characteristics	Lung cancer cases in lung recipients	Other lung cancer cases in the general population	P-value
No	65 (77.4%)	420,012 (61.2%)	
Unknown	0	12,559 (1.8%)	
Chemotherapy[†]			0.40
Yes	26 (30.9%)	258,249 (37.6%)	
No	53 (63.1%)	398,341 (58.0%)	
Unknown	5 (6.0%)	30,202 (4.4%)	
Vital status			0.06
Alive	8 (6.6%)	141,301 (12.1%)	
Dead	113 (93.4%)	1,026,848 (87.9%)	
Vital status and cause of death[‡]			
Alive	8 (7.6%)	105,960 (11.2%)	
Dead	98 (92.5%)	841,165 (88.8%)	
Due to lung cancer	60 (56.6%)	555,592 (58.7%)	
Due to other causes	38 (35.9%)	285,573 (30.2%)	

* Cohort is restricted to registry areas that provide information on vital status and stage: California, Colorado, Connecticut, Georgia, Hawaii, Iowa, Illinois, Kentucky, New Jersey, New York, Seattle-Puget Sound region, Texas, and Utah.

[†] Analysis includes the following registry areas that provide information on cancer treatment: California, Colorado, Connecticut, Georgia, Iowa, Kentucky (2001-), New Jersey, Texas (2003-)

[‡] Analysis includes the following registry areas that provide cause of death information: California, Colorado, Connecticut, Georgia, Iowa, Illinois, Kentucky, New Jersey, Seattle-Puget Sound region, Texas

[§] p-value for chi-squared test of heterogeneity between squamous cell, adenocarcinoma, other NSCLC, SCLC, and other

NSCLC=non-small cell lung cancer, SCLC=small cell lung cancer

Table 6.

All-cause and lung cancer-specific mortality after lung cancer diagnosis in lung recipients compared with other lung cancer patients in the general population

Model	Total lung cancer cases, n	Deaths, n (%)	Unadjusted HR (95% CI)	Lung cancer cases with treatment information, n §	Deaths, n (%)§	Adjusted HR (95% CI) §//
All-cause mortality*						
All lung cancer						
Lung recipients	121	113 (94%)	1.41 (1.17–1.69)	84	78 (93%)	1.90 (1.52–2.37)
Other patients	1,168,149	1,026,848 (88%)	1.0 (Ref)	686,792	611,194 (89%)	1.0 (Ref)
NSCLC						
Lung recipients	111	103 (93%)	1.39 (1.15–1.69)	76	70 (92%)	1.84 (1.46–2.33)
Other patients	983,939	860,563 (88%)		579,440	513,240 (89%)	
NSCLC curative treatment[‡]						
Lung recipients	27	23 (85%)	1.79 (1.20–2.69)	27	23 (85%)	2.18 (1.54–3.10)
Other patients	190,629	140,887 (74%)	1.0 (Ref)	190,629	140,887 (74%)	1.0 (Ref)
Lung cancer-specific mortality[‡]						
All lung cancer						
Lung recipients	106	60 (57%)	1.32 (1.03–1.69)			1.67 (1.28–2.18)
Other patients	947,125	555,592 (59%)	1.0 (Ref)	84	54 (64%)	1.0 (Ref)
NSCLC						
Lung recipients	96	53 (55%)	1.28 (0.98–1.67)			1.62 (1.22–2.15)
Other patients	795,129	459,592 (58%)	1.0 (Ref)	579,440	403,223 (70%)	1.0 (Ref)
NSCLC curative treatment[‡]						
Lung recipients	27	11 (41%)	1.15 (0.64–2.08)	27	11 (41%)	1.83 (1.15–2.90)
Other patients	190,629	96,294 (51%)	1.0 (Ref)	190,629	96,294 (51%)	1.0 (Ref)

* Analyses of all-cause mortality included all registry areas that provide information on vital status: California, Colorado, Connecticut, Georgia, Hawaii, Iowa, Illinois, Kentucky, New Jersey, New York, Seattle-Puget Sound region, Texas, Utah

[‡] Analysis was restricted to patients with NSCLC with local or regional stage who underwent surgery and/or radiation as treatment. This analysis includes the following 8 registries that provided information on cancer treatment: California, Colorado, Connecticut, Georgia, Iowa, Kentucky (cases diagnosed in 2001 onward), New Jersey, Texas (2003 onward).

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‡ Analysis was restricted to the following registries that provided the cause of death information: California, Colorado, Connecticut, Georgia, Iowa, Illinois, Kentucky, New Jersey, Seattle, and Texas.

§ Analysis was restricted to the following 8 registries that provided information on cancer treatment: California, Colorado, Connecticut, Georgia, Iowa, Kentucky (cancers diagnosed in 2001 onward), New Jersey, Texas (2003 onward).

|| Models were adjusted for age at cancer diagnosis, sex, race, year of cancer diagnosis, cancer stage and treatment.

NSCLC=non-small cell lung cancer, HR=hazard ratio