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## Inappropriate Ceftriaxone Use in Outpatient Acute Respiratory Infection Management

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### Introduction

Ceftriaxone, a parenteral third-generation cephalosporin, is used to treat serious bacterial infections and sexually transmitted diseases.<sup>1</sup> Inappropriate ceftriaxone use contributes to resistance to this important antibiotic and threatens patient safety due to antibiotic-associated adverse events and *Clostridioides difficile* infections.<sup>2</sup> Previous studies of inappropriate antibiotic prescribing in outpatient acute respiratory infections (ARIs) have focused on oral, rather than parenteral, antibiotics.<sup>3,4</sup> Our objective was to describe ceftriaxone use in adult outpatient ARI visits.

### Methods

We identified adult (18-64 years) visits to urgent care, retail health, and physician office settings that occurred from January 1, 2014 to December 31, 2014 in the IBM<sup>®</sup> MarketScan<sup>®</sup> Commercial Database (IBM<sup>®</sup> Watson Health<sup>™</sup>, Ann Arbor, MI). This database contains insurance claims from a convenience sample of several million individuals under age 65 with private, employer-sponsored insurance from over 260 employers.<sup>5</sup> We identified unique visits by date, enrollee number, and place of service. We excluded records without enrollee numbers or ICD-9-CM diagnosis codes. We excluded enrollees without coverage in MarketScan for 30 days prior to the outpatient visit. To exclude higher-acuity patients potentially warranting empiric ceftriaxone therapy, we excluded visits with previous (30 days) hospital discharges or same-day admissions.

We aggregated all claims for each visit to identify all diagnoses and ceftriaxone injections. We used a previously described tiered-diagnosis system<sup>3</sup> modified for ceftriaxone

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indications (Supplementary Table) to assign a single diagnosis to each visit. We identified ceftriaxone injections using Healthcare Common Procedure Coding System code J0696. We excluded visits with concurrent diagnoses for conditions where ceftriaxone could be permissible (sexually transmitted infections and related diagnoses [e.g. cervicitis], pneumonia, urinary tract infection, sickle cell disease, and acute suppurative otitis media). We defined ceftriaxone-inappropriate ARIs as sinusitis, pharyngitis, bronchitis, viral upper respiratory infection, and influenza. We calculated per-visit ceftriaxone rates by dividing the number of outpatient visits with ceftriaxone by total outpatient visits for ceftriaxone-inappropriate ARIs. We estimated confidence intervals using a binomial distribution. The National Center for Emerging and Zoonotic Infectious Diseases human subjects advisor determined this study to be non-human subjects research not requiring Institutional Review Board review. Analyses were conducted using DataProbe 5.0 (IBM<sup>®</sup> Watson Health<sup>TM</sup>) and SAS version 9.4 (SAS Institute, Cary, NC). Statistical tests were conducted at  $\alpha$ =0.05.

### Results

In 2014, there were 9,653,688 adult outpatient visits for ceftriaxone-inappropriate ARIs. Ceftriaxone injections were given in 3.5% (95% CI 3.5%-3.5%) of these (Table). The pervisit ceftriaxone rate in the South was 6.9% (95% CI 6.9%-7.0%), the highest of all regions, and the South accounted for 84.3% of all ceftriaxone injections. In the South, the highest ceftriaxone rate occurred in physician offices (7.3%, 95% CI 7.3%-7.3%).

### Discussion

Despite being an inappropriate treatment, ceftriaxone injections occurred in 3.5% of adult outpatient ARI visits in this study. Over 80% of inappropriate ceftriaxone injections occurred in the South. In our study, we excluded visits with ceftriaxone-permissible diagnoses and individuals potentially warranting empiric ceftriaxone therapy; therefore, regional differences are likely due to differences in provider behavior rather than clinical factors. Previous studies of oral antibiotics demonstrate higher rates of unnecessary<sup>3,6</sup> and broad-spectrum<sup>7,8</sup> prescribing in the South. Although underlying health may be worse in the South,<sup>9</sup> there may also be a tendency among clinicians in this region to prescribe/administer medications, even when not clinically appropriate. Further research on regional differences in clinician behavior is needed.

Our study has limitations. These data are a convenience sample of privately-insured individuals <65 and may not be generalizable to other populations; we were not able to evaluate the representativeness of this sample. Additionally, as these were claims data, we made assumptions to assign a single diagnosis to a visit and were unable to evaluate the dose of ceftriaxone given. A strength of this study is the ability to examine parenteral antibiotic use in multiple outpatient settings in a large sample.

Although ceftriaxone was used in only 3.5% of adult visits for ceftriaxone-inappropriate ARIs, this translates to 338,394 likely unnecessary exposures in this sample alone. Inappropriate ceftriaxone use in outpatient ARI management puts patients at risk for adverse

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events, *C. difficile*, and antibiotic-resistant infections. Stewardship of this important antibiotic is urgently needed, especially in the South.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

### Acknowledgements

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**Disclaimer:** The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

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Table.

Characteristics of and ceftriaxone use in adult (18-64 years) outpatient visits for ceftriaxone-inappropriate acute respiratory infections (ARIs) by region,

MarketScan Commercial Claims and Encounters, 2014

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|-------------|--|

|                    | Northeast <sup>A</sup>                                    | $\operatorname{ast}^A$                                | North Central <sup>A</sup>                                | ıtral <sup>A</sup>  | $\operatorname{South}^A$                                  | <b>V</b>   | West <sup>A</sup>   | A  | All regions <sup>B</sup>                                  | ons <sup>B</sup>  |
|--------------------|---|---|---|---|---|--|---|--|---|---|
| Characteristic     | No.<br>ceftriaxone-<br>inappropriate<br>ARI visits<br>(%) | Per-visit<br>ceftriaxone<br>rate $^D$<br>(95% $CI)^E$ | No.<br>ceftriaxone-<br>inappropriate<br>ARI visits<br>(%) | Per-visit<br>ceftriaxone<br>rate<br>$(95\% \text{ CI})^E$ | No.<br>ceftriaxone-<br>inappropriate<br>ARI visits<br>(%) | Per-visit<br>ceftriaxone<br>rate $^D$<br>(95% CI) $^E$ | No.<br>ceftriaxone-<br>inappropriate<br>ARI visits<br>(%) | Per-visit<br>ceftriaxone<br>rate $^D$<br>(95% $\mathrm{CI})^E$ | No.<br>ceftriaxone-<br>inappropriate<br>ARI visits<br>(%) | Per-visit<br>ceftriaxone<br>rate<br>$(95\% \text{ CI})^E$ |
| All                | 2,033,806 (100.0)   | 0.2 (0.2–0.2)   | 1,804,047 (100.0)   | 1.3 (1.3–1.3)   | 4,110,467 (100.0)   | 6.9 (6.9–7.0)  | 1,438,808 (100.0)   | 1.5 (1.5–1.5)  | 9,653,688 (100.0)   | 3.5 (3.5–3.5)   |
| Sex                |   |   |   |   |   |  |   |  |   |   |
| Male               | 754,021 (37.1)  | 0.2 (0.2–0.2)   | 656,460 (36.4)  | 1.5 (1.4–1.5)   | 1,445,878 (35.2)  | 7.5 (7.5–7.6)  | 541,018 (37.6)  | 1.7 (1.6–1.7)  | 3,487,718 (36.1)  | 3.7 (3.7–3.8)   |
| Female             | 1,279,785 (62.9)  | 0.1 (0.1–0.1)   | 1,147,587 (63.6)  | 1.2 (1.2–1.3)   | 2,664,589 (64.8)  | 6.6 (6.6–6.6)  | 897,790 (62.4)  | 1.4 (1.4–1.5)  | 6,165,970 (63.9)  | 3.4 (3.4–3.4)   |
| Age group, years   |   |   |   |   |   |  |   |  |   |   |
| 18–34              | 612,342 (30.1)  | 0.2 (0.2–0.2)   | 581,619 (32.2)  | 1.0 (1.0-1.0)   | 1,267,629 (30.8)  | 5.9 (5.8–5.9)  | 462,716 (32.2)  | 1.5 (1.5–1.5)  | 3,006,303 (31.1)  | 3.0 (2.9–3.0)   |
| 35-44              | 451,603 (22.2)  | 0.1 (0.1–0.2)   | 406,192 (22.5)  | 1.2 (1.2–1.3)   | 956,949 (23.3)  | 7.0 (7.0–7.1)  | 331,550 (23.0)  | 1.5 (1.4–1.5)  | 2,206,650 (22.9)  | 3.6 (3.5–3.6)   |
| 45-54              | 501,090 (24.6)  | 0.1 (0.1–0.2)   | 418,606 (23.2)  | 1.5 (1.5–1.6)   | 981,305 (23.9)  | 7.6 (7.6–7.7)  | 333,775 (23.2)  | 1.6 (1.5–1.6)  | 2,299,088 (23.8)  | 3.8 (3.8–3.9)   |
| $55-64^{F}$        | 468,771 (23.0)  | 0.2 (0.1–0.2)   | 397,630 (22.0)  | 1.7 (1.6–1.7)   | 904,584 (22.0)  | 7.6 (7.5–7.6)  | 310,767 (21.6)  | 1.5 (1.5–1.6)  | 2,141,647 (22.2)  | 3.8 (3.8–3.9)   |
| Outpatient setting |   |   |   |   |   |  |   |  |   |   |
| Physician Office   | 1,810,730 (89.0)  | 0.2 (0.2–0.2)   | 1,655,052 (91.7)  | 1.3 (1.3–1.3)   | 3,626,023 (88.2)  | 7.3 (7.3–7.3)  | 1,243,563 ( $86.4$ )                                      | 1.4 (1.3–1.4)  | 8,577,226 (88.8)  | 3.6 (3.6–3.6)   |
| Retail Health      | 1,858 (0.1)   | 0.0 (0.0-0.0)   | 11,049 (0.6)  | 0.0 (0.0-0.0)   | 11,909 (0.3)  | 0.9 (0.8–1.1)  | 2,113 (0.1)   | 0.0 (0.0-0.0)  | 26,934 (0.3)  | 0.4 (0.3–0.5)   |
| Urgent Care        | 221,218 (10.9)  | 0.1 (0.1–0.2)   | 137,946 (7.6)   | 1.5 (1.5–1.6)   | 472,535 (11.5)  | 4.5 (4.4-4.5)  | 193,132 (13.4)  | 2.6 (2.5–2.7)  | 1,049,528 (10.9)  | 2.7 (2.7–2.8)   |
| Diagnosis          |   |   |   |   |   |  |   |  |   |   |
| Sinusitis          | 821,657 (40.4)  | 0.1 (0.1–0.1)   | 806,072 (44.7)  | 1.3 (1.3–1.3)   | 1,883,070 $(45.8)$  | 8.1 (8.1–8.1)  | 569,112 (39.6)  | 1.5 (1.5–1.6)  | 4,194,620 (43.5)  | 4.2 (4.1–4.2)   |
| Pharyngitis        | 428,162 (21.1)  | 0.3 (0.3–0.3)   | 370,638 (20.5)  | 1.6 (1.6–1.7)   | 801,831 (19.5)  | 7.5 (7.5–7.6)  | 319,254 (22.2)  | 2.1 (2.1–2.2)  | 1,971,200 (20.4)  | 3.8 (3.8–3.9)   |
| Bronchitis         | 252,491 (12.4)  | 0.3 (0.2–0.3)   | 240,696 (13.3)  | 2.0 (1.9–2.1)   | 455,377 (11.1)  | 8.0 (7.9–8.1)  | 170,340 (11.8)  | 2.3 (2.2–2.4)  | 1,155,745 (12.0)  | 4.0 (4.0-4.1)   |
| Viral URI          | 491,225 (24.2)  | 0.1 (0.1–0.1)   | 346,867 (19.2)  | 0.7 (0.6–0.7)   | 838,776 (20.4)  | 4.0 (4.0-4.1)  | 354,420 (24.6)  | 0.6 (0.6–0.6)  | 2,085,267 (21.6)  | 1.9 (1.9–1.9)   |
| Influenza          | 40,271 (2.0)  | 0.1 (0.0–0.1)   | 39,774 (2.2)  | 0.3 (0.3–0.4)   | 131,413 (3.2)   | 1.4 (1.4–1.5)  | 25,682 (1.8)  | $0.5\ (0.4-0.6)$   | 246,856 (2.6)   | (6.0-6.0) 6.0   |

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Northeast region includes Maine, Vermont, New Hampshire, Massachusetts, Khode Island, Connecticut, New York, Pennsylvania, and New Jersey. North Central region includes Wisconsin, Michigan, Illinois, Indiana, Ohio, North Dakota, Minnesota, South Dakota, Nebraska, Iowa, Kansas, and Missouri. South region includes West Virginia, Delaware, Maryland, Washington DC, Virginia, North

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Carolina, South Carolina, Georgia, Florida, Kentucky, Tennessee, Mississippi, Alabama, Oklahoma, Arkansas, Texas, and Louisiana. West region includes Montana, Idaho, Wyoming, Nevada, Utah, Colorado, Arizona, New Mexico, Washington, Oregon, Alaska, Hawaii, and California.

 ${\cal B}_{\rm Includes}$  observations with unknown region.

 $C_{\rm Percents}$  may not sum to 100% due to rounding.

 $D_{
m Calculated}$  as: No. ceftriaxone-inappropriate ARI visits with ceftriaxone injection/No. ceftriaxone-inappropriate ARI visits.

 $E_{\rm Estimated}$  using a binomial distribution.

 $F_{
m The \ IBM^{\textcircled{(0)}}}$  MarketScan  $^{\textcircled{(0)}}$  Commercial Database contains information on beneficiaries enrolled in employer group health insurance plans under age 65.