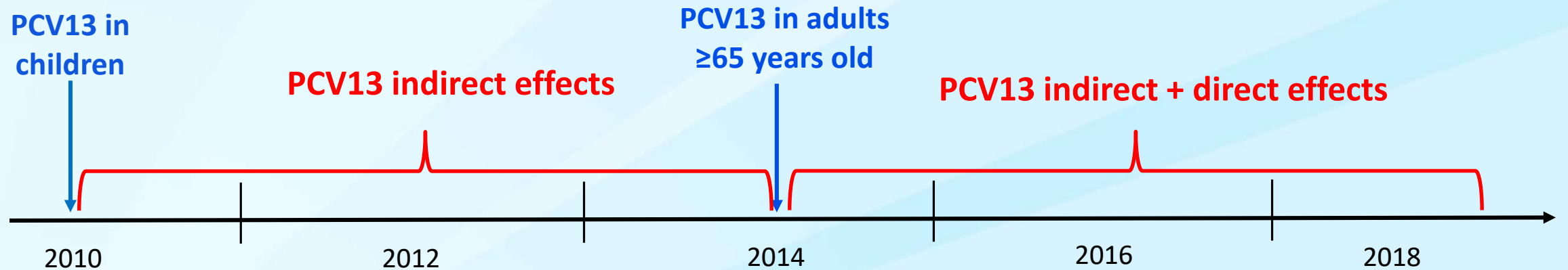


# Estimating PCV13 direct and indirect effects on IPD among adults $\geq 65$ years

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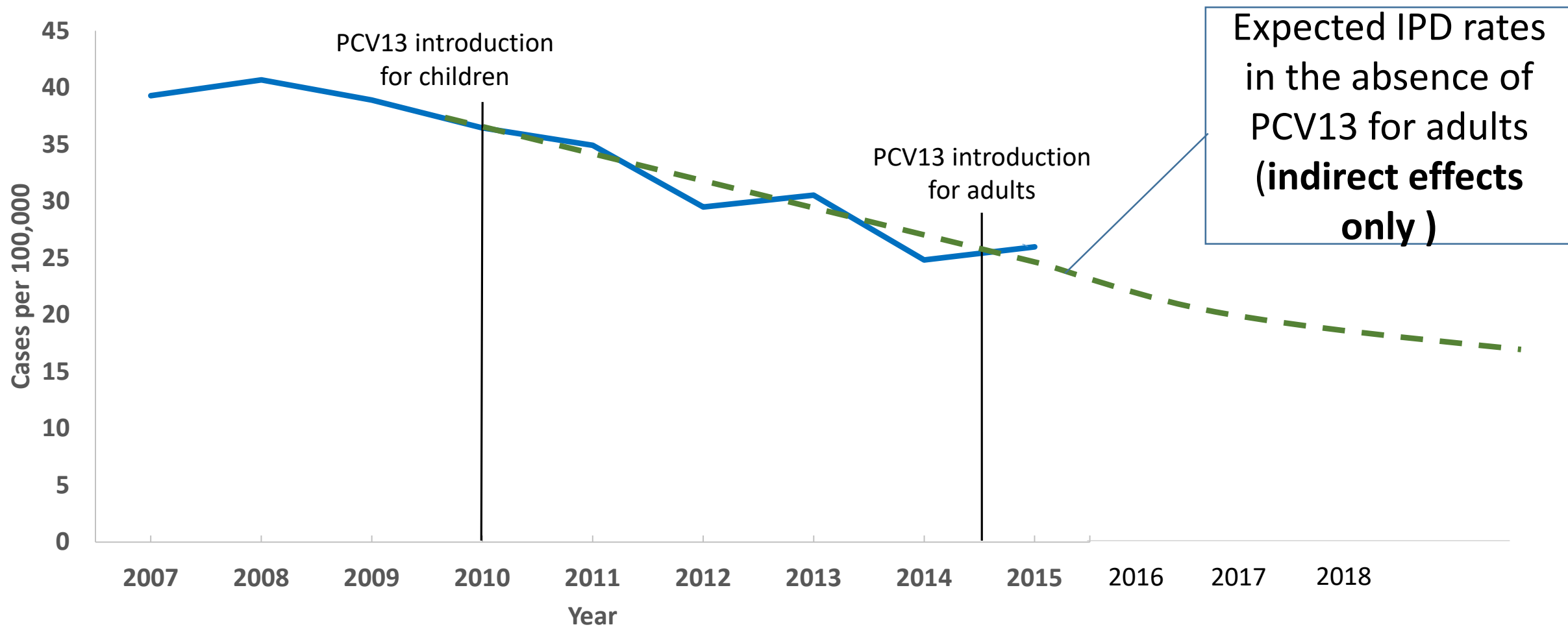
# PCV13 recommendations and expected impact on IPD incidence among adults $\geq 65$ years old



# Objectives

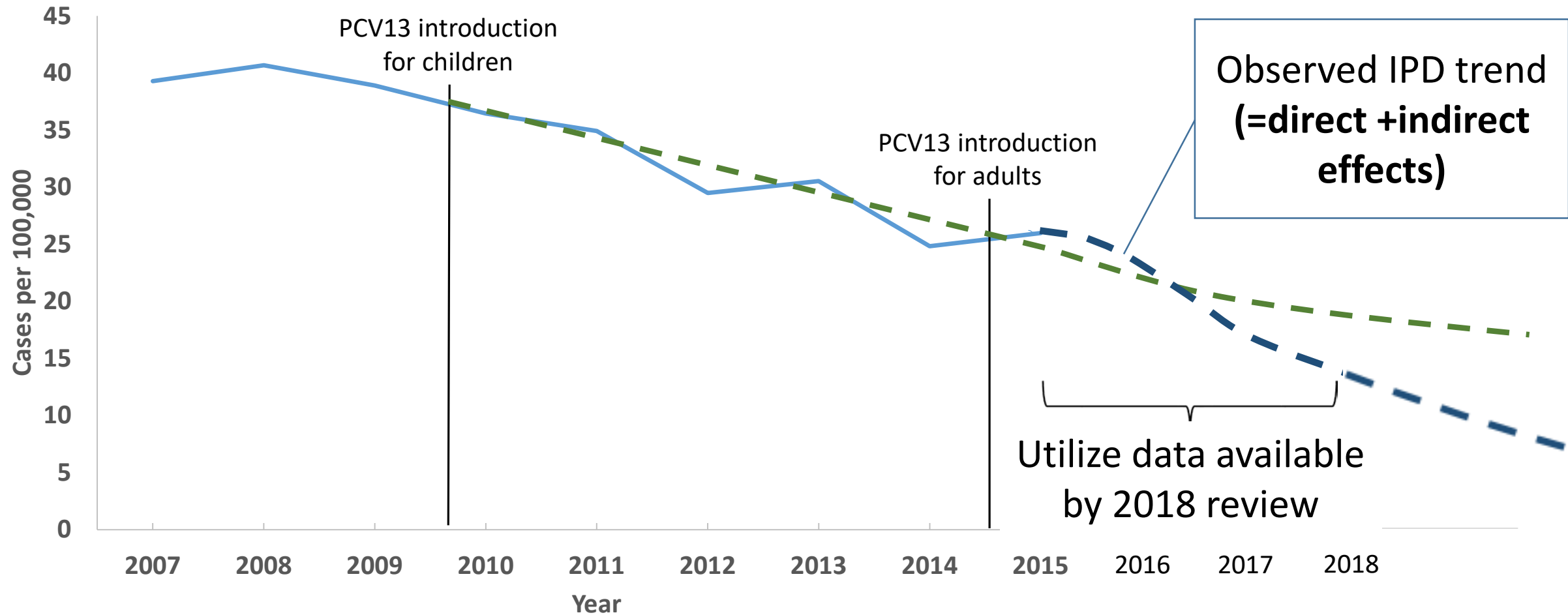
- **Estimating PCV13 indirect and direct effects in adults  $\geq 65$  years**
  1. Estimate IPD incidence expected through indirect effects only (i.e. in the absence of PCV13 recommendation for  $\geq 65$  year old adults in 2014)
  2. Estimate the contribution of direct PCV13 effects from the observed (total effects) vs expected (indirect effects only) IPD incidence

# 1. Estimate expected IPD incidence due to indirect PCV13 effects only



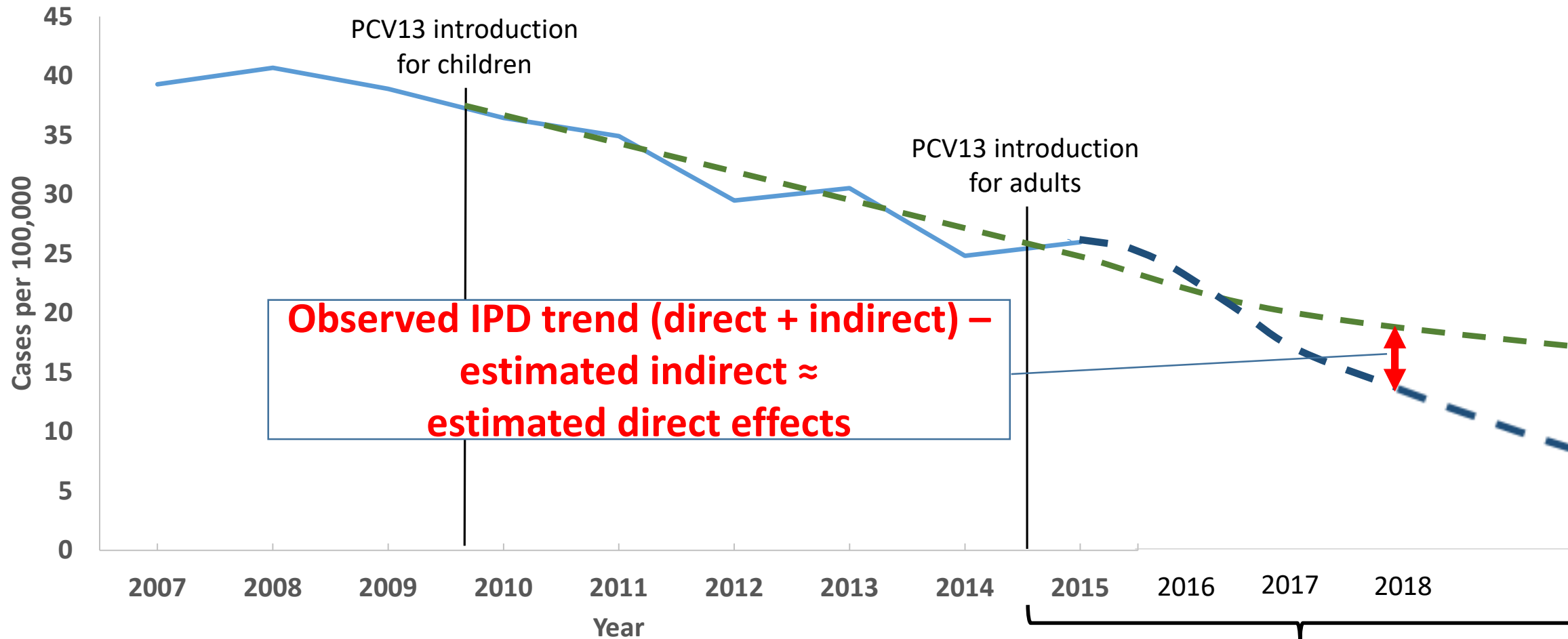
Note: dotted lines do not represent actual data

## 2. Estimate direct effects of PCV13 from the observed (total) and expected (indirect) IPD incidence



Note: dotted lines do not represent actual data

## 2. Estimate direct effects of PCV13 based the observed and expected IPD trend

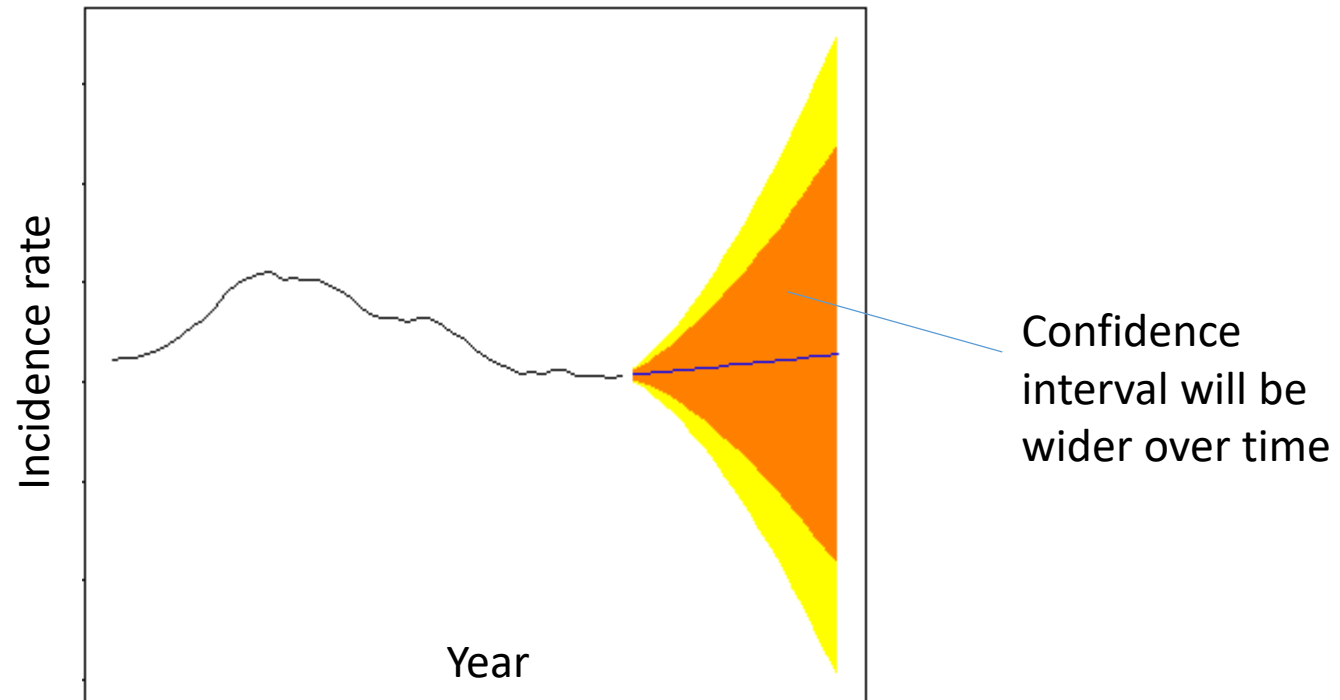


Note: dotted lines do not represent actual data

Monitor PCV13 uptake

# Limitations of this approach

- Assuming that linear trends for indirect effects will continue to be observed
- Prediction for PCV13 indirect effects are made based on ~4 years of data (2010-2014) and will be less accurate over time



# Methods

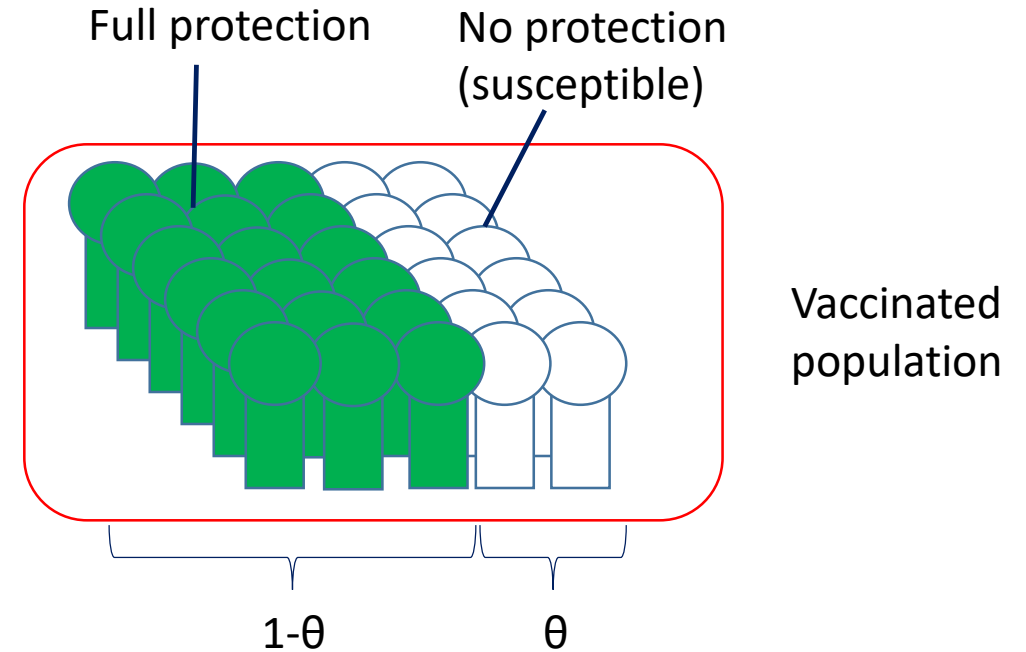
## Two different mathematical models evaluated

1. Estimating contribution of direct and indirect effects on disease trends among adults  $\geq 65$  years utilizing data on IPD incidence among adults  $\geq 65$  years, adults 50-64 years old, and PCV13 uptake, controlling for seasonality
2. Predicting indirect effects in adults  $\geq 65$  years ONLY using the relationship between disease rates in adults 50–64 years (no PCV13 use) and adults  $\geq 65$  years pre-PCV13 (pre-2014 recommendation)



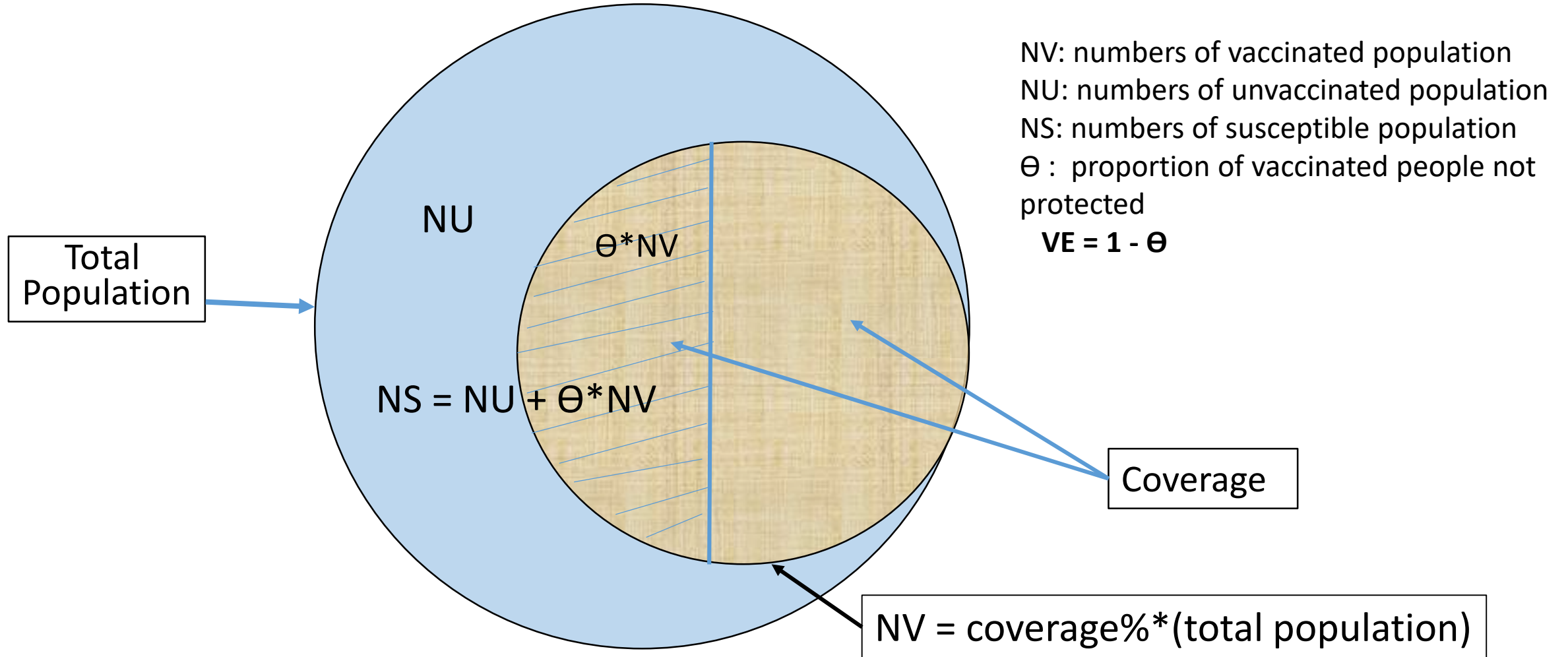
# Method 1: All-or-Nothing Model

- Assumes that proportion  $\theta$  of the vaccinated are not protected from disease (susceptible), but  $(1-\theta)$  are 100% protected.
- Vaccine effectiveness =  $1-\theta$
- If  $\theta=1$  there is no protection from the vaccine (indirect effects only)
- Unvaccinated population continues to experience indirect effects only



# Method 1: All-or-Nothing Model

**Susceptible population = unvaccinated +  $\theta$  \* vaccinated**



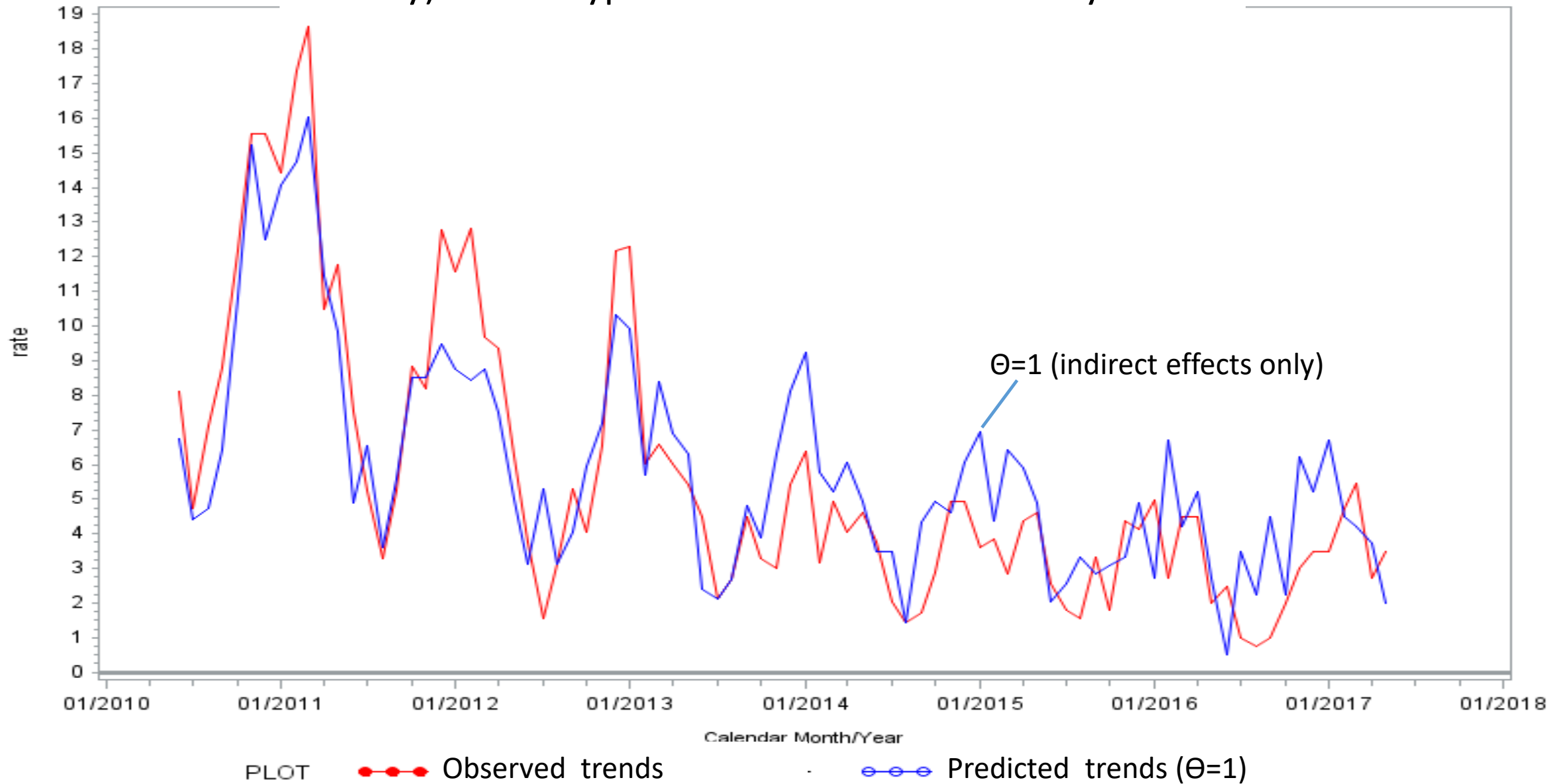
## Method 1: All-or-Nothing Model

- Pre-vaccine IPD incidence will inform the model on the changes post-vaccine among the **susceptible** population
- Use Poisson regression to model IPD rates among susceptible population representing indirect effects ( $\beta_i$  in the Poisson regression model estimating indirect effect)
- Post-vaccine (post 2014) observed IPD incidence, continued to inform the model
  - Given  $\beta_i$ , or indirect effects, estimate  $\Theta$
  - Given  $\Theta$ , estimate the new susceptible population, to update estimate the  $\beta_i$ ,

Model:  $NS = NU + \Theta * NV$

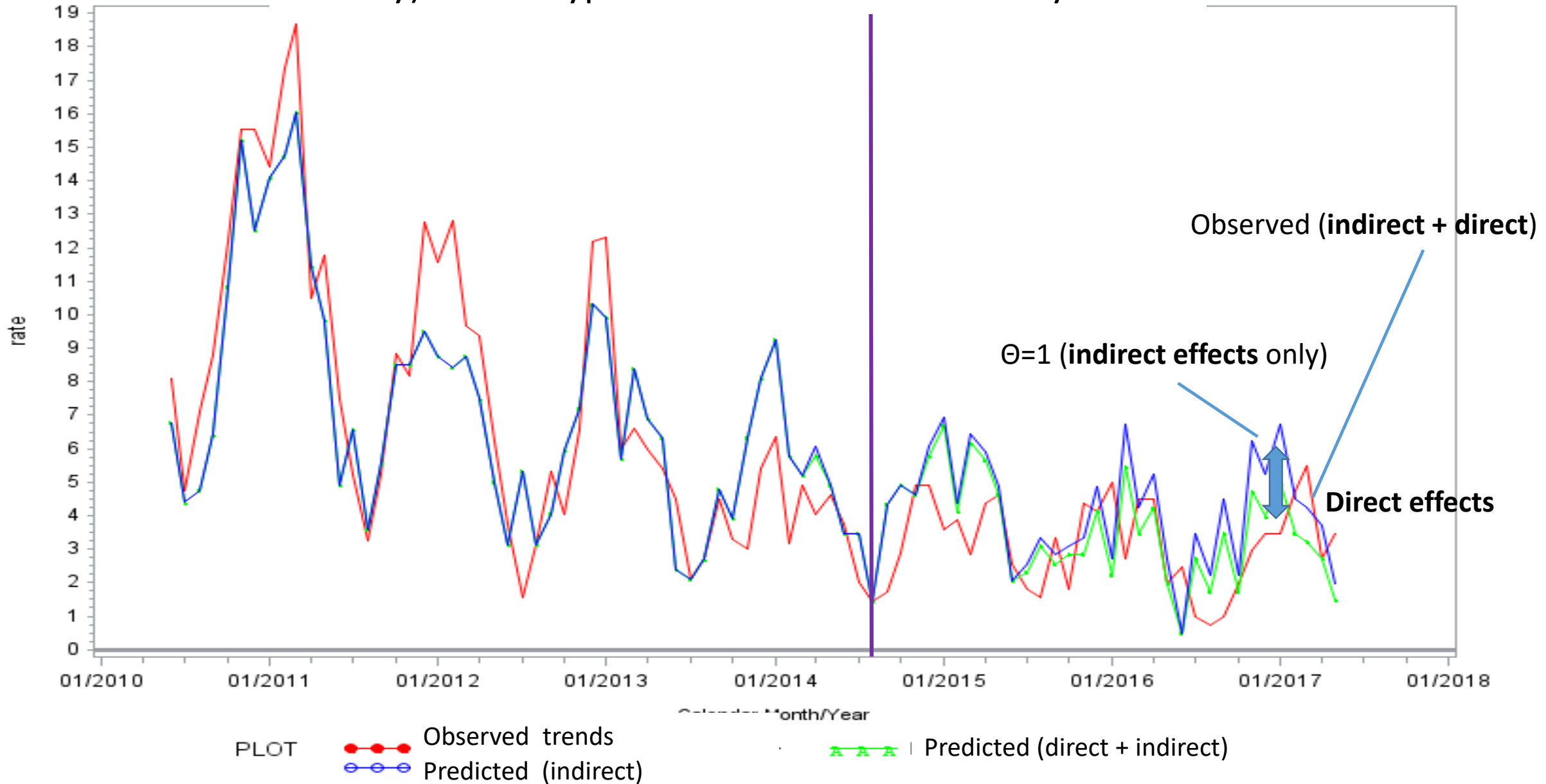
$$\log(\text{PCV13type rate } j) = \beta_0 + \beta_i * \mathbf{X}_i \mathbf{j} + \log(NS \ j)$$

# Observed (indirect + direct) and Predicted (indirect only) PCV13-type IPD Trends in Adults $\geq 65$ years



\*includes serotype 6C, excludes serotype 3

# Observed (indirect + direct) and Predicted (indirect only) PCV13-type IPD Trends in Adults $\geq 65$ years



## Method 1. Estimated Number of PCV13-type IPD Cases Prevented Through Direct Effects in Adults $\geq 65$ years during 8/2014–5/2017

	Observed cases, direct+indirect (A)	Predicted indirect (B)	ABCs cases prevented through PCV13 direct effects (B)-(A)	Estimated US cases, direct+indirect (A)	Predicted indirect (B)	US cases prevented through PCV13 direct effects (B)-(A)
Total N of PCV13-type cases	907	924 (817, 1037)	17(-89, 130)	9355	9551 (8446, 10712)	192 (-911, 1356)

**~100 cases prevented annually**

Pre-PCV13 period used: 2/2013–7/2014

(B)-(A): Total number of PCV13-type\* IPD cases prevented in adults  $\geq 65$  years through direct effects based on (observed IPDcases) – (estimated indirect effects)

\*includes serotype 6C

## Method 1. Estimated Number of PCV13-type IPD Cases Prevented Through Direct Effects in Adults $\geq 65$ years during 8/2014–5/2017

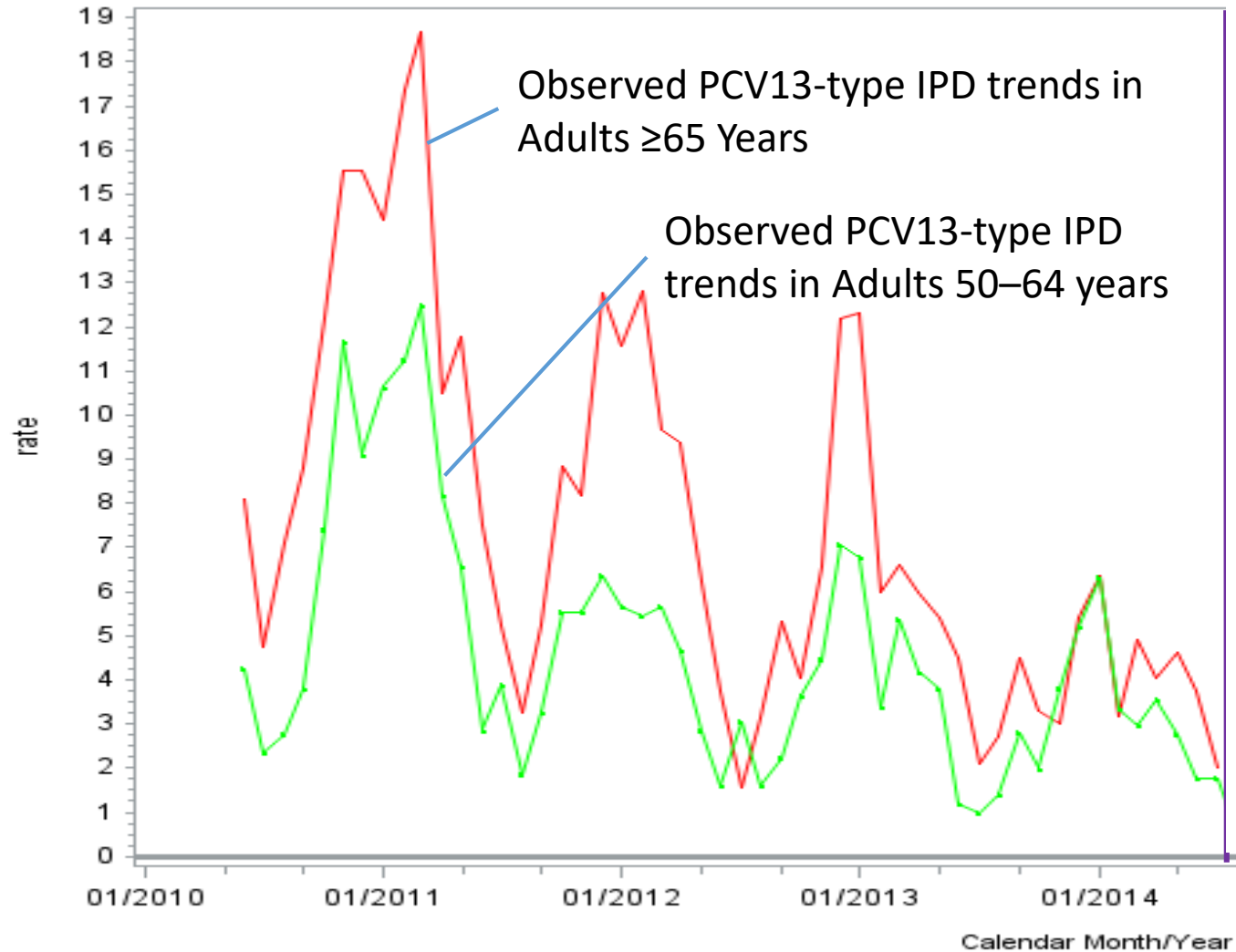
	Observed cases, direct+indirect (A)	Predicted indirect (B)	ABCs cases prevented through PCV13 direct effects (B)-(A)	Estimated US cases, direct+indirect (A)	Predicted indirect (B)	US cases prevented through PCV13 direct effects (B)-(A)
Total N of PCV13-type* cases	907	924 (817, 1037)	17(-89, 130)	9355	9551 (8446, 10712)	192 (-911, 1356)
Total N of PCV13-type* cases <b>(excluding ST3)</b>	416	472 (395, 563)	56(-21, 147)	4305	4883 (4085, 5825)	579 (-219, 1523)

Pre-PCV13 period used: 2/2013–7/2014

(B)-(A): Total number of PCV13-type\* IPD cases prevented in adults  $\geq 65$  years through direct effects based on (observed IPDcases) – (estimated indirect effects)

\*includes serotype 6C

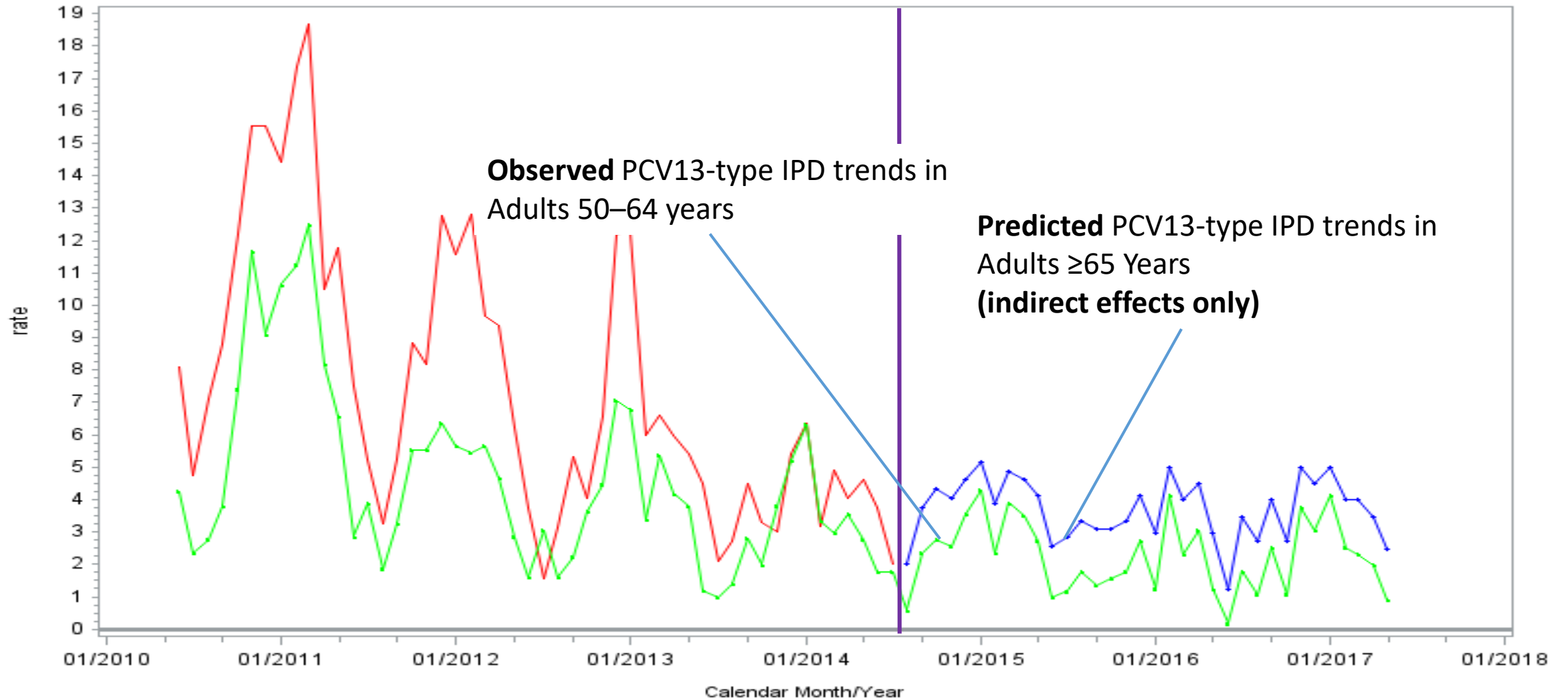
## Method 2: Estimate Expected Indirect Effects in Adults $\geq 65$ Years Based on Observed IPD Incidence among Adults 50–64 years



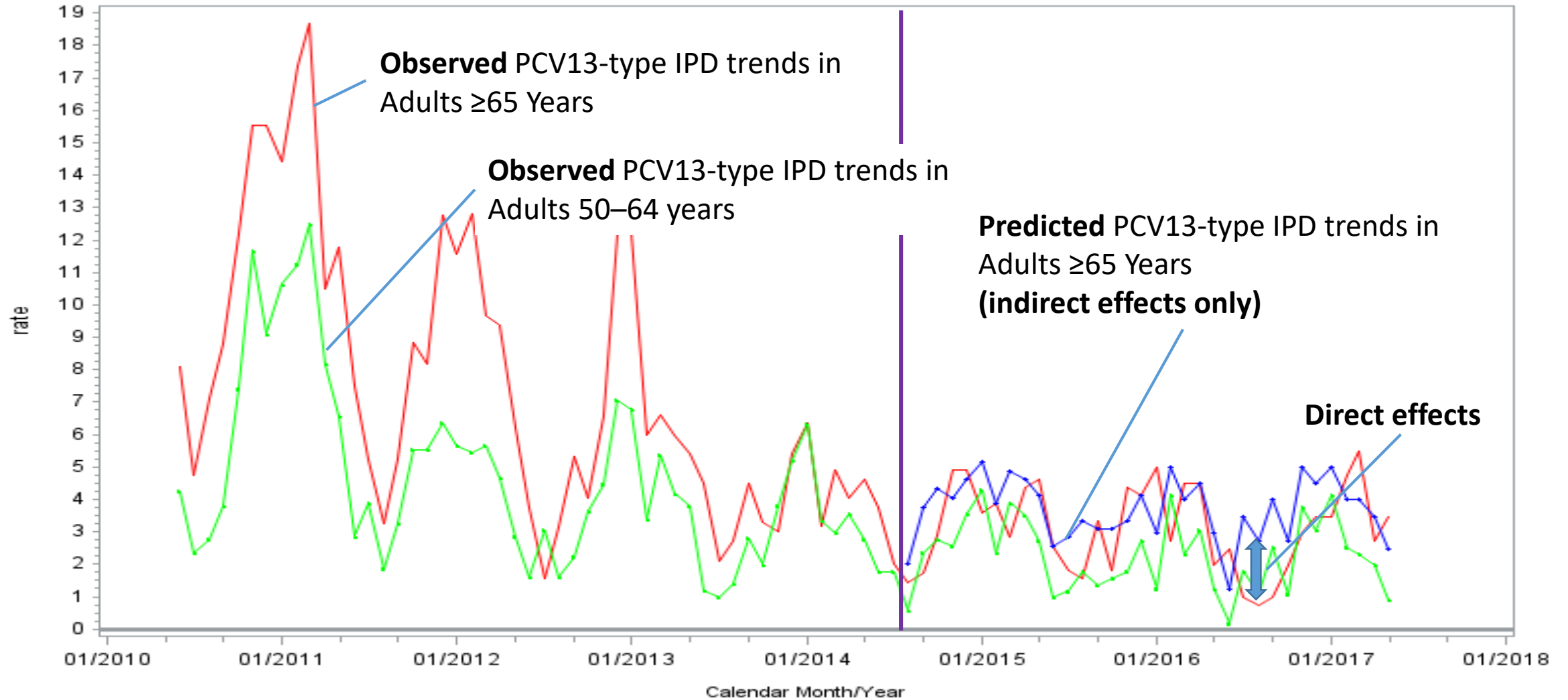
- Monthly IPD rates observed in adults 50–64 years pre- and post-2014 due to indirect effects of PCV13
- Estimate expected through indirect effects only rates in adults  $\geq 65$  years based on the observed relationship between IPD rates among adults 50–64 years and 65 years or older pre-PCV13 (pre-2014)



# Method 2: Estimate Expected Indirect Effects in Adults $\geq 65$ Years Based on Observed IPD Incidence among Adults 50–64 years



# Method 2: Estimate Expected Indirect Effects in Adults $\geq 65$ Years Based on Observed IPD Incidence among Adults 50–64 years



## Method 2. Estimated Number of PCV13-type IPD Cases Prevented Through Direct Effects in Adults ≥65 years during 8/2014–5/2017

	ABCs population			US population		
	Observed Cases (A)	Predicted indirect (B) (95% CI)	(B)-(A) (95% CI)	Estimated US cases (A)	Predicted indirect (B)	(B)-(A)
Total N of PCV13-type* cases	907	914 (812, 1027)	7 (-96, 120)	9355	9438 (8383, 10608)	83 (-974, 1252)

Pre-PCV13 period used to predict post-PCV13 trends: 2/2013–7/2014

(B)-(A): Total number of PCV13-type\* IPD cases prevented in adults ≥65 years through direct effects based on (Observed IPD cases) – (Predicted indirect effects)

\*includes serotype 6C

## Method 2. Estimated Number of PCV13-type IPD Cases Prevented Through Direct Effects in Adults ≥65 years during 8/2014–5/2017

	ABCs population			US population		
	Observed Cases (A)	Predicted indirect (B) (95% CI)	(B)-(A) (95% CI)	Estimated US cases (A)	Predicted indirect (B)	(B)-(A)
Total N of PCV13-type* cases	907	914 (812, 1027)	7 (-96, 120)	9355	9438 (8383, 10608)	83 (-974, 1252)
Total N of PCV13-type* cases <b>(excluding ST3)</b>	416	489 (410, 576)	73 (-7, 160)	4353	5055 (4237, 5957)	757 (-67, 1651)

Pre-PCV13 period used to predict post-PCV13 trends: 2/2013–7/2014

(B)-(A): Total number of PCV13-type\* IPD cases prevented in adults ≥65 years through direct effects based on (Observed IPD cases) – (Predicted indirect effects)

\*includes serotype 6C

# Conclusions

- No additional indirect effects predicted using both models in the absence of PCV13 adult recommendation
  - Limited indirect effects estimated for IPD caused by PCV13 serotypes, excluding type 3
- Limited direct effects observed in a setting of ~40% PCV13 uptake
  - Confidence limits include null value
  - Predictions based on small numbers of PCV13 type cases remaining following observed PCV13 indirect effects
- Similar analyses ongoing to estimate PCV13 direct vs. indirect effects on all-cause pneumonia

# Thank you

- Wei Xing
- Miwako Kobayashi
- Nong Shang