Rapid Cycle Analysis of the 9-valent Human Papillomavirus Vaccine (9vHPV) in the Vaccine Safety Datalink

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Outline

- Rapid Cycle Analysis (RCA) background
- RCA of 9-valent Human Papillomavirus Vaccine (9vHPV)
 - Objectives
 - Methods
 - Results
- Maintenance surveillance

Vaccine Safety Datalink and the Rapid Cycle Analysis Method

- VSD is a collaboration between CDC and 8 integrated healthcare plans to monitor vaccine safety using active surveillance and observational studies
- RCA was developed to permit more rapid assessment of vaccine safety using near-real time data
- Adverse event signals are interpreted as potential associations in an RCA
 - Medical record review or additional investigations are performed as appropriate
- RCA of 4vHPV (Gee J, et al. Vaccine 2011)
 - 600,000 doses. No statistically significant associations observed

Objectives of 9vHPV RCA

- Conduct near real-time surveillance (2015-2017) to assess the risks of pre-specified adverse events (AEs) after receipt of 9vHPV vaccine
- Monitor 9vHPV vaccine usage in VSD over time

9vHPV RCA Design and Population

- Prospective cohort
- Surveillance period: 10/4/2015—10/3/2017
- Enrolled in one of 6 participating VSD sites
- Males and females, 9-26 years old

9vHPV Recommendation

- Routine vaccination at age 11-12 years for males and females
- Females aged 13-26 years and males 13-21 years who were not adequately vaccinated
- Catch-up through age 26 years for certain other groups
- 3-dose series recommended until 2016
 - October 2016, ACIP recommended 2 dose series if receiving first dose before age 15 years (Meites E, et al. MMWR, 2016)
- More antigens and more adjuvant in 9vHPV compared to 4vHPV, but similar safety profile

Pre-specified Adverse Events Identified for Monitoring

- Clinically well defined, acute onset, results in a medical encounter, and biologically plausible
- All AEs in 4vHPV analysis (Gee, et al. Vaccine 2011)
- Additional AEs
 - Injection site reactions more common among 9vHPV group compared to 4vHPV in pre-licensure trials
 - Pancreatitis reported in VAERS following 4vHPV
- ICD-10 codes assigned at outpatient, inpatient, and/or ED encounters
- Post-vaccination risk window specified for each AE

Pre-specified Adverse Events

Adverse event	Setting	Post-vax window	Primary comparison group
Syncope	OP, ED, IP	Day 0	Concurrent
Injection site rxn, w/ and w/o day 0	OP, ED, IP	0-6, 1-6 days	Concurrent
Allergic Reactions	OP, ED, IP	0-2 ED, IP 1-2 for OP	Concurrent
Seizure	ED, IP	0-42 days	Concurrent
Anaphylaxis	OP, ED, IP	0-2 days	Concurrent
Appendicitis	ED, IP	1-42 days	Historic
Pancreatitis	ED, IP	1-42 days	Historic
Guillain-Barré Syndrome (GBS)	OP, ED, IP	1-42 days	Historic
Chronic Inflammatory Demyelinating Polyneuropathy (CIDP)	OP, ED, IP	1-180 days	Historic
Stroke	ED, IP	1-42 days	Historic
Venous Thromboembolism (VTE)	OP, ED, IP	1-42 days	Historic

*Historical comparison is based on VSD data from 2007-2014. Concurrent comparison is based on non-HPV vaccination visits during the surveillance period.

General RCA Approach

- Near real-time surveillance of AEs using weekly aggregate data
- Compare observed vs. expected counts
 - Unexposed reference groups generate expected counts
- Sequential analyses used to detect signals while maintaining a pre-defined type I error rate
- For each AE, subgroups are defined by age, sex, and dose
- Medical record review or additional analyses as needed subsequent to a signal

Sequential Analytic Methods

- Maximized Sequential Probability Ratio Test (MaxSPRT) and Conditional MaxSPRT
 - Developed by VSD researchers (Kulldorff M, et al. 2011¹)
 - Uncommon or rare events: anaphylaxis, appendicitis, GBS, CIDP, pancreatitis, seizures, stroke, VTE
 - Expected counts from historical comparison groups (2007-14)
 - General VSD population (MaxSPRT)
 - Vaccinated VSD population (CMaxSPRT)
- Exact Sequential Analyses (ESA)
 - Developed by VSD researchers (Lewis E, et al. 2009²)
 - Optimal for more common outcomes, but done for all
 - Expected counts from concurrent comparison group

¹Kulldorff M, et al. A maximized sequential probability ratio test for drug and vaccine safety surveillance. Seq. Anal. 2011;30:58-78. ²Lewis E, et al. Exact sequential analysis for vaccine safety surveillance. In: NFID 12th Annual Conference on Vaccine Research. 2009.

Follow-up of RCA signals

- Assess data quality for errors, anomalies, or unusual patterns
- Temporal scan analysis to identify clustering within the risk window
- Medical record review to confirm cases, especially if serious and uncommon
- Analytical epidemiology study if concern persists
 - Self-controlled case series (SCCS), self-controlled risk interval (SCRI), case-centered, case-control

RESULTS





Summary of MaxSPRT Results

NO SIGNAL	SIGNAL DETECTED
Anaphylaxis	
Guillain-Barre syndrome	
Appendicitis	Pancreatitis in males, 18-26 years old
Seizures	
Stroke	
Venous thromboembolism	
Chronic inflammatory demyelinating polyneuropathy	

Summary of Pancreatitis Signal

AE	Subgroup	RR	Exposed cases
Pancreatitis	Males 18-26	3.1	8

- Pancreatitis signaled in the MaxSPRT analysis only (RR=3.1, test statistic=3.71, critical value=2.87)
- No signal in the CMaxSPRT analysis (RR=1.84, test statistic=1.03, critical value=3.36)
- Pancreatitis was also evaluated in the ESA
 RR=4.7, P=0.47
- 6 comparisons conducted each week for pancreatitis (sex, 2 age groups, 2 analytic methods)

Medical Record Review Pancreatitis in Males 18-26 Years

- Eight cases exposed to 9vHPV
 - 6 had 1st dose, 2 had 2nd dose
- Diagnosis setting: 3 ED, 4 inpatient, 1 unknown
- Medical record review
 - 1 confirmed incident case without alternative cause or explanation for the diagnosis code
 - 1 possible case ('symptoms more likely to be GERD')
 - 6 other (alcohol, trauma, metastatic CA, not incident, etc.)
- No further investigation planned

Summary of ESA Results

NO SIGNAL	SIGNAL DETECTED
Anaphylaxis Guillain-Barre syndrome Pancreatitis Seizures Stroke Venous thromboembolism Chronic inflammatory demyelinating polyneuropathy	Syncope Injection site reactions (with and without day 0) Allergic reactions (ED/Inpatient, outpatient) Appendicitis

Summary of ESA Signals

Adverse event	Subgroup	RR	Cases (exp.)*
Syncope	Females, 18-26	1.9	98 (67)
	Females, 18-26, dose 1	2.2	65 (35)
	Females, 18-26, dose 2	2.0	60 (25)
Inj. site rxn, includes day 0	Males, 18-26, dose 3	95	3 (2)
	Males, 18-26, dose 1	11.1	14 (9)
	Females, 18-26, dose 1	1.8	71 (34)
lnj. site rxn, excludes day 0	Males, 9-17, dose 3	2.5	29 (18)
Allergic rxn, ED or inpatient	Females, 9-17	2.7	33 (26)
Allergic rxn, outpatient	Females, 18-26, dose 2	1.9	38 (15)
Appendicitis	Males, 9-17, dose 3	2.1	50 (30)

*Counts at first signal

Follow-up of ESA Signals: Syncope and Injection Site Reaction

- Both were expected based on clinical trials of 9vHPV and clinical experience with 4vHPV
- No formal follow-up studies planned

Follow-up of ESA Signals:

Allergic Reaction (ED, Inpatient) in Females, 9-17 Years

- 26 cases exposed to 9vHPV
 - 18 after 1^{st} dose, 8 after 2^{nd} or 3^{rd} dose
 - 6 vaccinated with 9vHPV only, 20 received 9vHPV + concomitant vaccine
- Medical record review
 - 8 allergic reaction with no alternative cause
 - 9 injection site or localized reaction
 - 9 other (reaction to food/drug, coding error, etc.)
- No signal in outpatient setting: RR=0.85, P=0.75
- No further investigation planned

Follow-up of ESA Signals:

Allergic Reaction (Outpt), Females, 18-26 Years, Dose 2

- 15 cases exposed to 9vHPV
 - 7 vaccinated with 9vHPV only, 8 received 9vHPV + concomitant vaccine
- Medical record review
 - 6 allergic reaction with no alternative cause
 - 9 other (reaction to food/drug, injection site reaction, etc.)
- No signal in the ED/IP setting: RR=0.4, P=0.75
- No further investigation planned

Follow-up of ESA Signals: Appendicitis in Males, 9-17 Years, Dose 3

- 30 cases exposed to 9vHPV
 - 20 vaccinated with 9vHPV only, 10 received 9vHPV + concomitant vaccine
- 14 ED, 15 inpatient, 1 unknown
- ESA results: RR=2.09, P=0.03
 - 10 comparisons conducted in the week in which appendicitis signaled
- MaxSPRT and CMaxSPRT results: **no increased risk** during the surveillance period (RR=1.3-1.6)

Appendicitis Temporal Scan Analysis: No Clustering within 1-42 days of 9vHPV vaccination



Range of P values for various scan widths in the temporal scan analysis: 0.78-0.98

Appendicitis Medical Record Review

- Appendicitis diagnosis confirmed in all 30 exposed cases
 - 30 appendectomies
 - 28 of 30 confirmed by pathology
- Days between 9vHPV vaccination and illness onset:
 - Median 22 days (range, 0-42 days)

Follow-up of ESA Signal for Appendicitis: Self-Controlled Risk-Interval (SCRI) Analysis

- Study sample: All cases occurring 180 days postvaccination
- Risk window 1 to 42 days post-vaccination
- Main unexposed window 43-84 days
 - Medical record review to confirm diagnosis in unexposed risk window (n=30)
 - RR=1.42 (95%CI 0.77 to 2.62)
- No evidence of elevated risk

Strengths and Limitations of the 9vHPV RCA

- Strengths
 - Near real-time access to electronic medical record data
 - Capacity to conduct medical record review as needed
 - Nearly 900,000 vaccinations documented
 - Complementary analytic methods
- Limitations
 - Near real-time data can be unstable
 - Miscoding or secular trends in coding
 - Secular trends in disease incidence
 - Seasonality of vaccination and disease

Conclusions

- RCA signaled for several adverse events after 9vHPV
 - Syncope and injection site reactions were expected
 - All other signals were further investigated
- Signals for allergic reaction, pancreatitis, and appendicitis were not confirmed after further evaluation

Maintenance Surveillance

- Weekly surveillance has concluded for 9vHPV
- Periodic analysis to ensure continued safety
 - Examine observed and expected counts, risk estimates, and exposures
- Surveillance for serious and uncommon outcomes after 9vHPV
 - Anaphylaxis, GBS, CIDP, stroke, venous thromboembolism

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