List S1. Pubmed search query

“child care” OR childcare OR “day care” OR daycare OR preschool OR “Head Start” OR “nursery school”

AND

Weight OR obesity OR “energy balance” OR “body composition” OR overweight OR “physical activity” OR “motor activity” OR “active play” OR playtime OR “motor skills” OR media OR “screen time” OR sedentary OR exercise OR nutrition OR eating OR diet OR fruit OR vegetables OR garden OR food OR “dietary intake”

AND

Intervention OR Campaign

NOT

Dental OR “oral health” OR immunization OR vaccination OR dehydration OR epilepsy OR hygiene OR sanitation OR asthma OR influenza OR HIV OR hepatitis OR malnutrition OR tobacco OR breastfeeding OR antibiotic OR “cerebral palsy” OR viral OR autism

Limitations

- English language
- Ages 0 – 5 years (Infant, Toddler, Preschool)
- January 1994 to current
List S2. Coding protocol to assess use of social marketing benchmark criteria among nutrition and physical activity interventions in early care and education centers

A. General Information
Intervention ID Number:

Citation(s):
Note: For a set of papers, include all citations.

Name of Intervention Program (if applicable):

Coder ID

Date of Extraction/Coding:

B. Study Characteristics
B1. What country was the intervention conducted in?
   1 = United States
   2 = Australia
   3 = Germany
   4 = Israel
   5 = United Kingdom
   6 = China
   7 = Scotland
   8 = Greece
   9 = Switzerland
   10 = Belgium
   11 = other (please specify ________________________)

B2. What study design was used to test the intervention?
This does not include any formative work. In the event the labeling of the study design is different from that of the definitions below, base your decision on the definition.
   Group Randomized Control Trial (RCT): a study design in which groups, not individuals, are randomly assigned to an intervention or control condition.
   Quasi-experimental: a study design that includes intervention and control conditions; however, individuals or groups are not randomly assigned to a condition.
   Single group designs: a study when the effect of an intervention is only tested in one group.
   Cross-over design: a study design in which individuals or groups will switch from control to intervention (or intervention to control) conditions during the course of the project.
   1 = group RCT
   2 = quasi-experimental
   3 = single group designs
   4 = cross-over design
   5 = other (please specify ________________________)
   6 = randomized controlled trial

B3. What unit of allocation or randomization was used?
Unit of allocation describes the level at which people were assigned to the intervention or control condition.
   1 = childcare center or school
   2 = classroom
   3 = family
   4 = child

B4. What were the targeted behaviors of the intervention?
List S2. Continued

1 = physical activity-only
2 = nutrition-only
3 = physical activity and nutrition

C. Participant Characteristics
C1. Was the intervention targeted/delivered to an underrepresented population?
Underrepresented would be defined as racial or ethnic minority groups as well as a low socioeconomic status. Head Start is considered an underrepresented population.
0 = no
1 = yes

D. Social Marketing Best Practices
Consumer/Audience Research and Segmentation and Targeting of Audience(s)
Consumer research is the process of gathering information to understand consumer or audience experiences, values, and needs. Desired information may include perceptions about: health issues, desired behaviors, benefits, barriers, and/or competition of desired behaviors.
Consumer research may come from primary or secondary data sources.
- Syndicated data are market research data. They come from sources other than the research team's collection of data. Syndicated data help to identify products and behaviors that do and don't align with the intervention goals. They can be used to identify population segments for targeted messages. Examples of syndicated data include: results from census or health surveys (e.g. BRFSS, NHANES), Pew, Nielsen, case reports, or PRIZM. Health data only (e.g. obesity statistics) is insufficient, but health and behavior data is sufficient from national health surveys.
- Primary data are collected by the research team and often includes formative work. Formative work is conducted to understand the health issue from the perspective of the consumer/audience/stakeholders. Examples of primary data and formative work include: community needs assessment, direct observation, focus groups, interviews, surveys, pre-testing of materials, or pilot tests of intervention activities or elements.

Both primary and syndicated data are used to inform the development and implementation of the intervention.

Consumer or audience research could inform segmentation of target audiences. Segmentation involves splitting a broad audience into subsets who have, or are perceived to have, common needs, interests, or priorities. These variables are considered when selecting the target audience(s) for the intervention. Target audiences may be downstream, midstream, or upstream.
- Downstream refers to the individual who will make the desired behavior change. For example, children are to eat more fruits and vegetables.
- Midstream refers to those individuals (professionals, organizations, family members) who can impact or facilitate the behavior change of the individual. For example, teachers modify classroom structure to allow for room and time for physical activity.
- Upstream refers to those individuals (policy makers) who can impact or facilitate legislation or public policy that would influence the behavior change of the individual. For example, policymakers pass legislation.
- Gatekeepers are individuals or groups who have the authority to allow or deny access to a research setting or population but they do not otherwise impact or facilitate behavior change of the individual. For example, a childcare center director could be a gatekeeper if he or she does not otherwise play a role in the intervention to impact behavior changes in the children.
- Stakeholders are any individual or group that can affect or be affected by the intervention or campaign. For example: the target(s) of the intervention, those serving as interventionists, those supporting intervention efforts, and gatekeepers of groups or organizations.
List S2. Continued

There may be more than one target audience. Intervention strategies will then be tailored for each group. For example, materials or approaches may be age-appropriate or appropriate to the setting in which they are delivered.

D1. Was the term ‘social marketing’ explicitly used?
   0 = no
   1 = yes

D2. Was syndicated data used to describe or understand the stakeholder(s)/target audiences? NHANES and other national health surveys would count as syndicated data as long as it does not simply report prevalence of a health outcome. Using it to also describe behavior(s) associated with the health outcome will help further segment the audience.
   [Benchmark Criterion: Customer Orientation]
   0 = no
   1 = yes

D3. Was primary research conducted to uncover perceptions of the health behavior, barriers or benefits from the perspective of the stakeholders?
   [Benchmark Criterion: Customer Orientation]
   0 = no
   1 = yes

D4. Did the research team conduct formative research with the downstream audience (i.e. children)?
   [Benchmark Criteria: Customer Orientation, Segmentation]
   0 = no
   1 = yes

D5. If the research team conducted formative work with the downstream audience (i.e. children), what methods were used?
   [Benchmark Criterion: Customer Orientation]
   0 = not reported
   D5a. Focus groups:
   0 = no
   1 = yes
   D5b. Interviews:
   0 = no
   1 = yes
   D5c. Observation:
   0 = no
   1 = yes
   D5d. Survey(s):
   0 = no
   1 = yes
   D5e. Other: (please specify: _________________________________)

D6. Which midstream or upstream audiences did the research team conduct formative research with?
   [Benchmark Criteria: Customer Orientation, Segmentation]
   0 = no midstream or upstream audiences identified
   1 = parents- or guardians-only
   2 = childcare teachers- or providers-only
   3 = childcare center directors-only
   4 = parents/guardians and childcare teachers/providers
List S2. Continued

5 = childcare teachers/providers and childcare center directors
6 = parents/guardians and childcare center directors
7 = parents/guardians, childcare teachers/providers, and childcare center directors
8 = no formative work was completed with identified midstream or upstream audiences
9 = other (please specify: ____________________________________________)

D7. If the research team conducted formative research with midstream or upstream audiences, what methods were used?
[Benchmark Criterion: Customer Orientation]
0 = not reported

D7a. Focus groups:
0 = no
1 = yes

D7b. Interviews:
0 = no
1 = yes

D7c. Observation:
0 = no
1 = yes

D7d. Survey(s):
0 = no
1 = yes

D7e. Other: (please specify: _________________________________)

D8. Did researchers identify any midstream or upstream audiences that they did not engage in formative research?
Identification means the research team talked about the importance of or perhaps developed an intervention component for a particular midstream or upstream audience.
[Benchmark Criterion: Segmentation]
0 = no
1 = yes
2 = midstream or upstream audience not identified

D8a. If yes, whom?
1 = parents- or guardians-only
2 = childcare teachers- or providers-only
3 = childcare center directors-only
4 = parents/guardians and childcare teachers/providers
5 = childcare teachers/providers and childcare center directors
6 = parents/guardians and childcare center directors
7 = parents/guardians, childcare teachers/providers, and childcare center directors
8 = other (please specify: _________________________________)

D8b. How did researchers acknowledge or incorporate the midstream or upstream audience(s) regarding intervention design?
0 = no midstream or upstream audience identified
1 = talked about but did not take any action (e.g. formative work or intervention component)
2 = created intervention components but no formative work completed
3 = formative work completed and intervention component created
4 = other (specify: _________________________________)
List S2. Continued

D9. Did any publication associated with this intervention reveal benefits and/or barriers from formative work?
   [Benchmark Criterion: Insight]
   0 = no
   1 = yes

Exchange and Competition
The exchange acknowledges that the target audience will be asked to give up something in order to make
the desired behavior change. The target audience will compare the real and perceived costs and benefits
of making the desired behavior change. Costs and benefits may be tangible or intangible. Examples of
tangible costs or benefits include: money or physical effort. Examples of intangible costs or benefits include:
time, thoughts, feelings, attitudes, cognitive effort, and social relationships.

The research team should determine what the target audience values and what costs they perceive so that
the exchange encourages the behavior change. Values and benefits of the desired behavior should be
emphasized while costs should be minimized. Resources may be offered to address costs of adopting or
ceasing desired behavior. If the behavior change is a result of coercion (forcing, intimidating, threatening)
or enforcement (rule, policy, law), this is not voluntary and thus does not meet the criteria for exchange.
Competition addresses the alternative behavior(s) that members of the target population can choose to
perform instead of performing the behavior(s) being promoted in the program. In a social marketing
program, when competition is addressed there should be a discussion of strategies that minimize the
appeal of the competing behavior(s).

D10. Is behavior change the result of compliance with a law or policy?
   Note this references big 'P', not within the organizational level.
   [Benchmark Criterion: Competition]
   0 = no
   1 = yes

D11. Was the intervention designed to promote the perceived benefits of adopting or ceasing the targeted
behavior(s)? Note: benefits do not have to be expressly related to formative work.
   [Benchmark Criteria: Insight, Exchange]
   0 = no
   1 = yes

D12. Was the intervention designed to decrease perceived barriers or costs of adopting or ceasing the
targeted behavior(s)?
   [Benchmark Criteria: Insight, Exchange]
   0 = no
   1 = yes

D13. Does any publication associated with this intervention uncover and identify competing behavior(s)?
Competing behaviors come from primary research with target audience and not things discovered
through a literature review.
   [Benchmark Criterion: Competition]
   0 = no
   1 = yes

Methods Mix
The methods mix (also known as the marketing mix) is often known as the "4 P's" – product, price, place,
and promotion. Together these pieces create the exchange offered to the target audience. The intervention
considers the best strategic application of these P's. Oftentimes elements of the intervention or its approach
will fit exclusively within one of the 4 P categories, but this is not always the case.
List S2. Continued

- **Product.** Product encompasses the desired behavior and any resources/materials that researchers provide the target audience with in order to carry out that behavior. Product can include tangible goods and services or intangible benefits that will support behavior change. Examples of products in social marketing include providing residents with seeds and gardening materials to increase fruit and vegetable consumption, using mobile testing sites to conduct breast cancer screenings, or providing free condoms and HIV testing to promote condom use and HIV testing.

- **Price.** Price is the cost or barriers for the target audience to perform or adopt the desired behavior. Price may include money, time, pleasure, access, embarrassment, or relationships. Once price is identified, the goal is to minimize or reduce them through the intervention.

- **Place.** Place can be tied to the product or to the promotion of the product. In the first instance, place is where the target audience will perform the desired behavior and/or acquire goods or services with the campaign/intervention. This is also known as a delivery system or distribution channel. Based on our inclusion criteria, this first instance of place will always be accounted for (i.e. child care center). In the second instance, place is also where the audience will be most receptive to change/adopting the new behavior. If using the seed/gardening product example mentioned above, place could be a community center (where the target audience would receive the actual product) or it could be the grocery store (where the target audience is reminded about the product and its benefits).

- **Promotion:** Promotion focuses on the communication tools that describe the program's benefits, product, price, and place. This may include key messages, messengers, communication channels, and materials. This could include mass communication or advertising but can also include small group interactions or peer-to-peer communications.

D14. Does the intervention include one or more products?  
[Benchmark Criterion: Methods Mix]  
0 = no  
1 = yes

D15. Was the term ‘product’ used explicitly in discussions about the methods/marketing mix or intervention components?  
0 = no  
1 = yes

D16. Does the intervention discuss/acknowledge the price of making the behavior change?  
This does not include the financial cost of the intervention.  
[Benchmark Criteria: Exchange, Methods Mix]  
0 = no  
1 = yes

D17. Was the term ‘price’ used explicitly in discussions about the methods/marketing mix or intervention components?  
0 = no  
1 = yes

D18. Was the term ‘place’ used explicitly in discussions about the methods/marketing mix or intervention components?  
[Benchmark Criterion: Methods Mix]  
0 = no  
1 = yes

D19. In addition to identifying the setting(s) where the intervention occurred, was the intervention designed to include other places to connect with the target audience(s) to reinforce the desired behavior change?
List S2. Continued

D20. Does the intervention include promotional and/or communication pieces?
[Benchmark Criterion: Methods Mix]
0 = no
1 = yes

D21. Was the term 'promotion' used explicitly in discussions about the methods/marketing mix or intervention components?
0 = no
1 = yes

D22. How many components (product, price, place, promotion) of the methods/marketing mix were included in the intervention? Note: based on our inclusion criteria, place will always count as one component.
[Benchmark Criterion: Methods Mix]
1
2
3
4

Pretesting

Pretesting is the process of getting audience feedback on intervention content. This may include feedback on initial plans for intervention activities or more concrete concepts, messages, and activities. Sometimes this may be referred to as concept or message testing.

D23. Was pretesting conducted?
[Benchmark Criteria: Customer Orientation, Segmentation]
0 = not at all
1 = one step process
2 = two step process

If no, skip to question D27.

D24. Was pretesting conducted with one or more midstream or upstream audiences?
0 = no
1 = yes

D25. Was pretesting conducted with the downstream target audience?
0 = no
1 = yes

If questions D24 and D25 are no, skip to question D27.

D26. Which methods were used to conduct pretesting?
0 = not reported

D26a. Focus groups:
0 = no
1 = yes
List S2. Continued

D26b. Interviews:
0 = no
1 = yes

D26c. Observation:
0 = no
1 = yes

D26d. Survey(s):
0 = no
1 = yes

D26e. Other: (please specify: _________________________________)

Pilot/Feasibility Testing

Pilot/feasibility testing has occurred when some or all of the program components are carried out with a segment of the target population for a specified period of time. Pilot or feasibility testing may include lessons learned for implementation and sometimes design and materials.

D27. Were parts of the program pilot tested?
This does not include piloting or validating measurement tools. [Benchmark Criterion: Customer Orientation]
0 = no
1 = yes

If no, skip to question D29.

D28. Which methods were used to evaluate pilot testing?
0 = not reported

D28a. Quantitative-only:
0 = no
1 = yes

D28b. Qualitative-only:
0 = no
1 = yes

D28c. Mixed Methods:
0 = no
1 = yes

Evaluation

Process
Process evaluation involves the use of measures designed to evaluate how well the program was implemented. Important elements of process evaluation include selecting who will provide the information about program implementation. Process evaluation measures will address what elements of the program made it easy or difficult to implement; how faithfully the program procedures were followed; suggestions that participants have to make implementation easier, or any circumstances unrelated to the program that affected program implementation. These measures can be in the form of checklists, surveys, in-depth interviews, or focus groups.

D29. Was process evaluation included in the study? [Evaluation – process]
0 = no or not reported
1 = yes
List S2. Continued

If no or not reported, skip to question D31.

D30. Which methods were used to conduct process evaluation?
0 = not reported
1 = mentioned only in protocol or methods paper but not described

D30a. Focus groups:
0 = no
1 = yes

D30b. Interviews:
0 = no
1 = yes

D30c. Observation:
0 = no
1 = yes

D30d. Survey(s) (this can include logs or checklists):
0 = no
1 = yes

D30e. Other: (please specify: _________________________________)

Outcome
There is a measure (or measures) of how effective the program was in achieving the specific behavioral objectives. There should be at least one quantitative measure. Unless otherwise specified, these questions focus on children.

D31. What outcomes were evaluated?
1 = nutrition/diet – only
2 = physical activity – only
3 = nutrition/diet and physical activity
4 = nutrition/diet and anthropometrics
5 = physical activity and anthropometrics
6 = nutrition/diet, physical activity, and anthropometrics

D32. Which methods were used to collect nutrition/diet outcomes?
Select all that apply.
0 = no nutrition/diet outcomes collected
1 = observation
2 = food diary
3 = survey or questionnaire (e.g. food frequency questionnaire or food screener)
4 = 24-hour recall

D33. What methods were used to collect physical activity outcomes?
Select all that apply.
0 = no physical activity outcomes collected
1 = observation
2 = activity diary
3 = survey or questionnaire
4 = accelerometer
5 = gross motor or locomotor skill assessments
6 = pedometer
7 = fitness tests

D34. What methods were used to collect anthropometrics outcomes?
Select all that apply.
List S2. Continued

0 = no anthropometrics collected
1 = weight-only
2 = body mass index (height and weight)
3 = waist circumference
4 = triceps skinfolds
5 = mid-upper arm circumference

D35. For which of the following midstream or upstream target(s) were outcomes evaluated?
[Evaluation – outcomes]
0 = no midstream or upstream targets evaluated
1 = parents- or guardians-only
2 = childcare teachers- or providers-only
3 = childcare center directors-only
4 = parents/guardians and childcare teachers/providers
5 = childcare teachers/providers and childcare center directors
6 = parents/guardians and childcare center directors
7 = parents/guardians, childcare teachers/providers, and childcare center directors

E. Intervention Characteristics

E1. In which setting(s) was/were the intervention components delivered or used?
1 = childcare center-only
2 = childcare center and home
3 = childcare center and community
4 = childcare center, home, and community
5 = other (please specify ___________________________)

E2. Was the intervention implemented at the individual level?
For the purposes of this review, individual level will refer to the child. The individual may also be called intrapersonal level and would include targeting: knowledge, attitude, beliefs, skills, personality traits, socio-demographic characteristics, and genetic factors.
0 = no
1 = yes

E3. Was the intervention implemented at the interpersonal level?
The interpersonal level includes family, social networks, and peers and targets the social environment. Clarification for interpretation: Could the process or intervention piece be automated and serve the same purpose? (e.g. playing a recording of a book instead of having a teacher read it and lack of discussion or further education after reading) If yes, then this would not be considered interpersonal.
0 = no
1 = yes

E4. Was the intervention implemented at the organizational level?
The organizational level may also be referred to as institutional and would include: childcare or early care education centers. This focuses on curriculum, systems or policies that impact individuals within the organization (i.e. physical environment). Clarification for interpretation: Classroom lessons are not automatically considered organizational. Intervention must be more than a classroom; is it or could it be institutionalized?
0 = no
1 = yes

E5. Was the intervention implemented at the community level?
The community level includes: larger scale social networks and norms, local structures and institutions, coalitions, and organizational networks. This focuses on norms, policies, or systems that impact interactions.
0 = no
1 = yes
List S2. Continued

0 = no
1 = yes

E6. Was the intervention implemented at the public policy level?
The policy level focuses on local, state, federal or international policies, rules, laws, and funding that
impacts or supports health promotion.
0 = no
1 = yes

In the words of the research team,
E7. Was the Health Belief Model used to plan, implement, or evaluate the intervention?
[Benchmark Criterion: Theory]
0 = no
1 = yes

E8. Was the Transtheoretical Model used to plan, implement, or evaluate the intervention?
[Benchmark Criterion: Theory]
0 = no
1 = yes

E9. Was the Social Learning Theory used to plan, implement, or evaluate the intervention?
[Benchmark Criterion: Theory]
0 = no
1 = yes

E10. Was the Social Cognitive Theory used to plan, implement, or evaluate the intervention?
[Benchmark Criterion: Theory]
0 = no
1 = yes

E11. Was the Theory of Planned Behavior or Theory of Reasoned Action used to plan, implement, or
evaluate the intervention?
[Benchmark Criterion: Theory]
0 = no
1 = yes

E12. Were any other theories used to plan, implement, or evaluate the intervention?
[Benchmark Criterion: Theory]
0 = no
1 = yes (Please specify: __________________)

E13. Were any other planning processes or approaches used to guide development of intervention?
[Benchmark Criterion: Theory]
0 = no
1 = yes

E14. Please describe the baseline sample size (centers, children), duration/dose, and who delivered
intervention (research team, teacher, parent, third party).

E15. What are the components of the intervention? Include products, training or other elements
necessary for implementation of the intervention.

F. Outcomes
F1. Study outcomes regarding child-level nutrition, physical activity, and/or anthropometrics.
List S2. *Continued*

**Diet**: Did the study report at least one statistically significant ($p < 0.05$) change favorable for the intervention arm?
0 = no
1 = yes
99 = not applicable

**Physical Activity**: Did the study report at least one statistically significant ($p < 0.05$) change favorable for the intervention arm?
0 = no
1 = yes
99 = not applicable

**Anthropometric**: Did the study report at least one statistically significant ($p < 0.05$) change favorable for the intervention arm?
0 = no
1 = yes
99 = not applicable
**List S3.** Nutrition and physical activity interventions conducted in early care and education centers, and supporting manuscripts, reviewed for use of social marketing benchmark criteria


Baskale H, Bahar Z. Outcomes of nutrition knowledge and healthy food choices in 5- to 6-year-old children who received a nutrition intervention based on piaget's theory. Journal for specialists in pediatric nursing : JSPN. 2011; 16:263-279.


List S3. Continued


Callcott D, Hammond L, Hill S. The synergistic effect of teaching a combined explicit movement and phonological awareness program to preschool aged students. Early Childhood Education Journal. 2015; 43:201-211.


List S3. Continued


List S3. Continued


List S3. Continued


List S3. Continued


List **S3. Continued**


List S3. Continued


