

# Health Related Quality of Life among Patients with Tuberculosis and HIV in Thailand

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#### **Abstract**

*Introduction:* Health utilities of tuberculosis (TB) patients may be diminished by side effects from medication, prolonged treatment duration, physical effects of the disease itself, and social stigma attached to the disease.

*Methods:* We collected health utility data from Thai patients who were on TB treatment or had been successfully treated for TB for the purpose of economic modeling. Structured questionnaire and EuroQol (EQ-5D) and EuroQol visual analog scale (EQ-VAS) instruments were used as data collection tools. We compared utility of patients with two co-morbidities calculated using multiplicative model (U<sub>CAL</sub>) with the direct measures and fitted Tobit regression models to examine factors predictive of health utility and to assess difference in health utilities of patients in various medical conditions.

Results: Of 222 patients analyzed, 138 (62%) were male; median age at enrollment was 40 years (interquartile range [IQR], 35–47). Median monthly household income was 6,000 Baht (187 US\$; IQR, 4,000–15,000 Baht [125–469 US\$]). Concordance correlation coefficient between utilities measured using EQ-5D and EQ-VAS ( $U_{EQ-5D}$  and  $U_{VAS}$ , respectively) was 0.6.  $U_{CAL}$  for HIV-infected TB patients was statistically different from the measured  $U_{EQ-5D}$  (p-value<0.01) and  $U_{VAS}$  (p-value<0.01). In tobit regression analysis, factors independently predictive of  $U_{EQ-5D}$  included age and monthly household income. Patients aged ≥40 years old rated  $U_{EQ-5D}$  significantly lower than younger persons. Higher  $U_{EQ-5D}$  was significantly associated with higher monthly household income in a dose response fashion. The median  $U_{EQ-5D}$  was highest among patients who had been successfully treated for TB and lowest among multi-drug resistant TB (MDR-TB) patients who were on treatment.

*Conclusions:* U<sub>CAL</sub> of patients with two co-morbidities overestimated the measured utilities, warranting further research of how best to estimate utilities of patients with such conditions. TB and MDR-TB treatments impacted on patients' self perceived health status. This effect diminished after successful treatment.

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1

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#### Introduction

Tuberculosis (TB) is a severe, often chronic, lung disease causing nearly nine million illnesses and more than one million deaths each year. [1] With appropriate treatment, approximately 90% of patients with active TB disease can be cured, even in patients with HIV infection. [2,3] Despite the discovery of the first TB drug over 50 years ago, current treatment regimens for susceptible TB still require the use of a combination of potentially toxic antibiotics for a minimum of six months to ensure eradication. [4,5] For multidrug resistant TB (MDR-TB), available regimens are less potent (but more noxious), requiring longer treatment durations. [6,7,8] As such, patients' health-related quality of life (HRQL), i.e., valued aspects of life, may be diminished by side effects from medication, prolonged treatment duration, and in some cultures, social stigma

attached to the disease. [9,10,11] TB disease itself may also have a negative impact on TB patients' self perceived health status. [12,13]

Health systems in Thailand are increasingly cost effective. In an effort to respond better to patients' needs, healthcare providers are integrating services. [14,15,16] Economic and decision analyses are frequently conducted to inform resource allocation decisions. HRQL data are widely recognized as an important input in such exercises, particularly for chronic diseases. [17] HRQL can be derived using generic and specific instruments. [9] Generic instruments collect descriptive data and generate health utilities: preference-based, numeric representations of overall health that are the most commonly used measures for evaluating HRQL in economic analyses. [18] While health utilities, as input in cost-utility analyses, allow comparison between populations and across

diseases, settings, and countries, information generated from specific instruments focus on problems associated with single disease states, patient groups, or areas of function and do not allow broad comparison. [9,18,19]

Our systematic review showed that data on formal assessment of HRQL in TB patients are rather sparse, particularly in the Thai setting. To date, there were only two studies conducted in Thai populations. [20,21] The first study administered a generic instrument (Medical Outcomes Study 36-Item Short-From Health Survey) to measure HRQL among 84 pulmonary TB patients in Yunnan province of China and Southern Thailand. [20] Findings of this study, however, were published in Chinese, compromising dissemination of the results to non-Chinese speaking researchers. In 2008, Kittikraisak et al. conducted a prospective observational study to evaluate the impact of TB and HIV treatment on HRQL among 849 TB patients in Thailand. This study, however, focused on the patients who were HIVinfected and used a study specific questionnaire to collect HRQL data. [21] The investigators found impairment in physical and mental health when Thai HIV-infected patients studied were first diagnosed with TB. Additionally, completing TB treatment relieved some physical symptoms, but had little impact on mental health. Data, however, cannot be used for economic modeling purposes because neither generic nor specific instruments were employed to collect data. With increasing interest in identifying cost-effective interventions that are responsive to patients' needs, HRQL data collected using standardized instruments are urgently needed.

The main purpose of this study was to collect health utility data, using EuroQol 5D (EQ-5D) and EuroQol visual analogue scale (EQ-VAS) instruments from Thai TB patients and those cured or having completed treatment. The data were collected for use in our economic evaluation analysis of screening and diagnostic algorithms for pulmonary TB among HIV-infected patients in Thailand (to be published). In this study, we explored how socio-demographic characteristics and co-morbidity such as HIV infection affect TB patients' health utility and whether health utilities of patients with different medical conditions were different. Further, we examined concordance of health utilities measured using the two instruments. Lastly, we examined how health utility of patients with two morbidities calculated using multiplicative approach ( $U_{\rm CAL}$ ) differed from the measured utilities.

#### **Methods**

#### Ethics statement

This study was approved by ethical review committees of Chiang Rai Regional Hospital and Bamrasnaradura Infectious Diseases Institute. Involvement of Centers for Disease Control and Prevention (CDC) investigators in this study was determined not to meet the definition of engagement in human subjects research per U.S. human subjects research regulations and additional review by the CDC institutional review board was not required. All participants had provided written informed consent.

## Study setting and population

From August to October 2009, we conducted a cross-sectional survey and recruited consecutive patients from respective clinics at Chiang Rai Regional Hospital and Bamrasnaradura Infectious Diseases Institute. These two hospitals were part of our multi-site population-based TB surveillance conducted in six provinces in Thailand and were chosen because they serve a high number of TB, HIV-infected TB, and HIV patients. [22] Eligible patients

were those diagnosed with TB (including MDR-TB) and/or HIV ≥2 weeks before study enrollment to allow time for them to cope with the diagnosis(es), aged between 18-70 years old, who were able to communicate in Thai and did not require assistance from family members regarding communication, were not pregnant, and were not in the priesthood. Patients with HIV were recruited regardless of their anti-retroviral therapy (ART) status. After giving written informed consent, patients were enrolled and assigned into mutually exclusive groups according to their medical condition: 1) TB patients receiving TB treatment (TB<sub>TX</sub>), 2) MDR-TB patients receiving MDR-TB treatment (MDR<sub>TX</sub>), 3) patients who had been successfully treated for TB or MDR-TB according to World Health Organization definition and finished treatment for ≥6 months (any TB<sub>C</sub>), 4) HIV-infected patients at any stage who had not been diagnosed with TB (anyHIV), 5) HIVinfected TB patients receiving TB treatment (TB<sub>TX</sub>/HIV), and 6) HIV-infected patients who had been successfully treated for TB or MDR-TB and finished treatment for  $\geq 6$  months ( $_{anv}TB_{C}/HIV$ ). Sample size that gave 80% power at the 0.05 level of significance (two-sided) was calculated as specified in the study protocol, with parameters estimated based on literature. An effect size of 7% for the difference between utilities of patients in different medical conditions was used in the calculation. Sample size of 32 patients per group was required for patients with TB only (groups 1-3). For HIV-infected patients regardless of TB status (groups 4-6), the required sample size was 49 per group. For groups 1, 2, and 5, we restricted enrollment to patients who were on TB or MDR-TB treatment for ≥2 weeks at enrollment, a long enough duration to experience side effect(s) from medications (if any). Each patient was compensated with 100 Thai Baht (~3US\$) for his/her time, except those in groups 3 and 6 who received additional 400 Baht for travel expenses because they were no longer visiting the hospitals for medical care.

#### Data collection and instruments

At enrollment, trained study nurses administered: 1) structured questionnaire to collect socio-demographic characteristic data, 2) EQ-5D, and 3) EQ-VAS instruments (with permission to use from the developer [the EuroQol Group]) to collect patients' HRQL data. The two instruments are recommended by the Thai Health Technology Assessment Guidelines to be used to value health utility for economic purposes. [23] EQ-5D consists of five domains relating to: 1) mobility, 2) self-care, 3) usual activities, 4) pain/ discomfort, and 5) anxiety/depression and was originally used as a self-administered questionnaire. [24] According to the developer, it can also be administered and used in postal surveys, in clinics, and face-to-face interviews. We conducted face to face interview and for each domain staff asked patients to assess their current health and respond with one of the following options: "no problem", "moderate problem", and "severe problem". A color coded (green, yellow, and red) flip chart was used as a supplemental tool to enhance patients' understanding of the context. An EQ-5D health state of each respondent was recorded. For example, the "32211" health state implied the patient perceived a severe problem with mobility, a moderate problem with self-care and usual activities, but no pain/discomfort or anxiety/depression. Following EQ-5D administration, we asked patients to indicate their health status using EQ-VAS. The EQ-VAS is a standard visual analogue scale including a vertical line, 20 cm in length, anchored at "0" (death) at the bottom and "100" (full health) on top. We asked each patient to mark on the scale a rating of their health and well-being on the interview day. The instrument measures an individual's valuation of their current overall health status.

#### Statistical analysis

The analysis was divided into four parts. First, we described socio-demographic and health characteristics. Second, we calculated EQ-5D utility value (U<sub>EQ-5D</sub>) by assuming that health utilities were additive and that the health utility of a person declined when his/her health deteriorated. [25] We transformed U<sub>EO-5D</sub> from the five-digit coded health states using an additive formula, including coefficients and a constant derived from the Thai utility score algorithm established from a recent national household survey in Thai general population. [26] Theoretically, U<sub>EO-5D</sub> ranges from 0.0 (death) to 1.0 (full health) value scale; scores less than zero representing states worse than death are possible. [27] We obtained EQ-VAS utilities (U<sub>VAS</sub>) by transforming them from a 0 to 100 directly to a 0 to 1 scale; scores lower than zero are not possible. Concordance between U<sub>EO-5D</sub> and U<sub>VAS</sub> was estimated using "concord," a user-written program for Stata by Steichen and Cox. [28,29] Bland-Altman approach was used to examine agreement between the two scales used to measure utilities. [30]

Third, we fitted tobit regression models to examine associations between socio-demographic characteristics and U<sub>EO-5D</sub> and U<sub>VAS</sub>. We assessed whether there was any difference in health utility scores of patients in different medical conditions. Tobit regression models are designed to estimate linear relationships between variables when there is upper- or lower-censoring in the dependent variable. [31] We used an upper-censoring limit of one for analyses of  $U_{\rm EQ\text{-}5D}$  and  $U_{\rm VAS}$  ( $U_{\rm EQ\text{-}5D}$  and  $U_{\rm VAS}$  cannot exceed one), and a lower limit of zero for analysis of UVAS (UVAS cannot be lower than zero). The p-value of likelihood ratio chi-square was used as a guide to the model's goodness of fit. We used a two sided p-value of ≤0.05 to indicate statistical significance. However, to reduce the chances of a type I error, we employed a Bonferroni's adjustment when determining which groups of patients were different. [32] In this case, a p-value of  $\leq 0.003$  (0.05/15) comparisons) was considered significant. Lastly, we calculated health utilities of patients with two co-morbidities (e.g., TB and HIV) using multiplicative formula shown below and compared them with the actual data measured using EQ-5D and EQ-VAS. [33] All statistical analyses were conducted using Stata, version 10 (StataCorp LP, College Station, TX, USA).

$$DW_{1+2} = 1 - (1 - DW_1) \times (1 - DW_2)$$

$$DW_2^{adjusted} = (1 - (1 - DW_1) \times (1 - DW_2)) - DW_1$$
  
=  $DW_2 \times (1 - DW_1)$ 

Where health utility (U) = 1 - disability weight (DW)

$$U_2^{adjusted} = 1 - (DW_2 \times (1 - DW_1))$$

DW, disability weight; U, Health utility; subscript 1 stands for a more severe condition and subscript 2 stands for a milder condition.

#### Results

#### Socio-demographic characteristics of the population

During the enrollment period, 223 patients with TB and/or HIV were enrolled in the study. Of these, 222 were analyzed. We excluded MDR $_{\rm TX}$ /HIV from the analysis because there was only one patient in this group. The analytic dataset included 32 TB $_{\rm TX}$ ,

11 MDR<sub>TX</sub>, 32 <sub>any</sub>TB<sub>C</sub>, 49 <sub>any</sub>HIV, 49 TB<sub>TX</sub>/HIV, and 49 <sub>anv</sub>TB<sub>C</sub>/HIV. Of the 222 patients, 138 (62%) were male, 128 (58%) were married/cohabitating, and 172 (77%) finished either primary or high school. [Table S1] The median age at enrollment was 40 years (interquartile range [IQR], 35–47), 79 patients (36%) were laborers, and 203 (91%) were covered by health insurance. The median monthly household income was 6,000 Baht (IQR, 4,000-15,000). Among HIV-infected patients, 46 anyHIV (94%), 26 TB<sub>TX</sub>/HIV (55%), and 38 any TB<sub>C</sub>/HIV (100%) reported currently receiving ART. These patients were diagnosed with and on treatment for HIV for a median of 36 months (IOR, 18–95) and 24 months (IQR, 2-51), respectively. TB patients were diagnosed with TB for a median of 3 months (IQR, 1-5). They were initiated treatment at the time of diagnosis, resulting in a median treatment duration of 3 months (IQR, 1-5). MDR-TB patients were diagnosed with the disease for a median of 9 months (IQR, 4-11); effective treatment was initiated relatively quickly after diagnosis. Table 1 shows response of 222 patients to EQ-5D instrument stratified by medical conditions.

## Health utility measured using EQ-5D instrument

 $U_{\rm EQ-5D}$  of the 222 patients ranged from -0.02 to 1.0 (median, 0.7; IQR, 0.6–1.0). One of eight MDR $_{\rm TX}$  (9%) perceived his overall health was worse than death (U $_{\rm EQ-5D}$ , -0.02). This patient was diagnosed with and had been taking medication for MDR-TB for 26 months. By contrast, 7 TB $_{\rm TX}$  (22%), 16  $_{\rm any}$ TB $_{\rm C}$  (50%), 17  $_{\rm any}$ HIV (35%), 6 TB $_{\rm TX}$ /HIV (12%), and 27  $_{\rm any}$ TB $_{\rm C}$ /HIV (55%) perceived they were in full health (U $_{\rm EQ-5D}$ , 1.0). **Table 2** shows median U $_{\rm EQ-5D}$  of the 222 patients stratified by medical conditions. Overall, the median U $_{\rm EQ-5D}$  was highest among  $_{\rm any}$ TB $_{\rm C}$  (1.0; IQR, 0.7–1.0) and lowest among MDR $_{\rm TX}$  (0.5; IQR, 0.4–0.7).

## Health utility measured using EQ-VAS instrument

The  $U_{VAS}$  ranged from 0.0 to 1.0 (median, 0.8; IQR, 0.7–0.9).**[Table 2]** The same MDR<sub>TX</sub> with  $U_{EQ-5D}$  of -0.02 rated his health condition equivalent to death on EQ-VAS. Three TB<sub>TX</sub> (9%), 10  $_{any}$ TB<sub>C</sub> (31%), 10  $_{any}$ HIV (20%), 2 TB<sub>TX</sub>/HIV (4%), and 7  $_{any}$ TB<sub>C</sub>/HIV (14%) perceived they were in full health. The median  $U_{VAS}$  was relatively high in all groups of patients, except MDR<sub>TX</sub> (0.6; IQR, 0.4–0.8) and TB<sub>TX</sub>/HIV (0.7; IQR, 0.6–0.8) whose median scores were slightly lower.

## Concordance between U<sub>EQ-5D</sub> and U<sub>VAS</sub>

Concordance correlation coefficient between  $U_{\rm EQ-5D}$  and  $U_{\rm VAS}$  was 0.6 (95% confidence interval [CI], 0.5–0.7). Twenty-two patients (10%) rated equivalent health utilities on the two instruments. Eighty-six patients (39%) rated  $U_{\rm EQ-5D}$  higher than  $U_{\rm VAS}$ ; 114 patients (51%) rated  $U_{\rm EQ-5D}$  lower than  $U_{\rm VAS}$ . Bland-Altman plot in **Figure 1** shows the differences between health utilities measured using EQ-5D and EQ-VAS instruments in relation to their means. Moderate agreement was observed. The 95% limits of agreement were shown at -0.32 and 0.38. The line of the average of the observed differences (average bias) was shown at 0.03.

#### Predictors of health utility

In tobit regression analysis, factors independently predictive of  $U_{EQ-5D}$  included age and monthly household income. **[Table 3]** Patients aged  $\geq 40$  years old rated  $U_{EQ-5D}$  significantly lower than those aged  $\leq 40$  years old, adjusting for medical condition and monthly household income (estimate, -0.08; CI, -0.14 to -0.004). We found a dose response effect when examining

Table 1. Response of 222 Thai patients with various medical conditions to EuroQol 5D instrument, August to October 2009.

	All (n=222)	TB <sub>TX</sub> (n = 32)	MDR <sub>TX</sub> (n = 11) n (%)	n (%)	n (%)	TB <sub>TX</sub> /HIV (n = 49) n (%)	anyTB <sub>C</sub> /HIV (n = 49)
	n (%)						
Mobility							
No problem	172 (77)	21 (66)	5 (45)	28 (88)	41 (84)	32 (65)	45 (92)
Moderate problem	50 (23)	11 (34)	6 (55)	4 (12)	8 (16)	17 (35)	4 (8)
Severe problem	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
Self care							
No problem	210 (95)	26 (81)	9 (82)	32 (100)	48 (98)	47 (96)	48 (98)
Moderate problem	12 (5)	6 (19)	2 (18)	0 (0)	1 (2)	2 (4)	1 (2)
Severe problem	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
Usual activities							
No problem	161 (73)	22 (69)	3 (27)	29 (91)	40 (82)	26 (53)	41 (84)
Moderate problem	57 (26)	9 (28)	6 (55)	3 (9)	9 (18)	22 (45)	8 (16)
Severe problem	4 (1.8)	1 (3)	2 (18)	0 (0)	0 (0)	1 (2)	0 (0)
Pain/discomfort							
No problem	95 (43)	10 (31)	0 (0)	18 (56)	23 (47)	12 (24)	32 (65)
Moderate problem	122 (55)	21 (66)	9 (82)	14 (44)	25 (51)	36 (73)	17 (35)
Severe problem	5 (2)	1 (3)	2 (18)	0 (0)	1 (2)	1 (2)	0 (0)
Anxiety/depression							
No problem	125 (56)	15 (47)	3 (27)	25 (78)	27 (55)	23 (47)	32 (65)
Moderate problem	93 (42)	17 (53)	7 (64)	7 (22)	20 (41)	26 (53)	16 (33)
Severe problem	4 (2)	0 (0)	1 (9)	0 (0)	2 (4)	0 (0)	1 (2)

 $TB_{TX}$ , TB patients receiving TB treatment; MDR<sub>TX</sub>, MDR-TB patients receiving MDR-TB treatment;  $a_{ny}TB_{C}$ , patients who had been successfully treated for TB or MDR-TB for  $\geq$ 6 months;  $a_{ny}HIV$ , HIV-infected patients at any stage;  $TB_{TX}/HIV$ , HIV-infected TB patients receiving TB treatment;  $a_{ny}TB_{C}/HIV$ , HIV-infected patients who had been successfully treated for TB or MDR-TB for  $\geq$ 6 months. doi:10.1371/journal.pone.0029775.t001

impact of household income on  $U_{\rm EQ-5D}$ . Patients with monthly household income  $\geq 5{,}000$  Thai Baht rated  $U_{\rm EQ-5D}$  significantly higher than patients with lower income, adjusting for medical condition and age. **[Table 3]** In the analysis of  $U_{\rm VAS}$ , we did not find any factor predictive of  $U_{\rm VAS}$ .

## Difference in health utility

We found that  $U_{EQ-5D}$  were highest in  $_{any}TB_{C}$ , followed by  $_{any}TB_{C}/HIV$ ,  $_{any}HIV$ ,  $TB_{TX}$ ,  $TB_{TX}/HIV$ , and  $MDR_{TX}$ , adjusting for age and monthly household income. With Bonferroni's adjustment, patients could be divided, according to the fitted

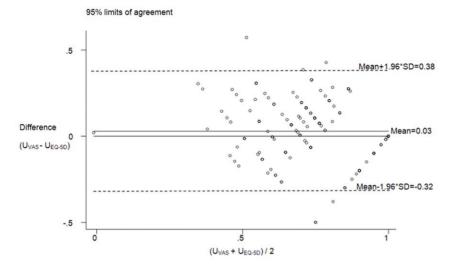
**Table 2.** Health utilities of 222 Thai patients with various medical conditions measured using EuroQol 5D and EuroQol visual analogue score instruments, August to October 2009.

Patients	TB treatment	% receiving anti- retroviral therapy	N	Health utility by instrument			
				Median EQ-5D (IQR)	SD	Median EQ-VAS (IQR)	SD
ТВ	On TB treatment	Not applicable	32	0.69 (0.57–0.77)	0.22	0.80 (0.70-0.90)	0.15
MDR-TB	On MDR-TB treatment	Not applicable	11	0.51 (0.39–0.73)	0.21	0.60 (0.40-0.80)	0.25
TB or MDR-TB	Cured or completed treatment	Not applicable	32	0.88 (0.67–1.00)	0.17	0.85 (0.80–1.00)	0.15
HIV	Not applicable	94%	49	0.73 (0.63–1.00)	0.19	0.80 (0.70-0.90)	0.15
TB with HIV	On TB treatment	55%	49	0.67 (0.57–0.73)	0.16	0.70 (0.60-0.80)	0.16
TB or MDR-TB with HIV	Cured or completed treatment	100%	49	1.00 (0.69–1.00)	0.18	0.80 (0.70-0.90)	0.14
			222	0.73 (0.62-1.00)	0.21	0.80 (0.70-0.90)	0.17

TB, tuberculosis; MDR-TB, multi-drug resistant tuberculosis; EQ-5D, EuroQol 5D instrument; EQ-VAS, EuroQol visual analogue scale instrument; IQR, interquartile range; SD, standard deviation.

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 $U_{EQ-5D}$ , health utility measured using EuroQol 5D instrument;  $U_{VAS}$ , health utility measured using EuroQol visual analogue scale instrument; SD, standard deviation; dashed lines are plotted indicating the limits of agreement (mean $\pm 1.96$ \*standard deviation).

Figure 1. Bland-Altman plot showing the differences between health utilities measured using EuroQol 5D and EuroQol visual analogue scale instruments in relation to the mean of the two measurements in the 222 Thai patients, August to October 2009. doi:10.1371/journal.pone.0029775.g001

utilities, into three non-mutually exclusive groups: 1)  $_{any}TB_{G}$ ,  $_{any}TB_{C}/HIV$ ,  $_{any}HIV$ ; 2)  $_{any}HIV$ ,  $TB_{TX}$ ,  $TB_{TX}/HIV$ ; and 3)  $TB_{TX}$ ,  $TB_{TX}/HIV$ ,  $MDR_{TX}$ . **Figure 2** shows estimated differences in health utilities of patients with various medical conditions, adjusting for age and monthly household income. In

the analysis of  $U_{\rm VAS}$ , we found that  $U_{\rm VAS}$  of patients in different groups ranked in the same order as that seen in the analysis of  $U_{\rm EQ-5D}$ . However, because the model fitted poorly we did not further examine if and how each group of patients differed from one another.

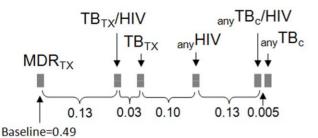
**Table 3.** Multivariate tobit regression analysis examining determinants of health utility of 222 Thai patients measured using EuroQol 5D instrument, August to October 2009.

	Estimates	95% confidence inter	p-value	
		Lower	Upper	
Patient group				
TB <sub>TX</sub>	-0.24	-0.37	-0.10	<0.01
$MDR_{TX}$	-0.41	-0.58	-0.24	<0.01
$_{any}TB_C$	ref	ref	ref	
$_{any}HIV$	-0.13	-0.26	0.01	0.04
TB <sub>TX</sub> /HIV	-0.27	-0.39	-0.15	<0.01
$_{\rm any}{\rm TB}_{\rm C}/{\rm HIV}$	-0.01	-0.14	0.13	0.93
Age group (years)				
<40	ref	ref	ref	
≥40	-0.08	-0.15	-0.003	0.04
Monthly household income (Thai Baht)*				
<5,000	ref	ref	ref	
5,000-9,999	0.09	0.004	0.17	0.04
10,000–19,999	0.13	0.03	0.23	0.01
≥20,000	0.17	0.06	0.28	<0.01

TB, tuberculosis; MDR-TB, multi-drug resistant tuberculosis; TB<sub>TX</sub>, TB patients receiving TB treatment; MDR<sub>TX</sub>, MDR-TB patients receiving MDR-TB treatment;  $_{any}$ TB<sub>C</sub>, patients who had been successfully treated for TB or MDR-TB for  $\geq 6$  months;  $_{any}$ HIV, HIV-infected patients at any stage; TB<sub>TX</sub>/HIV, HIV-infected TB patients receiving TB treatment;  $_{any}$ TB<sub>C</sub>/HIV, HIV-infected patients who had been successfully treated for TB or MDR-TB for  $\geq 6$  months; ref, referent group.

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Numbers indicate estimated difference in health utilities;  $TB_{TX}$ , TB patients receiving TB treatment;  $MDR_{TX}$ , MDR-TB patients receiving MDR-TB treatment;  $a_{ny}TB_C$ , patients who had been successfully treated for TB or MDR-TB for  $\geq 6$  months;  $a_{ny}HIV$ , HIV-infected patients at any stage;  $TB_{TX}/HIV$ , HIV-infected TB patients receiving TB treatment;  $a_{ny}TB_C/HIV$ , HIV-infected patients who had been successfully treated for TB or MDR-TB for  $\geq 6$  months.

Figure 2. Schematic diagram showing estimated difference in health utilities of 222 Thai patients with various medical conditions adjusting for age and monthly household income and measured using EuroQol 5D instrument, August to October 2009. doi:10.1371/journal.pone.0029775.q002

## U<sub>CAL</sub> versus the actual data

The median health utility of 49  $TB_{TX}/HIV$  was relatively high (0.7 for both  $U_{EQ-5D}$  and  $U_{VAS}$ ).  $U_{CAL}$  for patients with TB and HIV co-infection (0.8) was statistically different from the measured  $U_{EQ-5D}$  (p<0.01), and  $U_{VAS}$  (p<0.01). Of the 49  $TB_{TX}/HIV$ , 43 (88%) rated  $U_{EQ-5D}$  lower than  $U_{CAL}$ . Six (12%) rated  $U_{EQ-5D}$  higher than  $U_{CAL}$ . None of  $TB_{TX}/HIV$  rated  $U_{EQ-5D}$  equal to  $U_{CAL}$ . Likewise, 31 patients (63%) rated  $U_{VAS}$  lower than  $U_{CAL}$ . Nine (18%) rated  $U_{VAS}$  higher than  $U_{CAL}$ . Nine patients (18%) rated  $U_{VAS}$  equal to  $U_{CAL}$ .

#### Discussion

In this study, we found that the Thai language EQ-5D and EQ-VAS instruments could be used for measuring and evaluating health utility in a selected group of TB and HIV patients. Further, patients' age and monthly household income were found to be determinants of  $U_{\rm EQ-5D}$ . TB and MDR-TB treatment may impact health utilities of patients receiving such treatment. This effect diminished after successful treatment of the disease. Health utilities of patients with HIV and TB calculated using multiplicative model for two co-morbidities overestimated the directly measured utilities.

To our knowledge, this is the first study that elicited health utility in HIV-infected TB patients and compared health utilities between HIV-infected and HIV-uninfected TB patients. Our study is also the first study demonstrating feasibility of the Thai language EQ-5D and EQ-VAS instruments in measuring health utility in a Thai TB population regardless of HIV-infection. The English versions of the instruments were recommended for use in all groups of patients and the Thai versions have recently been ratified by the EuroQol Group's Translation Committee. [34,35] Both instruments could identify differences in health utilities among patients with different medical conditions. In this study, more than half were elderly or adults with co-morbidity who had finished basic schooling. All successfully completed the study task using the EQ-5D and EQ-VAS. We believe that this would not be possible with the original English language self-administered instruments. It should be noted that assistance from study personnel was only to read questions on instruments to participants who had difficulty reading. Color-coded supplemental tool was used to help participants who had trouble remembering answer choices. Further, a study by Puhan et al has documented that administration formats do not have a meaningful effect on repeated measurements of patient-reported HRQL outcomes. [36] While our study was conducted among a sample of TB patients, the socio-demographic and health characteristics of our population were similar to those of the population-based TB surveillance network in Thailand. This suggests our findings may be generalisable to the wider Thai TB population. [22,37]

Consistent with previously studies, higher HRQL, the  $U_{\rm EQ-5D}$  in our study, was correlated with younger age and higher household income, likely because of better prognosis. [12,38, 39,40] Yet, we did not find significant associations between sex, education, health insurance coverage, and HRQL as found in other studies or any predictor for  $U_{\rm VAS}$ . [39,41] This may be due to different characteristics of the populations studied. Our population was out-patients receiving services at hospitals and more than 90% were covered by health insurance. In contrast, those in Duyan's study were hospitalized TB patients with low levels of education and no social insurance coverage. [39] Additionally, those in both Duyan's and Nyamathi's studies reported insufficient housing conditions. [39,41]

The U<sub>EO-5D</sub> and U<sub>VAS</sub> obtained from this study were in line with other studies which suggested that impaired health utility occurred during TB and MDR-TB treatments. [21,38,42] Nearly half of our TB patients were still in the intensive phase of TB treatment, making them more prone to disutility. Moreover, 63% of MDR-TB had been on treatment for more than six months with one patient being on treatment for more than two years. This finding together with those from our previous study suggests that provision of a more holistic approach to medical care not limited only to HIV and TB treatment may be beneficial to the patients. [21] Interventions focusing on symptom management and coordination of care may help relieve symptoms and improve patients' ability to tolerate medical treatment as well as help them gain the strength to carry on with daily life. In this study, we also found that improved health utility after TB treatment was more pronounced in HIV-infected patients than those uninfected in nearly all domains. This is likely due to relief of some TB symptoms and adverse events from HIV and TB drug interactions. [21,43] Our study did not measure markers of disease progression (e.g., CD4+ T-lymphocyte) among those HIV-infected. Nonetheless, studies have reported that HIV-infected patients with or

without AIDS appeared to have similar levels of HRQL in the era of highly active ART. This could likely be explained by the effectiveness of medication in reversing the progression of disease in individuals with AIDS and accommodation to the stress of living with the disease. [44,45] In fact, over 80% of HIV-infected patients in our study were receiving ART and were among those whose  $U_{\rm EQ-5D}$  were highest. This finding implies that ART delivery in the public sector of Thai healthcare system may have an impact not only on patients' survival as has been found in other studies, but also HRQL and ability to function in society. [46,47,48]

It is noteworthy that health utilities of persons with two comorbidities calculated using multiplicative model were overestimated compared to those measured directly using EQ-5D and EQ-VAS. Because co-morbidities are common, this finding warrants further research of how best to estimate utilities of patients with such conditions.

There are a number of limitations in our study. First, enrollment of patients was not done in a random or systematic manner due to operational constraints. As mentioned, socio-demographic and health characteristics of our patients were similar to those in a multi-site population-based TB surveillance system, suggesting interviewed patients may be broadly representative. Second, there was only one MDR<sub>TX</sub>/HIV enrolled in our study; this patient was subsequently excluded from the analysis because of small sample size. This implies the rarity of this sub-population in Thailand. HRQL in this particular group remains an open question that needs to be addressed by future research in settings where  $\mathrm{MDR}_{\mathrm{TX}}/\mathrm{HIV}$  is more prevalent. Further, the required sample size for MDR<sub>TX</sub> was not met, prompting caution when interpreting data of this particular group of patients. Third, we did not further stratify patients based on sputum smear microscopy results because of the restriction to enrol only patients who had received TB treatment for ≥2 weeks. Some of these patients were expected to have a conversion by the interview time. In India, Dhingra and Rajpal have documented difference in HRQL between smear positive and negative TB patients using a TBspecific instrument. [49] We were unable to investigate if this difference existed in our study. Fourth, screening for active TB among our HIV-infected patients may not have been optimal. It is possible that some patients may have had undiagnosed TB, resulting in misclassification. However, patients in our study were routinely asked if they had coughed along with other symptoms. This information was passed to attending physicians. Therefore, we believe that number of undiagnosed TB should be small. Lastly, as for other HRQL instruments, the EQ-5D and EQ-VAS reflect patients' opinions. Different individuals assign different

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values to the same health state, and consequently vary in their preferences. Further, as pointed out by Aghakhani et al, the overall responses to the EQ-5D instrument (which has three possible answers) may be forced to the mid-range category because few patients endorse the 'severe' value and some limitation is often present. This possibly results in diverting responses away from the 'no limitation' option. [50] The EQ-5D has been critiqued as less sensitive than disease-specific measurements resulting in possible overestimation of patients' HRQL. Nonetheless, because one of the study goals was to identify utility values that could be used for economic modelling in the future, the ability to compare across diseases outweighed the sensitivity concerns.

In resource-limited settings, economic analysis is increasingly carried out to inform practice guidelines, funding decisions, and research initiatives. Utility data collected from our study may be incorporated into cost-effectiveness and cost-utility analyses. These in turn allow TB control strategies to be compared more directly with other public health interventions, with respect to both costs and consequences and whether the interventions are of benefit in relation to HRQL. Our findings also suggest that the EQ-5D and EQ-VAS have discriminative power and are responsive to clinically important changes related to TB treatment.

## **Supporting Information**

Table S1 Socio-demographic and health characteristics of 222 Thai patients with various medical conditions, August to October 2009.\*  $(\mathrm{DOCX})$ 

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## **Author Contributions**

Conceived and designed the experiments: WK PK YT VC SW. Performed the experiments: WK PK YT JY SN WM. Analyzed the data: WK PK. Contributed reagents/materials/analysis tools: WK PK YT. Wrote the paper: WK.

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