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Author manuscript *AIDS*. Author manuscript; available in PMC 2015 October 23.

Published in final edited form as:

AIDS. 2014 October 23; 28(16): 2429–2438. doi:10.1097/QAD.00000000000427.

# Advanced Disease at Enrollment in HIV Care in Four Sub-Saharan African Countries: Change from 2006-2011 and Multi-Level Predictors in 2011

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# Abstract

**Objectives**—To examine changes between 2006 and 2011 in the proportion of HIV-positive patients newly-enrolled in HIV care with advanced disease and the median CD4+ cell count at enrollment; and identify patient-, facility-, and contextual-level factors associated with late enrollment in care in 2011.

**Design**—Cross sectional over time.

**Methods**—For time trends analyses, routinely-collected patient-level data (307,110 adults newlyenrolled in 138 HIV clinical care facilities) in Kenya, Mozambique, Rwanda and Tanzania; and for analyses of correlates, patient-level data (46,201 in 195 facilities), and facility- and populationlevel survey data were used. Late enrollment was defined as CD4+ count 350 cells/µl and/or WHO clinical stage 3/4.

**Results**—Late enrollment declined from 69.9% to 57.2%, (p<0.0001); median CD4+ count increased from 242 to 292 cells/µL ( $p_{trend}$ <0.0001). In 2011, risk of late enrollment was significantly higher for men and non-pregnant women vs. pregnant women; patients aged >25 vs. 15-25 years; non-married vs. married; and those entering from sites other than prevention of mother to child transmission (PMTCT). More extensive HIV testing coverage in the region of a facility was significantly associated with lower risk of late enrollment.

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**Conclusions**—Despite improvement, in 2011, 57% of patients entered HIV care already ARTeligible. The lower risk of late enrollment among those referred from PMTCT and in regions where HIV testing coverage was higher suggests that innovative approaches to rapidly increase testing uptake among people living with HIV prior to the development of symptoms have the potential to reduce late enrollment in care.

#### Introduction

Enrollment in HIV clinical care at advanced stage of disease (late enrollment in care) denotes critical missed opportunities to improve the health and well-being of HIV-positive people[1-3], and limits the potential of treatment at earlier stages of HIV disease to reduce HIV transmission[4] and potentially attenuate incidence[5-7]. Most studies of the prevalence, correlates, and outcomes of late enrollment in HIV care have been conducted in Europe and North America[1, 2, 8-18]. In sub-Saharan Africa, studies have largely focused on advanced disease at ART initiation[19, 20, 21]. Far less is known about advanced disease at enrollment in HIV care, a critical precursor to late ART initiation in this region[22]. In particular, whether the prevalence of late enrollment has changed over time with scale-up of care and treatment in sub-Saharan Africa is unknown. Additionally, multiple barriers to early diagnosis and prompt linkage to care likely exist in sub-Saharan Africa, but with few exceptions[23-25] only individual-level correlates have been examined. Characteristics of the HIV care facility and features of the broader social environment in which a facility operates[21, 26] may also influence timing of enrollment in HIV care.

Advanced HIV disease has frequently been defined as a CD4+ count 200 cells/µL, or even lower (e.g., [15, 17, 25]. In 2010, the World Health Organization (WHO) released expanded criteria for ART eligibility in low and middle income countries, recommending ART initiation for persons with CD4+ count <350 cells/µL or WHO stage 3 or 4[27]. In this study we defined late enrollment in care based on the WHO 2010 treatment guidelines, in order to describe progress toward meeting the goal of universal access to treatment. Specifically, we (1) examined changes between 2006 and 2011 in the proportion of HIV+ patients who enrolled late in HIV care and in the median CD4+ cell count at enrollment; and (2) identified individual-, program-, and contextual-level factors associated with late enrollment in care in 2011.

## **Methods**

#### **Study population**

The study population included HIV-positive adults aged 15 to 85 years newly enrolling in HIV care between January 1, 2006 and December 31, 2011 at 138 HIV care facilities that provided continuous services during this time period in four sub-Saharan African countries: Kenya (64 facilities), Mozambique (29), Rwanda (24), and Tanzania (21). An additional 57 facilities providing services in 2011 were included in the analysis of correlates of late enrollment in 2011 (N=195). All programs were receiving technical support from ICAP at Columbia University through funding from the United States President's Emergency Plan for AIDS Relief (PEPFAR). Provision of services at each facility was conducted according to national guidelines with all providing both pre-ART and ART services. These facilities

had implemented electronic databases to manage patient information routinely collected during each visit; when compared to all facilities supported by ICAP in those same countries during the study period, the facilities included in this analysis had higher patient volume, and were more likely to be located in urban areas and to be higher-level facilities (data not shown).

For this study, we included patients if CD4+ cell count and/or WHO clinical staging information were available up to three months after enrollment in HIV care, given that CD4+ counts are not always performed immediately at enrollment. Additionally, patients who were missing both CD4+ count and clinical stage were included if they initiated ART within one month of enrollment, as these patients enrolled late and likely were initiated on ART without documentation of CD4+ count and/or clinical stage.

#### Data sources

Patient information routinely collected during each clinic visit was documented by clinicians on national patient forms. This information was regularly entered into on-site electronic databases by data clerks. Data quality assessments were conducted at least annually at each facility using a standardized protocol. This entailed drawing a random sample of approximately 5-20% (depending on total patient volume) and assessing completeness and accuracy of key data elements. Remediation plans were developed for sites with poor data quality (<80% completeness and accuracy) and data quality was re-assessed after their implementation. Each quarter, data were de-identified at the site-level, encrypted, and imported into a common-format database. Use of these de-identified patient-level data for research purposes was approved by National Ethics Committees in each country, the Columbia University Medical Center Institutional Review Board, the United States Centers for Disease Control and Prevention, and the PEPFAR Office of the Global AIDS Coordinator (OGAC).

Data on facility characteristics were derived from structured assessments completed by ICAP staff in-country in August 2011. The assessment comprised 85 closed-ended questions about the types of HIV-related services provided at the facility and the characteristics of the HIV program (e.g., staffing configurations, availability of supportive services). Administration of this assessment was considered non-human subjects research because data referred to facilities, not individuals.

Contextual-level data were obtained from national Census and from country-specific, population-based surveys, namely the Demographic and Health Surveys and AIDS Indicator Surveys, using the most recently completed survey–2008 for Kenya, 2009 for Rwanda, 2011 for Tanzania and Mozambique. For Kenya and Rwanda, 2011 estimates for contextual-level variables were imputed by extending the slope between the most recent available data and that from the previous time point.

#### Measures

The main study outcome, derived from the routinely collected patient data, was enrollment in HIV care with advanced HIV disease, or late enrollment in care. Late enrollment in care was defined as having CD4+ count  $350 \text{ cells/}\mu\text{l}$  and/or WHO clinical stage 3/4 documented

within three months of enrollment. In addition, those who were missing these parameters were considered to have enrolled in care late if they initiated ART within one month of enrollment in care.

Predictors were selected based on our conceptual framework, including factors that might influence late testing or delayed enrollment after diagnosis(22). Individual-level variables examined included age, sex, pregnancy status, marital status, and point of entry into care. The latter was categorized as voluntary counseling and testing [VCT] service, prevention of mother-to-child transmission [PMTCT] program, or provider-initiated testing and counseling [PITC] (including HIV testing among inpatients or outpatients in the general outpatient department, family planning clinic, TB clinic, or sexually transmitted infections [STI] clinic, depending on the country).

Facility-level variables examined included type of setting (urban, rural), type of facility (primary, secondary, tertiary, private), program size (i.e., number of patients active in HIV care, both in HIV care and on ART in the quarter prior to each individual's date of enrollment), number of days/week of facility operation, and availability of VCT and PMTCT on site.

Contextual measures were created for each of the 15 sub-national regions where one of the study facilities was located; they included HIV prevalence, HIV knowledge (mean score on five items assessing comprehensive HIV knowledge), testing coverage (percent of population tested and received results within the past 12 months), stigma (mean score on four items indicating acceptance of HIV+ people, reverse coded), education (percent of population having completed primary school), employment (percent of population employed), income distribution (percent of households in the first quintile of the national wealth index).

#### **Statistical Analyses**

We conducted two statistical analyses. The first assessed change over time in late enrollment in care and median CD4+ cell count at enrollment, and included the 138 facilities that provided services from 2006 to 2011. For late enrollment, statistical significance tests were performed using a Poisson regression model with generalized estimating equations (GEE) to account for clustering within facilities, treating calendar time as a continuous time measure. The models produced risk ratios for late enrollment per half year increase in calendar time. For median CD4+ count at enrollment we used the Kruskal Wallis test for comparisons over time. To assess the effect of missing outcome data on estimates of change over time, we multiply imputed outcomes for those missing both CD4+ count and WHO clinical stage at enrollment and compared the findings to those observed with complete data.

The second analysis examined correlates of late enrollment in care in 2011 and included the 195 facilities providing services at that time. Relative risks of late enrollment in care were estimated using generalized linear models with a binomial distribution and a log link with GEE. Modeling was done in several steps. First, individual-level variables that were significantly associated with late enrollment in bivariate analyses were included in a multivariable model and retained if they were significant at p<0.05. Second, the same was

done for facility-level variables. Next, variables that were significant in each of these two multivariable models were combined into a two-level model and retained if they were significant. Fourth, as we did not have sufficient regions to assess the independent associations of contextual-level variables with late enrollment, each contextual-level variable was added to the two-level model and retained if statistically significant. Finally, non-significant correlates were dropped unless they altered other estimates by 10% or more. We conducted sensitivity analyses to determine if findings were altered if (1) the definition of late enrollment was based on the 2006 WHO treatment guidelines (CD4+ 200 cells/µL or WHO Stage 4 or; if missing CD4+ count, WHO Stage 3 or; if pregnant or active TB, CD4+ 350 cells/µL)[28]; (2) data for each country were removed; (3) the analysis was restricted to the 138 facilities used in the time trend analysis; and (4) patients defined as enrolling late only on the basis of having initiated ART within one month of enrollment were removed.

# Results

#### Study population and missing data

In the 138 facilities that reported data from 2006 to 2011, 307,100 HIV-positive newlyenrolled adult patients had data on CD4+ count and/or WHO stage. In 2006, 44,804 patients (83.5% of all newly enrolled adults) met these criteria; in 2011, the respective number was 39,725 (91.4%) (Table 1). Considering the proportion missing both CD4+ count and WHO stage in 2006 and 2011, a consistent decrease was observed across all patient characteristics (Supplementary Table 1a). Additionally, among those missing CD4+ count but not WHO stage, the distribution of stage did not differ substantially between the two years (Supplementary Table 1b).

As shown in Table 1, across all study years, most patients were non-pregnant women (59.8%); aged 26 and 35 years (38.4%); and married or living with a partner (47.4%). Patients were most likely to have entered care via a PITC site (44.7%) or VCT (39.1%), with only 9.9% enrolled through PMTCT services. The largest proportion of patients was enrolled in Mozambique (54.8%). Between 2006 and 2011 there were statistically significant changes in the distribution of patients across all characteristics (p<.0001) except for those aged 25-36 years.

#### Change in late enrollment in HIV care and CD4+ cell count at enrollment, 2006-2011

Between 2006 and 2011 a significant decrease in late enrollment in HIV care was observed; the percent of patients that enrolled late declined from 69.9% in 2006 to 57.2% in 2011, corresponding to a 1.5% decline per half year change in calendar time (p<0.0001) (Table 1 and Figure 1a). When we multiply imputed the outcome for those missing both CD4+ and stage, the estimate for change over time was only slightly attenuated; therefore, all reported results are those with complete data.

A statistically significant decline was observed among women, both non-pregnant (1.4%) half-year, p<0.0001) and pregnant (3%/half-year, p<0.001), as well as among men (1%/half-year, p<0.0001), and across all four countries (Kenya 1.9%/half-year, p<0.0001,

Mozambique 0.7%/half-year, p=0.05, Rwanda 2.6%/half-year, p<0.0001, Tanzania 3.1%/ half-year, p<0.0001) (Figure 1b). Additionally, the risk of late enrollment was significantly lower in 2011 compared with 2006 across subgroups defined by age, marital status, and enrollment point of entry (p<.0001 for all pairwise comparisons) (Table 1).

Among patients who had CD4+ count at enrollment (54.7% in 2006 and 60% in 2011), the median CD4+ count increased significantly from 242 cells/µL in 2006 to 292 cells/µL in 2011 ( $p_{trend}$ <0.0001), or 50 cells/µL in 6 years (Figure 2a). A significant increase in median CD4+ count was noted over the study years among non-pregnant and pregnant women, and men ( $p_{trend}$ <0.0001) (Figure 2a), and in all countries ( $p_{trend}$ <0.0001) (Figure 2b). Considering the full distribution of CD4+ count at enrollment including those missing (Figure 3), the proportion with CD4+ 350 cells/µL increased from 19% to 24.8% of patients (with an increase from 10.8% to 14.5% among those with CD4+ 500 cells/µL), and the proportion with CD4+ <200 cells/µL decreased from 23.4% to 21.6% (overall  $\chi^2$  p<. 0001).

#### Multi-level correlates of late enrollment in HIV care, 2011

In the 195 facilities that reported data in 2011, 46,201 newly-enrolled adult patients had sufficient information to define whether they enrolled late in care (91% of all newly-enrolled adults); of these, 55.6% enrolled late (Table 2). In unadjusted analyses, all patient-level variables examined were significantly associated with late enrollment. At the facility level, risk of late enrollment was lower in primary and private sites relative to tertiary sites, and it was higher in facilities with larger patient loads. At the contextual level, higher HIV prevalence, HIV stigma, and coverage of primary education were significantly associated with higher risk of late enrollment in care, whereas higher population testing coverage, HIV knowledge, and employment were associated with lower risk of late enrollment.

In multivariable analyses, relative to pregnant women, non-pregnant women had a significantly higher risk of late enrollment (ARR=1.52; 95% CI: 1.34, 1.72), as did men (ARR=1.74; 95% [CI: 1.54, 1.98). Other individual-level factors associated with higher risk of late enrollment were older age relative to age 15-25 years (ARR:26-45 years=1.28; 95% CI:1.22, 1.34; ARR:46-55 years=1.35; 95% CI:1.28, 1.42); and, relative to being married or living with a partner, being widowed or divorced (ARR=1.14;95% CI:1.11, 1.71), never married (ARR=1.12; 95% CI:1.10, 1.15), or of unknown marital status (ARR=1.10; 95% CI:1.06, 1.15). Compared with those enrolled through PMTCT services, risk of late enrollment in care was significantly higher for patients enrolled through VCT (ARR=1.34; 95% CI:1.23, 1.47), PITC (ARR=1.54; 95% CI:1.41, 1.69), or an unknown point of entry (ARR=1.46; 95% CI:1.33, 1.60).

Of facility-level factors, patients enrolled in care at larger vs. smaller programs–measured by number of active patients–had a slightly higher risk of late enrollment (ARR=1.10; 95%CI:1.00, 1.21 for programs with 700 vs. <300 active patients). With respect to contextual-level factors, risk for late enrollment in care was significantly lower in facilities located in regions with higher HIV testing coverage. Relative to regions where 20% of the population tested and received HIV results in the past year, in regions where 40% of the

population did so the ARR=0.76 (95%CI:0.58, 0.97). No other contextual-level variable retained significance in the final model.

In a sensitivity analysis using the WHO 2006 criteria for ART initiation to define late enrollment in care, non-pregnant vs. pregnant women did not have higher risk of late enrollment. The results of all other sensitivity analyses were consistent with the final model, although some estimates were slightly attenuated (data not shown).

# Discussion

This study, using data from a large population of patients in diverse settings across four sub-Saharan countries, is one of the few analyses, and the largest to date, of late enrollment in HIV care in this region. It demonstrated that enrollment in HIV care with advanced HIV disease declined over time concurrent with efforts to scale up HIV treatment in the same countries. (In 2006, 23% of those needing ART in sub-Saharan Africa were receiving it[29], and this percentage increased to 57% by 2011[30]). In our study, between 2006 and 2011, the prevalence of late enrollment in HIV care decreased from 69.9% to 57.2%, and the median CD4+ count at enrollment in care increased from 242 cells/µL to 292 cells/µL. A decline in late enrollment was observed across all categories of patients and in all four countries, strengthening the conclusion that these results reflect actual changes and are not merely a consequence of shifts in the characteristics of the patient populations enrolling in these programs. The possibility remains that some portion of the decline in late enrollment into care that we observed is due to a relative increase in the number of healthier individuals who had outcome data in the latter years of this study. However, based on analyses examining characteristics of patients missing outcome data in 2006 and 2011 and on reviewing multiply imputed outcome data, we believe this attenuation, if present, is small.

Despite these encouraging findings, our analysis also showed that in 2011, nearly a decade after HIV service scale-up began in sub-Saharan Africa, more than half of all individuals enrolled in care when they already were eligible for ART by 2010 WHO guidelines. Without a significant change in the observed rate of decrease in late enrollment in care—3% per year —more than another decade will pass before fewer than one-quarter of patients will enroll late according to those guidelines. Given that the median time from HIV infection to reaching a CD4+ count <350 cells/µL is approximately four years[31], our study suggests that opportunities are missed to diagnose individuals earlier in disease, and underscores that greater efforts are needed to identify and link to care those with HIV infection prior to development of symptoms.

To further understand the factors associated with late enrollment in HIV care, we examined its correlates in 2011. More extensive HIV testing coverage in the areas surrounding the care facility was associated with a lower risk of late enrollment, even controlling for HIV prevalence, suggesting that expanded testing could lead to earlier enrollment in care. Community-based testing campaigns[7] and home-based counseling and testing[32] are important to pursue, although linkage to care after a positive HIV test may be a challenge with these approaches[32-34]. Research urgently needs to continue to explore these and other approaches to increase early diagnosis and prompt linkage to care.

Of the facility characteristics examined, only larger program size, in terms of number of active patients, was associated with a small increase in risk of late enrollment. This could reflect greater travel distance from outlying areas to a large central clinic or scheduling constraints at large facilities. Individual characteristics were strong predictors of late enrollment, even accounting for facility and contextual factors. As observed in two other studies in sub-Saharan Africa[23, 35], being male, unmarried, and of older age were characteristics significantly associated with late enrollment in HIV care in our study. Women typically have more contact with the health care system[36], thus likely facilitating access to earlier HIV testing and engagement in HIV care, and this is especially true for pregnant women. Older people may be less likely to believe they are at risk for HIV, potentially resulting in delayed testing[23]. Being married or living with a partner may facilitate earlier enrollment, as having a partner known or suspected to be HIV-positive is a common motivation for HIV testing[37] and partner support may encourage prompt enrollment in HIV care[38].

A patient's point of entry into HIV care also was an important correlate of late enrollment. Individuals who were diagnosed through VCT or in a PITC setting were significantly more likely to enroll late, whereas individuals who entered care through PMTCT sites were less likely to do so, even accounting for sex and pregnancy status, probably reflecting the fact that all PMTCT clients are routinely offered HIV testing. Therefore, although costly, a policy of screening rather than testing based on symptoms or the presence of risk factors might reduce the proportion of individuals who enroll late in care.

This study had a number of strengths including the large number of patients from diverse sites across sub-Saharan Africa, use of data from routine clinical care, six years of data from the same facilities, which permitted analysis of trends over time unconfounded by changes in the number of facilities included each year, and the inclusion of facility and contextual correlates of late enrollment in addition to individual characteristics. A limitation was that CD4+ cell count data at enrollment were missing for 40-45% of individuals and, other than excluding those who transferred from another site, we were unable to exclude those who may have "newly-enrolled" more than once. Furthermore, we had limited power to detect associations with contextual-level factors with only 15 sub-national regions.

In conclusion, we observed a substantial decrease in the proportion of patients enrolled in HIV care at advanced stages of HIV disease between 2006 and 2011 in 138 facilities across four sub-Saharan African countries. Despite this progress, the decline in late enrollment was slow, and 57% of patients in 2011 enrolled in care at thresholds below WHO 2010 ART treatment guidelines. Although the expansion of testing, care, and treatment has resulted in significant improvements in outcomes for HIV-positive individuals, substantial efforts are needed to ensure that further gains are achieved. Encouragingly, we found that several of the correlates of late enrollment in 2011 reflect modifiable factors. That people entering care via PMTCT and those living in regions with higher HIV testing coverage have lower risk of late enrollment underscores the importance of continuing to scale up testing in order to identify individuals earlier in disease. Innovative approaches to increase testing uptake prior to symptom development may most rapidly reduce late enrollment in care.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

### Acknowledgments

Drs. Hoffman, Wu, Nash, and Elul conceptualized the study; they, along with Drs. Lahuerta and El-Sadr, Ms. Gorrell Kulkarni, and Drs. Mugisha, Hawken, Nuwagaba-Biribonwoha, Remien, Chuva implemented the study; Dr. Wu conducted the statistical analysis; Drs. Hoffman and Elul wrote the manuscript. All authors critically reviewed and edited the manuscript.

We would like to express our gratitude to all patients at the health facilities included in this analysis. We want to acknowledge the efforts of the ICAP staff in-country and health facility staff for their commitment to confronting the HIV epidemic. We also want to thank the members of the Optimal Model Steering Committees in Kenya, Mozambique, Rwanda and Tanzania.

This work was supported by the President's Emergency Plan for AIDS Relief and by the US Centers for Disease Control and Prevention through the Optimal Models Collaboration (Grant number: 5U2GPS001537-03), and by a research grant from the National Institute of Mental Health (Grant Number R01MH089831). All the clinics included in this analysis received support from ICAP at Columbia University through funding from the President's Emergency Plan for AIDS Relief. The content is solely the responsibility of the authors and does not necessarily represent the official views of the funders. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

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Estimated from Poisson regression models with half-yearly units of continuous time Men: 1% decline per half year on average, p<0.0001 Non-pregnant women: 1.4% decline per half year on average, p<0.0001 Pregnant women: 3% decline per half year on average, p<0.0001 All patients: 1.5% decline per half year on average, p<0.0001

Figure 1a. Percent late enrollment by sex and pregnancy status among newly-enrolled patients in 138 facilities in 4 sub-Saharan African countries, 2006-2011

8.6



Estimated from Poisson	regression i	models with	half-yearly units of contir	nuous time		
Kenya: 1.9% decline p	er half year	on average,	p<0.0001			
Mozambique: 0.7% de	ecline per ha	alf year on av	erage, p<0.05			
Rwanda: 2.6% decline	e per half ye	ar on averag	e, p<0.0001			
Tanzania: 3.1% declin	e per half ye	ear on averag	ge, p<0.0001			
	2006	2007	2008	2009	2010	2011
% missing both CD4+						
count and WHO	16.5	11.8	12.2	8.4	8.5	8.6

Figure 1b. Percent late enrollment by country among newly-enrolled patients in 138 facilities in 4 sub-Saharan African countries, 2006-2011



Kruskal-Wallis test p<0.0001 for men, nonpregnant women, pregnant women, and all patients

	2006	2007	2008	2009	2010	2011
% missing CD4+ count	45.3	40.0	40.4	39.6	38.6	40.0

Figure 2a. Median CD4+ count at enrollment by sex and pregnancy status among newly-enrolled patients in 138 facilities in 4 sub-Saharan African countries, 2006-2011



Kruskal-Wallis test p<0.0001 for all countries.

Figure 2b. Median CD4 count at enrollment by country among newly-enrolled patients in 138 facilities in 4 sub-Saharan African countries, 2006-2011



# Figure 3. Distribution of CD4 count in 2006 and 2011 among newly-enrolled patients in 138 facilities in 4 sub-Saharan countries

Chi-squared test p<0.0001

Total n=53,641 for 2006 and 43,466 for 2011.

Table 1

Percent distribution of newly-enrolled patients and percent enrolled late by patient charateristics in 138 ICAP facilities in 4 sub-Saharan countries, 2006 to  $2011^I$ 

	All patie	nts enrolled between 2	006 and 2011		2006			2011	
	N	Percent of patients	Percent late	N	Percent of patients <sup>2</sup>	Percent late <sup>3</sup>	Ν	Percent of patients	Percent late <sup>3</sup>
All patients	3,07,100	100.0	63.8	44,804	100	6.69	39,725	100.0	57.2
Sex and pregnancy status									
Women (not pregnant)	1,83,565	59.8	62.4	28,111	62.7	67.6	22,412	56.4	57.1
Women (pregnant)	21,194	6'9	36.4	1,215	2.7	46.3	4,708	11.9	29.1
Men	1,02,341	33.3	72.1	15,478	34.6	76.0	12,605	31.7	67.9
Age at enrollment									
15 – 25 years	62,371	20.3	50.5	7,557	16.9	58.0	9,449	23.8	44.2
26 – 35 years	1,18,032	38.4	63.5	17,130	38.2	69.7	15,120	38.1	56.9
36 – 45 years	77,849	25.4	70.0	12,563	28	73.9	9,150	23.0	65.0
46 years	48,848	15.9	71.8	7,554	16.9	75.8	6,006	15.1	66.7
Marital status									
Married/living with partner	1,45,568	47.4	60.4	17,330	38.7	67.9	20,454	51.5	53.2
Single	69,216	22.5	65.8	9,110	20.3	72.1	9,651	24.3	59.3
Divorced/widowed	42,655	13.9	68.3	6,140	13.7	71.8	5,017	12.6	63.7
Missing	49,661	16.2	67.4	12,224	27.3	70.2	4,603	11.6	63.8
Enrollment point of entry									
VCT	1,19,910	39.1	63.0	20,723	46.3	68.6	14,137	35.6	57.4
PMTCT	30,301	6.6	35.3	2,975	6.6	41.3	5,466	13.8	31.0
PITC (TB/HIV, in/outpatient, other)	1,37,328	44.7	70.5	17,154	38.3	75.3	18,100	45.6	64.7
Unknown	19,561	6.4	6.99	3,952	8.8	74.9	2,022	5.1	59.8
Country									
Kenya - 64 facilities	76,617	25.0	63.9	10,691	23.9	74.3	8,282	20.9	58.1
Mozambique - 29 facilities	1,68,199	54.8	66.3	23,829	53.2	72.1	24,049	60.5	58.8
Rwanda - 24 facilities	23,638	L'L	48.9	6,331	14.1	53.0	1,816	4.6	43.3

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	All patie	nts enrolled between 20	06 and 2011		2006			2011	
	N	Percent of patients	Percent late	N	Percent of patients <sup>2</sup>	Percent late <sup>3</sup>	Z	Percent of patients	Percent late <sup>3</sup>
Tanzania - 21 facilities	38,646	12.6	62.0	3,953	8.8	72.3	5,578	14.0	53.6

<sup>1</sup>138 facilities are those reporting in all 6 years.

<sup>2</sup>All comparisons of proportions of population characteristics, 2006 vs, 2011, were significant at p<,0001, with the exception of age group 26-35 years, for which p=0.61.

 $^3$  All comparisons of proportions enrolled late, 2006 vs. 2011, were significant at p<.0001.

Table 2

Individual-, facility-, and contextual-level correlates of late enrollment in 2011, 195 ICAP supported HIV care clinics in Kenya, Mozambique, Rwanda, and Tanzania

	Ν	Percent of patients	Percent Late	Crude RR $(95\% \text{ CI})^I$	ARR (95% CI) <sup>1,2</sup>
All	46201	100.0	55.6		
INDIVIDUAL-LEVEL FACTORS					
Sex and pregnancy status					
Women (pregnant)	5,678	12.3	28.9	1	1
Women (not pregnant)	25,762	55.8	55.9	2.11 (1.81, 2.46)	1.52 (1.34, 1.72)
Men	14,761	32.0	65.7	2.51 (2.15, 2.94)	1.74 (1.54, 1.98)
Age at enrollment					
15 – 25 years	10,689	23.1	42.8	1	1
26 – 45 years	28,560	61.8	58.1	1.46 (1.39, 1.54)	1.28 (1.22, 1.34)
46 – 55 years	6,952	15.1	65.4	1.67 (1.58, 1.78)	1.35 (1.28, 1.42)
Marital status					
Married/living with partner	23,691	51.3	51.4	1	1
Widowed/Divorced	5,972	12.9	61.5	1.25 (1.21, 1.29)	1.14(1.11, 1.17)
Never married	11,219	24.3	58.2	1.13 (1.08, 1.17)	1.12 (1.10, 1.15)
Unknown	5,319	11.5	62.7	1.16 (1.10, 1.21)	1.1 (1.06, 1.15)
Point of entry					
PMTCT	6,552	14.2	30.0	1	1
VCT	16,546	35.8	54.9	1.93 (1.70, 2.22)	1.34 (1.23, 1.47)
PITC (TB/HIV, in/outpatient, other)	20,604	44.6	63.8	2.21 (1.94, 2.53)	1.54 (1.41, 1.69)
Unknown	2,499	5.4	6.09	2.10 (1.83, 2.40)	1.46 (1.33, 1.6)
Country					
Kenya - 69 facilities	8,397	18.2	58.0	1	I
Mozambique - 31 facilities	26,260	56.8	59.1	1.07 (0.98, 1.18)	0.79 (0.63, 1.00)
Rwanda - 44 facilities	2,678	5.8	41.0	0.68 (0.62, 0.75)	$0.84\ (0.69,\ 1.03)$
Tanzania - 51 facilities	8,866	19.2	47.7	0.80 (0.72, 0.89)	$0.93\ (0.83,1.03)$

	N	Percent of patients	Percent Late	Crude RR (95% CI) <sup>I</sup>	ARR $(95\% \text{ CI})^{I,2}$
FACILITY-LEVEL FACTORS Location					
Rural	12,549	27.2	55.1	1	
Urban	33,652	72.8	55.9	1.06 (0.97, 1.15)	
Type of site					
Tertiary	1,328	2.9	63.9	1	
Secondary	18,705	40.5	59.4	0.87 (0.70, 1.1)	
Primary	22,547	48.8	53.5	0.74 (0.60, 0.94)	
Private	3,621	7.8	47.3	$0.70\ (0.54,\ 0.90)$	
Number of patients visited the facility during quarter prior to enrollment					
<300	3,170	6.9	46.5	1	1
300-699	6,778	14.7	47.0	1.05 (0.95, 1.18)	1.03 (0.93 1.14)
>=700	36,253	78.5	58.1	1.12 (1.01, 1.25)	1.09 (1.00, 1.21)
VCT service					
No	3,314	7.2	60.5	1	
Yes	42,887	92.8	55.3	0.88 (0.76, 1.02)	
PMTCT services					
No	282	0.6	54.6	1	
Yes	45,919	99.4	55.7	1.09 (0.63, 1.87)	
Days open at facility					
<2.5 days	1,579	3.4	43.8	1	
2.5-4.9 days	6,118	13.2	53.9	1.14 (0.95, 1.39)	
>=5 days	38,504	83.3	56.5	1.13 (0.96, 1.34)	
CONTEXTUAL-LEVEL FACTORS					
Population tested for HIV and received results last 12 months, %					

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51.1

10.4

4,820

HIV prevalence, %

<=3.5

21 - 39

>=40

<=20

6,576

0.86 (0.67, 1.11) 0.76 (0.58, 0.97)

0.89 (0.78, 1.02) 0.68 (0.59, 0.78)

63.3 54.9 45.0

25.7 60.014.2

11,88927,736

	N	Percent of patients	Percent Late	Crude RR $(95\% \text{ CI})^I$	ARR $(95\% \text{ CI})^{I,2}$
>3.5 to <=10.0	21,799	47.2	53.2	1.12(1.01, 1.24)	1.03(0.78, 1.35)
>10.0	19,582	42.4	59.6	1.30 (1.14, 1.47)	$1.04\ (0.94,\ 1.15)$
Population median comprehensive HIV knowedge score					
Low	23,978	51.9	58.3	1	
High	22,223	48.1	52.9	$0.85\ (0.78,0.94)$	
Population median HIV stigma score					
Low	19,882	43.0	52.7	1	
High	26,319	57.0	57.9	1.17 (1.07, 1.27)	
Population completed primary education,%					
<=30	25,174	54.5	57.1	1	
31 – 74	12,630	27.3	51.3	$1.0\ (0.89,\ 1.12)$	
>=75	8,397	18.2	58.0	$1.19\ (1.08, 1.32)$	
Population employed,%					
<=60	20,996	45.4	59.5	1	
61 – 74	17,858	38.7	55.2	$0.90\ (0.82,0.99)$	
>=75	7,347	15.9	45.9	$0.69\ (0.62,0.78)$	
Households in the lowest quintile of national wealth index, %					
9>	20,643	44.7	56.4	1	
>=6.0 to <=20.0	12,390	26.8	49.3	$0.83\ (0.76,\ 0.90)$	
>20.0 to <=30.0	4,105	8.9	55.4	$0.72\ (0.63,0.83)$	
>30.0	9,063	19.6	62.9	$1.06\ (0.93,1.21)$	

AIDS. Author manuscript; available in PMC 2015 October 23.

I Relative risks were estimated using generalized linear models with a binomial distribution and a log link, with GEE to account for clustering within clinics. Each variable represents a separate model.

<sup>2</sup>Model is adjusted for all variables shown.