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## Incidence and Etiology of Acute Lower Respiratory Tract Infections in Hospitalized Children Younger Than 5 Years in Rural Thailand

Reem Hasan, MD, PhD<sup>\*,†</sup>, Julia Rhodes, PhD<sup>†</sup>, Somsak Thamthitiwat, MD, MPH<sup>†</sup>, Sonja J. Olsen, PhD<sup>†,‡</sup>, Prabda Prapasiri, DrPH<sup>†</sup>, Sathapana Naorat, MSc<sup>†</sup>, Malinee Chittaganpitch, MSc<sup>§</sup>, Sununta Henchaichon, RN<sup>†</sup>, Surang Dejsirilert, MSc<sup>§</sup>, Prasong Srisaengchai, MSc<sup>†</sup>, Pongpun Sawatwong, MSc<sup>†</sup>, Possawat Jorakate, BSc<sup>†</sup>, Anek Kaewpan, MPH<sup>†</sup>, Alicia M. Fry, MD<sup>‡</sup>, Dean Erdman, DrPH<sup>¶</sup>, Somchai Chuananon, MD<sup>||</sup>, Tussanee Amornintapichet, MD<sup>\*\*</sup>, Susan A. Maloney, MD, MHSc<sup>†,††</sup>, and Henry C. Baggett, MD, MPH<sup>†,††</sup>

<sup>\*</sup>CDC-Hubert Global Health Fellowship, Centers for Disease Control and Prevention, Atlanta, GA

<sup>†</sup>International Emerging Infections Program, Global Disease Detection Regional Center, Thailand Ministry of Public Health–US Centers for Disease Control and Prevention Collaboration, Nonthaburi, Thailand

<sup>‡</sup>Influenza Division, Centers for Disease Control and Prevention, Atlanta, GA

<sup>§</sup>National Institute of Health, Thailand Ministry of Public Health, Nonthaburi, Thailand

<sup>¶</sup>Division of Viral Diseases, Centers for Disease Control and Prevention, Atlanta, GA

<sup>||</sup>Nakhon Phanom Provincial Hospital, Nakhon Phanom

<sup>\*\*</sup>Crown Prince Hospital, Sa Kaeo, Thailand

<sup>††</sup>Division of Global Disease Detection and Emergency Response, Centers for Disease Control and Prevention, Atlanta, GA

### Abstract

**Background**—Pneumonia remains a leading cause of under-five morbidity and mortality globally. Comprehensive incidence, epidemiologic and etiologic data are needed to update prevention and control strategies.

**Methods**—We conducted active, population-based surveillance for hospitalized cases of acute lower respiratory tract infections (ALRI) among children <5 years of age in rural Thailand. ALRI cases were systematically sampled for an etiology study that tested nasopharyngeal specimens by polymerase chain reaction; children without ALRI were enrolled as controls from outpatient clinics.

**Results**—We identified 28,543 hospitalized ALRI cases from 2005 to 2010. Among the 49% with chest radiographs, 63% had findings consistent with pneumonia as identified by 2 study radiologists. The hospitalized ALRI incidence rate was 5772 per 100,000 child-years (95% confidence interval: 5707, 5837) and was higher in boys versus girls (incidence rate ratio 1.38,

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Address for correspondence: Reem Hasan, Departments of Internal Medicine and Pediatrics, 1500 e Medical Center dr SPC 5368, Ann Arbor, MI 48109-5376. rhasan@umich.edu..

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95% confidence interval: 1.35–1.41) and in children 6–23 months of age versus other age groups (incidence rate ratio 1.76, 95% confidence interval: 1.69–1.84). Viruses most commonly detected in ALRI cases were respiratory syncytial virus (19.5%), rhinoviruses (18.7%), bocavirus (12.8%) and influenza viruses (8%). Compared with controls, ALRI cases were more likely to test positive for respiratory syncytial virus, influenza, adenovirus, human metapneumovirus and parainfluenza viruses 1 and 3 ( $P = 0.01$  for all). Bloodstream infections, most commonly *Streptococcus pneumoniae* and nontyphoidal *Salmonella*, accounted for 1.8% of cases.

**Conclusions**—Our findings underscore the high burden of hospitalization for ALRI and the importance of viral pathogens among children in Thailand. Interventions targeting viral pathogens coupled with improved diagnostic approaches, especially for bacteria, are critical for better understanding of ALRI etiology, prevention and control.

## Keywords

pneumonia; respiratory illness; Thailand; pediatric

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An estimated 156 million childhood clinical pneumonia cases occur annually (150 million in developing nations), causing nearly 2 million deaths in children <5 years, making pneumonia the most common cause of mortality among young children worldwide.<sup>1</sup> Previous reports have noted a high pneumonia incidence in Southeast Asia,<sup>1</sup> although few country-specific estimates exist. A 2008 Bulletin of the World Health Organization (WHO) concluded that “a comprehensive and accurate description of the epidemiology and etiology” of childhood pneumonia is an essential first step for the prevention and management of disease.<sup>2</sup>

The International Emerging Infections Program at the Global Disease Detection Center, part of a collaboration between the Thailand Ministry of Public Health (MoPH) and United States Centers for Disease Control and Prevention (CDC), has conducted surveillance for acute lower respiratory tract infection (ALRI) in 2 rural provinces in Thailand since 2003. Data collected for over 140,000 ALRI hospitalizations include demographics, clinical characteristics, chest radiograph (CXR) results and, for a large subset of patients, etiologic data. In this article, we present a comprehensive summary of our data on the incidence, clinical characteristics, seasonal trends and etiology of hospitalized ALRI in children <5 years in rural Thailand.

## METHODS

### Population

Thailand is a middle-income nation with a population of 4.36 million children <5 years old and a low infant mortality rate (8 deaths/1000 live births).<sup>3</sup> Basic health care services at public facilities are free or available for a nominal fee. From 2006 to 2010, UNICEF estimated that 84% of children <5 years old with suspected pneumonia were taken to a health care provider. Neither pneumococcal conjugate vaccine nor *Haemophilus influenzae* type b (Hib) conjugate vaccine is part of Thailand’s expanded Program on immunization. Although both vaccines are available on the private market, coverage among children <5 years old in the provinces under surveillance is <2% (unpublished data).

## ALRI Surveillance

Active, population-based surveillance for hospitalized ALRI cases began in Sa Kaeo Province (eastern Thailand, bordering Cambodia; population 549,844 persons; 32,960 <5 years of age) in 2002 and was expanded to Nakhon Phanom Province (northeastern Thailand, bordering Laos; population 751,251 persons; 48,064 <5 years of age) in 2003.<sup>4</sup> These 2 provinces account for approximately 2% of Thailand's population.

Surveillance was conducted at all district (16) and military (2) hospitals (10–140 inpatient beds) and at both provincial hospitals (225 and 327 inpatient beds), which represent all acute care hospitals in these provinces; there are no private hospitals. ALRI surveillance methods have been described in detail elsewhere.<sup>5–7</sup> In brief, surveillance officers reviewed admission logbooks daily to identify patients with diagnoses related to possible respiratory illness for further data collection. Newborns hospitalized since birth were excluded.

ALRI was defined as evidence of acute infection (reported fever, documented temperature >38.0°C, elevated age-specific white blood cell count or abnormal differential) and symptoms/ signs of respiratory illness (abnormal breath sounds, tachypnea based on documented respiratory rate, cough, sputum production, hemoptysis, chest pain or dyspnea) at the time of admission. Previous reports from these surveillance sites have used this case definition.<sup>7–10</sup> CXRs were performed at clinician discretion. If done, CXRs were digitized and interpreted by 3 radiologists using standardized criteria.<sup>11</sup> A patient was considered to have radiographically confirmed pneumonia if 2 of 3 independent radiologists interpreted the CXR as consistent with probable or definite pneumonia.

## Etiology Evaluation

A systematic sample of ALRI patients was selected for possible enrollment in an etiology study. Between 2005 and 2007, eligibility was limited to ALRI patients for whom a CXR was performed within 48 hours of hospital admission (regardless of results). Beginning in January 2008, every other patient with ALRI was considered eligible (ie, 50% sample), whether a CXR was performed. Participants provided nasopharyngeal (NP) swab specimens and, during 2005, paired serum specimens (at enrollment and 3–5 weeks later). NP swab specimens were collected from all participants, using polyester swabs (Puritan, Guilford, ME) from 2005 to June 2010 and using flocked swabs (FLOQSwabs, Copan, Murrieta, CA) since July 2010. NP specimens were inoculated in viral transport media, stored at 4–8°C for up to 24 hours before being frozen at –70°C and transported weekly on dry ice to Bangkok. This study was approved by a CDC Institutional Review Board (Protocol 3754) and the ethical review Committee of the Thailand MoPH. Guardians provided written consent for their child's participation.

From January 2005 to December 2007, we tested NP swab specimens from a convenience sample of controls: outpatients without fever, cough, sore throat or diarrhea within the previous 3 days. Controls were enrolled in the outpatient departments of the 2 provincial hospitals.

Specimens were tested at the US CDC, Atlanta, GA, through mid-2005 with all subsequent testing at the Thailand National Institute of Health. Laboratory methods have been described

previously.<sup>10</sup> In brief, testing for viruses was performed using a combination of conventional (first 6 months of 2005) and real-time (last 6 months of 2005 through 2010) reverse-transcription polymerase chain reaction (PCR), serology and viral culture. rhinoviruses and coronaviruses were tested only in 2005.<sup>8,12</sup> Serology and viral culture were performed during 2005 only. Infections were determined by a positive PCR or culture or a 4-fold rise in antibody titer. Specimens from controls were tested only for viruses by PCR.

Blood cultures were collected at clinician discretion, processed using the Bact/alert 3d System (bioMérieux) and subcultured using standard methods.<sup>9,13</sup> We sought to collect 4 ml of blood for culture, but a previous analysis found that only 45% of children with blood culture performed had 4 ml collected and 27.7% had <2 ml.<sup>14</sup>

### Statistical Analysis

Analyses were conducted in Stata, version 9.1 (StataCorp IP, College Station, TX). to minimize double counting the same ALRI episode, readmissions within 14 days of a previous hospital discharge and referrals/transfers from other hospitals in the same province (n = 1109) were excluded from all incidence calculations; however, data from these 1109 admissions and referrals were used for etiologic findings and patient outcomes.

Confidence intervals (CI) for incidence rates (IRs) were calculated using the standard formula for counts, while CIs for incidence rate ratios (IRRs) were calculated using exact methods. An adjusted number of CXR-confirmed cases was estimated by applying the proportion of CXRs with evidence of pneumonia among those with interpretations to the total number of cases with CXRs performed.

## RESULTS

During 2005–2010, 28,543 ALRI hospitalizations occurred among children <5 years of age. Forty-nine percent (n = 14,047) had a CXR performed. Of those, 10,484 (75%) had a final radiologist reading, of which 6645 (63%) had radiographic evidence of pneumonia. More than one-third (37%) of abnormal CXRs had a nonconsolidated alveolar infiltrate, 10.4% showed a consolidation and two-thirds showed an interstitial infiltrate (Table 1). Ninety-three percent met the definition for severe acute respiratory infection, as defined by the WHO.<sup>15</sup> Males accounted for 59.0% of ALRI cases (n = 16,846), which was similar when stratifying by age and province. Overall, 1.1% (n = 317) required intubation, 22% (n = 6407) received supplemental oxygen and 9% (n = 520) had recorded oxygen saturation <90% on admission. Ninety-eight hospitalized ALRI case-patients died (0.3%, population mortality rate 19.0 per 100,000 person-years); these deaths were most common among the youngest cases, those with bacteremia and those who were intubated (Table 1). Death occurred in 0.8% of those with radiographically confirmed pneumonia compared with 0.2% of those without radiographically confirmed pneumonia ( $P < 0.01$ ). Among cases with CXRs obtained and with evidence of alveolar consolidation, death occurred in 2.9%. Fifty-eight patients were known to be HiV positive.

CXRs were obtained more often for patients who were intubated or who died in hospital (86% and 80% of those who were intubated or died had CXRs vs. 49% and 50% of those

who were not intubated or did not die, respectively). Nasopharyngeal swab collection was less common among intubated or fatal ALRI cases (19%) compared with nonintubated, nonfatal cases (26%).

Neonates (<28 days old, median age 15 days) comprised 0.7% (n = 195) of hospitalized ALRI cases. Compared with children in other age groups, neonates were less likely to have cough (53% vs. 97%) and more likely to have chest indrawing (25% vs. 6–12%) (Table 1). A lower proportion of neonates had radiographically confirmed pneumonia compared with the older age categories although a larger proportion required mechanical ventilation.

## Incidence

The IR of ALRI receiving hospitalization among children <5 years old was 5772 cases per 100,000 person-years (Table 2). Compared with patients <6 months of age, those 6–23 months of age had a higher IR (IRR 1.76, 95% CI: 1.69–1.84), whereas the irr for those 24–59 months of age was 0.69 (95% CI: 0.66–0.72). incidence was nearly 40% higher among boys than girls (IRR 1.38, 95% CI: 1.35–1.41); this relationship remained after stratification by province and age. the IR of radiographically confirmed pneumonia was 1800 cases per 100,000 personyears (95% CI: 1774–1827); comparisons by sex, age and province followed similar patterns as those observed for hospitalized ALRI cases overall (Table 2). the ir of ALRI among neonates <28 days old was 2897 per 100,000 person-years (95% CI: 2520–3274). Table, Supplemental Digital Content 1, <http://links.lww.com/INF/B816>, shows incidence of both ALRI and radiographically confirmed ALRI hospitalizations by month of age.

## Seasonal Trends

For all age categories, we observed 2 annual seasonal ALRI elevations in hospitalized ALRI: an increase from February to March (IRR 1.48, 95% CI: 1.39–1.58) and a larger increase from June through October (IRR 1.76, 95% CI: 1.65–1.86), both measures compared with incidence during nonpeak months (april, May, november, december and January) (Fig. 1). The 2 peaks were similarly sized in children <6 months; however, the June to October peak was significantly larger compared with the February to March peak among children >6 months (data not shown).

## Etiology

Virus testing was performed for 7388 (25.6%) ALRI patients (Table 3). Other than rhinoviruses and bocavirus, the most commonly identified pathogens were respiratory syncytial virus (RSV) (n = 1430, 19.5%) and influenza viruses (n = 602, 8.4%). The proportion with rSV was highest in children <6 months of age and decreased with increasing age, while the proportion with influenza viruses increased with age. In patients with mixed viral infections (n = 237), the most common combinations were RSV with influenza a (n = 46) and RSV with rhinovirus (n = 42). We did not find substantial differences in CXR pattern by type of viral infection. Of 20 neonates tested, 8 had viruses detected, including RSV (n = 3), RSV/human parainfluenza virus (HPIV) 2 coinfection (n = 1), rhinovirus (n = 2), influenza B (n = 1) and adenovirus (n = 1).

RSV Infections occurred most frequently during June to October (87% of all RSV cases), coinciding with the large peak in ALRI. Influenza A and B viruses displayed 2 peaks: one during February to March and the other during June to October.

During 2005–2007, 667 controls <5 years of age were enrolled with a mean age of 1.7 years; 22% were <6 months, 31% were 6–23 months and 47% 24–59 months. ALRI cases were significantly more likely to test positive for RSV, influenza A and B viruses, adenovirus, HPIV 1 and 3 and human metapneumovirus (HMPV), but not for rhinoviruses or coronaviruses<sup>39</sup> (Table 4).

Blood cultures were collected from 28% (n = 7975) of all ALRI patients hospitalized from 2005 to 2010, with the younger age groups more likely to have blood cultures collected (35% of children <6 months) (Table 5). Overall, 88.8% (n = 7082) of cultures were neGAtive and 1.8% (n = 145) were positive for a bacterial pathogen. Nontyphoidal *Salmonella*, *Streptococcus pneumoniae* and *Haemophilus influenzae* were the most commonly identified pathogens. Likely contaminants were isolated in 8.1% (n = 649). Fifty-two percent (n = 101) of neonates <28 days of age had blood cultures and 2 were positive: one for *Staphylococcus aureus* and the other for *Mycobacterium tuberculosis* complex (later confirmed as *Mycobacterium bovis* Bacille Calmette-guérin).<sup>16</sup>

Among children with CXR-confirmed pneumonia and blood cultures collected, pathogen detection was more common among those with alveolar consolidation [9/312 (2.9%)] compared with those with other infiltrates [25/1827 (1.4%)] ( $P < 0.05$ ).

## DISCUSSION

We found a high incidence of hospitalized ALRI in Thai children <5 years of age (5772 cases per 100,000 person-years) with higher rates among boys, among children 6–23 months of age and during June through October. Complicated illness requiring intubation affected ~1% of cases overall but was more common among neonates (17%) and infants 28 days to <6 months old (5%). RSV was the most common viral pathogen associated with ALRI, followed by influenza, while the most common bacterial pathogens were nontyphoidal *Salmonella*, *S. pneumoniae* and *H. influenzae*. Only 1.8% of cases with blood culture had confirmed bacteremia.

Our estimates were similar to minimum estimates of hospitalized pneumonia incidence in a systematic review in China (6000 cases per 100,000 person-years)<sup>17</sup> and somewhat higher than estimates from Taiwan (3965–4984 per 100,000 person-years)<sup>18</sup> and Bangladesh (5020 per 100,000 person-years).<sup>19</sup> The Bangladeshi study was most similar to ours, in that it incorporated active surveillance methods in 7 hospitals. Our incidence estimates were twice as high as estimates from the Child Health Epidemiology Reference Group, which estimated the incidence of severe and very severe ALRI hospitalizations among children <5 years of age in developing countries to be 19.7 and 5.1 cases per 1000 child-years, respectively.<sup>20</sup> Analyzing data from published studies, Child Health Epidemiology Reference Group estimated that the incidence of severe ALRI in Southeast Asia to be 17.8 cases per 1000 child-years.

Our IRs may have been influenced by active case finding and a lower threshold for hospitalization in the setting of very good health care access,<sup>21</sup> thereby elevating IRs and lowering the proportion of those with severe disease and poor outcome. Furthermore, our sensitive ALRI case definition captured a broader group of cases than only those with pneumonia. Only 23% of ALRI cases had elevated respiratory rates compared with the age-specific norms used for the WHO definition of nonsevere pneumonia,<sup>22</sup> although our data collection procedures were not standardized for this sign.

However, it is worth noting that 93% of our ALRI cases met the WHO case definition for severe acute respiratory infection.<sup>15</sup> We prioritized a sensitive case definition to improve capture of cases with respiratory pathogens; for example, 67% of 1430 RSV-associated and 77% of 602 influenza virus-associated hospitalizations would have been missed if we had used WHO case definitions of pneumonia (severe or nonsevere). By contrast, our definition of CXR-confirmed pneumonia based on standardized readings from 3 radiologists was more specific and thereby provided a minimum (yet still substantial) IR of hospitalized ALRI in rural Thailand.

Our estimates are also 3.5 times higher than those reported by the Thailand MoPH (1580 cases per 100,000 person-years in children <5 years in 2010),<sup>23</sup> which relies on passive reporting based on clinician diagnosis. CXR-confirmed pneumonia IRs in our analysis are similar to the MoPH's overall rate, suggesting that Thai clinicians may base reporting of pneumonia cases on CXR data.

Our finding of higher ALRI IRs in boys is consistent with previous studies.<sup>18–20,24,25</sup> Cultural traditions in Thailand do not display a male preference, so this difference is unlikely related to increased health care-seeking behavior for sons.<sup>21</sup> There is some evidence that asthma is more prevalent among boys than girls in Thailand<sup>26</sup> and the United States.<sup>27,28</sup> Although many factors may have a role, it is possible that despite equal exposure to respiratory pathogens, boys are more likely to develop infections that warrant hospitalization due to their higher prevalence of underlying asthma. Additional investigation into higher ALRI rates among boys is needed.

Despite a lack of empiric data, pneumonia burden among neonates is believed to be substantial.<sup>1</sup> Neonatal ALRI is difficult to study due to variable illness presentation, clinical overlap with sepsis and other neonatal conditions and the challenges of obtaining adequate samples for etiology studies.<sup>29</sup> We estimated a neonatal ALRI IR of 2897 cases per 100,000 person-years, 70% lower than the rate among those 6–23 months of age. Although this estimate is subject to the aforementioned limitations, our standardized data collection over 6 years offers insight into neonatal disease burden, symptomatology, outcomes and pathogen distribution.

Similar to our previously published work, we found a consistent seasonal peak of hospitalized ALRI in February to March and a larger peak during June to October.<sup>6,7,10</sup> Influenza and parainfluenza viruses contributed prominently to both peaks of ALRI incidence, whereas RSV displayed only 1 large June to October elevation. Understanding ALRI seasonal patterns is essential for effective resource allocation and planning of

preventive and therapeutic interventions, including the distribution of vaccines, medications and personnel. Consistent timing of annual RSV peaks supports the feasibility of interventions that are best timed with seasonal epidemics, such as palivizumab for high-risk infants<sup>30</sup> or possibly, in the future, vaccines.

We found that a high proportion of ALRI hospital admissions had the detection of bocavirus or rhinoviruses, but the etiologic contribution of these viruses remains uncertain. Bocavirus infection was previously shown to be more common among hospitalized ALRI cases in Sa Kaeo Province than among controls although 91% of bocavirus-positive ALRI patients <5 years of age were coinfecting with another virus.<sup>31</sup> Rhinovirus infection in the current analysis was not significantly more common among cases than among controls, but differences approached statistical significance and were consistent with previously published work from our sites that did show a significant association between hospitalized ALRI and rhinovirus detection.<sup>8</sup> These data highlight the challenges of etiologic attribution with increasingly sensitive diagnostic assays for an increasing number of potential pathogens and underscore the value of testing controls without respiratory illness to assess causality. However, lack of association between ALRI and pathogen prevalence cannot definitively exclude the possibility that a pathogen is part of the causal pathway for disease. Our control design may not have been optimal to evaluate causality for all pathogens as we could not evaluate prolonged shedding from prior infection or shedding prior to the onset of symptoms. In addition to RSV, influenza A and B viruses, HMPV and HPIV1 and 3, we found that hospitalized ALRI was significantly associated with the detection of adenovirus, which differed from previous studies in Alaska (United States)<sup>33</sup> and Kenya.<sup>34</sup>

Our data underestimate the bacterial contribution to childhood ALRI. We did not perform testing for *Mycoplasma pneumoniae* and *Chlamydia pneumoniae* during the analysis period, but a previous report from these sites found that only ~1% of ALRI cases among children <5 years of age were positive for each.<sup>10</sup> Testing for tuberculosis was not done, and testing for other bacterial pathogens associated with severe pneumonia, such as *S. pneumoniae* and *Haemophilus influenzae*, was limited to blood culture. The sensitivity of blood cultures is low, and low blood volumes and prior antibiotic administration (~25%) likely limited the yield further.<sup>14</sup> The relative frequency of nontyphoidal *Salmonella* (n = 21) and *Escherichia coli* (n = 13) was unexpected; enteric Gram-negative bacteria are generally easier to isolate than Gram-positives like *S. pneumoniae* and may have been less affected by antibiotic pretreatment. Previous studies have identified invasive *Salmonella* infection among children with pneumonia, including a study from Thailand that identified cases with radiographic evidence of pulmonary infiltrates.<sup>35,36</sup> Even under ideal testing conditions, only a fraction of bacterial pneumonia cases are bacteremic. Based on a pneumococcal conjugate vaccine probe study in South Africa, only 3.4% of pneumococcal clinical pneumonia cases had positive blood cultures.<sup>37</sup>

Our conclusions were limited by surveillance that only captured ALRI cases requiring hospitalization, which missed the substantial outpatient burden and biased descriptions of seasonality and pathogen distribution toward cases severe enough to need hospitalization. Through community surveys, we believe that hospital-based surveillance captures 58–80% of all ALRI in these 2 provinces.<sup>21,38</sup> Our findings provide informative data for rural



Thailand, but it is not clear that results can be generalized to more urban settings or other nations.

This analysis demonstrates a high incidence of hospitalized ALRI among young children in Thailand and suggests that important differences in IR exist by age, sex and season. Virus Infections account for the largest proportion of known pathogens, but a substantial proportion remain of unknown etiology. Our results underscore the critical need for effective prevention and control measures for high-burden pathogens such as RSV, highlight the potential impact of proven prevention measures (eg, influenza and pneumococcal conjugate vaccines) and emphasize the need for continued efforts to better define ALRI etiology, including the need for improved molecular-based diagnostic approaches in young children.

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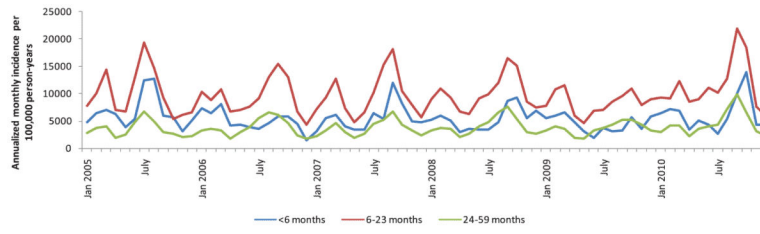
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**FIGURE 1.** Seasonal patterns of hospitalized ALRI incidence among children <5 years of age, Sa Kaeo and Nakhon Phanom provinces, Thailand, 2005–2010.

Clinical Characteristics, Hospital Course, and Patient Outcomes of Children <5 Years of Age Hospitalized With ALRI, Sa Kao and Nakhon Phanom provinces, Thailand, 2005–2010

TABLE 1

	ALRI					Radiographically Confirmed Pneumonia (n = 6645) %		Pathogen Identified	
	Overall (n = 28,543) %	Age <28 Days (n = 195) %	Age 28 Days–6 months (n = 2,375) %	6–23 Months (n = 14,384) %	24–59 Months (n = 11,589) %	Bacterial* (n = 145) %	Viral (n = 2,695) %		
Met SARI <sup>†</sup> definition	93.0	48.2	87.0	93.8	94.0	89.7	97.1		
Tachypnea <sup>‡</sup>	23.0	23.6	26.2	25.1	19.7	31.0	29.8		
Cough	96.6	53.3	93.0	97.0	97.6	91.7	99.0		
Chest indrawing	8.3	24.6	12.1	7.9	6.3	9.0	8.0		
Dyspnea	58.8	61.5	66.7	60.6	55.0	59.3	71.3		
Acute infection									
Fever <sup>§</sup>	46.4	21.0	32.0	46.1	50.1	60.0	53.4		
Fever history (self-reported)	94.8	72.8	91.4	95.6	94.9	95.9	97.4		
Abnormal white blood cell count <sup>  </sup>	28.8	17.2	23.5	28.3	30.9	40.2	22.3		
CXR data									
CXR completed	49.2	53.3	61.9	50.5	45.0	61.4	83.9		
CXR interpreted <sup>  </sup>	74.6	55.8	75.4	75.4	73.7	58.7	80.4		
Evidence of pneumonia <sup>**</sup>	63.4	46.6	61.1	65.4	61.5	77.8	67.0		
Nonconsolidated alveolar infiltrate <sup>††</sup>	36.5	66.7	51.3	38.1	29.3	38.1	32.9		
Consolidated alveolar infiltrate	10.4	14.8	14.6	9.9	10.0	21.4	9.6		
Interstitial infiltrate	66.2	25.9	50.6	65.8	71.9	47.6	72.5		
Hospital course									
Intubation	1.1	16.9	4.6	0.7	0.6	6.9	0.6		
Supplemental oxygen	22.5	45.6	40.7	24.4	15.9	42.1	29.9		
Surgical intervention/thoracostesis	0.1	0.5	0.3	0.1	0.1	2.8	0.1		
Outcome									
Discharged	96.8	85.1	92.4	97.1	97.6	91.0	97.7		
Transferred	1.9	10.8	5.4	1.7	1.4	3.5	1.3		

	ALRI				Radiographically Confirmed Pneumonia		Pathogen Identified	
	Overall (n = 28,543) %	Age <28 Days (n = 195) %	Age 28 Days-6 months (n = 2,375) %	6-23 Months (n = 14,384) %	24-59 Months (n = 11,589) %	Bacterial* (n = 145) %	Viral (n = 2,695) %	
Death	0.3	2.1	1.4	0.3	0.2	0.8	3.5	0.1
Other	0.9	2.1	0.8	1.0	0.8	0.8	2.1	0.9

\* Including all isolates from blood cultures not considered contaminants.

† SARI, severe acute respiratory infection, as defined by WHO (an acute respiratory infection with a history of fever or measured fever of  $\geq 38.0^{\circ}\text{C}$  and cough, with onset within the past 7 days, requiring hospitalization).

‡ Tachypnea was present if respiratory rate was  $>60$  for infants  $<2$  months;  $>50$  for children 2 months to 1 year;  $>40$  for children 1-5 years.

§ Fever was present if a measured in-hospital fever was  $\geq 38.0^{\circ}\text{C}$ .

¶ Proportions displayed are among those with white blood cell counts measured. Normal age-specific white blood cell count ranges were  $9,000-30,000/\text{mm}^3$  for age  $<7$  days;  $5,000-20,000/\text{mm}^3$  for 7 days to 1 month;  $6,000-17,500/\text{mm}^3$  for 1 month to 23 months;  $6,000-17,000/\text{mm}^3$  for 2-3 years;  $5,500-15,500/\text{mm}^3$  for 4 years.<sup>32</sup>

// The proportion of CXRs interpreted was calculated as the number of CXRs with official readings divided by the number of CXRs completed.

\*\* The proportion of CXRs with evidence of pneumonia was calculated as the number CXRs with pneumonia divided by the number of CXRs with interpretations.

†† The proportion of CXRs with infiltrates was calculated as the number with each specific type of infiltrate divided by the number of CXRs with evidence of pneumonia.

**TABLE 2**

Incidence Rate (Per 100,000 Person-Years) of ALRI and Radiographically Confirmed Pneumonia, Stratified by Province, Sex and Age, in Hospitalized Children <5 years, Sa Kaeo and Nakhon Phanom Provinces, Thailand, 2005–2010

	ALRI (n = 28,543)				Radiographically Confirmed* (n = 6645)			
	IR	95% CI	IRR	95% CI	IR	95% CI	IRR	95% CI
Overall	5772	5707–5837			1800	1774–1827		
Girls	4833	4748–4919	1.0		1492	1458–1525	1.0	
Boys	6671	6574–6769	1.38	1.35–1.41	2096	2056–2136	1.41	1.34–1.48
<6 months	5561	5352–5770	1.0		2059	1963–2155	1.0	
6–23 months	9795	9643–9947	1.76	1.69–1.84	3230	3168–3293	1.57	1.45–1.7
24–59 months	3848	3779–3916	0.69	0.66–0.72	1064	1038–1091	0.52	0.48–0.56
Sa Kaeo	5303	5204–5401	1.0		1664	1626–1701	1.0	
Nakhon Phanom	6086	6000–6172	1.15	1.12–1.18	1888	1852–1924	1.13	1.08–1.19
2005	5716	5563–5869	1.0		1720	1660–1779	1.0	
2006	5609	5453–5765	0.98	0.94–1.02	1705	1643–1766	0.99	0.91–1.08
2007	5590	5432–5748	0.98	0.94–1.02	1683	1615–1751	0.98	0.9–1.07
2008	5943	5779–6106	1.04	1–1.08	1875	1815–1936	1.09	1.01–1.18
2009	5091	4939–5243	0.89	0.85–0.93	1506	1446–1565	0.88	0.8–0.95
2010	6691	6519–6863	1.17	1.13–1.22	2327	2251–2403	1.35	1.25–1.46

\* Applying proportion of CXRs with evidence of pneumonia to the total number of CXRs performed.

Viral Pathogens\* Detected Among Children <5 Years of Age Hospitalized With ALRI, Sa Kaeo and Nakhon Phanom Provinces, Thailand, 2005–2010

TABLE 3

Pathogen*	Total (n = 7388)		Age <6 Months (n = 638)		Age 6–23 Months (n = 3877)		Age 24–59 Months (n = 2873)		CXR-Confirmed Pneumonia (n = 2866)	
	n/Tested	%	n/Tested	%	n/Tested	%	n/Tested	%	n/Tested	%
RSV	1430/7324	19.5	151/637	23.7	776/3840	20.2	503/2847	17.7	704/2836	24.8
Influenza A	454/7324	6.2	27/637	4.2	221/3840	5.8	206/2847	7.2	177/2836	6.2
Influenza B	148/7324	2	10/637	1.6	65/3840	1.7	73/2847	2.6	43/2836	1.5
Adenovirus	260/7324	3.5	15/637	2.4	166/3840	4.3	79/2847	2.8	95/2836	3.3
HPIV 1	119/3810	3.1	7/397	1.8	67/2063	3.2	45/1350	3.3	52/1761	3
HPIV 2	30/3810	0.8	2/397	0.5	16/2063	0.8	12/1350	0.9	12/1761	0.7
HPIV 3	198/3810	5.2	16/397	4	123/2063	6	59/1350	4.4	100/1761	5.7
HMPV	111/3810	2.9	8/397	2	57/2063	2.8	46/1350	3.4	60/1761	3.4
Rhinoviruses	169/903	18.7	17/97	17.5	101/500	20.2	51/306	16.7	91/478	19
Bocavirus	39/304	12.8	2/35	5.7	24/165	14.5	13/104	12.5	23/191	12
Coronaviruses	8/304	2.6	2/35	5.7	6/165	3.6	0/104	0	8/191	4.2

\* RSV, influenza A and B, and adenovirus were tested during all years under study. HPIV and HMPV were tested from January 2005–December 2007. Rhino-, boca- and corona-viruses were tested during the first 9 months of 2005 only.



**TABLE 4**

Viral Testing Results Among Hospitalized ALRI Cases and Outpatient Controls\* With Age-Adjusted Odds Ratios (AOR) and 95% CI, 2005–2007

	<u>ALRI Cases (n = 3845)</u>		<u>Controls (n = 667)</u>		AOR	95% CI
	n/Tested	%	n/Tested	%		
RSV	776/3809	20.4	7/589	1.2	21.3	10.1–45.1
Influenza A	227/3809	6.0	3/589	0.5	12.4	3.9–38.9
Influenza B	71/3809	1.9	2/589	0.3	5.3	1.3–21.9
Adenovirus	128/3809	3.4	5/589	0.8	3.4	1.4–8.5
HPIV 1	119/3809	3.1	2/589	0.3	8.9	2.2–36.3
HPIV 2	30/3809	0.8	1/589	0.2	4.7	0.6–34.7
HPIV 3	198/3809	5.2	1/589	0.2	29.8	4.2–213
HMPV	111/3809	2.9	1/658	0.2	19.5	2.7–140
Rhinoviruses	169/903	18.7	14/116	12.1	1.6	0.9–2.9

\* Case-control comparisons for bocavirus and coronavirus were previously published; bocavirus detection was significantly more common among ALRI cases than among controls,<sup>31</sup> while coronavirus detection was not associated with case status.<sup>39</sup>

Blood Culture Results for Children <5 Years Hospitalized With ALRIs, Sa Kao and Nakhon Phanom provinces, Thailand, 2005–2010

TABLE 5

Pathogen isolated <sup>†</sup>	Total (n = 28,543)			Age <6 months (n = 2,570)			Age 6–23 Months (n = 14,384)			Age 24–59 Months (n = 11,589)			CXR-Confirmed Pneumonia (n = 6645)		
	n	%*	n %*	n	%*	n %*	n	%*	n %*	n	%*	n	%*		
Blood culture collected	7975	27.9	893	34.7	4049	28.1	3033	26.2	2272	34.2					
	145	1.8	25	2.8	78	1.9	42	1.4	40	1.8					
<i>Streptococcus pneumoniae</i>	19	13.1	1	4	13	16.7	5	11.9	5	12.5					
<i>Escherichia coli</i>	13	9	5	20	6	7.7	2	4.8	0	0					
<i>Haemophilus influenzae</i>	14	9.7	3	12	8	10.3	3	7.1	7	17.5					
<i>Acinetobacter baumannii</i>	11	7.6	3	12	3	3.8	5	11.9	2	5					
<i>Salmonella</i> , nontyphoid	21	14.5	4	16	14	17.9	3	7.1	7	17.5					
<i>Staphylococcus aureus</i>	10	6.9	4	16	3	3.8	3	7.1	5	12.5					
<i>Klebsiella</i> spp.	6	4.1	2	8	2	2.6	2	4.8	1	2.5					
<i>Moraxella catarrhalis</i>	8	5.5	0	0	6	7.7	2	4.8	2	5					
<i>Burkholderia pseudomallei</i>	6	4.1	0	0	1	1.3	5	11.9	3	7.5					

\* Denominators differ for each level: for blood cultures collected, the denominator is all patients; for pathogen isolated, the denominator is number of patients with blood cultures and for individual pathogens, the denominator is the total number of pathogens.

<sup>†</sup> Only fully speciated pathogens of clear clinical significance for which >4 cases were observed are displayed. Pathogens not included in the table include: *Acinetobacter*, non-baumannii (14), *Bacillus cereus* (7), *Brevundimonas* (3), *Enterobacter cloacae* (n = 3), *Enterobacter*, other (2), *Enterococcus faecium* (n = 1), *Enterococcus*, other (2), *Mycobacterium tuberculosis* complex (later confirmed as *Mycobacterium bovis* BCG<sup>16</sup>, n = 1), *Streptococcus agalactiae* (n = 1), *Streptococcus freundi* (n = 1), *Ralstonia* (n = 1) and *Proteus* species (1). Several *Streptococcus* species that were likely contaminants were identified: *Streptococcus anginosus* (n = 4), *Streptococcus mitis* (n = 11) and *Streptococcus salivarius* (n = 4) (not included in count of pathogens isolated).