



Published in final edited form as:

Cancer. 2014 December 1; 120(0 23): 3826–3835. doi:10.1002/cncr.29051.

Clinical and Prognostic Factors for Renal Parenchymal, Pelvis, and Ureter Cancers in SEER Registries: Collaborative Stage Data Collection System, Version 2

Sean F. Altekruse, DVM, PhD¹, Lois Dickie, CTR¹, Xiao-Cheng Wu, MD, MPH, CTR², Mei-Chin Hsieh, MSPH², Manxia Wu, MD, MPH³, Richard Lee⁴, and Scott Delacroix Jr, MD⁵

¹National Cancer Institute, Division of Cancer Control and Population Sciences, Rockville, Maryland ²Louisiana State University, School of Public Health, Louisiana Tumor Registry, New Orleans, Louisiana ³Centers for Disease Control and Prevention, Division of Cancer Prevention and Control, Atlanta, Georgia ⁴Information Management Services, Calverton, Maryland ⁵Louisiana State University School of Medicine, Stanley S. Scott Cancer Center, New Orleans, Louisiana

Abstract

BACKGROUND—The American Joint Committee on Cancer's (AJCC) 7th edition cancer staging manual reflects recent changes in cancer care practices. This report assesses changes from the AJCC 6th to the AJCC 7th edition stage distributions and the quality of site-specific factors (SSFs).

METHODS—Incidence data for renal parenchyma and pelvis and ureter cancers from 18 Surveillance, Epidemiology, and End Results (SEER) registries were examined, including staging trends during 2004–2010, stage distribution changes between the AJCC 6th and 7th editions, and SSF completeness for cases diagnosed in 2010.

RESULTS—From 2004 to 2010, the percentage of stage I renal parenchyma cancers increased from 50% to 58%, whereas stage IV and unknown stage cases decreased (18% to 15%, and 10% to 6%, respectively). During this period, the percentage of stage 0a renal pelvis and ureter cancers increased from 21% to 25%, and stage IV and unknown stage tumors decreased (20% to 18%, and 7% to 5%, respectively). Stage distributions under the AJCC 6th and 7th editions were about the same. For renal parenchymal cancers, 71%–90% of cases had known values for 6 required SSFs. For renal pelvis and ureter cancers, 74% of cases were coded as known for SSF1 (WHO/ISUP grade) and 47% as known for SSF2 (depth of renal parenchymal invasion). SSF values were known for larger proportions of cases with reported resections.

Corresponding author: Sean F. Altekruse, DVM, PhD, National Cancer Institute, Division of Cancer Control and Population Sciences, 9609 Medical Center Drive, Room 4E536, Rockville MD 20850; Fax: (240) 276-7908; altekrusesf@mail.nih.gov.

The opinions or views expressed in this supplement are those of the authors and do not necessarily reflect the opinions or recommendations of the journal editors, the American Cancer Society, the publisher, or the National Cancer Institute.

CONFLICT OF INTEREST DISCLOSURES

The authors made no disclosures.

This article has been contributed to by US Government employees and their work is in the public domain in the USA.

CONCLUSIONS—Stage distributions between the AJCC 6th and 7th editions were similar. SSFs were known for more than two-thirds of cases, providing more detail in the SEER database relevant to prognosis.

Keywords

kidney; renal pelvis; cancer; AJCC collaborative stage; site-specific factors

INTRODUCTION

It has been estimated that there would be 65,150 newly diagnosed kidney parenchyma and renal pelvis cancer cases and 2710 cancer cases of the ureter and other urinary organs (excluding the urinary bladder) in 2013. In addition, 13,680 and 900 people, respectively, were expected to die of these cancers in 2013.¹

Incidence rates for kidney and renal pelvis cancers are nearly twice as high among men as women. Among men, higher rates occur among American Indian/Alaska Native men (29.0 per 100,000), with the lowest rates among Asian/Pacific Islander populations (10.1 per 100,000). Incidence rates among Hispanic, white, and black men lie somewhere in-between (19.8, 21.2, and 23.3 per 100,000, respectively).¹ Since 1992, incidence rates for these malignancies have increased steadily in both men and women, particularly during the past decade (2.9% increase per year among men, and 3.1% among women).² Despite the increase in incidence rates, death rates declined during the same period.² Overall 5-year relative survival was about 73%, ranging from 91% for localized to 64% for regional and 11% for distant-stage cases. Although 62% of cases were diagnosed with localized stage cancers, 17% were diagnosed with regional and distant-stage cancers.²

The *American Joint Committee on Cancer (AJCC) Staging Manual*, 7th edition, was published in January 2010³ and adopted by the Surveillance, Epidemiology, and End Results (SEER) Program registries for cancer cases diagnosed in 2010 and after. Site-specific factors (SSFs) were first introduced into the AJCC 7th edition and Collaborative Stage (CS) System version 2 (CSv2) schemas for renal parenchymal, renal pelvis, and ureter cancers.

Understanding these factors has allowed clinicians to better select therapeutic options and facilitates stratifying a patient's risk of progression and evaluating treatment. The objectives of this report are to: 1) examine staging trends using the AJCC 6th edition⁴ during 2004–2010, 2) compare stage distribution between the AJCC 6th and 7th editions for 2010 cases, and 3) assess the completeness of SSFs.

MATERIALS AND METHODS

Analytic Cohort

Data from the November 2012 submission of the SEER-18 registries (and not the Arizona Indians or Cherokee Nation registries) were used in this analysis. The SEER-18 registries report cancer incidence data to the National Cancer Institute, including demographic attributes of patients, stage at diagnosis, and survival time from diagnosis. The SEER-18 registries (San Francisco [SF]–Oakland standard metropolitan statistical area, Connecticut,

Detroit [metropolitan], Hawaii, Iowa, New Mexico, Seattle [Puget Sound], Utah, Atlanta [metropolitan], San Jose–Monterey [SJM], Los Angeles, Alaska Natives, rural Georgia, California excluding SF/SJM/Los Angeles, Kentucky, Louisiana, New Jersey, greater Georgia) cover 28% of the United States population. Analytic cohorts included in this report used similar exclusion criteria for cancers of 1) the renal parenchyma (*International Classification of Diseases for Oncology*, 3rd edition [ICD-O-3]⁵ topographic code: C64.9) and 2) renal pelvis (C65.9) and ureter (C66.9) (Table 1). Invasive renal parenchyma and both in situ and invasive renal pelvis cancer cases diagnosed in 2010 were included for the comparison of stage distribution between the AJCC 6th and 7th editions. The AJCC 7th edition schemas for these sites include identical ICD-O-3 histological types: 8000–8576, 8940–8950, 8980–8981. Autopsy- and death certificate–only cases were excluded, as were cases with histologies that were not included in the AJCC 6th and 7th editions. For the purpose of evaluating the completeness and quality of SSFs, only invasive cases diagnosed in 2010 were included.

Key Changes in AJCC Staging Between the 6th and 7th Editions

AJCC staging is based on detailed information regarding tumor size (T), the extent of lymph node involvement (N), and metastasis (M). Changes in the AJCC 7th edition³ for renal parenchymal tumors divided T2 (tumor >7 cm in greatest dimension, limited to the kidney) into T2a (tumor size >7 but ≤10 cm, limited to the kidney) and T2b (tumor size >10 cm, limited to the kidney). Furthermore, ipsilateral (same side) adrenal gland involvement was reclassified as T4 if there was contiguous invasion and as M1 if invasion was not contiguous; renal vein involvement was reclassified as T3a from T3b; and nodal involvement was simplified to N0 versus N1, without distinguishing metastasis in a single regional lymph node from metastasis in more than 1 regional lymph node as in the AJCC 6th edition. Since the publication of the AJCC 6th edition, new evidence supported the division of T2 tumors and reclassification of the T3a and N categories. The rationale for dividing T2 into T2a and T2b is based on large, retrospective cohort studies^{6–10} with extended follow-up that demonstrate substantially different outcomes for these subgroups. Furthermore, multiple studies^{11–18} have documented a poor prognosis for patients with ipsilateral adrenal involvement similar to patients with T4 or M1 disease; these tumors are now reclassified to reflect current concepts about likely mechanisms of spread. In addition, tumors with isolated renal vein thrombus are known to have a relatively favorable prognosis^{19,20} and are now staged as T3a rather than T3b. Last, nodal involvement is now consolidated as N1 because most studies^{21–24} suggest a relatively poor prognosis among patients with nodal involvement. For renal pelvis and ureter cancers, the definition of TNM and the stage grouping have not changed from the AJCC 6th edition.

Summary of SSFs

Renal parenchyma—SSFs for renal parenchyma include invasion beyond capsule (SSF1), vein involvement (SSF2), ipsilateral adrenal gland involvement (SSF3), sarcomatoid features (SSF4), Fuhrman nuclear grade (SSF6), and extranodal extension of regional lymph nodes (SSF8). Collection of SSF5 (histologic tumor necrosis) and SSF7 (size of metastasis in lymph nodes) was never required by the SEER Program, but they were

voluntarily reported to SEER in some instances, primarily by Commission on Cancer–accredited facilities.²⁵

The kidney is encased by a fibrous capsule and surrounded by perirenal fat. Information about invasion beyond the capsule and involvement of the ipsilateral adrenal gland and/or vein has been collected in the CS extension field. The CS extension field identifies the primary tumor growth within the organ or its extension into neighboring organs. In CSv2, 3 SSFs were added to more specifically define tumor extension. SSF1, invasion beyond capsule, provides the specific depth of tumor extension outside the kidney capsule. SSF2 (vein involvement) records the presence and level of involvement of specific major named blood vessels. Although contiguous and noncontiguous ipsilateral adrenal gland involvement is collected in the CS extension and in the CS metastasis at diagnosis field, SSF3 (ipsilateral adrenal gland involvement) provides detailed information about adrenal gland involvement.²⁶

The other 3 SSFs affect prognosis. SSF4, sarcomatoid features, documents any sarcomatoid or spindle cell features in any renal cell carcinoma. Patients with renal cell carcinoma with sarcomatoid differentiation tend to have worse outcomes than renal cell carcinoma without sarcomatoid differentiation.^{27,28} Fuhrman nuclear grade, SSF6, is a 4-grade system based on nuclear diameter and shape and the prominence of nucleoli in the tumor cells. Patients with higher grades had worse survival rates.²⁹ The definitions of nuclear grade are documented in the kidney cancer protocol of the College of American Pathologists checklist³⁰: G1, nuclei round, uniform, approximately 10 µm, nucleoli inconspicuous or absent; G2, nuclei slightly irregular, approximately 15 µm, nucleoli evident; G3, nuclei very irregular, approximately 20 µm, nucleoli large and prominent, G4, nuclei bizarre and multilobated, 20 µm or greater, nucleoli prominent, chromatin clumped.

SSF8, extranodal extension of regional lymph nodes, is defined as a metastatic tumor growing from within the lymph node outward through the lymph node capsule and into surrounding connective tissues. Extranodal extension can be detected clinically, on gross examination of dissected lymph nodes, or microscopically. Kidney cancer patients with extranodal extension have a worse prognosis than those without nodal involvement.

Renal pelvis and ureter—Kidney and renal pelvis cancers often are combined for the purposes of cancer surveillance, with ureter cancer presented separately. Renal pelvis and ureter cancers share CSv2 SSFs, however, and are grouped in the AJCC stage coding schema; cancers of the renal parenchyma have a different set of SSFs.³ Findings for both renal pelvis and ureter cancers are presented in this report.

The SSFs for these cancer sites are World Health Organization or International Society of Urological Pathology (WHO/ISUP) grade³¹ (SSF1) and depth of renal parenchymal invasion (SSF2).³² Both SSFs for these sites affect prognosis. SSF1 for renal pelvis and ureter is the WHO/ISUP grade, a 2-grade system (low and high grade). This grading system was proposed by ISUP in 1998 and adopted by WHO in 2004 to better classify the tumor grade for urothelial carcinomas of the renal pelvis, ureter, bladder, and urethra.^{33,34} The strengths of the WHO/ISUP grade's clear-cut criteria and the elimination of subjective and

arbitrary interpretation have greatly improved the ambiguous language that marked the 1973 WHO system.³⁵ SSF2, depth of renal parenchymal invasion, records the depth of tumor invasion into the renal parenchyma in millimeters as documented in the pathology report.

Data Analysis

AJCC 6th edition stage distribution was examined by year of diagnosis from 2004 through 2010. The annual percent change (APC) in frequencies by stage and corresponding 95% confidence intervals (CIs) were calculated. Database queries were performed with SEER*Stat v 8.0.2 (IMS, Calverton, MD).

A Kappa statistic³⁶ was used to measure staging agreement between the AJCC 6th and 7th editions for renal parenchyma and renal pelvis and ureter cancers (Proc Freq Agree option and Test Kappa command; SAS v 9.2 Cary, NC). Frequencies and percentage distribution of SSFs by known, unknown, and not applicable categories were computed, including analyses restricted to resected cases. Tables were populated using the Proc Freq procedure (SAS v 9.2 Cary, NC).

RESULTS

Incidence Cases

A total of 79,908 renal parenchymal cancer cases and 11,611 renal pelvis and ureter cases diagnosed during 2004–2010 were available for analysis in examining staging trends based on the AJCC 6th edition. For comparing AJCC 6th and 7th edition stage distributions, the analytic data sets included 12,218 invasive and in situ renal parenchymal cancer cases and 1684 invasive and in situ renal pelvis and ureter cancer cases diagnosed during 2010 (Table 1). Analyses of SSFs included only invasive cancer, yielding 12,203 renal parenchymal cancer cases and 1193 renal pelvis and ureter cancer cases diagnosed in 2010.

AJCC 6th Edition Stage Distribution Trends

The percentage of stage I renal parenchymal cancer cases increased from 50% in 2004 to 58% in 2010 (APC, 6.9%; 95% CI, 3.8% to 10.0%; Fig. 1A). Statistically significant increases were also seen in the number of stage II cases (APC, 2.6%; 95% CI, 0.3% to 5.0%) and in stage III cases (APC, 3.6%; 95% CI, 2.4% to 4.9%). Although not statistically significant, from 2004 to 2010, the percentage of tumors classified as stage IV decreased from 18% to 15%, and the percentage designated as unknown stage decreased from 10% to 6%.

For renal pelvis and ureter cancer but not renal parenchymal cancer, stage designations included 0a and 0is (Fig 1B). The percentage of cases that were diagnosed at stage 0a increased to 25% in 2010, surpassing case counts for all other stages (APC, 3.8%; 95% CI, 0.6% to 7.0%). Stage 0a, or abnormal cells, differs from stage 0is, or carcinoma in situ. Among other stages, only the increase in counts of stage III tumors was significant (APC, 3.4%; 95% CI, 0.7% to 6.3%). Stage III and IV tumors accounted for between 15% and 20% of cases throughout the surveillance period, and stage II tumors accounted for fewer than

10% of cases in 2009 and 2010. Unknown and 0is stage tumors both accounted for fewer than 5% of cases in 2010.

Comparison of Stage Distributions

The impact of changes in definitions between the AJCC 6th and 7th editions for cancers of the renal parenchyma diagnosed during 2010 is shown in Table 2. For renal parenchymal cancers, slightly more cases were classified as stage I under the 7th edition guidelines than under the 6th (58.6% versus 58.3%) and as stage III (13.6% versus 13.1%). Fewer cases were classified as unknown stage (5.0% versus 5.6%). Differences in other staging categories were even more modest. With respect to renal pelvis and ureter tumors, only 3 tumors were reclassified—all from an unknown stage under the AJCC 6th edition to stage I under the 7th edition.

Table 3 provides a more detailed cross-tabulation of AJCC 6th and 7th edition staging for cancers of the renal parenchyma and renal pelvis and ureter diagnosed during 2010. Almost perfect agreement was seen for both cancer sites (Kappa, 0.98 and 0.99, respectively). Some discordance was observed, however. For renal parenchymal tumors, 75 of 690 cases (11%) classified as unknown stage under the AJCC 6th edition guidelines were reassigned to specified stages under the 7th edition. In addition, 41 of 1805 cases (2%) classified as stage IV under the AJCC 6th edition were reclassified to stage III under the 7th edition. Of 1684 cases with renal pelvis and ureter cancers, 1681 (99.99%) had identical stage classifications under both the AJCC 6th and 7th editions.

Completeness of SSFs

A summary of codes corresponding with not applicable, known, and unknown values for SSFs is presented in Table 4. Fewer than 1% of renal parenchymal cancers were reported as not applicable. Known responses ranged from a low of 70.5% for SSF6 (Fuhrman nuclear grade) to a high of 90.3% for SSF8 (extranodal extension). Data present beyond the known, unknown, and not applicable levels are not shown in Table 4.

Renal parenchyma—Among the 12,203 renal parenchymal cancer cases, 9426 cases (77.2%) had known values for invasion beyond capsule (SSF1; Table 4). This includes 8068 cases (66.1%) with no reported invasion beyond the capsule and 1358 (13.8%) with reported capsular invasion. Of these 1358 cases, 1307 (96.2%) had detailed information on the extent of invasion, and 51 (3.7%) had invasion beyond the capsule that was not otherwise specified (NOS). Of the 1307 cases with detailed information on invasion, 807 cases had lateral invasion, 311 had medial invasion, and 189 had both lateral and medial invasion. Among 2777 cases (22.8%) without data on invasion beyond the capsule, 2212 (79.7%) did not have a surgical resection. Among cases with reported resections, large proportions had known SSF values, ranging from 87.2% for SSF 6 to 96.7% for SSF 2 (Table 5). Information on vein involvement (SSF2) was available for 9586 cases (78.6%) and missing for 2617 (21.4%) of the 12,203 cases. Of the 9586 cases with information, 8573 (89.4%) did not present with vein involvement, and 1013 (10.6%) had reported vein involvement. The majority of these cases (81%) did not receive a surgical resection.

Ipsilateral adrenal gland involvement (SSF3) data were reported for 9932 cases (81.4%); 18.6% of cases had no relevant information. Among cases with data, 9679 (97%) did not present with ipsilateral adrenal gland involvement, 184 (1.9%) had contiguous or noncontiguous involvement, and 69 (0.69%) had unknown contiguous/noncontiguous involvement.

Known sarcomatoid features (SSF4) data were available for 9624 cases (78.8%), with data unknown results for 2579 cases (21.2%). Among cases with information, 9252 (96.2%) did not present with sarcomatoid features; 359 (3.7%) did present with these features. The majority of the cases with unknown values (65%) had no pathologic examination of the primary site. This variable was not applicable to 13 cases (0.1%) without renal cell carcinoma morphology.

Fuhrman nuclear grade (SSF6) was known for 8607 cases (70.5%). Of these, 1030 (12%) were grade 1 cases, 4525 (52.6%) were grade 2 cases, and 2436 (28.3%) were grade 3 cases, with the remaining 616 (7.2%) being grade 4 cases. Another 3527 cases (29%) were missing this information, including 1675 (13.7%) that did not have a histologic examination. The remaining 69 cases (0.57%) did not have renal cell carcinoma morphologies.

Extranodal extension of regional lymph nodes (SSF8) was known for 11,015 cases (90.3%). The SSF8 value was unknown for 1188 cases (9.7%). There were no cases with missing or blank values for this data item.

Renal pelvis and ureter—Among renal pelvis and ureter cancers, 73.3% had known values, including 61.3% high-grade urothelial carcinomas and 12% low-grade urothelial carcinomas. Another 1.3% of WHO/ISUP grade (SSF1) responses were reported as not applicable, and the remaining 25.4% were unknown. The majority of responses for depth of renal parenchymal invasion (SSF2; 53.1%) were unknown. All remaining responses (46.9%) were listed as known. Among the known responses, 549 of 560 (98%) had no parenchymal invasion present/identified. When analysis was restricted to cases with reported resections, the majority had known SSF values, ranging from 57.5% for SSF 2 to 84.7% for SSF 1 (Table 5).

Quality assessment, renal parenchyma—For quality control purposes, cross-tabulations of SSFs and existing SEER variables were performed when possible (data not shown). For renal parenchyma SSF1 (invasion beyond the capsule), results were consistent with those for CS-extension-confirmed invasion. Cases with T3a and more advanced stage tumors (invasion beyond the capsule) generally had invasive cancer. Similarly, comparisons of Fuhrman nuclear grade (SSF6) and ICD-O grade/differentiation code revealed a high level of agreement among cases with known grade. When compared with existing staging variables, inconsistencies were seen for SSFs 2, 3, and 8, which remain the subjects of investigation.

Quality assessment, renal pelvis and ureter—Cross-tabulations for renal pelvis and ureter WHO/ISUP grade (SSF1) and ICD-O grade/differentiation code also showed agreement among cases with known grade.

DISCUSSION

Stage distributions under the AJCC 6th and 7th editions were similar. As previously reported,³⁷ the annual increase in stage I cases explains the overall increase in renal parenchyma cancer incidence rates. Of the 6 renal parenchyma and 2 renal pelvis and ureter SSFs that currently are collected by the SEER Program, 4 were considered ready for release based on completeness, consistency of results compared with existing and closely related variables, and the insight they provide into staging and prognosis. This includes 3 SSFs for renal parenchymal cancer: SSF1 (invasion beyond capsule), SSF4 (sarcomatoid features), and SSF6 (Fuhrman nuclear grade). Of these, sarcomatoid differentiation and Fuhrman nuclear grade have particular prognostic value; invasion beyond the capsule is an anatomic field that largely duplicates existing staging data items. Although both renal pelvis and ureter cancer SSFs have prognostic value, only SSF1 (WHO/ISUP grade) was recommended for release, given the partial completeness of SSF2 (renal parenchymal invasion) data. For an SSF to be retained in the SEER data collection, not only does the SSF need to have a high degree of completeness, but the known value should provide added insight, such as enabling classification of patients into refined and specific categories for projecting prognosis and recurrence and guiding treatment options.

For kidney parenchyma, there are 6 SSFs. Invasion beyond capsule (SSF1) is included in both the AJCC 6th and 7th editions and has been shown to be prognostic. SSF1 captures the anatomic location of invasion beyond the capsule such as lateral invasion to perinephric fat or medial invasion to the renal sinus. Invasion beyond the capsule corresponds to a pathologic T stage designation of at least T3 and T4 if invading adjacent organs. Collection of SSF1 in addition to staging under the AJCC 6th or 7th edition added new and prognostic information on the anatomic location of invasion for 1307 of 1358 cases (96%) with reported invasion beyond the capsule. Vein involvement (SSF2) is somewhat redundant because it is a component of the AJCC 7th edition staging system, which updates the AJCC 6th edition to reflect the prognostic implications of various levels of vein involvement.³⁸ Cases are assigned into 3 categories: T3a (renal vein only), T3b (inferior vena cava [IVC] or IVC below the diaphragm), and T3c (IVC above the diaphragm). Interest in ipsilateral adrenal involvement (SSF3) reflects awareness that outcomes for patients with adrenal gland invasion are significantly worse than for patients with extension of carcinoma only into the perinephric adipose tissue,¹¹ and prompted the change in the AJCC 7th edition classification for adrenal involvement in renal cell carcinoma. Tumors with direct extension through the kidney into the adrenal gland are T4 lesions, and tumors with ipsilateral adrenal metastasis not secondary to direct extension are categorized as M1 lesions. With the updates in the AJCC 7th edition, SSF3 is redundant and adds no additional prognostic information.

Unlike SSFs 1, 2, and 3, the presence of sarcomatoid features (SSF4) and Fuhrman nuclear grade (SSF6) are anatomic-independent prognostic factors. Both these SSFs are of considerable clinical value.^{39,40} Although initially validated as a prognostic factor in clear cell renal cell carcinoma, contemporary studies have shown the prognostic value of nuclear grading in both clear cell and papillary renal cell carcinoma.⁴¹ Although a higher nuclear grade and the presence of sarcomatoid features are associated with a more advanced T stage, these factors are independently associated with outcomes among cases matched by stage.

For these reasons, SSFs 4 and 6 are the most clinically useful and validated SSFs for kidney parenchymal tumors.

With respect to renal pelvis and ureter cancer, a high WHO/ISUP grade (SSF1) is independently associated with worse outcomes in surgical cases.⁴² WHO/ISUP grade was known for 85% of cases with resection. SSF2 (depth of renal parenchymal invasion as a marker of recurrence) also has been validated⁴³; however, most values for this variable were unknown. Additional SSFs for renal pelvis and ureter cancer might be considered based on prognostic value. Promising markers for these understudied cancers include tumor architecture,^{44,45} multifocality,⁴⁶ and the presence of concomitant carcinoma in situ.⁴⁷ In some studies,⁴⁸ tumor location (ie, ureter, renal pelvis, or both) has also been suggested to have prognostic value.

Alternative approaches to efficiently collecting new SSFs may have merit, including the use of pilot studies to assure the feasibility of recording promising biomarkers.⁴⁹ The AJCC 7th edition CS manual incorporated enhanced registry data standards including nonanatomic prognostic factors to provide evidence-based staging. The 4 SSFs that were recommended to be added to the SEER public use research database by its internal data release committee based on considerations such as completeness and inherent value include all 3 nonanatomic SSFs. These are renal parenchymal cancer SSF4 (sarcomatoid features), SSF6 (Fuhrman nuclear grade), and renal pelvis and ureter SSF1 (WHO/ISUP grade). The AJCC 7th edition schema was intended to leverage rapidly increasing specific knowledge of cancer biology and electronic data capture technology, with the goal of supporting personalized cancer care through outcome prediction models.³ Long-term follow-up of survival may demonstrate the prognostic value of the AJCC 7th edition SSFs. The assessment may also reveal synergies between SSFs that affect prognosis.

This study has both strengths and limitations. At the time of its publication, the AJCC 7th edition staging manual reflected a consensus understanding of cancer staging. Adopting the major revisions included in the AJCC 7th edition staging manual as a central part of registry-based cancer surveillance was an expansion of concepts included in the AJCC 6th edition. The introduction of multiple SSFs was intended to refine stage classification and provide insight into prognosis and treatment decisions. Limitations include the cost of this endeavor and inconsistencies between SSF results compared with existing and related variables. A potential bias was introduced by the incompleteness of some SSFs, as demonstrated by results of modeling to impute missing values of progesterone and estrogen receptor status in breast cancer cases.⁵⁰

In summary, results from this study suggest that changes in definitions between the AJCC 6th and 7th editions do not significantly affect stage distribution. Furthermore, it appears feasible to collect select SSFs for renal and ureter cancers within the SEER registries. Long-term follow-up of survival may confirm the prognostic value of these variables. Alternative strategies for the inclusion of proposed SSFs in programmatic SEER registry operations may include pilot studies of promising biomarkers so that informed decisions about the efficiency and value of future data collections may be made.

Acknowledgments

This supplement was sponsored by the National Cancer Institute's Surveillance Research Program.

FUNDING SUPPORT

This supplement edition of *Cancer* has been sponsored by the National Cancer Institute. Data used in the production of this supplement was supported under Contract HHSN261201300004I (University of Southern California), HHSN261201300005I (Cancer Prevention Institute of California), HHSN261201300009I (University of Hawaii), HHSN261201300010I (University of New Mexico), HHSN261201300021I (Rutgers University), HHSN261201300019I (Connecticut Department of Health), HHSN 261201300020I (University of Iowa), HHSN261201300015I (Emory University), HHSN261201300016I (Louisiana State University), HHSN 261201300017I (University of Utah), HHSN261201300011I (Wayne State University), HHSN261201300012I (Fred Hutchinson Cancer Center), HHSN261201300013O (University of Kentucky), and HHSN26120 1300014I (Public Health Institute). Technical support was provided under contract HHSN261201100007I (Information Management Services, Inc.).

References

1. Siegel R, Naishadham D, Jemal A. Cancer statistics, 2013. *CA Cancer J Clin*. 2013; 63:11–30. [PubMed: 23335087]
2. Howlader, N.; Krapcho, NA.; Neyman, N., et al., editors. [Accessed August 15, 2013] SEER Cancer Statistics Review, 1975–2009 (Vintage 2009 Populations). 2012. Available at: http://seer.cancer.gov/csr/1975_2009_pops09/
3. Edge, SB.; Byrd, DR.; Compton, CC.; Fritz, AG.; Greene, FL.; Trotti, A., editors. *AJCC Cancer Staging Manual*. 7. Chicago: Springer-Verlag; 2010.
4. Greene, FL.; Page, DL.; Fleming, ID.; Fritz, A.; Balch, CM.; Haller, DG., editors. *AJCC Cancer Staging Manual*. 6. Chicago: Springer-Verlag; 2002.
5. Fritz, A.; Jack, A.; Parkin, DM., et al., editors. *International Classification of Diseases for Oncology*. 3. Geneva, Switzerland: World Health Organization; 2000.
6. Klatte T, Patard JJ, Goel RH, et al. Prognostic impact of tumor size on pT2 renal cell carcinoma: an international multicenter experience. *J Urol*. 2007; 178:35–40. [PubMed: 17521678]
7. Frank I, Blute ML, Leibovich BC, et al. pT2 classification for renal cell carcinoma. Can its accuracy be improved? *J Urol*. 2005; 173:380–384. [PubMed: 15643175]
8. Kontak JA, Campbell SC. Prognostic factors in renal cell carcinoma. *Urol Clin North Am*. 2003; 30:467–480. [PubMed: 12953749]
9. Giuliani L, Giberti C, Martorana G, Roviola S. Radical extensive surgery for renal-cell carcinoma—long-term results and prognostic factors. *J Urol*. 1990; 143:468–474. [PubMed: 2304155]
10. Guinan PD, Vogelzang NJ, Fremgen AM, et al. Renal-cell carcinoma—tumor size, stage and survival. *J Urol*. 1995; 153:901–903. [PubMed: 7853570]
11. Han KR, Bui MH, Pantuck AJ, et al. TNM T3a renal cell carcinoma: adrenal gland involvement is not the same as renal fat invasion. *J Urol*. 2003; 169:899–903. [PubMed: 12576809]
12. Lam JS, Patard JJ, Leppert JT, et al. Prognostic significance of T3A renal cell carcinoma with adrenal gland involvement: an international multicenter experience. *J Urol*. 2005; 173:269–270.
13. Paul R, Mordhorst J, Leyh H, Hartung R. Incidence and outcome of patients with adrenal metastases of renal cell cancer. *Urology*. 2001; 57:878–882. [PubMed: 11337286]
14. Sagalowsky AI, Kadesky KT, Ewalt DM, Kennedy TJ. Factors influencing adrenal metastasis in renal cell carcinoma. *J Urol*. 1994; 151:1181–1184. [PubMed: 8158755]
15. Siemer S, Lehmann J, Loch A, et al. Current TNM classification of renal cell carcinoma evaluated: revising stage T3a. *J Urol*. 2005; 173:33–37. [PubMed: 15592020]
16. Thompson RH, Cheville JC, Lohse CM, et al. Reclassification of patients with pT3 and pT4 renal cell carcinoma improves prognostic accuracy. *Cancer*. 2005; 104:53–60. [PubMed: 15895375]
17. Thompson RH, Leibovich BC, Cheville JC, et al. Should direct ipsilateral adrenal invasion from renal cell carcinoma be classified as pT3a? *J Urol*. 2005; 173:918–921. [PubMed: 15711327]

18. Von Knobloch R, Varga Z, Schrader AJ, Hofmann R. All patients with adrenal metastasis from RCC will eventually die in tumor progression: there is no cure or benefit from simultaneous adrenalectomy. *J Urol*. 2004; 171:4–4.
19. Leibovich BC, Cheville JC, Lohse CM, et al. Cancer specific survival for patients with pT3 renal cell carcinoma—can the 2002 primary tumor classification be improved? *J Urol*. 2005; 173:716–719. [PubMed: 15711250]
20. Moinzadeh A, Libertino JA. Prognostic significance of tumor thrombus level in patients with renal cell carcinoma and venous tumor thrombus extension. Is all T3b the same? *J Urol*. 2004; 171:598–601. [PubMed: 14713768]
21. Pantuck AJ, Zisman A, Dorey F, et al. Renal cell carcinoma with retroperitoneal lymph nodes. Impact on survival and benefits of immunotherapy. *Cancer*. 2003; 97:2995–3002. [PubMed: 12784334]
22. Dimashkieh HH, Lohse CM, Blute ML, Kwon ED, Leibovich BC, Cheville JC. Extranodal extension in regional lymph nodes is associated with outcome in patients with renal cell carcinoma. *J Urol*. 2006; 176:1978–1982. [PubMed: 17070225]
23. Frank I, Blute ML, Cheville JC, Lohse CM, Weaver AL, Zincke H. An outcome prediction model for patients with clear cell renal cell carcinoma treated with radical nephrectomy based on tumor stage, size, grade and necrosis: the SSIGN score. *J Urol*. 2002; 168:2395–2400. [PubMed: 12441925]
24. Zisman A, Pantuck AJ, Wieder J, et al. Risk group assessment and clinical outcome algorithm to predict the natural history of patients with surgically resected renal cell carcinoma. *J Clin Oncol*. 2002; 20:4559–4566. [PubMed: 12454113]
25. The National Cancer Institute. [Accessed August 16, 2013] Required SEER Site-Specific Factors for Collaborative Stage. Available at: <http://seer.cancer.gov/tools/ssf/>
26. Collaborative Stage Work Group of the American Joint Committee on Cancer. [Accessed January 22, 2014] Collaborative Stage Data Collection System User Documentation and Coding Instructions, version 02.04.40, Part I, Section 2: Lab Tests, Tumor Markers, and Site-Specific Factor Notes. Effective. Jan 1. 2012 Available at: <https://cancerstaging.org/cstage/Pages/default.aspx>
27. de Peralta-Venturina M, Moch H, Amin M, et al. Sarcomatoid differentiation in renal cell carcinoma: a study of 101 cases. *Am J Surg Pathol*. 2001; 25:275–284. [PubMed: 11224597]
28. Cheville JC, Lohse CM, Zincke H, et al. Sarcomatoid renal cell carcinoma: an examination of underlying histologic subtype and an analysis of associations with patient outcome. *Am J Surg Pathol*. 2004; 28:435–441. [PubMed: 15087662]
29. Bretheau D, Lechevallier E, de Fromont M, Sault MC, Rampal M, Coulange C. Prognostic value of nuclear grade of renal cell carcinoma. *Cancer*. 1995; 76:2543–2549. [PubMed: 8625083]
30. College of American Pathologists. [Accessed August 16, 2013] Protocol for the Examination of Specimens From Patients With Invasive Carcinoma of Renal Tubular Origin. 2012. Available at: http://www.cap.org/apps/docs/committees/cancer/cancer_protocols/2012/Kidney_12protocol_3101.pdf
31. Collaborative Stage Data Collection System. [Accessed August 16, 2013] KidneyRenalPelvis CS Site-Specific Factor 1 WHO/ISUP Grade. 2010. Available at: http://web2.facs.org/cstage0204/kidneyrenalpelvis/KidneyRenalPelvis_jpd.html
32. Collaborative Stage Data Collection System. [Accessed August 16, 2013] KidneyRenalPelvis CS Site-Specific Factor 2 Depth of Renal Parenchymal Invasion. 2013. Available at: http://cstage2.com/drafts/html/kidneyrenalpelvis/KidneyRenalPelvis_kaj.html
33. Epstein JI, Amin MB, Reuter VR, Mostofi FK. The World Health Organization/International Society of Urological Pathology consensus classification of urothelial (transitional cell) neoplasms of the urinary bladder. Bladder Consensus Conference Committee. *Am J Surg Pathol*. 1998; 22:1435–1448. [PubMed: 9850170]
34. Eble, JN. World Health Organization, International Agency for Research on Cancer. Pathology and Genetics of Tumours of the Urinary System and Male Genital Organs. Lyon, France: IARC Press; 2004.

35. Mostofi, FK.; Sobin, LH.; Torloni, H. Histological typing of urinary bladder tumours. Geneva, Switzerland: World Health Organization; 1973.
36. Cohen J. A coefficient of agreement for nominal scales. *Educ Psychol Meas.* 1960; 20:37–46.
37. Sun M, Thuret R, Abdollah F, et al. Age-adjusted incidence, mortality, and survival rates of stage-specific renal cell carcinoma in North America: a trend analysis. *Eur Urol.* 2011; 59:135–141. [PubMed: 21035250]
38. Martinez-Salamanca JI, Huang WC, Millan I, et al. Prognostic impact of the 2009 UICC/AJCC TNM staging system for renal cell carcinoma with venous extension. *Eur Urol.* 2011; 59:120–127. [PubMed: 20980095]
39. Han KR, Bleumer I, Pantuck AJ, et al. Validation of an integrated staging system toward improved prognostication of patients with localized renal cell carcinoma in an international population. *J Urol.* 2003; 170:2221–2224. [PubMed: 14634383]
40. Leibovich BC, Cheville JC, Lohse CM, et al. A scoring algorithm to predict survival for patients with metastatic clear cell renal cell carcinoma: a stratification tool for prospective clinical trials. *J Urol.* 2005; 174:1759–1763. discussion 1763. [PubMed: 16217278]
41. Delahunt B, McKenney JK, Lohse CM, et al. A novel grading system for clear cell renal cell carcinoma incorporating tumor necrosis. *Am J Surg Pathol.* 2013; 37:311–322. [PubMed: 23348209]
42. Holmang S, Johansson SL. Urothelial carcinoma of the upper urinary tract: comparison between the WHO/ISUP 1998 consensus classification and WHO 1999 classification system. *Urology.* 2005; 66:274–278. [PubMed: 16098355]
43. Shariat SF, Zigeuner R, Rink M, et al. Subclassification of pT3 urothelial carcinoma of the renal pelvicalyceal system is associated with recurrence-free and cancer-specific survival: proposal for a revision of the current TNM classification. *Eur Urol.* 2012; 62:224–231. [PubMed: 22285763]
44. Margulis V, Shariat SF, Matin SF, et al. Outcomes of radical nephroureterectomy: a series from the Upper Tract Urothelial Carcinoma Collaboration. *Cancer.* 2009; 115:1224–1233. [PubMed: 19156917]
45. Margulis V, Youssef RF, Karakiewicz PI, et al. Preoperative multivariable prognostic model for prediction of nonorgan confined urothelial carcinoma of the upper urinary tract. *J Urol.* 2010; 184:453–458. [PubMed: 20620397]
46. Chromecki TF, Cha EK, Fajkovic H, et al. The impact of tumor multifocality on outcomes in patients treated with radical nephroureterectomy. *Eur Urol.* 2012; 61:245–253. [PubMed: 21975249]
47. Wheat JC, Weizer AZ, Wolf JS, et al. Concomitant carcinoma in situ is a feature of aggressive disease in patients with organ confined urothelial carcinoma following radical nephroureterectomy. *Urol Oncol.* 2012; 30:252–258. [PubMed: 20451416]
48. Raman JD, Ng CK, Scherr DS, et al. Impact of tumor location on prognosis for patients with upper tract urothelial carcinoma managed by radical nephroureterectomy. *Eur Urol.* 2010; 57:1072–1079. [PubMed: 19619934]
49. Reichman ME, Altekruse S, Li CI, et al. Feasibility study for collection of HER2 data by National Cancer Institute (NCI) Surveillance, Epidemiology, and End Results (SEER) Program central cancer registries. *Cancer Epidemiol Biomarkers Prev.* 2010; 19:144–147. [PubMed: 20056633]
50. Howlader N, Noone AM, Yu MD, Cronin KA. Use of imputed population-based cancer registry data as a method of accounting for missing information: application to estrogen receptor status for breast cancer. *Am J Epidemiol.* 2012; 176:347–356. [PubMed: 22842721]

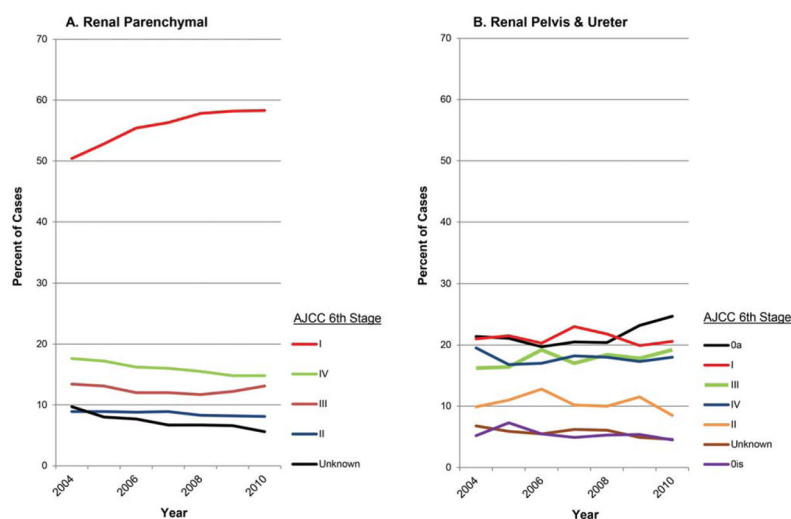


Figure 1. Stage distribution trends for (A) renal parenchymal cancer and (B) renal pelvis and ureter cancer. Data from SEER registries during 2004–2010, AJCC 6th edition.

TABLE 1

Exclusion Criteria for Renal Parenchyma and Renal Pelvis and Ureter Cancer Analytic Cohorts, SEER, 2010

Exclusion Criteria	AJCC 6th & 7th Stage Cohort	SSF Cohort
<i>A. Renal parenchyma^a</i>		
In situ cases	Yes ^a	Yes
Autopsy- or death certificate-only cases	Yes	Yes
Histologies for which AJCC 6th & 7th stage not defined	Yes	Yes
Code 988, blank for each SSF	No	Yes
Arizona Indians and Cherokee	Yes	Yes
Final sample size	12,218	12,203
<i>B. Renal pelvis and ureter</i>		
In situ cases	No	Yes
Autopsy or death certificate only cases	Yes	Yes
Histologies for which AJCC 6th and 7th stage not defined	Yes	Yes
Code 988, blank for each SSF	No	Yes
Arizona Indians and Cherokee	Yes	Yes
Final sample size	1684	1193

Abbreviations: AJCC, American Joint Committee on Cancer; SEER, Surveillance, Epidemiology, and End Results; SSF, site-specific factor.

^a Papillary noninvasive carcinoma (0a) and in situ (0is) not applicable to renal parenchyma

TABLE 2

Distribution of AJCC 6th and 7th Edition Staging for Renal Parenchyma and Renal Pelvis and Ureter Cancer Cases, SEER, 2010

A. Renal Parenchyma				
Stage	AJCC 6th		AJCC 7th	
	n	%	n	%
I	7127	58.3	7156	58.6
II	995	8.1	1004	8.2
III	1601	13.1	1658	13.6
IV	1805	14.8	1784	14.6
Unknown	690	5.6	616	5.0
Total	12,218	100.0	12,218	100.0

B. Renal Pelvis and Ureter				
Stage	AJCC 6th		AJCC 7th	
	n	%	n	%
0a	416	24.7	416	24.7
0is	75	4.5	75	4.5
I	347	20.6	350	20.8
II	143	8.5	143	8.5
III	323	19.2	323	19.2
IV	303	18.0	303	18.0
Unknown	77	4.6	74	4.4
Total	1684	100.0	1684	100.0

Abbreviations: AJCC, American Joint Committee on Cancer; SEER, Surveillance, Epidemiology, and End Results.

TABLE 3

Comparison of AJCC 6th and 7th Edition Staging Distributions for Renal Parenchyma and Renal Pelvis and Ureter Cancer Patients Diagnosed in 2010, SEER

A. Renal Parenchyma (Kappa, 0.98; P < .05)												
AJCC 7 th												
AJCC 6 th	I		II		III		IV		Unknown		Total	
	n	%	n	%	n	%	n	%	n	%	n	%
I	7127	99.6	0	0	0	0	0	0	0	0	0	7127
II	0	0	995	99.1	0	0	0	0	0	0	0	995
III	0	0	0	0	1581	95.4	20	1.1	0	0	0	1601
IV	0	0	0	0	41	2.5	1763	98.8	1	0.2	1805	1805
Unknown	29	0.4	9	0.9	36	2.2	1	0.1	615	99.8	690	690
Total	7156	100.0	1004	100.0	1658	100.0	1784	100.0	616	100.0	12218	12218

B. Renal Pelvis and Ureter (Kappa, > 0.99; P < .05)												
AJCC 7 th												
AJCC 6 th	0a		0is		I		II		III		IV	
	n	%	n	%	n	%	n	%	n	%	n	%
0a	416	100	0	0	0	0	0	0	0	0	0	416
0is	0	0	75	100	0	0	0	0	0	0	0	75
I	0	0	0	0	347	100	0	0	0	0	0	347
II	0	0	0	0	0	0	143	0	100	0	0	143
III	0	0	0	0	0	0	0	100	323	0	0	323
IV	0	0	0	0	0	0	0	0	0	303	100	303
Unknown	0	0	0	0	3	5	0	0	0	0	74	95
Total	416	100	75	100	350	100	143	100	323	100	303	1684

Abbreviations: AJCC, American Joint Committee on Cancer; SEER, Surveillance, Epidemiology, and End Results.

TABLE 4
SSFs for Cancers of the Renal Parenchyma and Renal Pelvis and Ureter, SEER, 2010

SSF	A. Renal Parenchyma									
	Not Applicable ^a			Known			Unknown			Total
	Codes	n	%	Codes	n	%	Codes	n	%	n
1. Invasion beyond capsule	888	0	0.0	000, 010, 020, 030, 991	9426	77.2	998, 999	2777	22.8	12,203
2. Vein involvement	888	0	0.0	000, 010, 020, 030, 040, 050, 060, 070, 080, 090	9586	78.6	998, 999	2617	21.4	12,203
3. Ipsilateral adrenal gland involvement	888	0	0.0	000, 010, 020, 030, 040	9932	81.4	998, 999	2271	18.6	12,203
4. Sarcomatoid features	888, 987	13	0.1	000, 010	9611	78.8	998, 999	2579	21.2	12,203
6. Fuhrman nuclear grade	888, 987	69	0.6	010, 020, 030, 040	8607	70.5	998, 999	3527	28.9	12,203
8. Extranodal extension of regional lymph nodes		0	0.0	000, 010, 020, 030	11,015	90.3	999	1188	9.7	203

SSF	B. Renal Pelvis and Ureter									
	Not Applicable ^a			Known			Unknown			Total
	Codes	n	%	Codes	n	%	Codes	n	%	n
1. WHO/ISUP grade	888 987	16	1.3	010, 20	874	73.3	998, 999	303	25.4	1193
2. Depth of renal parenchymal invasion		0	0.0	000, 001–979, 980	560	46.9	991, 998, 999	633	53.1	1193

Abbreviations: ISUP, International Society of Urological Pathology; SEER, Surveillance, Epidemiology, and End Results; SSF, site-specific factor; WHO, World Health Organization.

^aExcluded from SSF-related analyses were 988 and blank responses (see Table 1).

SSFs for Cancers of the Renal Parenchyma, and Renal Pelvis and Ureter, SEER-18, 2010 (Limited to Cases With a Resection Based on SEER 2003+ Site-Specific Surgery of Primary Site Codes 20–80)

TABLE 5

A. Renal Parenchyma									
SSF	Not Applicable		Known		Unknown		Total		
	n		n		n		%		
1. Invasion beyond capsule	0	0.0	9033	96.4	340	3.6	9373		
2. Vein involvement	0	0.0	9070	96.7	303	3.2	9373		
3. Ipsilateral adrenal gland involvement	0	0.0	8660	92.4	713	7.6	9373		
4. Sarcomatoid features	7	0.1	8917	95.1	449	4.8	9373		
6. Fuhrman nuclear grade	54	0.6	8176	87.2	1143	12.2	9373		
8. Extranodal extension of regional lymph nodes	0	0.0	9010	96.1	363	3.9	9373		

B. Renal Pelvis and Ureter									
SSF	Not Applicable		Known		Unknown		Total		
	n		n		n		%		
1. WHO/ISUP grade	16	1.4	805	84.7	132	13.9	950		
2. Depth of renal parenchymal invasion	0	0.0	546	57.5	404	42.5	950		

Abbreviations: ISUP, International Society of Urological Pathology; SEER, Surveillance, Epidemiology, and End Results; SSF, site-specific factor; WHO, World Health Organization.