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**A Small-Scale Test
for Free-Swelling Index of Coal**



UNITED STATES DEPARTMENT OF THE INTERIOR

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**A Small-Scale Test
for Free-Swelling Index of Coal**

By R. F. Abernethy and J. P. Snyder



UNITED STATES DEPARTMENT OF THE INTERIOR
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A SMALL-SCALE TEST FOR FREE-SWELLING INDEX OF COAL

by

R. F. Abernethy¹ and J. P. Snyder²

ABSTRACT

A small-scale method was developed for determining the free-swelling index of coal samples of limited quantity, especially the macerals. Results of a series of tests using a 0.3-gram sample correlated well with those by the standard method using a 1-gram sample. The standard and small-scale methods are modified to give unrestricted swelling for those coals having swelling index numbers above 7.

INTRODUCTION

The term "free-swelling" is applied to the behavior of some bituminous coals when heated under specified conditions. Damm (6)³ defined the swelling of coal as the volume change that takes place when coal is heated. The softened coal can expand, for example due to gases being released on pyrolysis, in the direction away from the heating surface. The degree of swelling can be expressed in numbers of 1 to 9 based on cross-sectional area profiles of the swollen sample, convenient linear units, or as a percentage of the volume of the original coal sample (4).

The free-swelling test was developed in England (9) and was made a standard method of test by the British Standards Institution under the title of "Crucible Swelling Number" (5). The method was modified and adopted by the American Society for Testing and Materials as a standard. This modification of test is titled "Free-Swelling Index (FSI)" (2, 7). Swartzman (11) stated the ASTM specifications of the test were too rigid for most coals. Young (12) cautioned that for weathered coals and some low-volatile coals the ASTM tolerances must be followed.

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³Underlined numbers in parentheses refer to items in the list of references preceding the appendix.

The free-swelling index is used to estimate the caking properties of coals during combustion on a grate, to differentiate between agglomerating and nonagglomerating coals, and to detect weathered or oxidized coals (1-2). The free-swelling index gives supplementary information on the plastic properties of coals. It should not be used to evaluate the expansion properties of coals to be used in coke ovens (10).

The ASTM method for determining the free-swelling index uses a 1-gram coal sample (2). The need for a smaller scale test arises when only a limited supply of coal, particularly the macerals for special geological and chemical studies, is available. Therefore it is necessary to modify the ASTM method for testing smaller weight samples. Trials were made with various sample weights of less than 1 gram; 0.3 gram was found to be the minimum sample weight that yields satisfactory results. This Bureau of Mines report gives the results using this modification.

METHOD OF TESTING

In the ASTM Standard Method D 720-67, "Test for Free Swelling Index of Coal" (appendix), 1 gram of 60-mesh coal is heated under specified conditions in a 17-ml silica crucible with a ring-handled lid called a regular lid. The coke button is removed from the crucible and is assigned a free-swelling index number by comparing it with a series of standard profiles.

Our modified method for 0.3-gram coal samples uses a 5-ml silica crucible with an inverted crucible as a lid, along with a corresponding reduced rate of gas flow to maintain the same heating rate as used in the standard method. Other conditions follow ASTM Test D 720-67.

Thirty coals were tested by both the ASTM and the modified method using regular lids. Four to twelve buttons were made for each coal. Eleven coals were tested in both methods but using inverted crucibles as lids. Five to sixteen buttons were made for each coal using this modification.

The weights and dimensions of the crucibles and lids are as follows:

	<u>5-ml crucible</u>	<u>17-ml crucible</u>
Weight, grams:		
Crucible.....	5.5	11.0-12.75
Lid.....	3.8	10.50
Coors 0 crucible as lid....	9.0	-
Coors 3 crucible as lid....	-	13.75
Dimensions, mm:		
Height.....	19.5±1.5	26±0.5
Rim ID.....	25±1	-
Rim OD.....	29.25±1	41±0.75
Base ID.....	11±1	11
Base OD.....	13±1	-

RESULTS

Table 1 presents the results of two series of tests using regular lids and inverted crucibles as lids. Each series uses both standard ASTM procedures and modified procedures. Maximum, minimum, and average areas with their corresponding free-swelling indexes for the buttons made for each coal are tabulated. Standard deviation and relative standard deviation for the average cross-sectional area of the buttons for each coal are given. The relative standard deviation values range from 0.9 to 9.3 percent for the 1-gram samples and from 2.1 to 8.1 percent for the 0.3-gram samples.

TABLE 1. - Coke button areas of 1-gram and 0.3-gram samples
by two different methods

Number of buttons	Free-swelling index	Coke button area (mm ²) using 1-gram sample			Relative standard deviation ¹	Number of buttons	Free-swelling index	Coke button area (mm ²) using 0.3-gram sample			Relative standard deviation ¹
		Maximum	Minimum	Average ± standard deviation				Maximum	Minimum	Average ± standard deviation	
RESULTS WHEN USING REGULAR LIDS											
4	2 1½ 2	132	117	124±7	5.6	12	2½ 2 2½	64	51	56±4	7.1
4	2½ 2½ 2½	162	147	154±8	5.2	10	3 2 2½	72	54	62±5	8.1
4	3½ 3 3	197	171	183±11	6.0	12	3½ 3 3	82	73	78±3	3.8
4	4 3½ 4	244	204	226±16	7.1	12	4½ 3½ 4	109	87	97±6	6.2
4	4½ 4 4	270	228	244±18	7.4	12	4½ 4 4	118	97	104±7	6.7
4	5 4½ 5	299	263	279±16	5.7	12	5 4½ 5	131	104	117±8	6.8
4	5 4½ 5	301	269	293±16	5.5	10	5 4½ 5	133	120	124±4	3.2
4	5½ 5½ 5½	317	312	314±2	0.6	10	6 5 5½	160	133	146±8	5.5
4	5½ 5 5½	336	282	312±23	7.4	12	6 5½ 5½	159	139	150±6	4.0
4	6 5½ 5½	354	325	333±14	4.2	12	6 5 5½	166	129	153±11	7.2

See footnote at end of table.

TABLE 1. - Coke button areas of 1-gram and 0.3-gram samples
by two different methods--Continued

Number of buttons	Free-swell-ing index	Coke button area (mm ²) using 1-gram sample			Relative standard deviation ¹	Number of buttons	Free-swell-ing index	Coke button area (mm ²) using 0.3-gram sample			Relative standard deviation ¹
		Maxi-mum	Mini-mum	Average ± standard deviation				Maxi-mum	Mini-mum	Average ± standard deviation	
RESULTS WHEN USING REGULAR LIDS--Continued											
12	6½ 5½ 6	400	316	360±33	9.3	13	6½ 5½ 6	182	150	168±11	6.5
4	6½ 6 6	391	350	368±17	4.6	12	6½ 6 6½	185	161	174±8	4.6
4	7 6 6½	426	354	379±33	8.7	13	7 6 6½	196	162	180±11	6.1
4	7 6½ 6½	416	370	394±21	5.3	12	7½ 6½ 6½	202	173	185±8	4.3
6	7½ 6½ 7	441	373	413±25	6.1	11	7½ 6½ 7	203	176	188±10	5.3
4	7½ 6½ 7	437	377	410±30	7.3	10	7½ 6½ 7	206	180	193±9	4.7
4	7½ 6½ 7	466	396	423±31	7.3	9	7½ 6½ 7	208	181	194±9	4.6
4	8 7 7½	487	409	445±32	7.2	14	8 7 7½	222	192	209±8	3.8
4	7½ 7 7½	462	432	452±14	3.1	15	8½ 7½ 8	245	206	234±16	6.8
9	8 7½ 7½	474	438	466±13	2.8	16	9½ 8½ 9	272	234	251±13	5.2
4	8½ 7½ 8	517	457	477±27	5.7	11	9½ 8½ 9	276	237	255±14	5.5
4	8½ 8 8	521	474	497±23	4.6	7	10 9 10	295	262	280±14	6.4
4	8½ 8 8	506	482	494±10	2.0	8	10½ 9½ 10	305	274	290±12	4.1

See footnote at end of table.

TABLE 1. - Coke button areas of 1-gram and 0.3-gram samples
by two different methods--Continued

Number of buttons	Free-swell-ing index	Coke button area (mm ²) using 1-gram sample			Relative standard deviation ¹	Number of buttons	Free-swell-ing index	Coke button area (mm ²) using 0.3-gram sample			Relative standard deviation ¹
		Maxi-mum	Mini-mum	Average ± standard deviation				Maxi-mum	Mini-mum	Average ± standard deviation	
RESULTS WHEN USING REGULAR LIDS--Continued											
4	8½ 8½ 8½	524	502	512±9	1.8	9	10½ 9½ 10	300	270	285±10	3.5
4	9½ 9 9	563	551	555±5	0.9	10	11 10 10½	323	290	308±10	3.2
4	9½ 9 9	586	536	559±24	4.3	11	11½ 10½ 11	333	300	314±11	3.5
4	9½ 9 9½	582	558	568±10	1.8	11	11½ 10½ 11	337	300	318±13	4.1
4	9½ 9 9½	577	554	571±11	1.9	11	11½ 10½ 11	341	302	318±12	3.8
4	10½ 9½ 10	648	574	605±37	6.0	11	12 11 11½	352	313	332±11	3.3
4	10 10 10	615	601	607±6	1.0	10	12 11½ 11½	354	331	340±8	2.4
RESULTS WHEN USING INVERTED CRUCIBLES AS LIDS											
4	3 2½ 2½	169	146	155±10	6.5	10	2½ 2 2½	61	54	57±3	5.3
4	3 2½ 2½	178	145	159±14	8.8	10	3 2½ 2½	68	56	61±4	6.6
4	7 6½ 6½	407	375	395±14	3.5	15	6½ 6 6½	183	157	169±9	5.3
4	6½ 6 6½	395	365	385±13	3.4	10	7 6 6½	188	168	179±6	3.4
6	7½ 6½ 7	441	380	408±27	6.6	10	7 6½ 7	193	182	188±4	2.1

See footnotes at end of table.

TABLE 1. - Coke button areas of 1-gram and 0.3-gram samples
by two different methods--Continued

Number of buttons	Free-swelling index	Coke button area (mm ²) using 1-gram sample			Relative standard deviation ¹	Number of buttons	Free-swelling index	Coke button area (mm ²) using 0.3-gram sample			Relative standard deviation ¹
		Maxi-mum	Mini-mum	Average ± standard deviation				Maxi-mum	Mini-mum	Average ± standard deviation	
RESULTS WHEN USING INVERTED CRUCIBLES AS LIDS--Continued											
4	7 6½ 7	435	392	416±19	4.6	12	7½ 6½ 7	212	173	194±14	7.2
4	8 7 7½	483	420	452±27	6.0	5	8 7 7½	224	186	206±15	7.2
4	8½ 8 8	505	491	497±9	1.8	12	8½ 7½ 8	242	204	220±11	5.0
4	9 8½ 9	580	503	538±6	6.7	9	9½ 8½ 9	271	245	262±9	3.4
4	9½ 8½ 9	587	521	556±25	4.5	11	9½ 8½ 9	274	243	259±12	4.6
4	9½ 9 9	574	539	558±14	2.5	7	9½ 9 9	272	253	263±6	2.3

¹Relative standard deviation (3) is defined as the standard deviation of a series of test results expressed as a percentage of the mean of this series and is commonly called the percent coefficient of variation.

The average coke button area values for 41 coals using 1-gram samples were plotted against the corresponding area values using 0.3-gram samples. Figure 1 presents this plot. Figure 2 presents a plot of 0.3-gram sample profile areas obtained from figure 1 versus swelling index numbers.

Table 2 presents the coke button areas of the standard profiles for each free-swelling index for 1-gram and 0.3-gram samples. The 1-gram area values are from a Bureau publication (8); either as given or by extrapolation. The 0.3-gram area values are from figure 2. These values are presented as 0.3-gram profiles (fig. 3).

TABLE 2. - Area of standard profiles

Standard profile	Area of 1-gram sample, mm ²	Area of 0.3-gram sample, mm ²	Standard profile	Area of 1-gram sample, mm ²	Area of 0.3-gram sample, mm ²	Standard profile	Area of 1-gram sample, mm ²	Area of 0.3-gram sample, mm ²
1	95	31	5	291	129	9	546	257
1½	112	40	5½	322	145	9½	575	271
2	133	50	6	355	161	10	608	288
2½	155	61	6½	385	176	10½	641	304
3	176	72	7	420	194	11	670	319
3½	202	85	7½	450	209	11½	705	336
4	228	98	8	482	225	12	735	351
4½	260	114	8½	515	241			

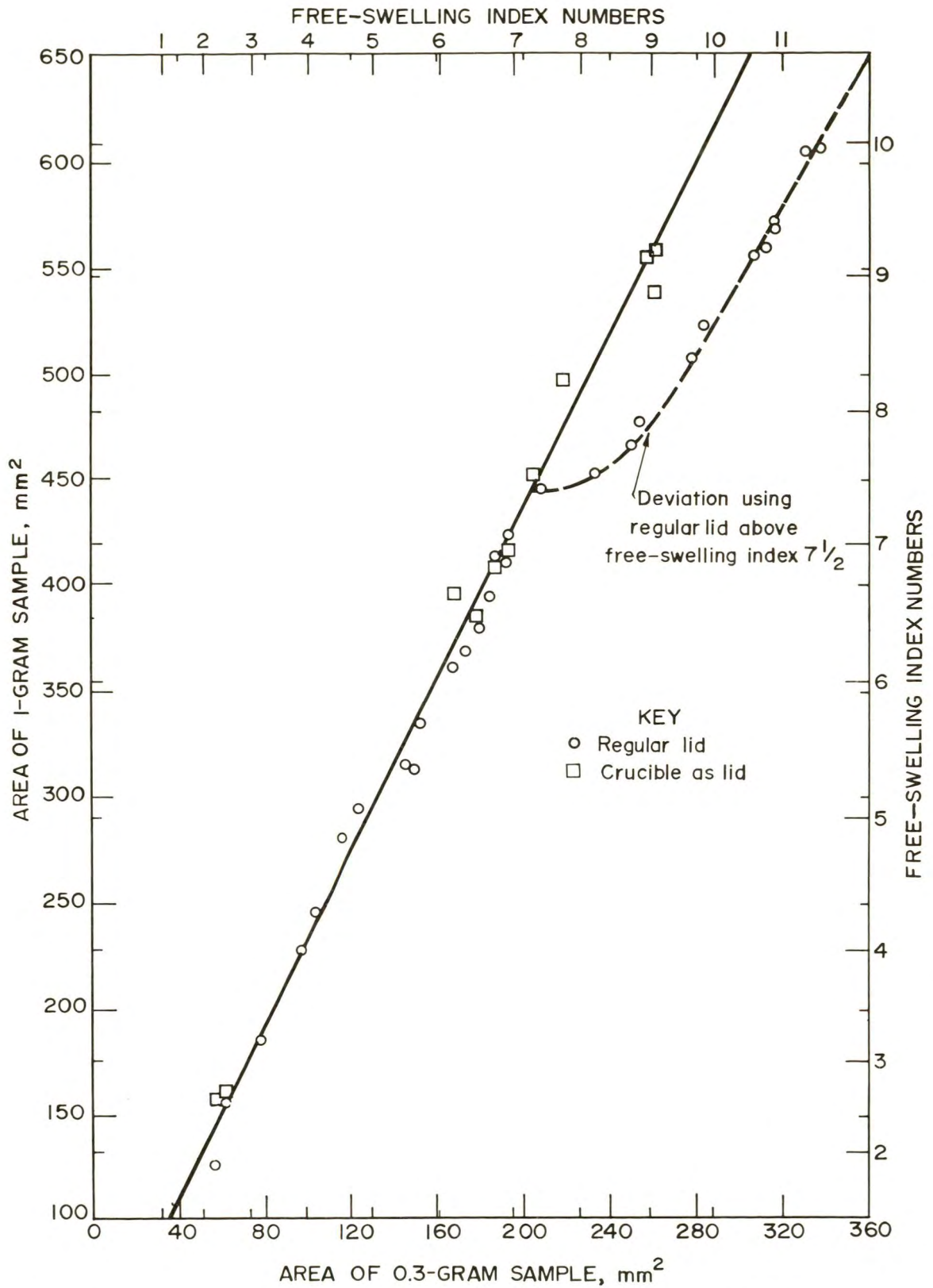


FIGURE 1. - Relationship of Coke Button Areas Between 1-Gram and 0.3-Gram Samples.

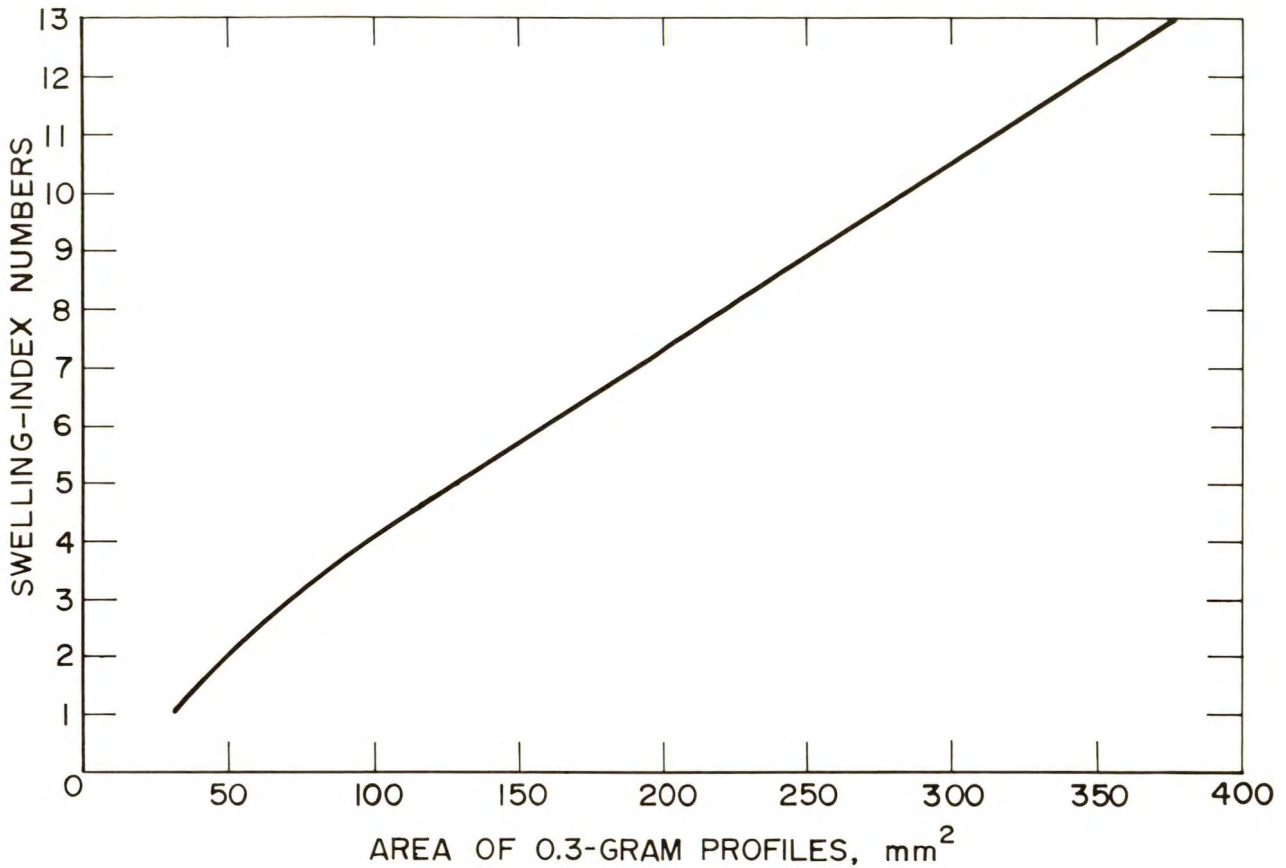


FIGURE 2. - Relationship of Areas of 0.3-Gram Profiles to Swelling Indexes.

DISCUSSION OF RESULTS

Figure 1 shows that a linear relationship of coke button areas between 1-gram and 0.3-gram samples occurs for indexes from 1 to 7 using regular lids.

The linearity fails above 7. The 1-gram and 0.3-gram buttons for indexes above 7 show a flat head (fig. 4), which means that the lids prevent free-swelling. The 0.3-gram button for index 7 just slightly touched the lid; the 1-gram button touched the lid, but its sideward swelling may compensate for a free-swelling effect. That is, the area of this button would equal the area of a button that

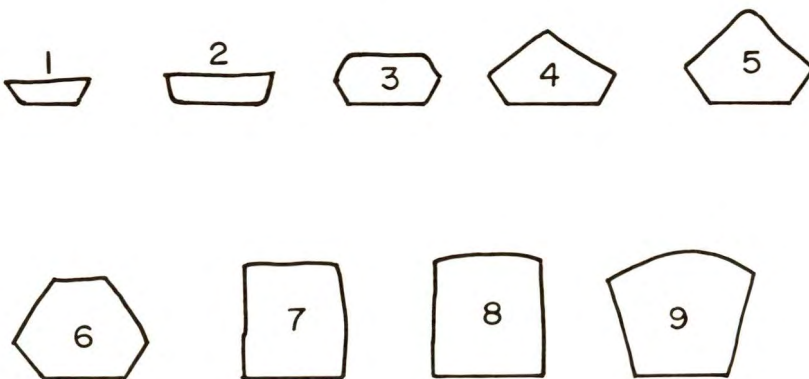


FIGURE 3. - Full-Scale Front Profiles of Coke Button and Corresponding Free-Swelling Index Numbers for Modified Method.

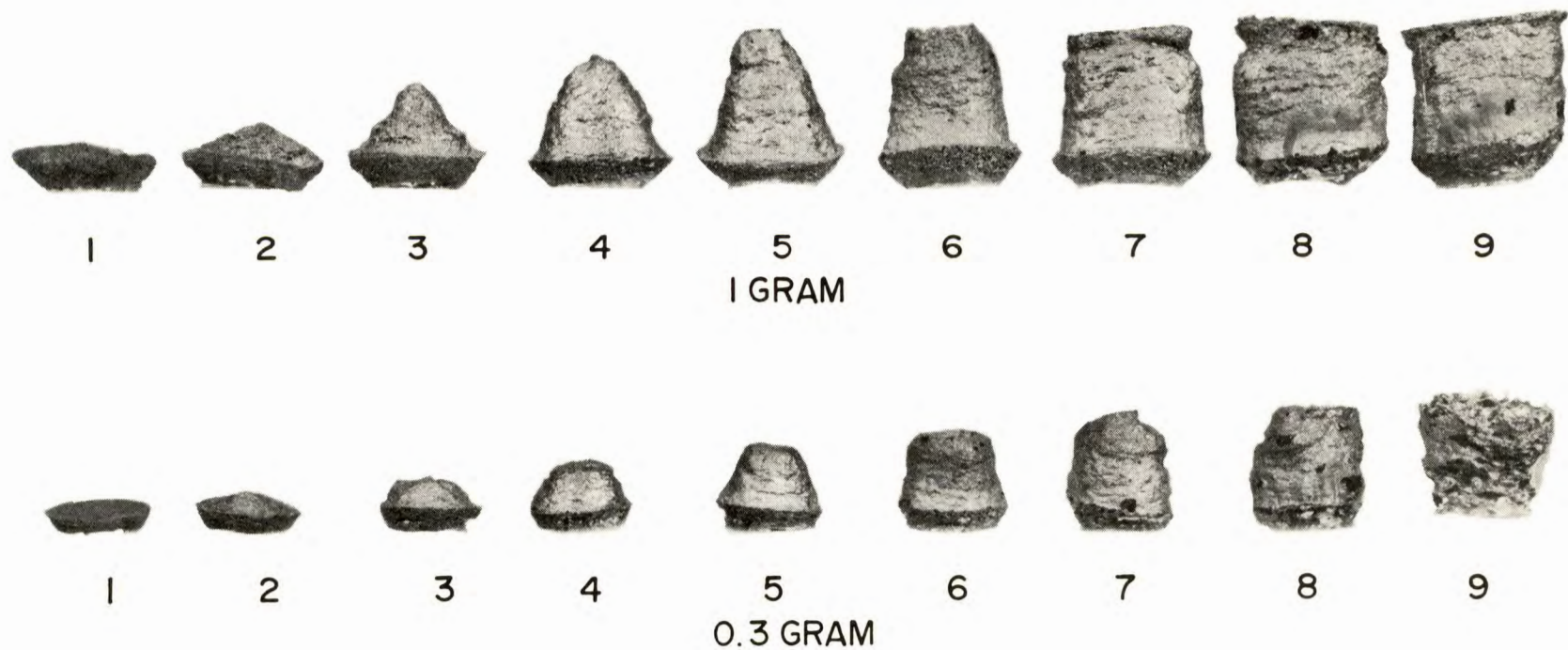


FIGURE 4. - Coke Button Residues From Free-Swelling Test Using Regular Lids and Corresponding Free-Swelling Index Numbers.

swelled unrestricted. Buttons for indexes 10 to 12 (not shown in fig. 4) also touched the lids and gave flat head profiles just as they did for indexes 8 and 9.

Figure 1 also shows that with an inverted crucible as a lid, the linearity of the curve continues beyond index 7.

Both the 1-gram and 0.3-gram buttons did not touch their lids. Some of these buttons are compared with the buttons using regular lids in figure 5.

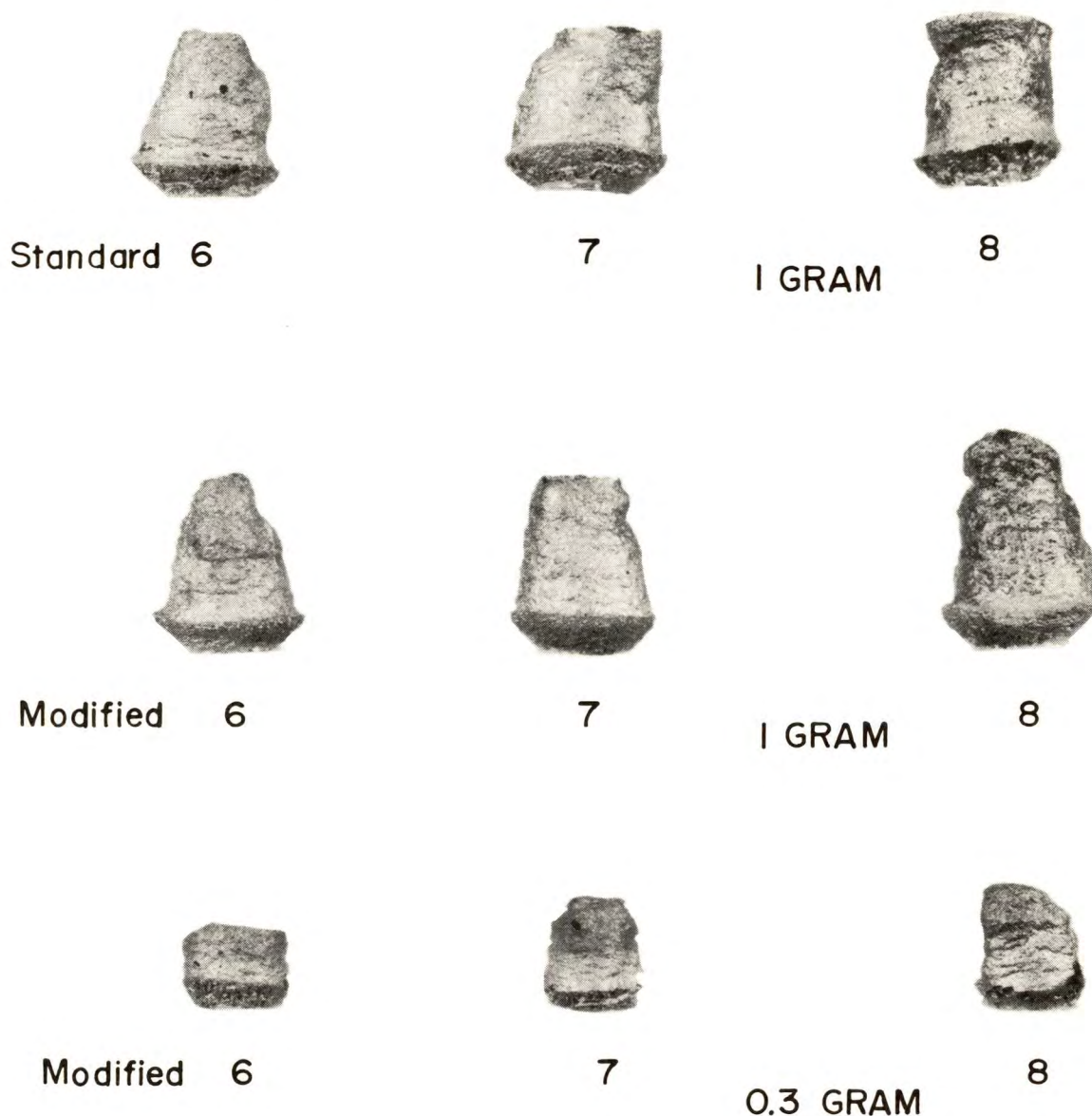


FIGURE 5. - Comparison of Coke Button Residues From Standard Free-Swelling Test and Modified Free-Swelling Test With Corresponding Free-Swelling Index Numbers.

The profiles shown in figure 3 can be used in determining the free-swelling index for 0.3-gram samples, by matching the button with the profile closest to its cross-sectional area. The free-swelling index can also be determined by measuring the coke button's cross-sectional area in square millimeters and by referring to the graph (fig. 2) for the index.

CONCLUSIONS

1. Three-tenth-gram samples can be used for small-scale free-swelling index tests using ASTM D 720-67 procedures and apparatus with only minor modifications in equipment and procedures. The index can be determined by matching the button with the profile for this purpose.

2. The present standard method of test can measure free-swelling properties even at the higher index levels above 7, by simply using an inverted Coors 3 crucible for a lid.

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⁴Titles enclosed in parentheses are translations from the language in which the item was published.

APPENDIX.--ASTM STANDARD METHOD OF TEST FOR FREE-SWELLING INDEX OF COAL¹Apparatus

This test is made with gas heating. The apparatus consists of the following:

1. Crucible, translucent silica, low-form, with silica ring-handle lid, conforming to the following requirements; also an extra pierced lid for use in determining the crucible temperature:

Weight, g.....	11.0 to 12.75
External height, mm.....	26±0.5
External diameter at top, mm.....	41±0.75
Internal diameter at base, min, mm.....	11
Capacity (approx.), cm ³	17

2. Sight tube, 25.4 cm high by 3.8 cm in diameter, supported on a ring stand for viewing coke buttons so that the effect of parallax will be eliminated.

3. Thermocouple and potentiometer.

4. A 500-gram weight.

5. Burner assembly. A gas burner with a grid of external diameter 30 to 42 mm, a draft shield, and a triangular crucible support (fig. A-1). The draft shield, conforming to the dimensions shown in figure A-1 is made from asbestos-cement pipe, and at the top it has three slots, 25 mm in depth, in which the wires of the crucible support rest. The draft shield is supported on a ring stand, so that the distance between the base of the crucible and the top of the burner grid can be adjusted by raising or lowering the draft shield. The triangular crucible support is made of three pieces of translucent silica tubing each 63 mm in length, 6 to 6.5 mm in external diameter, and mounted on chromium-nickel wire so that the diameter of the inscribed circle is approximately 32 mm. The twisted ends of the triangle is joined together by a loop of wire to facilitate removal of the hot crucible. The gas burner is placed in the gas line after a flowmeter-water manometer unit.

6. Flowmeter. A capillary flowmeter-water manometer unit is placed in the gas line to serve as a guide to control the rate of gas flow to the burner (fig. A-1). Control is manually made by adjusting the gas valve.

Calibration

1. With the burner assembly arranged as shown in figure A-1, and with an empty crucible in position, light the burner about 15 minutes before making a determination to allow the draft shield to rise to an equilibrium temperature.

¹Source: ASTM Standard Method of Test for Free-Swelling Index of Coal (2). This test can be made with either gas or electric heating; however, only instructions for the gas procedure will be given here.

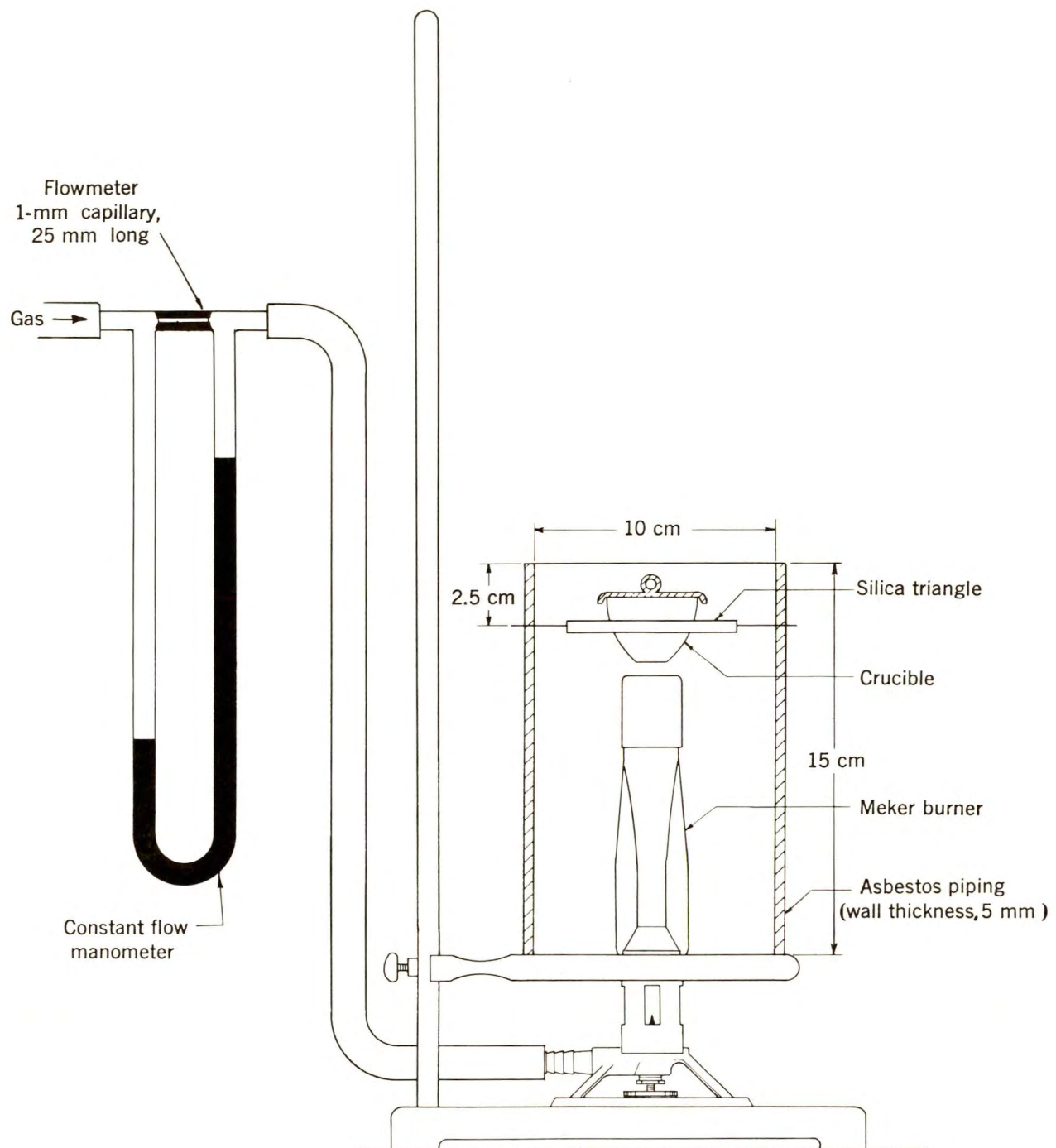


FIGURE A-1. - Burner Assembly.

2. After placing a crucible in position, adjust the flow of gas and the relative positions of the burner and the draft shield so that the temperature of the inner surface of the bottom of the crucible reaches $800^{\circ} \pm 10^{\circ} \text{C}$ in $1\frac{1}{2}$ minutes and $820^{\circ} \pm 5^{\circ} \text{C}$ in $2\frac{1}{2}$ minutes. Determine the crucible temperature by means of a thermocouple and a potentiometer. Insert the thermocouple

through the pierced crucible lid so that the unprotected junction of the thermocouple and a portion of each wire are in contact with the base of the crucible.

Preparation of Sample

The analysis sample of coal is prepared in accordance with ASTM Method D 2013-68, "Method of Preparing Coal Samples for Analysis."

Procedure

1. Weigh 1 gram of the prepared sample into a cold crucible, and level by lightly tapping the crucible 12 times on a solid surface, rotating between taps. Cover the crucible with a lid and place it upright in the silica triangle supported in the draft shield, directly over the gas flame. Heat the covered crucible in the gas flame for the time required for the flame of the burning volatile matter to die out, but in any case not less than $2\frac{1}{2}$ minutes. Remove the coke button and any carbon residue. Make three buttons for each sample of coal tested.

2. Measurement of coke button:

a. View each coke button through the sight tube and compare it with the series of standard profiles shown in figure A-2. Place the standard profile with which a button is to be compared exactly in the center of the field of vision as viewed from the top of the tube. Place the button on the profile and rotate it on its axis until, viewed with the eye placed immediately over the top of the tube, the maximum cross-sectional area is obtained. Record the number of the standard profile most nearly matched by the maximum

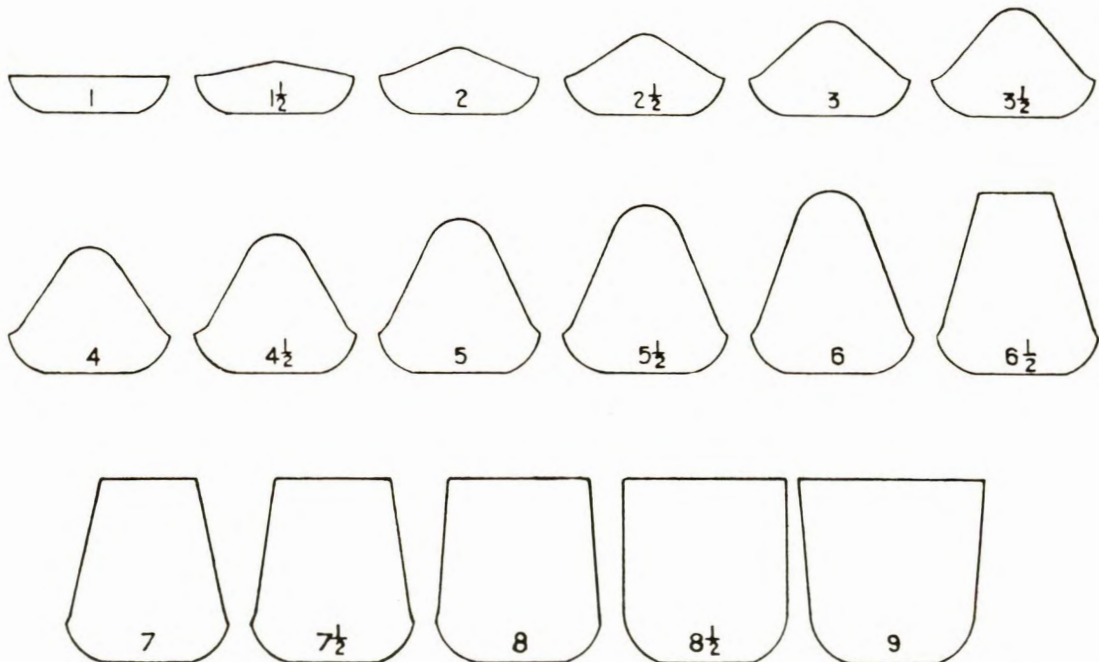


FIGURE A-2. - Full-Scale Standard Profiles and Corresponding Swelling Index Numbers.

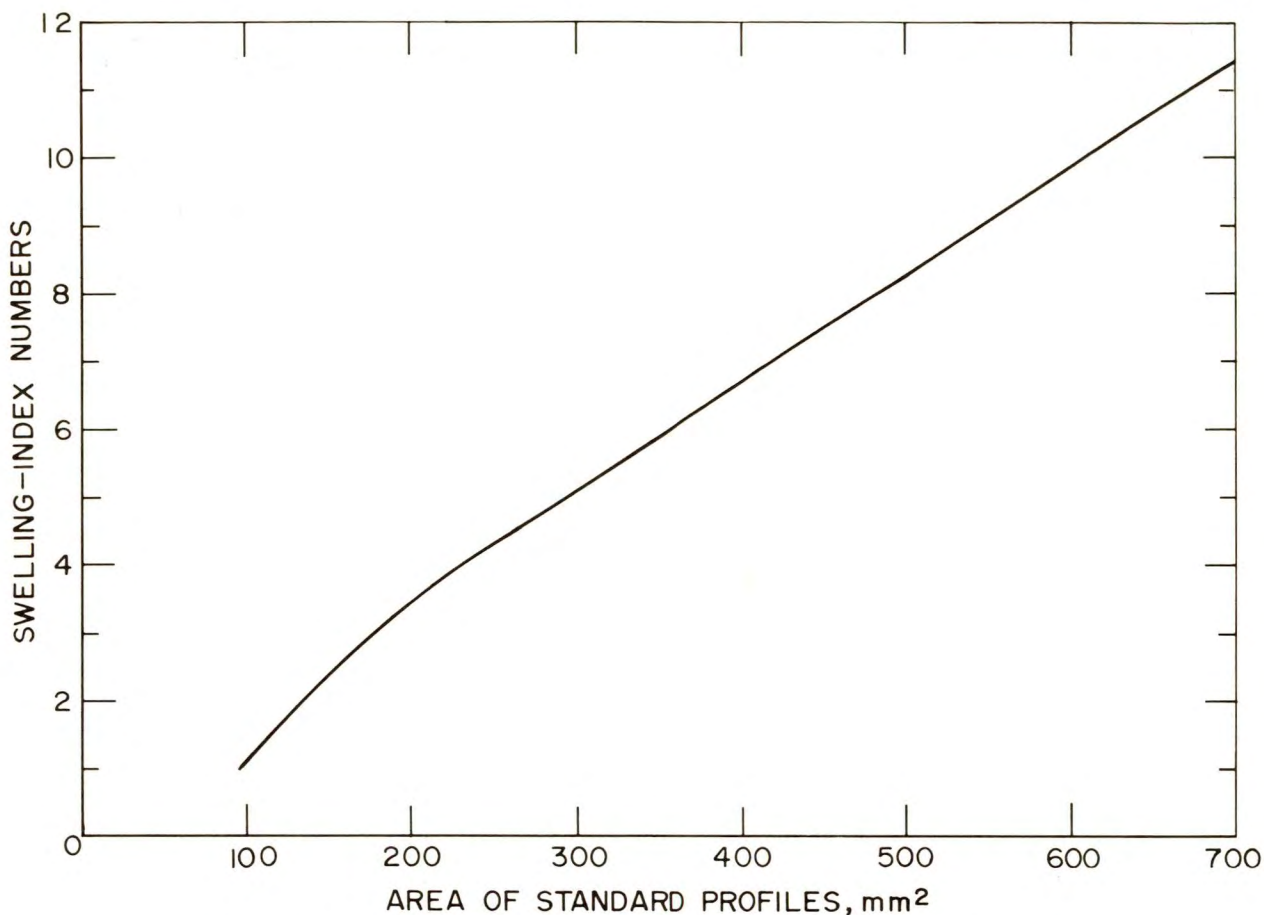


FIGURE A-3. - Relationship of Areas of Standard Profiles to Swelling Indexes.

cross-sectional area of the button as the swelling index. If any button deviates by more than 1 unit from the other two buttons, make a new determination.

b. Some coals give buttons that do not conform in shape to the standard profiles. For such coals, measure the maximum cross-sectional areas of the buttons, and determine the index from the relationship of the areas of the standard profiles to swelling indexes as shown in figure A-3. For measuring the cross-sectional areas, mount the buttons on graph paper ruled into square centimeters that are subdivided into square millimeters, and trace the outlines of the buttons on the paper while viewing through the sight tube. The squares inside the outline may be counted, and fractions of squares along the boundary line estimated.

c. If the residue is coherent but nonswollen, place it on a flat surface and carefully place the 500-gram weight on the button. If the button disintegrates, report the swelling index as one-half. If the button supports the weight or merely cracks into two or three hard coherent pieces, report the number as 1.

Report

Report the average swelling index of a series of three buttons expressed to the nearest one-half unit. Report the swelling index as zero if the residue from the test sample is noncoherent.