



Cerebral blood vessel damage in traumatic brain injury

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ABSTRACT

Traumatic brain injury is a devastating cause of death and disability. Although injury of brain tissue is of primary interest in head trauma, nearly all significant cases include damage of the cerebral blood vessels. Because vessels are critical to the maintenance of the healthy brain, any injury or dysfunction of the vasculature puts neural tissue at risk. It is well known that these vessels commonly tear and bleed as an immediate consequence of traumatic brain injury. It follows that other vessels experience deformations that are significant though not severe enough to produce bleeding. Recent data show that such subfailure deformations damage the microstructure of the cerebral vessels, altering both their structure and function. Little is known about the prognosis of these injured vessels and their potential contribution to disease development. The objective of this review is to describe the current state of knowledge on the mechanics of cerebral vessels during head trauma and how they respond to the applied loads. Further research on these topics will clarify the role of blood vessels in the progression of traumatic brain injury and is expected to provide insight into improved strategies for treatment of the disease.

1. Introduction

1.1. Significance of vessel injury in TBI

Traumatic brain injury (TBI) is a devastating cause of death and disability, affecting approximately 1.7 million victims each year in the United States, approximately 50,000 of whom die (Faul et al., 2010); at the same time, global incidence of TBI is increasing dramatically (Maas et al., 2008). Patients who survive the initial trauma are frequently left with debilitating neurologic deficits. Total annual costs of TBI in the United States have been estimated at \$60 billion (Finkelstein et al., 2006).

TBI is initiated by mechanical forces that cause abrupt head motion. This motion produces deformation of the underlying brain and commonly results in axonal injury, contusion, and hematoma (Melvin et al., 1993). Mechanical strain and damage of brain tissue initiates a multifaceted cascade of biochemical reactions that frequently lead to ischemia, hypoxia, brain swelling, and edema (Golding, 2002). The initial insult severity and subsequent dynamic interplay between primary and secondary injuries determine a patient's prognosis and underscore the need for a better understanding of the relationship between mechanics and biology.

The cerebral vessels are critical to the maintenance of the healthy

brain. Although injury of brain tissue is of primary interest in TBI, nearly all significant cases include some element of injury to the blood vessels (DeWitt and Prough, 2003; Graham, 1996; Jullienne et al., 2016; Kenney et al., 2016), and any injury or dysfunction of the vasculature puts neural tissue at risk. Vessel disruption often inhibits effective perfusion and leads to dangerous increases in intracranial pressure, in addition to exposing neural tissue to the relatively unregulated composition of whole blood which can disrupt central nervous system (CNS) homeostasis (Daneman and Prat, 2015). Pathophysiological alterations of cerebral blood flow (CBF), even in the absence of hemorrhage, are also common (DeWitt and Prough, 2003). While the post-trauma biochemical cascade is a known cause of vessel dysfunction (DeWitt and Prough, 2003; Golding, 2002; Werner and Engelhard, 2007), mechanical forces below the threshold of hemorrhage may also contribute to dysfunction through microstructural damage to vascular cells and extracellular matrix (ECM). Recent clinical studies have identified TBI as a risk factor for stroke (Burke et al., 2013; Chen et al., 2011). Although the mechanisms behind this connection have not been defined, a more complete understanding of TBI-induced vessel damage will elucidate contributing factors. This information may also lend insight into why some traumatic lesions progress (Narayan et al., 2008; Simard et al., 2009) and whether some persistent conditions, such as mild TBI (Len and Neary, 2011) and second impact syndrome (Cantu

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and Gean, 2010), may be partially exacerbated by ongoing cerebrovascular dysfunction.

Despite the prominence of vascular injury in TBI and its central role in determining clinical outcome, little is known about the local loading conditions that cerebral vessels experience during trauma. Similarly, vessel response to these forces has not been effectively defined. Further definition of the nature and magnitude of deformations that lead to various levels of vascular impairment is a key to improved prevention, since models incorporating this information will more accurately predict vascular injury. Deeper understanding of subtle vascular injury may also promote more effective treatment strategies. The objective of this review is to delineate the current state of knowledge on vascular damage in TBI. Following this introduction, the paper provides a brief background of cerebral vessel anatomy and morphology. This is followed by observations of vessel loading and injury in TBI, including both clinical and experimental investigations, with the goal of gaining a more complete understanding of what blood vessels experience during head trauma. The final section reviews what is known about cerebral vessel response to extreme deformations, including discussions of passive properties, structural failure, and subfailure damage and dysfunction.

1.2. Cerebral vessel morphology and organization

To properly consider the mechanics of the cerebral vessels, it is important to understand their environment and structure. The cerebral vascular system includes features not seen in any other organ (Stephens and Stilwell, 1969; Wolff, 1936), in part due to the layered membrane structure surrounding the brain. Tissue from the arachnoid membrane outward is largely perfused by blood from the external carotid artery. The anatomy of the dural vessels and sinuses is described elsewhere (Fox et al., 1996; Mack et al., 2009; Martins et al., 2005; Tubbs et al., 2007). Inside the arachnoid, the brain is supplied by the internal carotid and vertebral arteries that pass through the base of the skull, enter the subarachnoid space where they are bathed in cerebrospinal fluid, and anastomose to form the Circle of Willis. Six main conducting arteries leave the Circle and branch to form a complex network of smaller distributing arteries over and between the surfaces of the various lobes of the brain, some following natural paths along and within sulci but others crossing over them (Duvernoy et al., 1981; Nonaka et al., 2003a). Small penetrating arteries leave these surface vessels and enter the cortex at right angles, continuing to subdivide until they join the capillary plexus (Akima et al., 1986; Cassot et al., 2006; Cassot et al., 2010; Nonaka et al., 2003a; Reina-De La Torre et al., 1998) (Fig. 1). Some of these vessels pass through the cortex and into the white matter, though the gray matter is reported to be at least twice as vascular as the white matter (Nonaka et al., 2003b; Nonaka et al., 2003c; Stephens and Stilwell, 1969). Numerous anastomoses have been found in both the subarachnoid and parenchymal arteries. Some have reported such connections in arteries as large as 1 mm in diameter (Brozici et al., 2003), while others have reported not finding anastomosis in vessels larger than 90 μm (Duvernoy et al., 1981).

The cortical capillaries drain into small veins or venules that unite on the brain surface to become the cortical veins within the subarachnoid space, while return from the deep circulation occurs via the Great Vein of Galen (Medler et al., 1994). The veins come together to form large draining or “bridging” veins that cross the arachnoid membrane to exit the subarachnoid space and enter the dural venous sinuses (Andrews et al., 1989; Han et al., 2007; Oka et al., 1985). The venous sinuses ultimately unite and drain through the base of the skull via the two internal jugular veins.

When the internal carotid and vertebral arteries enter the subarachnoid space, they acquire an additional layer of pia-arachnoid tissue (Alcolado et al., 1988; Yamashima and Friede, 1984). This pial sheath remains with the arteries as they leave the subarachnoid space and penetrate the cortex, forming the Virchow-Robin spaces, but it

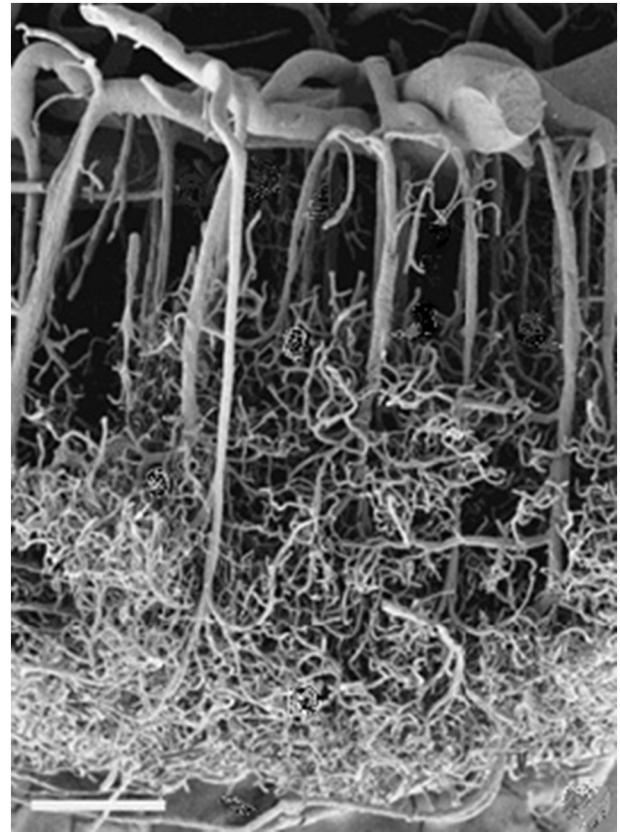


Fig. 1. Scanning electron microscope image of a vascular cast including the subarachnoid and cortical regions of the temporal lobe (modified from (Reina-De La Torre et al., 1998) with permission). Large vessels at the top of the image lie within the subarachnoid space and give off small penetrating arteries that move downward into the cortex to join the cortical capillary plexus (scale bar = 0.375 mm).

begins to disappear when branching approaches the capillary level (Zhang et al., 1990). Within the subarachnoid space, some of the collagenous trabeculae that bridge the gap between the pia and arachnoid membranes also attach to this outer vascular coat, thus providing intermittent tethering of the vessels to the pia and arachnoid. The same extra layer of tissue also accompanies veins between their entry into and exit from the subarachnoid space, but it is not found around veins in the cortex (Zhang et al., 1990).

The microstructure of the cerebral vessel wall is similar to that of extracranial vessels, but there are a number of distinctions (Finlay et al., 1995; Hayashi et al., 1980a; Holzapfel et al., 2000; Lee, 1995; Stephens and Stilwell, 1969; Wolff, 1936). Cerebral arteries are generally categorized as muscular and contain a thicker internal elastic lamina (IEL) than most other vessels, though there is less elastin present in the relatively thin medial and adventitial layers. The external elastic lamina is present in infant cerebral vessels but disappears in the adult (Hassler and Larsson, 1962). As in other muscular arteries, smooth muscle cells are primarily oriented circumferentially (Takahashi et al., 1994). Cerebral veins have particularly thin walls and are composed mostly of collagen, having little elastic tissue or smooth muscle. Brain capillaries consist of one layer of tightly packed endothelial cells surrounded by astrocyte end feet. Together, these maintain the blood-brain barrier that protects brain tissue from blood proteins while allowing support of cellular metabolic requirements.

2. Vessel loading in TBI

2.1. Clinical and pathological observations

Clinical manifestations of vessel injury in TBI are largely limited to

hemorrhage, though pathophysiology associated with vessel dysfunction is also commonly observed. The presence of bleeding suggests that vessels commonly experience deformations that lead to rupture, but clinical observations reveal little about more subtle damage that may occur. Further, details associated with a given TBI incident are typically limited, so the forces and accelerations responsible for the injury are difficult to estimate. Nevertheless, clinical data are fundamental in focusing research in meaningful directions.

Because of boundaries defined by the membranes surrounding the brain, blood escaping damaged vessels is commonly limited to spreading within the space between neighboring membranes, as long as they remain intact. Consequently, hemorrhage is categorized by the compartment into which blood leaks – as epidural, subdural, subarachnoid, parenchymal, etc. While contusions also involve vascular damage and are commonly associated with subarachnoid bleeding, they are distinct from other descriptions of hemorrhage in that they also involve mechanical damage of cortical brain tissue. Because of the unique anatomy of the regions in which these different types of injury occur, vessel-level loading likely varies considerably between them. Clinical and pathological manifestations of cerebral vessel injury have been described in detail elsewhere (e.g. (Crooks, 1991)); we here briefly review some observations associated with epidural and subdural hematoma, contusion, and vascular dysfunction to gain insight into the role of mechanics in vessel injury.

Epidural, or extradural, hematoma (EDH) refers to blood between the skull and the dura mater. While it is most commonly associated with skull fracture and disruption of the middle meningeal vessels, skull fracture is not required (Gallagher and Browder, 1968). EDH is most common following impact to the temporal bone, but blows to other locations have also been implicated (Crooks, 1991; Gallagher and Browder, 1968; McKissock et al., 1960). Treatment is often delayed since lucid intervals are common, and events are thus believed to be inconsequential (Gallagher and Browder, 1968). Mechanisms of EDH remain largely undetermined, but the middle meningeal vessels course through grooves on the inner surface of the skull and are thus directly exposed to any skull deflections. The dura is believed to separate from the skull beneath the impact site, creating a space that can fill with blood if the vessels are ruptured (Gallagher and Browder, 1968).

Subdural hematoma (SDH) refers to extravascular blood between the dura and arachnoid membranes. While the subdural region has traditionally been considered an existing space, anatomical studies have demonstrated that there is no such space in the normal brain (Haines, 1991; Haines et al., 1993; Schachenmayr and Friede, 1978). Rather, the dura and arachnoid are contiguous, but the boundary layer at the dura-arachnoid junction is easily separated such that a “subdural space” between the two membranes can form and fill with blood as a result of trauma (Miller and Nader, 2014). Because the dura is supplied by branches of the external carotid artery and the arachnoid is avascular, the only vessels that normally pass through this subdural border region are the bridging veins as they drain blood from the cortex into the sinuses. As a result, subdural bleeding is often a result of bridging vein failure due to relative motion between the brain and skull. Although it is not immediately clear why these vessels would tend to fail in the dura-arachnoid region, rather than within the subarachnoid space, Yamashima and Friede noted more uniform vessel wall structure and greater external support in the subarachnoid region (Yamashima and Friede, 1984). While ruptured bridging veins appear to have been confirmed as the source of SDH in many cases (Maxeiner, 1997; Maxeiner and Wolff, 2002; Voigt et al., 1977), subdural bleeding may also arise from the cortical arteries (Maxeiner and Wolff, 2002), implying arachnoid laceration or avulsion of anomalous arterial twigs that pass through the subdural space from their connection to the cortical arteries (O'Brien et al., 1974). However, the complexity of head trauma, with multiple injuries commonly occurring together, often makes it difficult to identify the source of hemorrhage.

Contusion is considered the hallmark of head injury (Adams et al.,

1980a; Adams et al., 1980b) and includes damage to both blood vessels and surrounding parenchyma, including primarily cortical but sometimes also sub-cortical tissue. Such injury commonly also includes bleeding from pial, or subarachnoid, vessels. Clinical observation has shown that the site of contusion, while often appearing at the frontal and temporal lobes, is also a function of impact location (Courville, 1950; Sano et al., 1967; Vance, 1927). Specifically, occipital impacts most commonly led to contrecoup contusions in the absence of any coup injury, while frontal impacts most often resulted in coup injury only. Coup and contrecoup injury of the temporal lobe occurred at about the same frequency in lateral impacts. Given the occurrence of coup and contrecoup injuries under different conditions, mechanisms responsible for the production of contusion have been the subject of much discussion (Courville, 1950; Goggio, 1941; Lindenberg and Freytag, 1960; Pudenz and Shelden, 1946). However, the common involvement of the frontal and temporal lobes, regardless of impact location, suggests that the irregular surfaces of the inner skull in these regions play an important role.

Even in cases where the cerebral vessels aren't damaged severely enough to bleed, trauma may impair the function of the vessels in maintaining homeostasis of the CNS. Recent excellent reviews address the complex physiological response of the circulation to trauma, both mild and severe (DeWitt and Prough, 2003; Golding, 2002; Golding et al., 1999a; Len and Neary, 2011; Werner and Engelhard, 2007). A major consequence is alteration of cerebral blood flow (CBF). Because the brain is sensitive to small changes in perfusion, the vascular network is designed to control CBF over a wide range of intracranial pressure through autoregulation. However, this mechanism is commonly disrupted following TBI, leading to loss of homeostasis and deterioration of CNS function. While the milieu of the injured brain promotes vascular dysfunction, it is not currently known whether direct vessel trauma – below levels producing hemorrhage – may also contribute (DeWitt and Prough, 2003). Another critical function of the cerebral vasculature known to be impaired as a result of trauma is maintenance of the blood-brain barrier (BBB) (Golding, 2002). While the division between brain and blood is essentially impassable in large, intact vessels, the BBB refers to the highly regulated exchange of nutrients and waste that occurs at the capillary level. Performance of this thin layer is not grossly visible clinically, but animal models (Baldwin et al., 1996; Başkaya et al., 1997; Cernak, 2005; Jullienne et al., 2016; Shreiber et al., 1999a) show a nearly immediate increase in permeability with trauma that subsides and then increases again approximately 48 h later; this dysfunction is the fundamental mechanism for vasogenic edema. The immediate response suggests a direct role for mechanics, but relationships between force, or deformation, and capillary function have not been defined.

2.2. Experimental investigations

As noted above, clinical observations demonstrate the types of vascular injuries that occur in TBI, but they generally lack any definition of associated loading conditions. Experiments are thus necessary to characterize relationships between mechanics and injury. Unfortunately, experiments are necessarily conducted on models that either don't accurately represent all characteristics of the human system (e.g. cadavers, animals) or are limited in the magnitude of force applied to avoid injury (e.g. human volunteers). Nevertheless, such experiments have led to important insights into mechanisms of TBI.

2.2.1. Physical models

Numerous body-level investigations have been conducted to better understand TBI, including tests on volunteers, human cadavers and animals. Some of these experiments have specifically aimed to elucidate mechanisms and thresholds of cerebrovascular injury, but those with the more general objective of describing brain motion during trauma are also helpful in understanding vessel loading conditions in TBI. Our

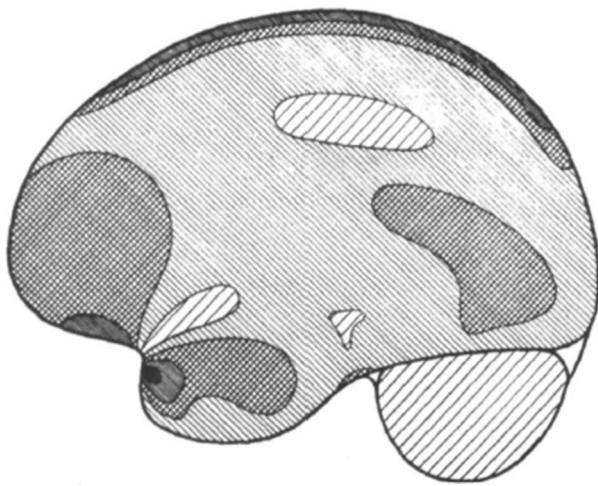


Fig. 2. Shear strain distribution in a gelatin model undergoing clockwise acceleration caused by a blow to the occiput (from (Holbourn, 1943)); strains are largest where colors are darkest.

description here focuses on experiments capturing key features of human and sub-human primate cranial anatomy.

2.2.1.1. General brain motion. Two early studies are particularly helpful in describing motion of the brain during trauma. In the first, Holbourn (1943) estimated brain tissue strains due to trauma using two-dimensional, brain-simulating gel models of sagittal, transverse, and coronal sections of the skull subjected to sudden rotation (with no skull deformation). In forward rotation of the sagittal section (as in occipital impact), the largest strains were found in the anterior temporal and inferior frontal lobe regions, believed to be due to interactions between the sphenoid ridge separating the anterior and middle fossae (Fig. 2). Other parts of the brain moved more freely relative to the skull so that tissue strains were not as high, with the greatest relative motion occurring at the vertex; other physical models developed since (reviewed elsewhere (Goldsmith and Monson, 2005)) provide additional insight into brain-skull interactions. Despite the simplicity and limitations of such models (Gurdjian, 1944; Holbourn, 1944), observed high-strain regions clearly correspond with clinical and pathological observations of both contusion and SDH. Based on his experiments, Holbourn concluded that contrecoup injury is due to rotation rather than cavitation.

Motivated primarily by a desire to better define mechanisms of contrecoup injury, Pudenz and Shelden (1946) reported on experiments where macaque primates were fitted with a Lucite calvarium (Shelden et al., 1944) to allow observation of brain surface motion resulting from subconcussive blows at frontal, occipital, parietal, and temporal locations. Similar to Holbourn's predictions, they observed considerable

relative motion between the brain and skull (apparently at the arachnoid-dura, or arachnoid-Lucite, interface) near the vertex, though this motion was primarily limited to the sagittal plane, believed to be due to constraints imposed by the falx. While much of the brain couldn't be visualized, displacement was greatest in the parietal and occipital regions and least in the frontal lobes. The authors opined that the latter was consistent with constraints imposed at the anterior fossa, similar to what Holbourn had observed. The relative motion at the vertex led to massive bleeding due to rupture of bridging veins in two cases. When the head was fixed in place, the blow produced little motion of the brain, consistent with previous observations that head motion is required for impact to produce concussion (Denny-Brown and Russell, 1941). While the experiments did not allow visualization of regions where contrecoup injury is found, the importance of rotation in their experiments led the authors to conclude that contrecoup injury was best explained by the rotational theory presented by Holbourn.

While recent efforts to better define the motion of the brain have used more advanced technology to better quantify motion, findings have been remarkably consistent with the early studies. A group at Wayne State University (Hardy et al., 2001; Hardy et al., 2007; King et al., 2011) observed deep brain tissue displacements on the order of ± 5 mm (strains around 0.09) for low severity head impacts (up to 150 G and 8 krad/s^2) by tracking radio-opaque neutral density targets embedded in the brains of re-pressurized cadavers. Unexpectedly, displacement measurements near the brain-skull interface, where most vascular injury occurs, suggested less motion than in the deeper tissue, but the investigators expressed less confidence in the accuracy of measurements near the skull. Observed brain-skull dynamics appear to be consistent with that reported by Pudenz and Shelden.

In another recent set of studies offering greater resolution but conducted at limited head accelerations ($1\text{--}3 \text{ G}$ and 3 krad/s^2), brain deformation was characterized in volunteers using magnetic resonance image tagging (Bayly et al., 2005; Feng et al., 2010; Sabet et al., 2008). Despite the very low accelerations, maximum relative brain-skull displacement was determined to be $2\text{--}3$ mm, with strains as high as 0.06 for rotations in the transverse plane and 0.05 for mild occipital and frontal impacts. Sagittal plane strains resulting from occipital impacts were strongly influenced by constraints at the skull base in the middle fossa region (Fig. 3), qualitatively similar to what was predicted by Holbourn. This tethering produced a complex strain distribution, with the largest strains appearing in regions of brain-skull interaction where contusions are common. Interestingly, the magnitudes of compressive and tensile strains were comparable to those of shear strains in these regions.

Overall, models exploring brain motion show that constraint of the base of the brain and lack of constraint at the vertex work together to produce a pattern of injury consistent with what has been observed at autopsy and in experiments. This includes the frequent production of contusion on the inferior frontal and temporal lobes, likely due to brain deformation from interactions with the interior skull, and observations

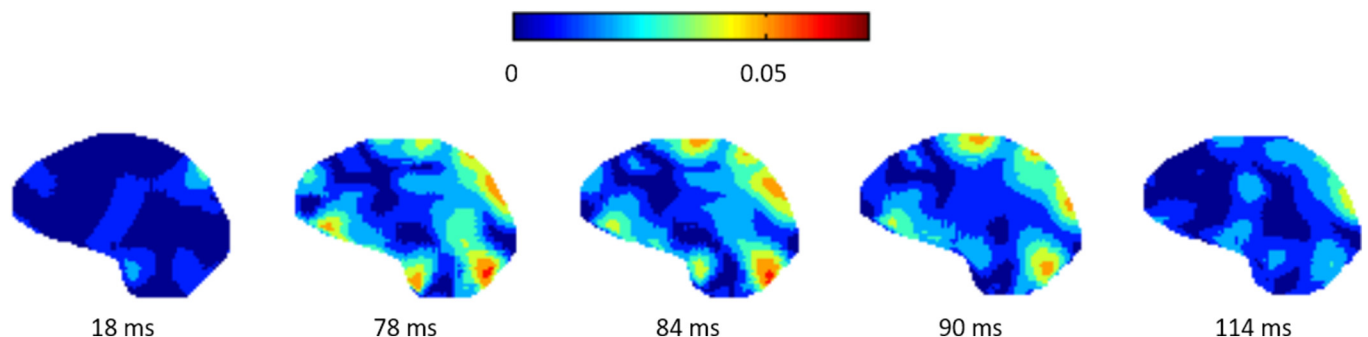


Fig. 3. First principal Lagrangian strain at various times after mild occipital impact in human (sagittal plane; tensile strains vary from positive to negative, from red to blue); data provided by Bayly et al. (2005). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

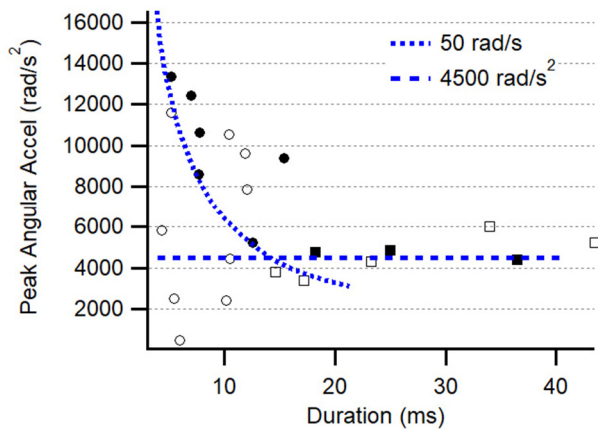


Fig. 4. Occurrence of SDH as a function of peak rotational acceleration and duration in repressurized human cadavers subjected to occipital (circles) blows (reproduced from Depreitere et al. (2006)). The figure also references SDH data from frontal blows (squares). Filled and open symbols refer to cases with and without SDH, respectively. The included injury tolerance curves (dashed lines) were proposed by Lowenhielm based on analytical modeling (Lowenhielm, 1974; Lowenhielm, 1978).

of bleeding at the vertex, probably a result of large relative displacements between the brain and skull that may injure bridging veins and other superficial vessels.

2.2.1.2. Cerebral vessel injury. While the cited studies of general brain motion provide valuable insight into the nature of tissue deformation during TBI, other experimental efforts have focused on specifically understanding the development of vascular lesions. In general, these investigations may be grouped into the categories of free impact and non-deforming forced motion. The former is defined as impact to the freely movable head, while the latter uses a mechanical device to produce rapid, constrained motion in the absence of any significant skull distortion, in order to allow study of the influence of acceleration in the absence of contact phenomena. The number of experiments addressing vessel injury in primate systems is limited, but the resulting findings help clarify mechanisms of injury and patterns of distribution.

2.2.1.2.1. Free impact

In one of the most comprehensive of the free impact investigations, visible lesions were studied in macaque primates subjected to either frontal or occipital impact severe enough to produce concussion in over 80% of animals in an earlier study (Ommaya et al., 1971). Contusions followed patterns observed in human clinical and pathological studies (Courville, 1950; Lindenberg and Freytag, 1960; Sano et al., 1967), with non-fracture occipital blows leading to both coup (cerebellum) and contrecoup (frontal and temporal lobes) injury, the latter being more extensive. The investigators found it nearly impossible to produce contusion in non-fracture frontal blows. In cases of skull fracture, contusions were primarily limited to coup regions for occipital impacts and were only found in coup regions in frontal cases.

Other impact testing on subhuman primates (Ono et al., 1980; Shatsky et al., 1974), including Chimpanzees (Ommaya et al., 1973), demonstrated a similar distribution of contusion following both frontal and occipital impact, but (Shatsky et al., 1974) additionally reported that temporoparietal blows most commonly led to coup injuries. Since skull deformation was relatively large in these side impact cases, the contusions were believed to be a result of direct parenchymal loading by skull deformation, compared to occipital impacts in the same group where skull deformation and coup injury were both minimal. These primate experiments together suggest that both head rotation and skull distortion are important in the production of contusion. Additionally, while Ommaya et al. made little mention of subarachnoid hemorrhage (SAH) in their experiments, Shatsky and company reported SAH following relatively mild impacts at locations where contusions were

found in more severe loading cases, suggesting that SAH precedes contusion.

While models involving living animals are generally preferred in the study of vascular injury, visible lesions have also been reported in a number of impact experiments involving repressurized, unembalmed human cadavers with India ink injected into the vascular system to identify sites of disruption (Stalnaker et al., 1973; Depreitere et al., 2006; Nahum et al., 1977; Nahum et al., 1980; Nahum and Smith, 1976; Nusholtz et al., 1984; Stalnaker et al., 1977). Subarachnoid hemorrhage and contusion have both been reported following various levels of loading, with injuries commonly found at locations consistent with both clinical experience and findings from animal experiments.

In the Ommaya macaque primate study mentioned (Ommaya et al., 1971), pathological analysis revealed no morphological difference between coup and contrecoup lesions, potentially suggesting that both regions were damaged through a similar mechanism. Compression of occipital lobe or cerebellar tissue would naturally occur with skull distortion from occipital impact. Compression of tissue in the contrecoup regions would similarly result as a consequence of the brain base constraints described by Bayly et al. (2005), Feng et al. (2010) and Sabet et al. (2008). Together, these observations suggest that regional compression may be a significant mode of loading in contusion.

In addition to investigating contusion, (Ommaya et al., 1971) also reported results on impact-induced SDH. This type of bleeding was most common over the anterior half of the brain, independent of whether impact was occipital or frontal. Incidence was approximately equal for frontal and occipital impacts not producing fracture, but it increased dramatically in cases of occipital, but not frontal, fracture. The group also found that SDH was generally more difficult to produce than contusion, except in cases of non-fracture, frontal impact.

A recent repressurized human cadaver experiment also aimed to define tolerance values for SDH (Depreitere et al., 2006). Cadavers were subjected to occipital blows (some repeated) of various magnitudes and durations. Bridging vein rupture was detected by fluoroscopy after retrogradely injecting contrast media into the superior sagittal sinus (SSS). Based on the presence of intradural fluid channels connecting to the SSS (Fox et al., 1996), this technique could lead to false positives, but the production of SDH was consistent with Wayne State Tolerance Curve (Gurdjian et al., 1962) patterns (Fig. 4). Specifically, loading leading to SDH ranged from 13.4 krad/s² for a relatively short duration of 5.2 ms to 5.3 krad/s² for durations greater than 10 ms. The figure suggests that the threshold for SDH may be reasonably estimated using peak rotational acceleration and peak change in rotational velocity for shorter and longer pulses, respectively, as proposed by Lowenhielm (1974) and Lowenhielm (1978).

Other research has demonstrated changes in CBF and breakdown of the BBB following occipital and temporal head impact in the monkey (Ommaya et al., 1964). Angiography revealed that some of the concussed animals showed a definite slowing of CBF after impact, and one had clearly smaller arteries and veins after the trauma. Breakdown of the BBB was found at coup locations in both temporal and occipital impacts. Extravasation was also observed on the posterior parasagittal and medial surfaces of the hemispheres and in the brain stem and upper cervical cord following occipital impact. However, little was reported with respect to visible lesions, so it is not clear whether there was any correlation between BBB disruption and overt hemorrhage.

Additional research considering CBF changes and BBB disruption in the primate system has been limited (or non-existent). As previously indicated, most work has been accomplished in other animal systems and has been reviewed elsewhere (e.g. (DeWitt and Prough, 2003; Golding, 2002)). These have clearly shown changes in CBF and disruption of the BBB after trauma, but modes of loading are not easily compared to those typical for primates.

2.2.1.2.2. Non-deforming forced motion. In order to better distinguish the relative significance of skull distortion and head

rotation, a number of investigators have conducted experiments using devices that produce impact-like accelerations in the absence of skull deformation, similar to the approach used by Holbourn. Although such high accelerations would be unlikely in the absence of impact, the technique allows investigation of the influence of acceleration independent of contact phenomena. The approach also provides control over the path of the head, allowing examination of the relative effects of rotation and translation.

Forced forward (sagittal plane) rotation experiments conducted on primates (including both squirrel and macaque monkeys) showed, similar to impact experiments, that injury progresses from SAH to concussion to SDH with increasing angular acceleration (Abel et al., 1978; Unterharnscheidt and Higgins, 1969a; Unterharnscheidt and Higgins, 1969b). The mildest injuries reported following rotations were small contusions and SAH, usually occurring near exposure levels producing unconsciousness. Increasing severity led to the formation of larger contusions, often at locations where SAH was found in milder exposures, and sometimes in the development of small SDH. The most severe exposures produced brainstem hemorrhage and large, frontal SDH with obvious disruption of bridging veins. SDH incidence and size were identified as the most effective predictors of survivability. The incidence of both SDH and concussion correlated well with tangential acceleration in the cases reported by Abel et al. (1978). In nearly identical subsequent work, this group also reported that the threshold for frontal contusion was lower than that for temporal contusion, with onsets of injury at tangential and rotational accelerations of 500 G and 65 krad/s² for frontal contusion and 800 G and 85 krad/s² for temporal lobe injury (Gennarelli et al., 1979).

Contrary to the observed pattern of injury progression in these earlier experiments, some of the same investigators were later able to produce severe neurological injury in the absence of hemorrhage using the same loading mechanism. Similar to what was found in the earlier efforts, increasing acceleration for a given pulse duration eventually produced concussion in the absence of SDH (there was no mention of SAH or contusion), followed by SDH with additional increases in acceleration (Gennarelli and Thibault, 1982). Interestingly, if they then increased the pulse duration while keeping acceleration constant (thereby decreasing the acceleration rise time), SDH was no longer produced, suggesting an important role for jerk, the time derivative of acceleration, in the production of SDH. Using this approach of relatively long durations and low rise times, the investigators were able to produce severe coma in the absence of bleeding (Gennarelli et al., 1982; Gennarelli and Thibault, 1982). In order to explain the disappearance of SDH with lower rise times, the authors argued that their results were consistent with rate dependence in bridging vein failure. However, subsequent work disputing rate dependence of the veins led to the suggestion that Gennarelli and Thibault had mistakenly neglected the positive phase of the acceleration-deceleration loading sequence in their analysis (Lee et al., 1987; Lee and Haut, 1989). Whether or not this is true, the debate highlights a difficulty of the forced motion methodology, since a fixed angular displacement requires that the two loading phases are not independent of one another.

The forced motion approach has also demonstrated differences in injury susceptibility due to translational versus rotational loading. A study of sagittal plane motion in the squirrel monkey showed that SAH was absent following pure translation but widespread following rotations having comparable linear acceleration levels (both motions yielded accelerations of 300–1200 G and durations of 5–8 ms) (Gennarelli et al., 1972). Extravasation of Evans blue dye was twice as common in rotation as translation and most frequently occurred in the frontotemporal areas following rotation and in the mid-frontal, parasagittal region with translation. Contusion was seen with both types of insults, but was reportedly more common after translation, different from a similar study where contusion could not be produced in pure translation (Ono et al., 1980). Contrary to previous reports (Unterharnscheidt and Higgins, 1969b), Gennarelli et al. also found no

apparent difference in contusion morphology for the two modes of loading. While some translated animals suffered SDH, it was more frequent and severe following rotation. Similarly, none of the translated animals were concussed, but all of the rotated animals were clearly concussed.

Although it doesn't fall into the category of forced motion as the previous investigations do, another macaque primate study lends significant insight into the production of cerebrovascular lesions in the absence of significant head impact. Animals secured to a chair having no head or neck support were rapidly accelerated forward to produce severe whiplash as in a rear impact collision (Ommaya et al., 1968). The resulting motion was similar to the discussed forced motion investigations except that rearward, instead of forward, head rotation was superposed on the forward translation. The authors note that head motion was ultimately stopped by the chair but that the associated loading was below the 10% concussion threshold and was thus considered insignificant. Under these conditions, there were no visible lesions in the absence of concussion, but 15 of 19 concussed animals suffered SDH and/or contusion with a distribution similar to that observed in the previously discussed cases involving rotation. Concussion – and accompanying vessel injury – typically occurred above angular accelerations approximated by the nonlinear curve shown in Fig. 5, similar qualitatively to that found for SDH in human cadavers (Fig. 4). However, in the case of the monkeys – and brains with lower mass, accelerations required for injury were approximately five times higher, with the longest duration cases of 10 ms requiring more than 40 krad/s² for injury. Notably, the quantitative differences can be reasonably estimated by applying brain mass scaling (Ommaya et al., 1967).

2.2.1.3. Summary of physical model findings. In summary, experimental efforts have demonstrated that there is often significant relative motion between the brain and skull during head trauma. In sagittal plane loading, this motion tends to be largest at the vertex and smallest at the brain base. Constraints at the base of the brain complicate brain dynamics and can cause brain rotation (even when the skull is primarily translating) that pushes the parietal cortex into the skull, leading to both compression and tension at different locations along the parietal cortex, with possible respective contusion and SDH. These constraints also generate interactions between the inferior frontal and temporal lobes with adjacent skull structures, apparently leading to contusion. Experiments further suggest that both rotation and skull deformation are important in the production of visible lesions and show that rotation is generally more damaging than translation. Findings also indicate that the location of contusion is dependent upon head impact site, while SDH tends to occur at the vertex regardless of the direction of loading (though research suggests increased susceptibility to SDH in

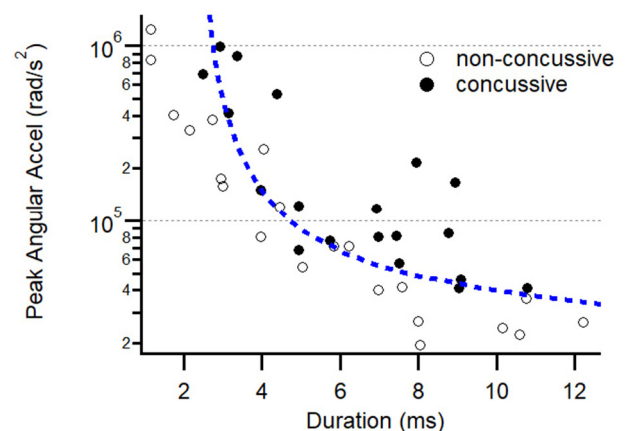


Fig. 5. Whiplash-induced incidence of concussion (and cerebral hemorrhage) as a function of rotational acceleration and impulse duration in macaque primates, with estimated injury risk function; data from Ommaya et al. (1968).

occipital impact (Lee and Haut, 1989)). Additionally, results suggest that SAH and contusion may result from the same loading mechanism, with SAH alone appearing in mild cases but coupled with contusion at the same site in more severe injuries. SDH tends to require even more severe loading, but the mechanism of its production is typically also different from that of SAH and contusion. Finally, the threshold for any visible lesion tends to be similar to or above that required for minor concussion. All findings considered, however, it should be understood that there are myriad variations of loading sites and directions that have not been explored experimentally; thus, while helpful, these observations are likely not universally applicable.

2.2.2. Computational efforts

The described physical model experiments lend significant insight into how the brain deforms and where vessels fail during trauma, but local mechanisms responsible for vessel injury remain undefined; i.e. the link between head loading and vessel loading has not been established. Although lack of definition of local loading conditions would not matter for cases exactly matching experimental scenarios, it is clear that experiments cannot be performed on living humans at injurious levels. While mass scaling techniques can roughly estimate human brain injury based on findings from monkeys (Ommaya et al., 1967), injury tests on monkeys are no longer performed; regardless, more accurate approaches are desirable. Additionally, given the complexity of head anatomy and its variation between people, along with myriad possible loading conditions, it is not feasible to experimentally simulate all possible head injury scenarios. Computer models are unique in their ability to link exterior loading conditions with the internal, tissue-level deformations that directly correspond with injury. With such models in place, injury criteria can be more accurately based on tissue deformation rather than external loading conditions (Goldsmith, 1981; Goldsmith, 1989).

Computer models of TBI have been in use for over three decades and, based on comparison to experimental results, provide increasingly accurate predictions of brain deformation for many loading scenarios (Kleiven, 2003; Mao et al., 2013; Takhounts et al., 2003; Zhang et al., 2001), though validation is an ongoing challenge (Bayly et al., 2012). Despite this progress, few investigators have constructed models that explicitly account for blood vessels, at least aside from relatively simple representations of bridging veins. An exception to this is a combination of two-dimensional physical (Omori et al., 2000; Parnaik et al., 2004) and computational (Zhang et al., 2002) models investigating the structural influence of blood vessels on surrounding brain tissue deformation. These models were motivated by observations that brain-skull coupling was more realistic in cadaver experiments where the vascular system was re-pressurized (Nahum et al., 1977; Stalnaker et al., 1977) and by tests showing that cerebral arteries are considerably stiffer than surrounding parenchyma (Bandak and Eppinger, 1994; Chalupnik et al., 1971; Monson et al., 2003; Vossoughi and Bandak, 1996). As expected, the resulting models showed differences in tissue strains with incorporation of vasculature. However, a subsequent 3-D computational model showed only small differences in tissue strains between models including and not including major vessels (Ho and Kleiven, 2007). The authors of the latter study concluded that vessel material nonlinearity and convoluted vascular geometry limit contributions from the vessels to overall brain deformations. However, it is still necessary to use artificially high values of brain stiffness to account for contributions from the vessels. Regardless, neither modeling approach investigated vessel loading conditions and how they might correlate with hemorrhage or other vascular injury. A more recent investigation of blast TBI argues again that vessels should be included to accurately capture local brain deformations (Hua et al., 2015). In the end, whether vessels should be included in a given model surely depends on the questions asked, but if accurate local response is desired, vessels are likely needed.

In studies having vessel injury as their focus, the production of SDH

has received a relatively large amount of attention from modelers, perhaps because the mechanism of injury appears to be comparatively simple, with a vein bridging a mostly fluid-filled space between at attachments to the brain and dura. Using this concept, Lowenhielm (1974) used a mathematical model of brain-skull displacement, in conjunction with experiments on cadavers and reported bridging vein mechanical property data, to predict SDH (and gliding contusion (Lowenhielm, 1975)) in humans when head angular acceleration and angular velocity change exceeded 4500 rad/s^2 and 50 rad/s , respectively (see Fig. 4). He later reduced the required angular velocity change to 30 rad/s after reconstructing a confirmed accidental fall (Lowenhielm, 1978). These values compare well to thresholds estimated in cadaver experiments (Depreitere et al., 2006), but more rigor is needed for confidence in application to living humans. Computational models of varying levels of complexity have similarly investigated SDH by quantifying relative motion at the brain-skull interface (Huang et al., 1999; Kleiven, 2003; Lee et al., 1987). In some cases, bridging veins have been modeled explicitly to account for the significance of vessel orientation (Kleiven, 2003; Mao et al., 2013; Takhounts et al., 2003; Takhounts et al., 2008; Zhang et al., 2001), but, as MRI studies show (Bayly et al., 2005), the mechanics of the brain-skull interface are complex, and challenges still exist in defining interactions between the brain, the meninges, cerebrospinal fluid, and the cerebral blood vessels. In general, it is not feasible to carefully model these complexities at the macro scale, but multiscale approaches, as illustrated by recent studies of both the brain-skull interface (Scott et al., 2015; Zoghi-Moghadam and Sadegh, 2009) and the brain itself (Cloots et al., 2011), hold significant promise in overcoming this limitation.

The mechanics of contusion has also been investigated computationally, though less work has been done to understand this injury in comparison to SDH. Computer models of typical contusion patterns are most commonly based on predictions of pressure, stress, or strain within a homogeneous gray matter, with no explicit simulation of vessels (Chu et al., 1994; Huang et al., 2000; Zhang et al., 2001). Such modeling essentially quantifies the qualitative findings of Holbourn and provides the capability to predict the occurrence of contusion. However, the models are typically validated using data from cadaver experiments where contusion is difficult to study. In addition, their accuracy is dependent upon accurate simulation of mechanics at the brain-skull interface. As an alternative approach, contusion mechanics is also investigated in animal (most commonly rodents) experiments where a portion of the skull is removed, and force is applied directly to the surface of the exposed brain or dura. Controlled cortical impact (CCI), producing compression injury with a piston, is a widely used animal model of contusion (Dixon et al., 1991; Lighthall et al., 1989; Sutton et al., 1993); vacuum loading has also been applied to create a focal, contusion-type injury (Mathew et al., 1996; Shreiber et al., 1997; Shreiber et al., 1999a; Shreiber et al., 1999b). Both approaches have been studied with accompanying computational models (Mao et al., 2006; Mao et al., 2010a; Mao et al., 2010b; Shreiber et al., 1997; Ueno et al., 1995), and mechanical measures have been correlated with contusion and BBB disruption. As with other computer models, however, blood vessels have not been modeled explicitly. One advantage of these direct brain loading approaches is that the animals are alive and have intact, functioning vascular systems. A disadvantage is that the application of a defined deformation to the stationary, exposed dura is also not a common head trauma scenario; rather, as the foregoing discussion has shown, a typical impact includes shearing at the brain-skull interface. However, while shearing plays a role, the discussed MRI volunteer results (Bayly et al., 2005) suggest that normal strains may also be significant to injury. The CCI model, while limited, is thus considered useful since it provides the ability to study the high-rate compression of the cortex without the confounding contributions of the brain-skull interface problem. Disassembling the larger problem into smaller, separate ones may be a key to improved understanding. An

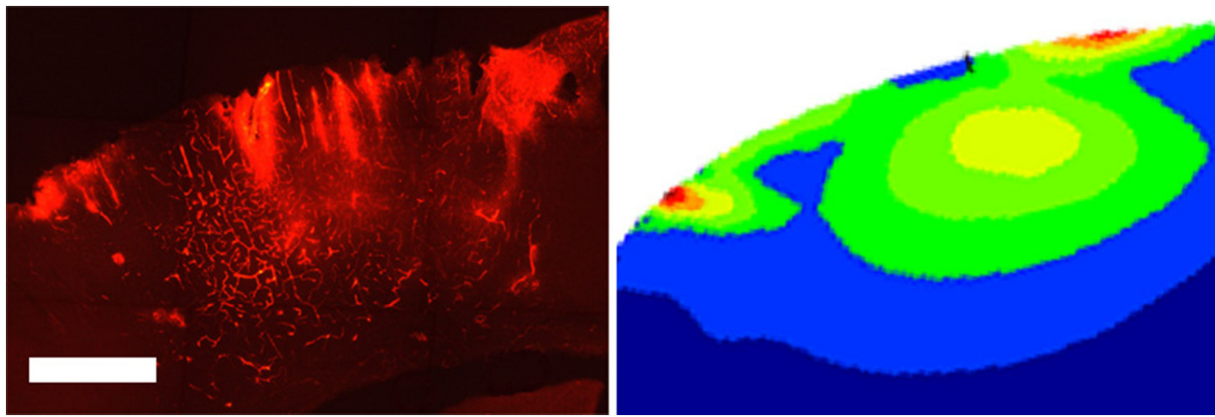


Fig. 6. Displaced blood proteins (IgG) in a coronal section of cortex immediately following CCI in the mouse (left) with computationally predicted strain contours (red is most severe, blue is least severe) for the same loading conditions (right) in a model not explicitly accounting for vasculature; scale bar = 1 mm. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

additional disadvantage of these models is that they are typically applied to lissencephalic animals. At a small enough scale, however, the vascular microstructure of the cortex is similar to that of the human, making it useful in better understanding microscale mechanics, though the role of convolutions in the more advanced brain is surely also significant to cortical deformation and needs to be better understood.

Computational models of CCI in the rodent demonstrate high stresses and strains in the region of contact, roughly corresponding to injuries observed in experiments (Mao et al., 2010b). However, acute animal CCI results show a non-uniform distribution of displaced blood not locally consistent with model predictions (Yeoh et al., 2011) (Fig. 6). This patchy hemorrhaging appears to be associated with penetrating vessels and is consistent with the histological observations of others describing bleeding oriented perpendicular to the cortex (Liu and Sturmer, 1988). In experiments not investigating head trauma, the insertion of a microscopic neuroprosthetic probe into the cortex of rats resulted in vessel rupture at the branch points of penetrating vessels (Bjornsson et al., 2006), apparently due to fluid entrapment and excess pressure. Similar conditions may be relevant in high-velocity interactions between the cortex and skull during trauma.

Each of the described approaches to studying cerebrovascular loading conditions has yielded important insights, and further progress will continue to require integrated application of a variety of model types. It is also clear, however, that modeling approaches that explicitly account for blood vessels are needed. Multiscale computational techniques will surely contribute to progress in this area, but accompanying innovative approaches to validate these models are also required.

3. Vessel response to extreme deformations

In addition to defining the loading conditions blood vessels experience during trauma, it is important to understand how vessels respond to these conditions. Because head trauma commonly leads to structural disruption of cerebral vessels, it is critical to define their mechanical properties and failure values. However, it is also important to characterize the response of vessels that are deformed severely without structural disruption. Little is currently known about how such subtle injury may influence progression of TBI or other related problems like second impact syndrome and stroke.

3.1. Passive properties and structural failure

The passive mechanical characteristics of blood vessels have been under study for many years, and fundamental concepts related to testing methods and tissue response have been effectively reviewed elsewhere (Hayashi, 1993; Humphrey, 1995; Humphrey, 2002). While

these reviewed concepts apply generally to blood vessels, the specific properties of cerebral vessels have received limited attention. However, tests on large arteries leaving the Circle of Willis and on their branches on the surface of the cortex show that, like other vessels, they are nonlinear and anisotropic, and interactions between loading directions are significant (Busby and Burton, 1965; Monson et al., 2008a). Relative to vessels elsewhere in the circulation, however, these cerebral arteries are particularly stiff in both the axial and circumferential directions (Busby and Burton, 1965; Hayashi et al., 1980b; Monson et al., 2003). On the other hand, the axial response of veins on the surface of the cortex is similar to that of extracranial vessels (Monson et al., 2003) (Fig. 7). The mechanical properties of smaller arteries and veins, including arterioles and venules, have not been defined, despite their presumed role in contributing to the mechanics of the brain parenchyma. Because of the difficulty of reliably isolating such vessels, a computational approach modeling experiments on a brain sample including small vessels may be the most promising approach to such definition. It is also notable that the properties of the meningeal arteries and veins have also not been characterized, especially given their significance in epidural hematoma.

Failure characteristics of the cerebral vessels have particular relevance to TBI-induced hemorrhage. Most efforts to define the mechanical properties of human cerebral blood vessels have been limited to large cerebral arteries and bridging veins. Chalupnik et al. (1971) subjected perfused major branches of the middle cerebral artery to longitudinal extensions over a wide range of strain rates. Only sub-failure properties are provided in their report, but no rate dependence was observed. In recent work from our group, human pial arteries

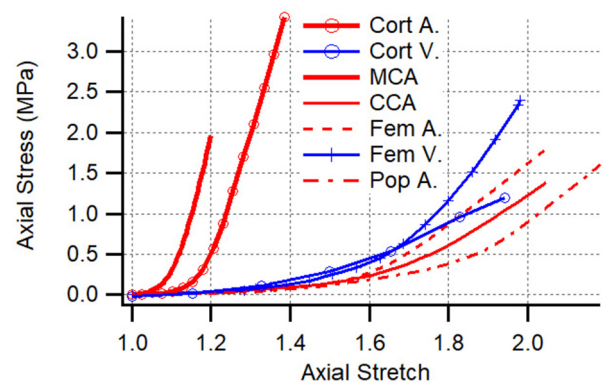


Fig. 7. Axial stress-stretch response of cerebral arteries relative to arteries and veins elsewhere in the circulation; compares cortical (Cort) artery and vein, middle cerebral artery (MCA), common carotid artery (CCA), femoral (Fem) artery and vein, and popliteal (Pop) artery.

obtained from the surface of the temporal lobe during surgeries displayed loading characteristics similar to the large autopsy vessels tested by Chalupnik et al. (Monson et al., 2003; Monson et al., 2005). We similarly also found no rate dependence over a strain rate range of more than four orders of magnitude. Failure of pial arteries typically occurred at stretch ratios and First Piola-Kirchhoff stresses around 1.4 and 4 MPa, respectively. Steiger et al. (1989) reported similar failure stretch values for arteries from the Circle of Willis. To our knowledge, no other failure experiments have been reported for human cerebral arteries, including tests evaluating response in the circumferential direction.

Because ruptured bridging veins are believed to be the primary source of traumatic SDH, a small number of studies have addressed their failure characteristics, again in the longitudinal direction since they are believed to be stretched axially with relative motion between the brain and skull. Bridging vein characteristics have been reviewed in detail elsewhere (Famaey et al., 2015), but briefly, early tests by Löwenhielm (1974) concluded that ultimate stress and stretch were both strongly dependent on strain rate (failure stretch and First Piola-Kirchhoff stress both decreased, from 1.8 to 1.1 and from 2.0 to 0.25 MPa, respectively, with increases in strain rate from 1 to 1000 s⁻¹). A later investigation by Lee and Haut (1989) found an absence of rate dependence for both quantities, reporting average values of the same measures of approximately 1.5 and 3.3 MPa. Tests by our group included only a small number of bridging veins, but failure stretch ratios were comparable to those of Lee and Haut (Monson et al., 2005), and we similarly found no rate dependence. More recent experiments on bridging veins produced a stiffer response than what had previously been reported for these vessels, with failure stretch values around 1.25 (Delye et al., 2006). By design, their tests included the bridging vein and a portion of the superior sagittal sinus in order to allow testing of the junction between the two, suggesting that the transition region between the vein and sinus is stiffer than the vein itself. They similarly found no dependence upon rate in their results. Consistent with the apparent increase in stiffness with proximity to the sinus, our experiments on pial veins showed these vessels to be considerably less stiff than the already mentioned bridging veins, though there was no clear histological reason for the difference (Monson et al., 2005). Nevertheless, along with the data from Delye et al., it appears that vein extensibility may decrease between the pial surface and the sinus.

Considering tests on pial arteries and veins together, it is interesting to note that the arteries, on average, failed at stretch values half those of cerebral veins (with respective stretch ratios of 1.42 v. 1.88) (Monson et al., 2005). Despite the fact that the arteries withstood larger stresses before failure, this observation suggests that a deformation involving both vessel types would be expected to produce failure of arteries before veins. However, this expectation does not take into account potential differences in the in vivo lengths of the two vessel types, which were not defined in the cited work.

A number of other questions related to the passive failure of cerebral blood vessels require more investigation. These include the influence of age. Investigations have reported aging to be a significant factor in subfailure mechanical tests on large cerebral vessels (Busby and Burton, 1965; Hayashi et al., 1980b), but age did not have a strong effect in our tests on human pial vessels (Monson et al., 2008a); it may be that the effect of aging is related to the development of vascular disease and that its effect is relatively limited in smaller vessels. However, recent work from our laboratory showed that the properties and failure values of sheep cerebral arteries change significantly during development (Fig. 8) (Nye et al., 2017). Specifically, results demonstrated a distinct axial stiffening and strengthening with development between the fetal and juvenile periods, though differences between the fetal and newborn groups and between the juvenile and adult groups were not significant. Because some aspects of TBI are age-dependent, it is critical to better define vessel response with age.

One obstacle to a full description of cerebral vessel properties is lack

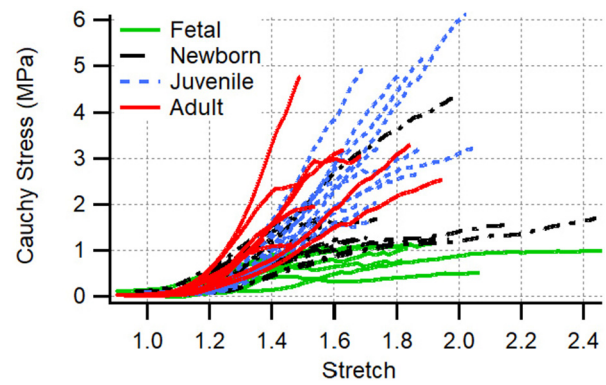


Fig. 8. Axial stress-stretch to failure at various stages of development, demonstrating general increase in stiffness and strength with age.

of tissue availability. As with system-level experiments, animal models may be helpful in describing phenomena associated with isolated vessel mechanics. Rodents are commonly used in TBI experiments, but only limited data are available describing the mechanical response of their cerebral vessels (Coulson et al., 2002; Coulson et al., 2004; Hajdu et al., 1990; Hajdu and Baumbach, 1994). Ongoing work in our lab is providing a more complete description of cerebral artery properties from various animal systems (Bell et al., 2013). A better understanding of how animal and human vessels compare is important in the interpretation of animal models of TBI.

Experiments on cerebral blood vessels are typically conducted on unbranched sections, but it is often difficult to find segments without branches that are long enough to test. This high branch density suggests that consideration of branch mechanics may also be important to understanding cerebral vessel trauma. A number of studies, many motivated by study of aneurysm development, have revealed some unique structural characteristics of cerebral artery branch points that are likely relevant to their response to loading and injury (Campbell and Roach, 1981; Finlay et al., 1998; MacFarlane et al., 1980; Roach, 1986; Rowe et al., 2003; Shiraishi et al., 1990; Takahashi et al., 1994; Walmsley et al., 1983), including the presence of sphincter-like structures (Nakai et al., 1989; Nonaka et al., 2003a; Reina-De La Torre et al., 1998). Injury to these regions may have important physiological implications in control of blood flow.

Although bifurcations have received significant attention in flow studies, investigations of the mechanical response of branch points are uncommon, particularly at load levels associated with failure. However, Fenton et al. (1986) and Thubrikar et al. (1990) both used finite element modeling to predict large increases of stress and strain within branch regions compared to straight segments. Tensile tests of aortotiliac strips also demonstrated that the junction region was more extensible than the portion pertaining to straight segments (Roach, 1986). In the only related study known to exist for cerebral vasculature, large arteries taken from fresh cadavers were subjected to bursting tests (Mitchell and Jakubowski, 2002). Although deformations were not monitored, specimens with branches ruptured at pressures 30% lower than straight segments. Our group has similarly investigated the mechanical response of a limited number of branched human cerebral vessels. Two different testing protocols have been utilized – one where side branches were tied off but otherwise unconstrained while the main vessel segment was stretched longitudinally (Fig. 9), and one where a branch was pulled away from the main vessel segment held at a fixed length. Preliminary results from both protocols are consistent with increased susceptibility at branch points (Monson et al., 2008b), but more research is needed to be conclusive and to derive constitutive relationships and failure data that could be useful to modeling. Such stress concentrations may have relevance to vessels that are stretched, perhaps unevenly, as a result of shearing motion, such as bridging veins extending to the relatively stiff sinuses from the surface of the brain.

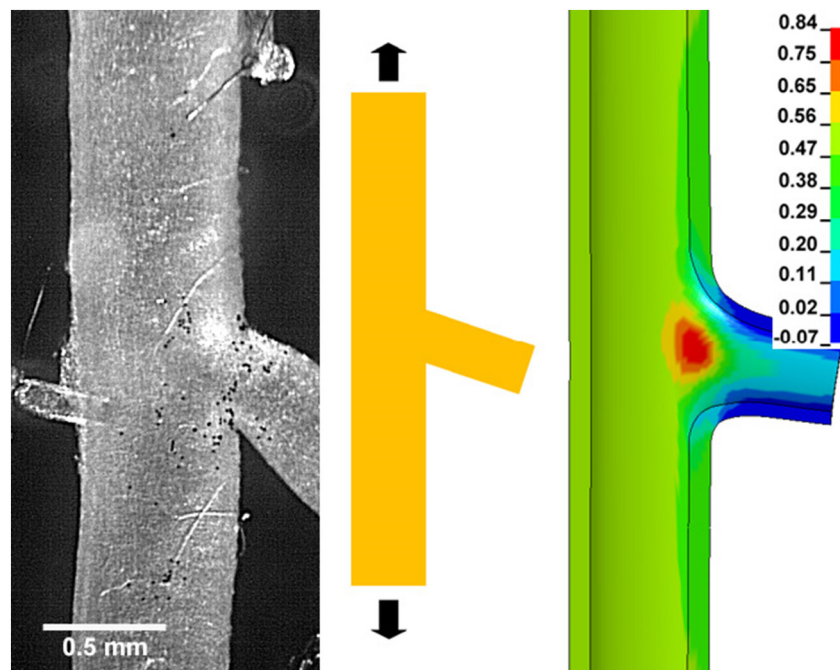


Fig. 9. Images depicting mechanical tests on branched arteries where the branch is tied off and the main vessel is stretched axially (with Green-Lagrange strain concentration predictions from an accompanying finite element model). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

3.2. Subfailure damage and dysfunction

While the foregoing studies help define tolerances for overt hemorrhage, the significance of vessel loading below this critical level is not well understood. As noted earlier, both alteration of vascular contractility and dysfunction of the BBB – associated with large vessels and microvessels, respectively – are common following TBI, but there is little data defining or refuting connections between mechanics and dysfunction in either case. In large vessels, studies conducted on pial vessels, commonly through cranial window preparations, have demonstrated impaired responses to various drugs and physiological challenges that initiate either vasoconstriction or vasodilation in control vessels (Ellison et al., 1989; Golding et al., 2000; Kontos and Wei, 1992; Suehiro et al., 2003; Ueda et al., 2003; Wei et al., 1980; Wei et al., 2009). Altered responses were seen in both arteries and arterioles on the pial surface. In some cases, dysfunction did not extend beyond 12 h (Ellison et al., 1989), but in others, it was still an issue at 3 weeks (Wei et al., 2009). In many of these experiments, the site of vessel evaluation did not likely involve significant deformation during injury, but it likely did in others. Nevertheless, the possibility of alterations resulting directly from mechanical loading does not appear to have been investigated.

In order to better understand whether the observed pathophysiology was partly a result of injury to the vessels themselves or if it was just associated with damage to surrounding tissues and the downstream effect of changes in the biochemical environment, a series of studies was performed where cerebral vessels were isolated from animals following either CCI or fluid percussion injury (FPI) and tested *ex vivo* (Bukoski et al., 1997; Golding et al., 1998a; Golding et al., 1998b; Golding et al., 1999b; Mathew et al., 1999), as summarized by DeWitt and Prough (2003). Isolated vessel experiments examined responses to vasoconstrictors and vasodilators, as well as myogenic behavior, and found differences with injury in some cases but not in all. Time between injury and vessel resection was influential, indicating a role for the milieu of the injured brain in altering response. Interestingly, vessels taken from the region of the lesion sometimes responded in the same way as contralateral vessels, both different from controls. In other cases, vessels from ipsilateral and contralateral locations behaved

differently. Because measurements, or even predictions, of vessel deformation in CCI and FPI do not currently exist, the role of mechanics in the observed response could not be examined.

As an alternative to vessel analysis after *in vivo* injury, *in vitro* methods allow investigation of the relationship between vessel loading and function in the absence of potentially confounding biochemistry. While such an approach clearly doesn't replicate the complexities of vessel loading in TBI, the resulting insight into vessel response is expected to contribute to the development of both predictive models and novel therapeutic strategies. A number of studies have demonstrated mechanically-induced changes in vessel contractility, but most of these have focused on circumferential loading, such as occurs with angioplasty (see Section 8.5.2 of (Humphrey, 2002)). While circumferential loading may play a role in some vessel damage in TBI – particularly in complex geometries like branch points, high rate stretch in the axial direction is believed to be the dominant mode of loading since vessels would naturally reorient to carry load axially during deformation. In an effort to better understand post-TBI vasospasm, Boock subjected isolated rat femoral arteries and veins to various levels of rapid, subfailure, axial stretch, and then returned them to their *in vivo* length (Boock, 1991). Within 10–20 s of deformation, the smooth muscle in the vessels contracted, resulting in a reduction in diameter and the development of axial force. Force persisted for 120–150 s. Force level correlated linearly with the extent of the imposed stretch. Interestingly, veins responded to a variety of stretch inputs, while arteries only contracted following very large strains. Similarly, recent work from our lab shows that smooth muscle cell contractility to K^+ is reduced following overstretch of cerebral arteries (Bell et al., 2017). Rat middle cerebral artery (MCA) response to K^+ was evaluated both before and after overstretch of either 1.2 or 1.3 times the *in vivo* length of the vessel and at strain rates of either 0.2 or 20 s^{-1} . Not surprisingly, the most dramatic changes in function occurred following the largest overstretch at the highest rate. This work demonstrates that mechanical trauma directly alters cerebral artery function, even when applied strains are axial – perpendicular to the largely circumferential smooth muscle cells. It is not yet known if the applied loads damaged vessel cells or ECM, or both, to elicit this change.

Damage to vessel ECM resulting from subfailure loading has been

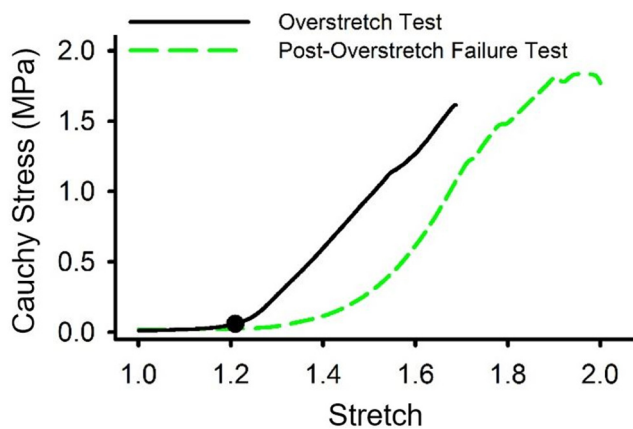


Fig. 10. Ovine cerebral artery softening demonstrated by axial stress-stretch curves during and after an axial overstretch of approximately 1.6 times the in vivo length.

investigated in a number of contexts, including angioplasty and stenting. Results show that large deformations produce tissue softening, such that less force is required to produce the same level of deformation with reloading (e.g. (Horný et al., 2010; Maher et al., 2012)). We recently demonstrated softening after axial loading of cerebral arteries (Bell et al., 2015). Following overstretch, the stress-stretch curve shifted to the right, with slopes of the lower and upper portions of the altered curve decreasing and increasing, respectively (Fig. 10). Not surprisingly, larger deformations led to increased softening, but changes were only significant with overstretch values above 1.2 times the in vivo length of the vessel. Alterations were persistent for the hour following injury during which they were monitored, thus ruling out viscoelastic stress relaxation as the responsible mechanism. Continuing investigation in our laboratory demonstrates that axial overstretch and damage also leads to softening in the circumferential response; however, greater axial deformations are required for these changes to be significant. Greater laxity in the circumferential direction could explain our previous finding that MCA contractility was reduced following overstretch. Such changes in vivo could alter vessel hemodynamics and potentially lead to conditions promoting thrombosis and stroke. However, our observations of softening result from quasi-static overstretch, so additional research is needed to characterize rates of loading relevant to TBI.

Because passive mechanical properties are a direct reflection of tissue structure, it is clear that overstretch alters vessel ECM. A better understanding of the nature of such damage may provide a pathway for treatment of vessel injury. Collagen and elastin have been identified as the primary load-bearing constituents of the ECM, with collagen structure defining the high stiffness region of the nonlinear stress-stretch curve of vessels (Roach and Burton, 1957). Recent work shows that vessel softening may be effectively predicted by accounting for damaged collagen fibers alone (Weisbecker et al., 2012). Using an approach recently applied to tendon (Zitnay et al., 2017), research in our laboratory shows that collagen hybridizing peptide (CHP), with an attached fluorophore, can be used to detect mechanical failure of collagen fibers in cerebral arteries (Fig. 11) (Converse et al., 2017). Results show that overstretch damages fibers aligned with the direction of loading, that damage increases with overstretch severity, and that the onset of this damage coincides with tissue-level yielding. While damage is currently detected under a confocal microscope, work is being done to tag CHP with a radio-opaque marker for improved in vivo detection.

In addition to collagen fiber damage, research on aorta and carotid artery has shown that large deformations typically produce intimal disruption before failure of other tissue layers (Cohen et al., 1972; Stemper et al., 2005; Stemper et al., 2007a; Stemper et al., 2007b; Stemper et al., 2007c). While this has been primarily demonstrated in experiments on isolated vessel tissue, carotid and vertebral arteries are

often similarly damaged as a consequence of neck deformation. This phenomenon, defined clinically as blunt cerebrovascular injury (BCVI), is characterized by dissection, followed by clotting and potential stroke, sometimes after a significant delay (Pozzati et al., 1989; Sliker, 2008). Work in our lab shows that it is common for internal layers of cerebral arteries, including the internal elastic lamina (IEL), to rupture during isolated vessel overstretch. Despite this internal layer failure, vessels remain intact and continue to maintain pressure with additional stretch (Fig. 12). Intimal rupture would be expected to lead to functional impairment due to disruption of endothelial signaling as well as thrombosis at the site of the defect. While such endothelial layer disruption would be expected to eventually heal, rupture of the IEL likely poses a longer-term problem, at least in adults, given the downregulation of the elastin gene after adolescence (Fung, 1993; Kelleher et al., 2004; Wagenseil and Mecham, 2009). Improved understanding of such sub-failure damage of the ECM may have important implications to the progression of TBI.

Loading that produces direct cellular injury in TBI is largely unexplored, in part because any deformation that produces vascular dysfunction is likely also damaging the ECM, so it is unknown whether resulting impairment is due to cell or ECM injury. Studying cells in isolation provides some insight. Alford et al. showed that rapid stretch of cultured smooth muscle cells produced hypersensitivity to contractile stimulation by endothelin-1; the changes induced by the loading persisted for over 24 h (Alford et al., 2011). Mechanical vascular cell injury may also be manifest by alteration of gene and protein expression (Guo et al., 2015). Again, however, it is often difficult to differentiate changes induced by the biochemical cascade and direct mechanical damage.

In addition to vessel contractility impairment, there is evidence that mechanical insults may play a direct role in the barrier function of cerebral microvessels. Using a fluid percussion approach, Rinder and Olsson applied pressure pulses to the exposed parietal dura of rabbits and produced marked increases in vascular permeability in the lateral brainstem and cervical spinal cord without producing any visible vessel damage (Olsson et al., 1971; Rinder et al., 1972; Rinder and Olsson, 1968a; Rinder and Olsson, 1968b). Low severity pulses allowed fluorescent indicators to progress into the vessel wall but not into the surrounding tissue, while more severe loading produced transport through the vessel wall. Other experiments with similar loading conditions have demonstrated the presence of shear strain in the brainstem (Gurdjian and Lissner, 1961; Hodgson et al., 1969; Hodgson and Thomas, 1971), thus suggesting a mechanism for injury of the vessels there and implicating subfailure mechanical loading in the generation of increased vascular permeability. The rabbit study further revealed an important role of time in vascular permeability. Extravasation did not progress beyond one hour after the pulse was applied. In animals injected with the fluorescent indicators post-injury, extravasation was clearly visible in surrounding tissue if the injection was performed within 1 h of injury. No extravasation was seen with injection at 12–18 h, though the markers did transfer into the vessel wall. No progress of the indicators into the vessel wall was found after 24–48 h.

Other animal experiments have similarly demonstrated acute BBB breakdown following both brain and spinal cord deformation and the dependence of its extent on severity (Maikos and Shreiber, 2007; Shreiber et al., 1999a; Smith et al., 1969), suggesting a direct role for mechanical loading in vessel permeability. These experiments have also shown that the increased permeability is transient, though also biphasic with a secondary opening at 1–2 days (Baldwin et al., 1996) that is clearly not a direct result of externally applied loads. Rinder and Olsson (1968b) discuss whether the permeability observed in their experiments could be a result of dramatic changes in hemodynamics rather than deformation, but conclude that such alterations would not likely produce injuries only in regions of greatest strain. On the other hand, others have linked acute microvascular pathophysiology to changes in blood pressure (Wei et al., 1980).

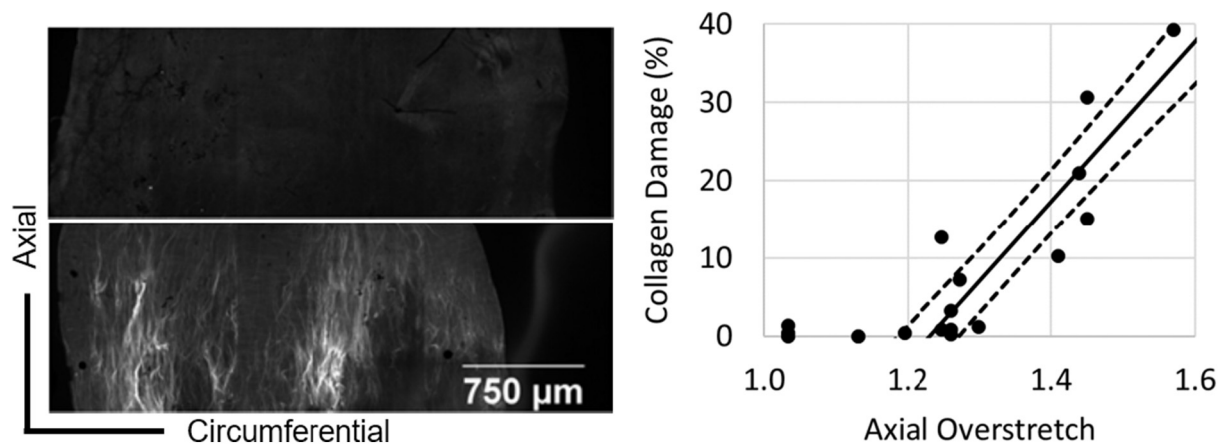


Fig. 11. CHP fluorescence in control (top left) and axially overstretched (bottom left) vessel segments. Collagen damage vs. axial overstretch (right), with regression line and 95% confidence intervals. Collagen damage was measured following CHP staining as the percentage of pixels above a control-specific intensity threshold. Loading produced an increase in damage with overstretch severity above a threshold value. Stretch is measured relative to the in vivo configuration.

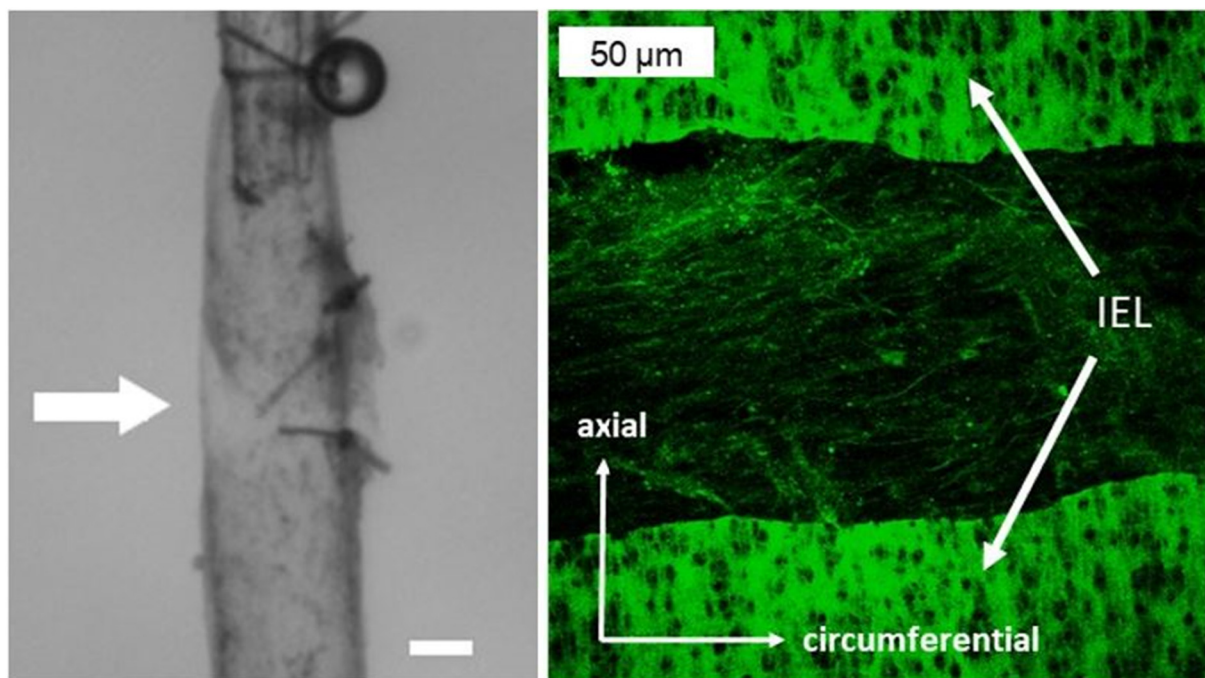


Fig. 12. Failure of intima precedes that of surrounding media and adventitia in axial stretch testing of middle cerebral artery. Left: vessel maintains pressure even with intimal disruption (arrow); intima was stained intraluminally with Nigrosin dye for contrast; scale bar = 100 μm. Right: Fluorescence microscopy image of ruptured IEL following axial overstretch, exposing the intact media and adventitia; scale bar = 50 μm.

Although the mechanisms producing microvessel dysfunction are not yet clear, transient ultrastructural changes in microvessel walls following TBI have been described by a number of studies (Kenney et al., 2016). These include disruption of endothelium and swelling of perivascular astrocytes (Maxwell et al., 1988), distinct changes in a number of endothelial activation markers (Balabanov et al., 2001), and migration of pericytes (Dore-Duffy et al., 2000). Others have reported endothelial lesions in the form of vacuolization or crater formation (Rodriguez-Baeza et al., 2003; Sangiorgi et al., 2013; Wei et al., 1980) in the absence of any morphologically apparent smooth muscle injury (Wei et al., 1980). It is not known whether any of these changes directly resulted from mechanical loading, but alterations were often widespread and didn't appear to correlate with regions of large tissue deformation.

These subfailure studies together indicate that vessel structure and function are altered by mechanical loading. It follows, then, that such changes would be predictable by computational models of tissue

mechanics and could thus add to understanding of tolerance and prediction of injury and disease progression. For models to be meaningful, however, the relationships between traumatic loads – including loading type, direction, and rate – and vessel function need to be more completely characterized.

4. Closure

Vascular injury is a common consequence of TBI and plays a significant role in patient outcome. While there appears to be an accurate qualitative understanding of how various types of cerebrovascular injury occur, the mechanisms of these injuries have not yet been satisfactorily quantified. Further, the relationship between mechanical force and vessel response needs to be more completely characterized. Improved ability to accurately predict vessel loading and response during TBI will significantly advance understanding of mechanical and physiological injury thresholds. This knowledge is expected to advance

prevention efforts and to additionally support improvements in treatment by lending insight into the status of the vasculature at the initiation of biochemical cascades following trauma.

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