

Are Lithium Ion Cells Intrinsically Safe?

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Abstract—National Institute for Occupational Safety and Health researchers are studying the potential for Li-ion-battery thermal runaway from an internal short circuit in equipment approved as permissible for use in underground coal mines. Researchers used a plastic wedge to induce internal short circuits for thermal runaway susceptibility evaluation purposes, which proved to be a more severe test than the flat plate method for selected Li-ion cells. Researchers conducted cell crush tests within a 20-L chamber filled with 6.5% CH₄-air to simulate the mining hazard. Results indicate that LG Chem ICR18650S2 LiCoO₂ cells pose a CH₄ explosion hazard from a cell internal short circuit. Under specified test conditions, A123 Systems 26650 LiFePO₄ cells were safer than the LG Chem ICR18650S2 LiCoO₂ cells at a conservative statistical significance level.

Index Terms—Batteries, electrical accidents, explosion protection, fires, hazardous areas, ignition, mining industry, occupational safety, standardization.

I. INTRODUCTION

GAS OR DUST explosions are some of the greatest hazards faced by underground coal mine workers. Methane (CH₄) gas is released during the mining process and accumulates in areas that are not well ventilated. Coal dust accumulations can form explosive dust clouds when entrained into the air. CH₄ ignitions or explosives can disperse coal dust layers into the atmosphere that subsequently ignite and propagate as powerful explosions. Although fatal coal mine explosions in the U.S. may be less frequent in modern times, they can account for large numbers of deaths, as sadly evidenced by the recent Upper Big Branch disaster, with 29 killed [1].

The mining equipment used in certain locations of underground coal mines, where there is a normal risk of exposure to CH₄, must be approved as permissible by the Mine Safety and Health Administration (MSHA). The term “permissible” refers to equipment that meets specifications for construction and maintenance, ensuring that such equipment will not cause a mine explosion or fire (30 CFR 75.2) [2]. Permissible equipment may be evaluated as intrinsically safe (IS). The 30 CFR 18.2 interprets IS as incapable of releasing enough electrical

or thermal energy under normal or abnormal conditions to cause ignition of CH₄ or natural gas and air of the most easily ignitable composition.

An MSHA-permissible cap lamp powered by a lithium ion (Li-ion) battery pack ignited a fire at the National Institute for Occupational Safety and Health (NIOSH) Pittsburgh Safety Research Coal Mine (SRCM) office building [3]. The newly purchased cap lamp was placed on a wooden pallet and caught fire overnight while on charge. The melted red plastic from the cap lamp battery enclosure was found spattered across the office, indicative of an explosive thermal runaway event. There was no indication of user abuse that might have contributed to the event. A definitive failure mechanism causing the fire was not determined. Concerns over fire and explosion hazards prompted MSHA to issue a program information bulletin regarding special precautions that should be observed when charging Li-ion batteries or equipment containing Li-ion batteries [4].

In recent years, NIOSH has been engaged in new technology development of mine communications and tracking equipment (CTE) to satisfy mandates of the 2006 Mine Improvement and New Emergency Response (MINER) Act. A consequence of the MINER Act is the proliferation of backup batteries in underground coal mines to power stationary CTE during mine emergencies that may involve ventilation disruption and CH₄ buildup. An additional fire or explosion hazard involves underground stationary CTE that is on charge and unattended for long periods of time and may be exposed to CH₄ and/or coal dust under normal operation. Stationary battery-powered CTE in coal mines may also be susceptible to mechanical damage, such as from roof falls. The 2001 explosion disaster at the Jim Walter Resources #5 mine involved a battery damaged by a roof fall [5].

Most recently, the MSHA Approval and Certification Center is investigating a Li-ion-powered cap lamp that caught fire in an underground coal mine. A written report of the incident was not publically available as of this writing.

NIOSH researchers are studying Li-ion-battery thermal runaway potential of MSHA-permissible equipment in order to develop safety recommendations for underground coal mine applications. The study includes an experimental evaluation of potential thermal runaway initiating events of cells placed within CH₄-air atmospheres to simulate the mining hazard. The study focused on internal short circuits induced by external mechanical damage, with this failure mechanism known to produce thermal runaway in Li-ion cells. Researchers conceived a new method of inducing an internal short circuit for thermal runaway susceptibility evaluation purposes that was thought to overcome limitations of two other commonly used methods. This work summarizes the literature findings and experimental

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observations from the first phase of the study, along with interim recommendations.¹

II. LITERATURE REVIEW

QinetiQ North America (QNA) [6] and Roth *et al.* [7] describe a three-stage thermal runaway process observed for certain Li-ion-cell designs thought to achieve high levels of performance and safety. These high energy density devices contained electrodes separated by a polymer of perhaps a few tens of micrometers thick, immersed in a flammable organic electrolyte. Cathode and anode active materials begin to react exothermically with the electrolyte above a certain threshold temperature. If the heat is not dissipated, the temperature continues to rise above a certain onset temperature when the cell enters stage two, characterized by rapid heat release. Venting and release of smoke may occur during stage two. Additional heating causes the cell to enter stage three, in which high-rate cathode reactions cause the temperature to rise rapidly and flame or explosion to occur. This final stage is thermal runaway, which is characterized by a self-heating rate of 10 °C/min or more.

Standard UL 1642 [8] provides requirements for the construction, performance, testing, and marking of primary (nonrechargeable) and secondary (rechargeable) batteries containing lithium in various forms (metallic, alloy, or ion). Test evaluations include electrical, mechanical, and environmental tests. Mechanical integrity evaluations include a crush test, where samples of batteries are squeezed between two flat surfaces until 13 kN (3000 lb) is reached, at which point the force is released. Battery safety standards IEC 62133 and UL 2054 specify a similar crush test [9]. The criterion for passing the UL 1642 crush test is that the samples shall not explode or catch fire. The UL 1642 interpretation of explosion is when the cell or battery contents are forcibly expelled and the cell or battery is torn or split into two or more pieces.

There have been numerous reported field failures of Li-ion-battery-powered equipment. NIOSH previously contracted with QNA to perform a safety assessment of emergency backup batteries and battery charging systems for underground mining applications [6]. With regard to the Li-ion-battery thermal runaway hazard, QNA concluded from the Consumer Product Safety Commission recall data that more is required for acceptable safety than UL testing provides. To help address the mining safety issue, QNA discussed the merits of experimental research to crush or puncture Li-ion cells within explosive CH₄-air atmospheres. Mikolajczak *et al.* [9] reported investigating hundreds of thermal runaway events from the field. These included numerous field failures caused by latent mechanical damage, particularly of soft-pouch cells where mild mechanical damage did not cause immediate failure, but rather, the cells failed during subsequent cycling.

Tobishima [10] reviewed Li-ion-cell thermal runaway events, safety evaluation methods, and materials to improve thermal stability. When describing the UL 1642 crush test, Tobishima

recommended an alternative test that was asserted to be harder to pass. One key feature of the alternative test was crushing the cell to less than half of its original thickness. The UL 1642 crush test does not specify a depth of crush.

Tobishima [10] described a nail penetration test, indicating that it was a very important test for simulating internal short circuits linked to many actual accidents. However, some studies [9], [11]–[14] suggest that the nail test's ability to create thermal runaway from internal short may be defeated by the nail itself. The described weaknesses of the nail penetration test include thermal or electrical energy dissipation through the metallic nail alone or through the metallic nail in contact with an exterior metallic cell can. Loud *et al.* [14] observed that a nail penetration test allowed gas and electrolyte to exit the cell at the point of penetration. Furthermore, UL 1642 does not prescribe a nail penetration test.

Megerle *et al.* [15] demonstrated thermal runaway using an aggressive iterative crush protocol. They observed that crush tests inducing case cracking generally produced less severe results than tests without case cracking.

Mikolajczak *et al.* [9] demonstrated that crushing the edge of cells is more likely to cause cell thermal runaway than crush or penetration perpendicular to electrode surfaces, in agreement with the findings in [13]. Mikolajczak *et al.* [9] provided two explanations for the ease of producing thermal runaway from an edge crush, one relating to the inability to penetrate the separator in the case of the conventional crush test and the other to electrical or thermal energy dissipation through a nail in the case of perpendicular metallic nail penetration. For cylindrical cells, the UL 1642 crush test is applied with the cell's longitudinal axis parallel to the flat plates only. The direction of force is perpendicular to the electrode surface within the cylindrical cell.

An apparently common safety misconception involves the crush test and internal shorting. Arora *et al.* [16] indicate that the standard cell crush test involves applying a force to the cell's enclosure until an internal short circuit is achieved. However, the UL 1642 crush test is stopped as soon as a predetermined force is applied without regard to achieving internal shorting. Mikolajczak *et al.* [9] found that, when crush damage is perpendicular to the cell, it may not cause penetration of the separator, and thus, minimal or no internal shorting occurs.

UL 1642 [17] and IEC 62133 standard committees are considering a forced internal short-circuit (FISC) test for inclusion in newer editions of UL 1642 and IEC 62133. The FISC test being considered for IEC 62133 [18] is based on FISC methods described in [19]. A nickel particle is inserted inside the cell, and a press machine is used to compress the cell in an attempt to force the nickel particle to create an internal short circuit. The FISC test is stopped after a period of time once a voltage drop of at least 50 mV occurs, which is defined as attaining an internal short circuit. The FISC test is also stopped if the press force reaches 800 N for cylindrical cells or 400 N for prismatic cells, without creating an internal short. The acceptance criterion is no fire. Thus, the proposed FISC test procedures suggest that a cell may pass the test without exhibiting any indication of an internal short (press force limited) or by exhibiting limited shorting indicated by a voltage drop as small as 50 mV.

¹The findings and conclusions in this paper are those of the authors and do not necessarily represent the views of the NIOSH.

Balakrishnan *et al.* [20] reviewed safety mechanisms in Li-ion batteries. One such mechanism is a pressure relief vent often built into the cell. Under severe mechanical and electrical abuse conditions, the vent may provide a safe means of releasing internal pressure before the cell reaches excessively high temperatures. However, Roth *et al.* [7] described a thermal runaway process where cell venting may be followed by explosive decomposition. Because of the uncertainty surrounding the cell vent effectiveness, researchers used high-speed video recordings in the NIOSH research study to observe the effectiveness of the cell venting process as the cell was crushed.

Thermal runaway susceptibility is dependent upon the cell state of charge (SOC) and capacity. Cai *et al.* [12] reported on simulations and experiments of internal short circuits in Li-ion and Li-ion-polymer cells induced by “pinching” the cell between two metallic spheres. They found that the internal short-circuit risk of thermal runaway increased with cell capacity and SOC for three types of prismatic form factor cells studied. White *et al.* [21] conducted accelerating-rate calorimetry (ARC) tests of selected 18650-type cells and observed that the onset temperature of a 2.1-Ah LiCoO₂ cell at 82% SOC was comparable to that of a 1.1-Ah LiFePO₄ cell at full SOC. They concluded that self-heating onset temperature and self-heating rate were functions of cell energy. In order to factor out these SOC and capacity dependences, in the current study, researchers tested two cell designs of similar capacity, fully charged.

Several studies [9], [22] indicate that preheated cells are more susceptible to thermal runaway. Santhanagopalan *et al.* [22] developed an electrochemical thermal model and conducted experiments of Li-ion-cell internal short circuits. The thermal model indicates that a cell passing a short-circuit test at room temperature has a greater tendency toward runaway for higher values of the initial cell temperature. They reported that the margin for safety is reduced in a preheated cell. A short between a lithiated (charged) anode material and an aluminum current collector produced the most heat of the short-circuit scenarios studied. Mikolajczak *et al.* [9] explain that high ambient temperatures or adiabatic insulation will increase the likelihood that any given internal fault can drive a cell to thermal runaway and thus increase the energy available to heat the cell. IEC 60079-11 [23] and ACRI2001 [24] specify a maximum ambient temperature of 40 °C for IS evaluation purposes, unless otherwise specified. Thus, 40 °C may be considered within the specified ambient temperature range for “normal” operation of most IS equipment. 40 °C is below the Li-ion-cell self-heating onset temperatures [6], [7]. In contrast, the UL 1642 crush test is conducted at room temperature.

Some newer Li-ion chemistries are proving to be safer than others. QNA [6] reviewed ARC data for several different Li-ion chemistries, including the data published by Roth [25]. LiMn₂O₄ and LiFePO₄ chemistries displayed higher onset temperatures than several others studied, showing that they were more resistant to thermal abuse. The peak self-heating rate was the lowest for the LiFePO₄ cell by a wide margin, leading QNA to conclude that LiFePO₄ cells were the safest on the market. A common LiCoO₂ cell chemistry was the least stable of those studied. QNA went on to recommend that either LiMn₂O₄ or

LiFePO₄ may be sufficiently safe for underground coal mining applications. Chen and Richardson [26] describe the thermal stability of LiFePO₄ and its charged counterpart, FePO₄, as “remarkable” and instrumental in its commercialization as a Li-ion-battery cathode material. Hund and Ingersoll [27] reported no sparks or fire from an overvoltage charge abuse test of a LiFePO₄ cell. Scrosati and Garche [28] suggested that LiFePO₄ may be the cathode chemistry of choice for some hybrid electric vehicle projects, for safety reasons. Based on these findings about potential safety benefits of LiFePO₄ chemistries, researchers included a commercial LiFePO₄ cell in the NIOSH research study for evaluation as a potentially safer cell for powering IS mining equipment.

Magison [29] describes IS equipment and wiring as not capable of releasing sufficient electrical or thermal energy under normal or abnormal conditions to cause ignition of a specific hazardous atmospheric mixture in its most easily ignited concentration. Hazardous atmospheres in underground coal mines include CH₄-air mixtures and coal dust. MSHA requires [30] cap lamp components to comply with ACRI2001 [24] criteria for the evaluation and test of IS equipment. ACRI2001 contains provisions for lithium batteries, including a requirement that lithium batteries shall not explode or cause a fire when tested as per UL 1642. MSHA relies on UL 1642 cell level evaluations to help ensure intrinsic safety in potentially explosive atmospheres.

Somewhat similar criteria for IS equipment used in other U.S. industries are found in standard ANSI/ISA 60079-11. This is the U.S. adopted version of the IEC 60079-11 [23]. IEC 60079-11 recognizes that some lithium types of cells or batteries may explode. The standard notes that “Cells that comply with the requirements of UL 1642 or IEC 62133 or other relevant safety standards are considered to meet this requirement.” IEC 60079-11 permits battery packs containing serial and parallel configurations of Li-ion cells. All of these IS standards, national or international, for all applicable industries, rely on other cell level safety standards for ensuring intrinsic safety of lithium-battery-powered equipment used in potentially explosive environments. The IEC Technical Committee 31 has established a working group to address the safety of electrochemical cells and batteries in equipment for explosive atmospheres.

Several studies [31], [32] found that Li-ion cells can produce high explosion pressures. Over concerns regarding laboratory equipment damage and injury to staff, Yen *et al.* [31] studied explosive failures of Li-ion cells that may occur when subjected to typical safety standards abuse tests. The concern was prompted by a chemical hood that was destroyed by the blast pressure of failed cells. They conducted an overtemperature abuse test of a 2.6-Ah Li-ion cell and measured 10.89 MPa (1580 psi) gauge pressure in an adiabatic calorimeter as the cell temperature reached approximately 200 °C. Although not specified in the paper, a coauthor indicated that the chamber volume was 17 mL. Jhu *et al.* [32] also studied the explosive failure of Li-ion cells placed within an adiabatic calorimeter. A fully charged 2.6-Ah LiCoO₂ 18650 cell initiated self-heating at 129.1 °C, began thermal runaway at 160 °C, and peaked at 903 °C. Chamber gauge pressure peaked at 10.797 MPa (1565.9 psi),

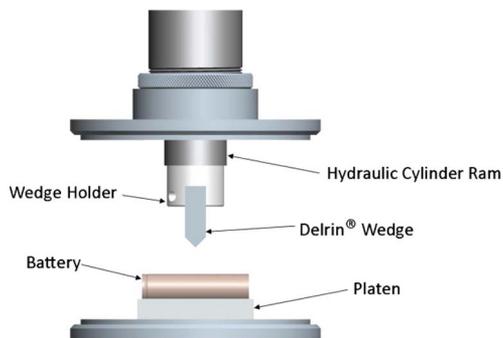


Fig. 1. Drawing of plastic wedge crush fixture with LG Chem cell.

which destroyed their chamber. Subsequent tests used a 150-mL expansion chamber to reduce peak pressures to protect the equipment and laboratory personnel. The 150-mL expansion chamber reduced the peak gauge pressure to 2446 kPa (354.7 psi) during a test similar to the test that produced the 10.797-MPa gauge pressure. Jhu *et al.* [32] concluded that an internal short circuit could lead to violent fire and explosion. With these safety concerns in mind, in the current study, researchers conducted cell crush tests within a 20-L chamber with no CH_4 and measured chamber pressures to assess the potential for personal injury.

III. METHODS

NIOSH researchers conceived a new method of inducing internal short circuit for thermal runaway susceptibility evaluation purposes that was thought to overcome limitations of the flat plate and nail penetration methods. The new method employs a 90° wedge-shaped plastic fixture in place of the UL flat plate to compress the cell. A plastic material was selected over metal to minimize electrical and thermal energy dissipation by the test fixture itself. The wedge shape and point angle were selected because they were thought to be robust enough to crush the cell without significant damage to the plastic wedge itself. A plastic fixture simulates external forces applied to plastic-wrapped or plastic-encased battery packs which are common [9]. Researchers also conducted a series of tests with flat plates to simulate the UL 1642 crush tests as an experimental control for tests with the plastic wedge.

Researchers designed and fabricated a custom crush fixture to fit inside a 20-L chamber for these experiments. The press uses a small single-acting hydraulic cylinder with a 43-mm bore and a 54-mm stroke. The cylinder incorporates an internal return spring; this retracts the cylinder ram when hydraulic fluid is released. A cutaway view of the press is shown in Fig. 1. The top and bottom plates are connected with a steel tube (not shown for clarity). This tube has access holes for installing the battery and Delrin [33] plastic wedge. The wedge is made from a 12.7-mm-thick sheet of Delrin by machining a 45° bevel on each side to create a 90° pointed edge. A commercially available 0.75-kW hydraulic power unit supplies the required flow. The system relief valve is set to produce 13 kN of force at the cylinder ram. The press is controlled from a solenoid-operated hydraulic four-way valve. A bleed-in flow control is used to regulate the speed of the ram and was set to about

TABLE I
DELTRIN PROPERTIES AND CHARACTERISTICS

Property	Value
Yield Stress, MPa	71
Tensile Strength, MPa	96
Shear Strength, MPa	62
Tensile Modulus, MPa	3000
Surface Resistivity, Ω	$>1\text{E}15$
Thermal conductivity, W/m-K	0.33
Hardness, Rockwell M scale	92
Melt temperature, $^\circ\text{C}$	>200

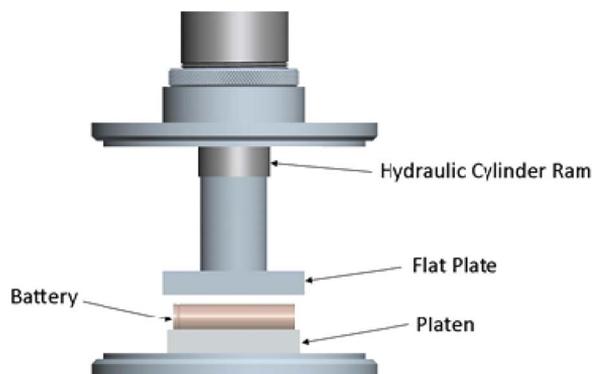


Fig. 2. Drawing of flat plate crush fixture with LG Chem cell.

5 mm/s. Viatran model 248 pressure transducer measurements are used to calculate cylinder force.

The wedge and wedge holder were fabricated from Delrin [33]. This material was selected for its mechanical, thermal insulating, and electrical resistance properties as well as its ability to be readily machined. This plastic has high mechanical strength and rigidity, excellent resistance to solvents and chemicals, and good electrical and thermal insulating characteristics. The material's properties are listed in Table I. The wedge is considered a sacrificial element, and a new one is installed for each test.

For all tests, the bottom platen was fabricated from steel and coated with an insulating paint. Its thickness was calculated to position the battery so that, at full ram extension, the Delrin wedge would penetrate about 66% into the battery's diameter. Penetration was greater than 50%, as recommended by To-bishima [10].

A ram extension with a 13-mm-thick flat plate was fabricated from steel and used to perform tests that simulated the UL 1642 crush test Fig. 2. The plate was also coated with an insulating paint. The extension length and bottom platen height were selected to allow only a slight clearance between them at full ram extension.

An IEC Ex certificate [34] for the manufacturer and model of cap lamp that caught fire at the NIOSH SRCM office [3] listed an LG Chem ICR18650S2 or a Sanyo UR 18650F cell as approved for use with the cap lamp. Samples of LG Chem model ICR18650S2, cylindrical 18650 format LiCoO_2 cells (LG Chem cells) were purchased through a battery distributor. Codes on the LG Chem cells indicated that they were manufactured seven years prior to these ignition tests. A specification sheet for the LG Chem cells [35] describes safety tests that the cell should pass, including a flat plate crush as per UL 1642

and a nail penetration test. These tests were specified at room temperature. A123 Systems² model 26650A cylindrical 26650 format LiFePO₄ cells (A123 cells) [36] with similar rated capacity were selected for comparative testing and purchased directly from the manufacturer. A123 Systems indicated that the A123 cells were compliant with all UL 1642 single-cell tests and were manufactured four years prior to these ignition tests. Newer versions of the LG Chem 18650 and A123 26650 cells have higher rated capacity.

Ignition experiments were conducted in a 20-L test chamber, which can be used at initial pressures that are below, at, or above atmospheric as long as the maximum explosion pressure is less than 2100 kPa (305 psi). The CH₄–air concentration was set to 6.5% by volume as per IEC 60079-11 provisions for testing potential thermal ignition sources in mines. The concentration of the gas–air mixture was determined by partial pressures and set to 100 kPa (14.5 psi) at room temperature. The CH₄ source purity was specified as 99.97%. The air source was dried and hydrocarbon free with 20.9% O₂ by volume. Subsequent tests at 40 °C raised the chamber pressure slightly above atmospheric, and researchers bled off a small amount of the gas–air mixture to maintain 100 kPa after the temperature stabilized. Heat tape was wrapped around the exterior of the chamber. A fan within the chamber provided mixing. A furnace heating element placed inside the chamber was used to ignite the atmosphere after tests that resulted in nonignitions, confirming that a flammable atmosphere was present.

Researchers conducted cell crush tests with no CH₄ in the 20-L chamber and measured chamber pressures to assess the potential for personal injury [31], [32]. The open head space volume inside the chamber was estimated to be 18 L, taking into account the Li-ion cell, press, fan, and white LED fixture.

Chamber instrumentation included a gas pressure transducer (Viatran model 218-28) and a 30-AWG 0.25-mm-diameter (0.01-in-diameter) K-type thermocouple attached to the surface of the Li-ion cell. IEC 60079-0 [37] considers surface temperature of equipment under test as stabilized when the rate of temperature rise falls to 2 K/h. Researchers waited at least 1 h after the cell surface temperature reached 38 °C before conducting ignition tests at 40 °C.

The thermocouple was inserted underneath plastic wrapping around the LG Chem cell, against the metallic can that is about 1.3 cm (0.5 in) from the cell end opposite the vent. Cardboard insulation around the A123 cell was removed, and the thermocouple was taped against the metallic can that is about 1.3 cm from the cell end opposite the vent.

The chamber pressure transducer detected ignitions in conjunction with a high-speed video camera. The criterion for ignition was a pressure rise of at least 50 kPa (7.25 psi), a value derived from previous explosibility research [38], [39]. Sapphire windows allowed viewing inside the chamber. A high-speed video camera (NAC model 512SC) recorded tests at

250 frames/s. A white LED fixture was placed within the chamber to provide illumination for video recording. The cell venting observed prior to ignitions in this study indicated that the cell vent was not an effective protection. Ignitions saturated (whited-out) the video recordings, whereas nonignitions did not.

A personal-computer-based Labview data acquisition program recorded cell voltages, pressure transducer signals, and thermocouple measurements. Data acquisition was initiated by a signal from the hydraulic-solenoid-operated four-way valve. An independent laboratory calibrated in-house multimeters traceable to the National Institute of Standards and Technology. Thermocouple measurements were checked in-house using a thermocouple calibration cell.

A Vencon UBA5 Battery Analyzer preconditioned and analyzed cells for testing. A software package provided user configurable routines for constant current, for constant-voltage cycling, and for measuring resistance and capacity. The resistance routine uses a two-step dc measurement.

Researchers used Fisher's exact test [40] to assess the relative safety between two cell designs. The null hypothesis was that crushed samples of two different Li-ion-cell designs were equally likely to ignite under similar test conditions. A maximum of ten tests were planned for a particular cell design. Only a cell design that produced zero ignition in ten attempts was to be considered as a safer cell candidate. The alternative hypothesis under this scenario was that the alternative cell design was more likely to ignite than the safer cell candidate. There was no possibility that the alternative cell design would be observed to be less likely to ignite, indicating the use of a one-tailed probability test. This implied that at least four ignitions in ten attempts with another cell design would be needed to produce a conservative one-tailed significance value of $p < 0.05$, i.e., a less than 0.05 probability that the observed ignitions and nonignitions came from the same underlying population, thus rejecting the null hypothesis. The cell designs could then be considered independent from an ignition standpoint, under specified test conditions, at a conservative significance level. A test series with a particular cell design was ended when either four ignitions were observed or a total of ten cells were tested. A series was stopped after four observed ignitions to save wear and tear on the chamber instrumentation. An IBM SPSS statistical package computed Fisher's exact test p values using ignition and nonignition data listed in a two-by-two table such as that shown in Table V. The test assumes random sampling; a random sequence generator provided a random set of serial numbers assigned to cell samples.

IV. RESULTS

A. Cell Conditioning

Cells were conditioned within the manufacturer's recommendations [35], [36] at room temperature (Table II). Cells were cycled through at least three charge–discharge cycles using a constant-current constant-voltage routine. Measured discharge capacity was slightly below the rated, and resistance measurements were slightly above the rated. A123 Systems

²Mention of any company or product does not constitute endorsement by the NIOSH. In addition, citations to Web sites external to NIOSH do not constitute NIOSH endorsement of the sponsoring organizations or their programs or products. Furthermore, NIOSH is not responsible for the content of these Web sites. All Web addresses referenced in this document were accessible as of the publication date.

TABLE II
CELL CONDITIONING SUMMARY DATA

	LG Chem	A123
Charge, discharge voltage	4.2, 3.0	3.8, 2.0
Charge or discharge constant current (A)	1.075	3.0
End charge current (mA)	50	50
Rated capacity (Ahr)	2.2	2.2
Measured discharge capacity (% rated)	> 95%	> 96%
Rated impedance @ 1 kHz (mΩ)	90	8
Measured resistance range (mΩ)	113 to 135	25 to 43
Cell age when tested (years)	7	4

TABLE III
FLAT PLATES VERSUS PLASTIC WEDGE, 6.5% CH₄-AIR IGNITION TESTS, LG CHEM CELLS

	Ignitions	No ignitions	Totals
Plastic wedge, 40 °C	4	3	7
Flat plates, 25 °C	0	10	10
Totals	4	13	17



Fig. 3. Flat plate compressed the LG Chem cells about 18% without igniting 6.5% CH₄-air.

indicates that Li-ion cells may show certain shelf life or calendar-related aging effects where impedance grows with time and capacity is lost over time. In addition, internal resistance and capacity measurement protocols may influence results. The capacities of the two cell designs were similar and greater than 95% of the rated (MSHA recommends battery replacement when capacity fades to 80% of the rated [4]). The cells were given a final top-off charge prior to ignition tests.

B. Plastic Wedge Versus Flat Plate Methods

The plastic wedge and UL simulated flat plate methods were compared in CH₄-air ignition tests using the LG Chem cells. The cell was maintained at room temperature for the flat plate tests as per UL 1642 and at 40 °C for the plastic wedge tests as per IEC 60079-0 and ACRI2001. The chamber contained 6.5% CH₄-air at 100 kPa. The cylinder force for both the wedge and flat plate was set to 13 kN as per UL 1642. The plastic wedge tests were stopped after obtaining four ignitions. The flat plate produced no ignitions in ten attempts (Table III). The chamber atmosphere was ignited by the furnace element for these ten flat plate tests, verifying that a flammable atmosphere was present. The flat plate compressed the cells about 18% (Fig. 3).

Video recordings showed nonluminous material ejecting from the cell vent prior to the four LG Chem cell CH₄-air ignitions. Three of the four cells ruptured and spewed luminous sparks from the side of the can close to the plastic wedge, after initial cell venting and prior to ignition (Fig. 4). The fourth can did not rupture on the side but spewed sparks from the cell vent, after initial cell venting and prior to ignition. Ignitions saturated (whited-out) the video recordings.

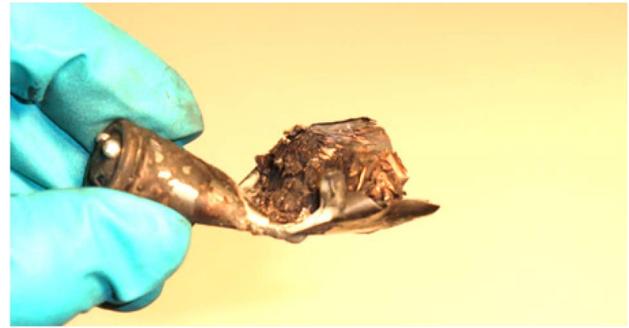


Fig. 4. Ruptured LG Chem cell crushed by the plastic wedge that ignited 6.5% CH₄-air.

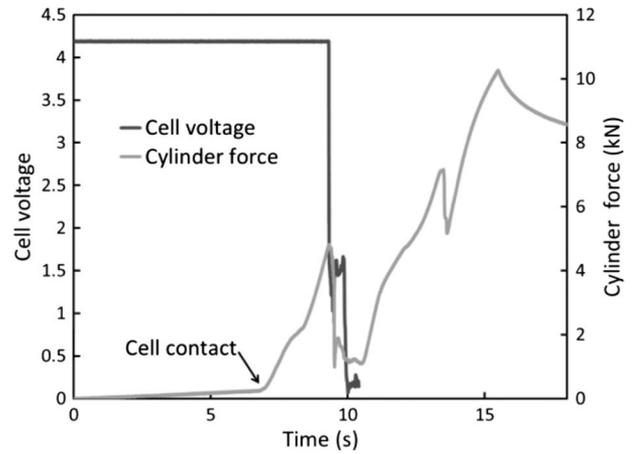


Fig. 5. Graph showing that an LG Chem cell hard shorted as the plastic wedge applied force reached 4.75 kN (1065 lb).

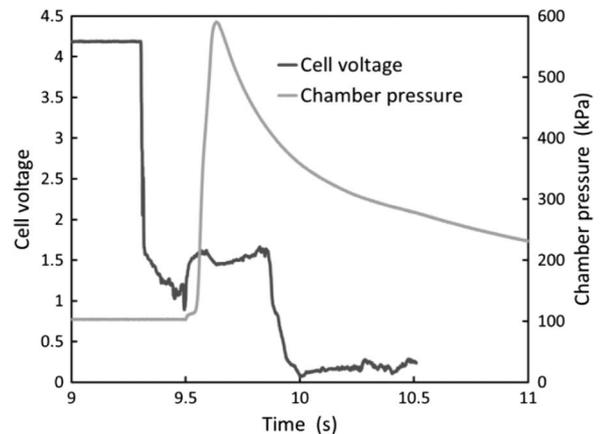


Fig. 6. Graph showing that an LG Chem cell hard shorted prior to 6.5% CH₄-air ignition indicated by 586-kPa (85 psi) peak chamber pressure.

Figs. 5–7 show the time traces for an LG Chem cell CH₄-air ignition, crushed by the plastic wedge at 40 °C. Fig. 5 shows the cell yielding and hard shorting at cylinder forces significantly less than 13 kN. Figs. 6 and 7 show the pressure and temperature peaks from 6.5% CH₄-air ignition occurring shortly after the hard short. Summary data for the four LG Chem cells that ignited CH₄ are listed in Table IV. Measured cell can temperatures were lower than the thermal runaway or CH₄-air flame temperatures due to the insulating nature

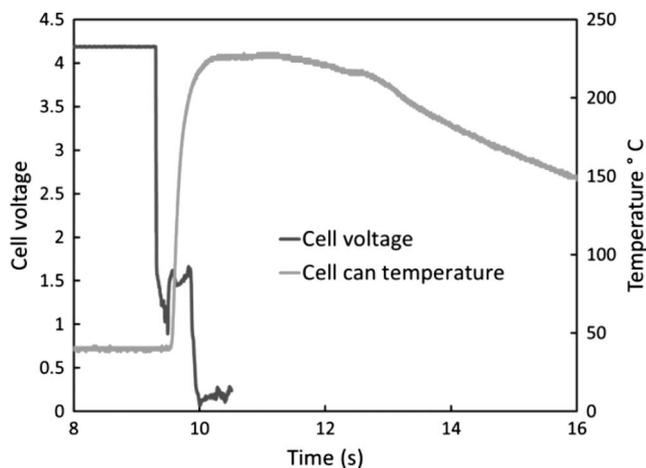


Fig. 7. Graph showing that an LG Chem cell hard shorted and the cell can surface temperature reached 221 °C during a 6.5% CH₄-air ignition.

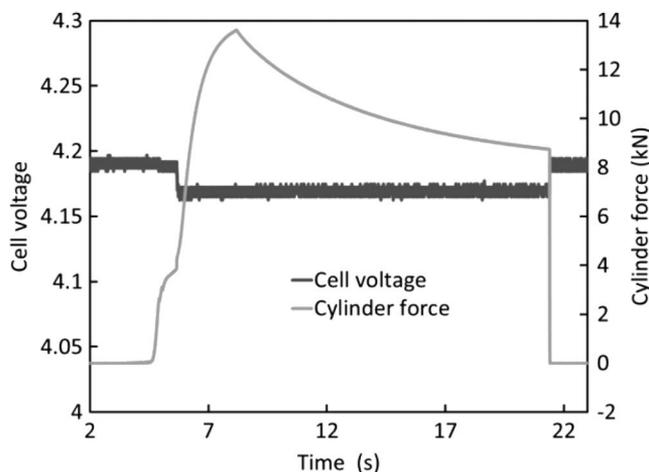


Fig. 9. Time traces of cell voltage and flat plate applied force, showing that a soft short appeared and disappeared as the plate was extended and retracted.

TABLE IV
CHAMBER SENSOR SUMMARY DATA, 6.5% CH₄-AIR IGNITIONS, LG CHEM CELLS, PLASTIC WEDGE, 40 °C

Cylinder force at ignition, kN (Lbs)	4.75 to 5.96 (1068 to 1340)
Peak chamber pressure, kPa (psi)	586 to 738 (85 to 107)
Peak cell can temperature, °C	221 to 360



Fig. 8. Photograph showing an LG Chem cell crushed by the plastic wedge that did not rupture nor ignite 6.5% CH₄-air at 40 °C ambient temperature. The cell hard shorted.

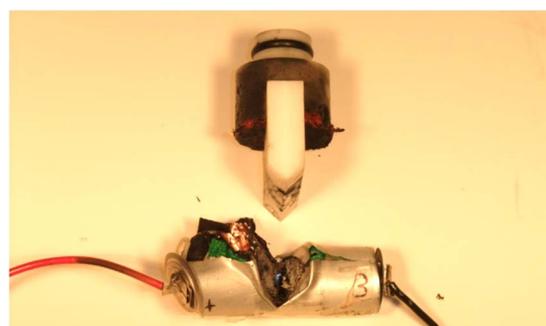


Fig. 10. Ruptured A123 cell crushed by a plastic wedge that did not ignite 6.5% CH₄-air.

of the can, plastic cover, and separation distance between the thermocouple and short circuit.

Three LG Chem cell plastic wedge tests at 40 °C did not produce ignitions (Fig. 8). These cells were hard shorted to a few tenths of a volt within a few seconds after wedge contact. The wedge tip penetrated the can in two of the three cells. There were no measurable chamber pressure increases. Peak cell can temperature measurements ranged from 84 °C to 103 °C. The temperatures decayed over a 5-min waiting period, after which the test was terminated and the chamber atmosphere was ignited by the furnace element, verifying that a flammable atmosphere was present.

The LG Chem cell flat plate tests produced no CH₄-air ignitions, no measurable cell can temperature increases, and no apparent cell venting, as observed from the video. Seven of the flat plate tests produced no cell voltage drops. Three tests showed evidence of soft shorting, producing voltage drops of 22 mV or less. A cell voltage and cylinder force time trace for one of the three soft shorts is shown in Fig. 9. Researchers stopped the press extension temporarily when 13 kN was reached. Fig. 9 shows that the cylinder force decayed over time because of internal hydraulic system leakage. Researchers then retracted the cylinder after a short period of time. These three cells returned to their pretest voltage as the plate was

TABLE V
LG CHEM CELLS VERSUS A123 CELLS, 6.5% CH₄-AIR IGNITION TEST RESULTS, PLASTIC WEDGE, 40 °C

	Ignitions	No ignitions	Totals
LG Chem cells	4	3	7
A123 cells	0	10	10
Totals	4	13	17

retracted. Two of the three cells self-discharged completely, and the third discharged by 1 V overnight without going into thermal runaway.

C. A123 26650 Versus LG Chem ICR18650S2 Cells

Researchers conducted ten 6.5% CH₄-air ignition tests using the A123 cells and plastic wedge at 40 °C. These cells were hard shorted to a few tenths of a volt within a few seconds after wedge contact. None of the A123 cells ignited the chamber atmosphere. Cell can temperatures peaked at 123 °C or less. Video showed all A123 cells rupturing and producing smoke near the point where the wedge penetrated the can (Fig. 10). These tests produced chamber overpressures of 3.8 kPa (0.58 psi) or less when the cells ruptured, indicating that the chamber atmosphere did not ignite. The furnace igniter subsequently ignited the chamber atmosphere for all of these tests, confirming that a flammable atmosphere was present. Table V lists the A123 and LG Chem cell test data in Fisher’s exact test

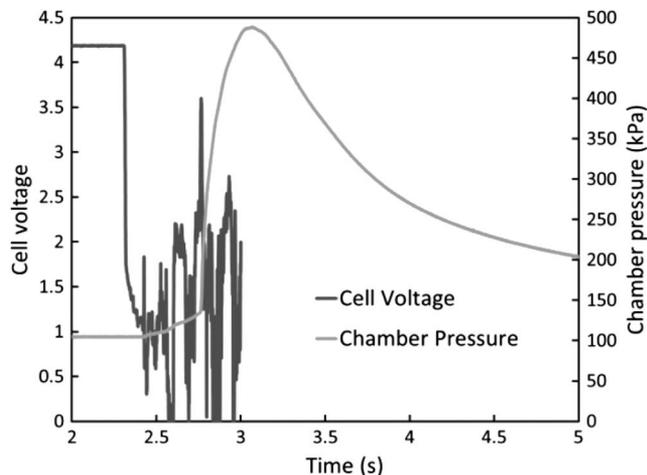


Fig. 11. LG Chem cell crushed by the plastic wedge went into thermal runaway and produced 482-kPa (70 psi) peak chamber pressure with no CH_4 in the chamber.

two-by-two format. The one-tailed p value for Table V data is $p = 0.015$. The two cell designs can be considered independent from an ignition standpoint at a significance level of $p < 0.05$, as discussed previously.

D. Cell Crush Tests With No CH_4

An LG Chem cell and an A123 cell were each tested without CH_4 in the chamber using the plastic wedge at 40 °C. The LG Chem cell went into thermal runaway, and the A123 cell did not. The ruptured A123 cell produced a 2-kPa overpressure and a peak can temperature of 86 °C. The LG Chem cell thermal runaway produced 482-kPa (70 psi) chamber peak pressure (Fig. 11) and 284 °C peak can temperature, and the high-speed video recording was saturated.

V. DISCUSSION

ACRI2001 IS evaluations and ANSI/ISA 60079-11 level-of-protection “ia” evaluations take into consideration up to two countable faults. Two-countable-faults-evaluated IS equipment is permitted for use in coal mines by MSHA and in other industrial hazardous locations as per the National Electrical Code, Articles 500 and 505 [41]. Mikolajczak *et al.* [9] indicate that some field failures involved mechanically induced cell internal short circuits. Stationary battery-powered CTE in coal mines may be susceptible to mechanical damage, such as from roof falls. For the NIOSH study, researchers charged cells within the manufacturer’s recommendations and kept the ambient temperature within the normal range for IS evaluations. The tests reported here simulated a single foreseeable internal short-circuit fault from an external crush. The number of faults considered was not excessive relative to IS evaluation criteria.

There was a clear distinction between ignitions and nonignitions in all tests. Cell ignitions produced pressures in excess of 480 kPa, and nonignitions produced pressures well below the 50-kPa threshold criterion. Furnace igniter ignitions produced pressures in excess of 510 kPa and verified that a flammable atmosphere was maintained in the chamber for all cell crush tests that did not result in ignition of the chamber atmosphere.

TABLE VI
SELECTED CH_4 -AIR IGNITION TEMPERATURES (IN DEGREES CELSIUS)

AIT (800 mL vol.) [44]	Heated air jet (1 cm dia.) [42]	Heated nichrome wire (0.1 cm dia.) [42]
600	1040	1220

The LG Chem cells readily ignited CH_4 -air, whereas the A123 cells did not ignite in any test with the plastic wedge. The two cell samples had similar capacities and were tested at similar temperatures. The plastic wedge produced hard shorts to a few tenths of a volt within a few seconds after wedge contact for all tests. The difference in test outcomes was likely due to the ability or inability of an internal short circuit to initiate a thermal runaway chemical reaction within the cell. The LG Chem cells were tested at 100% SOC, but different results may be obtained if cell SOC were limited to significantly lower values. Fisher’s exact test results indicate that the two cell designs can be considered to be independent from an ignition standpoint at a conservative significance level. The A123 cells were the safer of the two cell designs, under specified test conditions.

Ignition by a heated surface is a function of the heat source dimensions [42]. Autoignition temperature (AIT) usually refers to ignition temperature obtained within a heated vessel, where the atmosphere is heated through the surrounding vessel walls. Heated wire, rod, or tube ignition temperatures are normally much higher than heated vessel AITs. Some CH_4 -air ignition temperature data are listed in Table VI. CH_4 -air AIT is significantly higher than AITs for some typical Li-ion electrolyte components summarized in [9]. Mikolajczak *et al.* [9] indicate that temperatures produced by cell thermal runaway reactions are considered sufficient to cause hot surface ignition of flammable mixtures but do not reach levels that will cause the melting of pure copper (1080 °C). In some cases, very small points of pure copper, nickel, or steel melting were found within a cell, attributed to internal electrical arcing or shorting [9]. By comparison, Kuchta [42] lists a 1220 °C ignition temperature for CH_4 -air ignited by a heated Nichrome wire. CH_4 -air ignition temperatures are significantly higher than those for many industrially important explosive atmospheres [43]. The results reported here should not be considered conservative for explosive atmospheres with lower igniting temperatures than CH_4 -air.

The flat plates produced no hard shorts within the LG Chem cells at 13-kN applied force, and a few observed soft shorts returned to pretest voltage after the plate was retracted. These flat plate tests provided some assurance that this particular sample of cells was compliant with UL 1642 crush test requirements, as specified [35].

The plastic wedge produced hard shorts in all tests reported here with relative ease. The wedge crushed the cell to less than half of its original thickness as recommended by Tobishima [10], using a fraction of the applied force specified in UL 1642. Researchers limited wedge penetration to approximately 66% of the cell diameter. The pointed edge of the wedge overcame the difficulty of penetrating the separator, as described by Mikolajczak *et al.* [9]. The applied pressure did not damage

or melt the wedge tip significantly in tests that did not produce ignition. The plastic wedge tip melted during tests that produced ignitions. A plastic fixture simulates external forces applied to plastic-wrapped or plastic-encased battery packs which are common [9]. The plastic wedge crush method does not compensate for potential heat dissipation through a cell's metallic can. The plastic wedge minimizes energy dissipating effects of the test fixture itself, compared to metallic nails. Safety standards organizations may wish to consider the plastic wedge crush test, in response to field failure reports involving external mechanical damage [9].

The UL 1642 interpretation of explosion is when the cell or battery contents are forcibly expelled and the cell or battery is torn or split into two or more pieces. The A123 cells pressed by the wedge ruptured and produced smoke but did not ignite the chamber atmosphere. These results suggest that ignition of the explosive atmosphere in question may be a more appropriate failure criterion using the plastic wedge, for IS evaluation purposes.

The MSHA-approved Li-ion cap lamp battery contains a multicell battery pack within a sealed plastic enclosure. The LG Chem cell thermal runaway test with no CH₄ in the chamber produced a significant chamber peak pressure of 482 kPa (70 psi). Although the peak pressure was orders of magnitude less than those reported in [31] and [32], the chamber provided about 18 L of head space to allow expansion and reduce peak pressure. Peak pressures will be significantly higher than that found in this study within significantly smaller volume and sealed enclosures and under otherwise similar test conditions.

Observed thermal runaway with the LG Chem ICR18650S2 cells fully charged and not charging suggests that the field failure of the MSHA-approved cap lamp in the NIOSH Pittsburgh SRCM office conceivably could have involved an internal short-circuit cell fault while under normal charge, and not necessarily a charging fault.

Results suggest the plastic wedge test should be a more severe test than the nail penetration test specified in [35], potentially due to elevated ambient temperatures and the electrical and thermal insulating properties of the plastic.

VI. CONCLUSION

IS evaluated equipment powered by LG Chem ICR18650S2 LiCoO₂ cells has posed a CH₄ explosion hazard from cell internal short circuit.

Under specified test conditions, the A123 26650A LiFePO₄ cells were safer than the LG Chem ICR18650S2 LiCoO₂ cells at a conservative statistical significance level. Other potential failure modes should be considered, as appropriate.

IS evaluated equipment powered by cells with similar form factor, chemistry, and charge capacity to the LG Chem ICR18650S2 should be reevaluated per an appropriate cell internal short-circuit test within suitable atmospheric conditions (gas mixture and ambient temperature).

There was a clear distinction between ignitions and nonignitions in all tests. Ignitions and nonignitions produced chamber pressures well above and well below the threshold criterion, respectively.

The plastic wedge crush was a more severe test than the flat plate crush. The plastic wedge produced deeper penetration and lower impedance (hard) shorting while using a fraction of the applied force of the flat plates. The plastic wedge electrical and thermal insulating properties minimize energy dissipating effects of the test fixture itself. A plastic fixture simulates external forces applied to plastic-wrapped or plastic-encased battery packs which are common.

Vents in the LG Chem ICR18650S2 cells were not reliable protection against thermal runaway in the plastic wedge tests. Venting was observed prior to ignition in all LG Chem cell tests that produced ignitions.

The LG Chem ICR18650S2 cell thermal runaway test with no CH₄ in the chamber produced significant chamber pressures with relatively large head space volume.

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