Project title: Sleep disorders management, health and safety in police

Report date: August 20, 2009

Report type: Final (closeout) report

### **Principal Investigator:**

Name: Charles A. Czeisler, Ph.D., M.D., F.R.C.P.

Affiliations and contact information:

Baldino Professor of Sleep Medicine and Director, Division of Sleep Medicine

Harvard Medical School

Chief, Division of Sleep Medicine

Department of Medicine Brigham & Women's Hospital

221 Longwood Avenue Boston MA 02115-5817

Phone 617-732-4013; Fax 617-732-4015

Personal E-mail address: charles\_czeisler@hms.harvard.edu Admininstrative E-mail address: cacadmin@rics.bwh.harvard.edu

### Co-investigators:

Shantha M.W. Rajaratnam, Ph.D.

Steven W. Lockley, Ph.D. Laura K. Barger, Ph.D.

Christopher P. Landrigan, M.D. M.P.H.

David P. White, M.D. Joseph Ronda, M.S.

#### Organization:

Brigham and Women's Hospital

75 Francis Street Boston MA 02115-6110

**Sponsors:** Centers for Disease Control and Prevention

National Institute for Occupational Safety and Health (CDC//NIOSH)

### **Grant number(s):**

5 R01 OH008496

### Start and end dates:

9/30/2004 - 9/29/2008

#### **TABLE OF CONTENTS LIST OF TERMS AND ABBREVIATIONS** 3 **ABSTRACT** 4 **HIGHLIGHTS/SIGNIFICANT FINDINGS** 6 TRANSLATION OF FINDINGS 6 OUTCOMES/RELEVANCE/IMPACT 7 **SCIENTIFIC REPORT** 8 1. Background 8 1.1 Physiological determinants of fatigue 8 1.2 Sleep deprivation and health 10 1.3 Sleep deprivation and sleep disorders in police 11 1.4 Fatigue intervention strategies 12 12 2. Specific aims 3. Methodology 13 3.1 Subjects 13 3.2 Design 13 3.3 Survey tools 13 3.4 Police Department Database Measures 14 3.5 Procedures 14 4. Results 15 5. Discussion and Conclusions 16 6. References 18 7. Figures 29 8. Tables 32 **PUBLICATIONS** 38 **INCLUSION ENROLLMENT REPORT** 39 **INCLUSION OF CHILDREN** 40 MATERIALS AVAILABLE TO OTHER INVESTIGATORS 40

## **List of Terms and Abbreviations**

AASM American Academy of Sleep Medicine

BAC Blood alcohol concentration

BMI Body mass index (kg/m²)

CNS Central nervous system

ESS Epworth Sleepiness Scale

ICSD-2 International Classification of Sleep Disorders (2<sup>nd</sup> Edition)

OSA Obstructive sleep apnea

PVT Psychomotor Vigilance Task

RLS Restless Leg Syndrome

SAC Study Advisory Committee

SD Standard deviation

SEM Standard error of the mean

SWD Shift Work Disorder

## **Abstract**

**Background:** Sleep disorders are common, costly, and treatable, but often remain undiagnosed and untreated. Police officers work some of the most demanding schedules known, which increases their risk of sleep disorders. The public expects officers to perform flawlessly, but unrecognized sleep disorders lead to severe sleep deprivation, which significantly degrades cognition, alertness, reaction time and performance. In addition, both acute and chronic sleep deprivation adversely affect personal health, increasing the risk of gastrointestinal and heart disease, impairing glucose metabolism, and substantially increasing the risk of injury due to motor vehicle crashes. We implemented and tested in a police department the effectiveness of a sleep disorders detection and treatment program, which we have called *Operation Healthy Sleep*. The goals of this program were to reduce the adverse consequences of fatigue on officers' health, safety, and performance.

**Approach:** The study design was a station-level randomized experiment. To achieve this design, pairs of stations were identified based on size, employee characteristics and the acuity of police work in which they engage. In phase 1, one of each pair was randomly assigned to the intervention group, the other to the control group, and in phase 2 the intervention was extended to all stations. Subjects were sworn police officers. 878 officers participated in the sleep education session, representing 59 percent of the active and available officers. 605 subjects completed the baseline questionnaire (mean age 38.3 ± 9.4 years, 94 percent male). The sleep disorders screening tool was the major part of the Baseline survey, and included screening for obstructive sleep apnea, insomnia, restless leg syndrome, shift work disorder, and narcolepsy with cataplexy. We selected validated questionnaires to compile a comprehensive sleep disorders screening tool for the purposes of this study. As there was no validated questionnaire available for shift work disorder, we developed one based on the International Classification of Sleep Disorders-2. In addition to sleep disorder screening, the baseline survey also contained questions about work and sleep schedules, demographics, medical and social history, accidents and stress. Completed surveys were scanned into electronic format and uploaded into the central study database. All free text fields were double-key entered and verified for accuracy. An automatic scoring algorithm based on published scoring criteria was developed for determining whether subjects were at high, low or unknown risk for each of the sleep disorders.

### **Key findings:**

- The Operation Healthy Sleep program was successfully implemented with a high level of participation. 59 percent of active officers who were available to participate attended the sleep health education session, and of these 69 percent (n=605) completed and returned the Baseline survey.
- Demographic data obtained from the police databases showed that those who elected to attend the education session and complete the survey were on average approximately 2.5-3 years younger and 3 years less in police work than all officers in the Department.
- 20 percent of our sample reported nodding off or falling asleep while driving a vehicle at least 1-2 times a month.
- Sleep disorders appear to be highly prevalent in our sample. We found that approximately 25 percent of officers were at high risk for one or more sleep disorder. The most prevalent sleep disorder in the sample was OSA. The majority of subjects (~80 percent for most disorders) who were found to be at high risk for a sleep disorder reported not having been diagnosed previously with that disorder. Given the association between untreated sleep disorders and adverse health and safety outcomes, sleep disorders screening and management programs should be instigated in law enforcement agencies across the country.
- Sleepiness level was significantly higher in subjects who were found to be at high risk for a sleep disorder compared to those found to be at low risk for all disorders. Of particular note, we found that 12.6 percent of subjects who were at high risk for any sleep disorder showed extremely high

levels of sleepiness, compared to only 1.1 percent of subjects who were at low risk for all sleep disorders.

• Assessment of the efficacy of the detection and treatment program on health, safety and productivity measures is ongoing.

Translation of research findings: The overall goal of this research was to develop and test a sleep health detection and treatment program that ultimately can be disseminated to practitioners, policymakers and researchers nationwide to reduce police office fatigue and stress; enhance the ability of officers and their families to cope with shift schedules; improve the health, safety and performance of law enforcement officers; and thereby improve public safety. We are currently evaluating the potential for the Operation Healthy Sleep program to be implemented in law enforcement agencies nationwide and other first response occupational groups as a part of occupational health and safety programs. In addition, we are evaluating different models of program implementation, including a web-based educational and screening program. Of note, the demographic characteristics of subjects who elected to participate in this study suggests that, in the implementation of the Operation Healthy Sleep program, greater efforts need to be made to engage older individuals. This is particularly important given that the risk of many sleep disorders increases with age.

## **Highlights/Significant Findings**

- The Operation Healthy Sleep program was successfully implemented with a high level of participation. 59 percent of active officers who were available to participate attended the sleep health education session, and of these 69 percent (n=605) completed and returned the Baseline survey.
- Demographic data obtained from the police databases showed that those who elected to attend the education session and complete the survey were on average approximately 2.5-3 years younger and 3 years less in police work than all officers in the Department.
- 20 percent of our sample reported nodding off or falling asleep while driving a vehicle at least 1-2 times a month.
- Sleep disorders appear to be highly prevalent in our sample. We found that approximately 25 percent of officers were at high risk for one or more sleep disorder. The most prevalent sleep disorder in the sample was OSA. The majority of subjects (~80 percent for most disorders) who were found to be at high risk for a sleep disorder reported not having been diagnosed previously with that disorder. Given the association between untreated sleep disorders and adverse health and safety outcomes, sleep disorders screening and management programs should be instigated in law enforcement agencies across the country.
- Sleepiness level was significantly higher in subjects who were found to be at high risk for a sleep disorder compared to those found to be at low risk for all disorders. Of particular note, we found that 12.6 percent of subjects who were at high risk for any sleep disorder showed extremely high levels of sleepiness, compared to only 1.1 percent of subjects who were at low risk for all sleep disorders.
- The objective (police database) measures collected in this study have required considerable editing/cleaning to bring them to a level suitable for formal data analysis. We are continuing our analysis of these data.

# **Translation of Findings**

The overall goal of this research was to develop and test a sleep health detection and treatment program that ultimately can be disseminated to practitioners, policymakers and researchers nationwide to reduce police office fatigue and stress; enhance the ability of officers and their families to cope with shift schedules; improve the health, safety and performance of law enforcement officers; and thereby improve public safety. Ideally, in order to be sustainable, such a program should be able to be implemented with minimum involvement of the researchers. Our preliminary suggestion is that the sleep health education program and sleep disorders screening questionnaire be developed as an online (web-based) resource.

We are currently evaluating the potential for the Operation Healthy Sleep program to be implemented in law enforcement agencies nationwide and other first response occupational groups as a part of occupational health and safety programs. In addition, we are evaluating different models of program implementation, including a web-based educational and screening program. Of note, the demographic characteristics of subjects who elected to participate in this study suggests that, in the implementation of the Operation Healthy Sleep program, greater efforts need to be made to engage older individuals. This is particularly important given that the risk of many sleep disorders increases with age.

## **Outcomes/Relevance/Impact**

#### 1) Potential outcomes:

A report in 2006 by the Institute of Medicine of the National Academies concluded that sleep disorders and sleep deprivation represent an under-recognized public health problem, and are associated with considerable health consequences including increased risk of hypotension, diabetes, obesity, depression, heart attack and stroke. They estimated that approximately 20 percent of serious injuries from motor vehicle accidents in the general population are associated with driver sleepiness. A coordinated strategy is required to meet this substantial health and economic burden.

Sleep disorders are common, costly, and treatable, but often remain undiagnosed and untreated. Unrecognized sleep disorders adversely affect personal health and may lead to chronic sleep loss, which in turn increases the risk of accidents and injuries. These problems are exacerbated in shift workers, who may experience chronic sleep disturbance and sleep loss due to their work schedules.

Given that almost 15 percent of the full-time workers in the United States are shift workers, and the large proportion of police officers who were found in our study to be at high risk for sleep disorders, sleep disorder screening and treatment programs should be implemented in occupational settings, with the aim of improving health, safety and productivity. Such programs are particularly important in 'safety-sensitive' occupations including police officers, firefighters, other first responders, nurses, physicians, airline pilots, those operating heavy machinery, employees high-risk environments such as nuclear power plants, and military personnel.

In our study, in most cases approximately 80 percent of subjects who we identified as being at high risk for a sleep disorder had not previously been diagnosed with that disorder. Given the adverse health and safety consequences associated with untreated sleep disorders, this finding emphasizes the importance of instituting sleep disorder education and screening programs nationwide. Our study provides evidence that such programs implemented in the occupational environment can yield high participation rate.

- **2) Intermediate outcomes:** We are presently evaluating the potential for translation of the Operation Healthy Sleep program to other occupational settings. We will continue to refine the Operation Healthy Sleep program to a package that can be disseminated widely.
- **3) End outcomes**: The findings and recommendations of this study have not yet been adopted and implemented in other occupational settings.

## **Scientific Report**

## 1. Background

In 1992, the National Commission on Sleep Disorders Research reported that 40 million Americans suffer from chronic sleep disorders, and another 20-30 million may experience intermittent sleep-related problems.<sup>1</sup> These sleep disorders lead to excess deaths from accidents and cardiovascular disease, decreased quality of life, and decreased workplace productivity. Although the precise figures are controversial,<sup>2,3</sup> as many as 20,000 deaths and over a million injuries due to sleepiness may occur every year in the United States.<sup>4</sup> The annual direct costs to the United States from sleep disorders and sleepiness are estimated to be in excess of \$15 billion, and total costs including lost productivity may exceed \$150 billion<sup>1</sup>. The total cost of accidents due to sleepiness have been estimated to be between \$43 and \$56 billion<sup>4</sup>.

Several demographic and work-related variables have been demonstrated to increase the risk of fatigue and sleep disorders. Increasing age is associated with an increased risk of sleep disorders. There is an extremely high prevalence of sleep apnea in men ages 30-60, and as many as half of all persons over 65 suffer sleep disorders and disturbances<sup>1</sup>. Obesity and overweight have also been strongly associated with sleep disorders, particularly obstructive sleep apnea (OSA) <sup>6</sup>. In addition, working frequent overnight shifts or a rotating shift work schedule increases the risk of sleep disorders, particularly shift work sleep disorder<sup>7</sup>. In total, chronic sleep disorders affect 60-80 percent of all shift workers<sup>4</sup>. Shift work sleep disorder, sleep apnea, and insomnia cause acute and chronic sleep deprivation, and may contribute to misalignment of circadian phase. They may also exacerbate the problem of sleep inertia, a phenomenon of decreased responsiveness in the minutes to hours after awakening from deep sleep. Each of these four problems has been independently associated with decrements in neurobehavioral performance, and an increased risk of accidents, as described below.

The detrimental effects of each of these four factors are likely to be exacerbated in police and, consequently, their performance is likely to be degraded. First, police officers regularly work during the biological night when the endogenous drive for alertness is lowest. Second, extended 24-48 hour shifts are common, and require long continuous episodes of wakefulness that induce fatigue. Third, police are regularly exposed to chronic partial sleep deprivation as they repeatedly fail to gain adequate recovery sleep after extended shifts. Finally, police who do manage to sleep when on-shift overnight are often asked to perform emergent actions immediately upon awakening when sleep inertia is maximal.

Furthermore, heart disease and motor vehicle accidents are closely linked to sleep disorders and fatigue. Sleep apnea, for example, the most common sleep disorder in middle-aged men, is a debilitating disorder that increases the risk of fatigue-related motor vehicle accidents, stroke, heart disease and hypertension (e.g. <sup>8-13</sup>). Shiftwork is associated with long-term health risks for peptic ulcer disease, cardiovascular disease, diabetes and some cancers (e.g., <sup>14-23</sup>) and we expect that the increased stress experienced by police officers may exacerbate the processes controlling appetite and metabolism, and further increase this risk, in addition to the direct effect of stress on sleep disruption.

### 1.1 Physiological determinants of fatigue

There are four major physiological determinants of alertness and performance in healthy subjects: 1) circadian phase (time of day); 2) number of hours awake (acute sleep deprivation); 3) nightly sleep duration (chronic sleep deprivation); 4) and sleep inertia (impaired performance upon waking). Each of

these four problems has been independently associated with decrements in neurobehavioral performance, and an increased risk of accidents, as described below.

Impact of circadian phase/time of day on neurobehavioral performance. Laboratory 24-26 and field studies <sup>27,28</sup> have shown that during extended wakefulness, alertness and performance show a daily variation as well as an overall decline <sup>29</sup>. Alertness and performance vary rhythmically with a period of roughly 24 hours that is superimposed on the steady deterioration induced by acute sleep loss 30-<sup>33</sup>[2258]<sup>34</sup>. These daily circadian rhythms are driven in humans by an endogenous circadian pacemaker, located in the suprachiasmatic nucleus of the hypothalamus 35. This light-sensitive circadian pacemaker drives the diurnal rhythms of core body temperature, plasma cortisol and plasma melatonin, as well as neurobehavioral functioning (Figure 1A). Laboratory studies, where subjects are made to live on a sleep/wake schedule outside the normal 24-hour day <sup>25;26;36-40</sup>, show that the circadian pacemaker cycles at an intrinsic periods of ~24.2 h. These studies also cause people to sleep and wake up at many different circadian phases (times of day) 37;38;41-43 and we and other have found that the largest neurobehavioral performance decrements are seen when subjects are awake during the biological night, with the worst performance several hours before normal wake time (e.g., ~3:00-6:00 am) <sup>25;26;36;38;44;45</sup>. These studies have also confirmed that, not only is the ability to stay awake dependent on the time of day, the quality and quantity of sleep also varies with circadian phase such that sleep during the day is shorter and of poorer quality than sleep during the night 46;47 48-50. Thus, not only does circadian misalignment cause decrements in neurobehavioral performance when one is awake, it also induces impairment in sleep quality, continuity and duration during sleep, and because alertness and performance depend heavily on sleep quality and duration 51-54, the misalignment causes neurobehavioral function to decline indirectly via its effects on sleep as well as directly. This effect is seen commonly among night workers who find themselves unable to sleep during daytime hours and fatigued at night <sup>27;55-58</sup> and directly accounts for the increased rate of industrial and driving accidents during the night as compared to the day <sup>59</sup>.

Impact of acute sleep deprivation on neurobehavioral performance. Acute sleep deprivation has been systematically documented to cause decrements in human alertness and performance, independent of the circadian system <sup>24;29;30;33;60-66</sup>. Every hour that one is awake in the laboratory, the homeostatic drive to sleep increases, which results in deteriorating performance (Figure 1B). In the real world, this deterioration results in an increase in the risk of fatigue-related fatal truck crashes with increased hours driving and awake <sup>67</sup>. Compared with the first hour, there is more than a 15-fold increase in the risk of a fatigue-related fatal crash after 13 hours of driving. This increased risk is important for police officers who drive while working double shifts.

Impact of chronic partial sleep loss on neurobehavioral performance. The history of nightly sleep duration has also been demonstrated to affect performance. Sleep loss on a nightly basis, also known as chronic partial sleep deprivation, results in a sleep "debt." The consequences of the sleep debt are cumulative and affect health and performance <sup>68-76</sup>. Dinges and colleagues found that subjects restricted to approximately 5 hours of sleep per night for 7 nights that the frequency and duration of lapses on a vigilance task increased significantly <sup>77</sup> (Figure 1C). Loss of even 2 hours of nightly sleep for 5 to 7 consecutive nights causes decrements in performance comparable to those seen after 24 hours of continuous sleep deprivation. After 12 to 14 consecutive nights at this level of sleep restriction, lapses of attention on the psychomotor vigilance task (PVT) are comparable to those observed after 48 hours of total sleep deprivation <sup>77</sup>. In less than a week of four hours per night of sleep, an amount not atypical of insomnia or severe sleep apnea, the rate of attention lapses was comparable to that seen after 48 h without sleep <sup>77</sup>.

Impact of sleep inertia on neurobehavioral performance. Alertness and performance are quite impaired immediately following awakening <sup>78</sup>, even in subjects who are not sleep deprived and are waking at their normal circadian phase <sup>79-81</sup> (Figure 1D). This impairment is called sleep inertia <sup>82</sup>, and can profoundly impair performance. The effect dissipates over time in an asymptotic manner <sup>79-81;83</sup>.

Sleep inertia has real-world effects: In a study of Air Force flight accidents due to pilot error <sup>84</sup>, it was found that even following a normal night's sleep, pilots were more likely to make errors shortly after awakening than later.

Circadian phase and homeostatic sleep drive co-determine levels of alertness and performance <sup>85</sup>. Along with sleep inertia, these processes have been formalized into mathematical models of alertness and performance <sup>44;79;85;86</sup>. Since sleep disorders can both directly increase the homeostatic drive for sleep, and indirectly promote exaggerated decrements in performance at the circadian nadir, their presence is an important determinant of effective functioning, especially among night shift workers with a high incidence of sleep disorders.

### 1.2 Sleep deprivation and health

The major immediate health threat posed by sleep deprivation is the risk of a drowsy driving accident or incident, which places staff and the public in danger. Traffic accidents account for a large percentage of serious and fatal injuries in the United States <sup>87</sup>. Such accidents represent one of the leading causes of death among individuals aged 6-33 years <sup>88</sup>.. Unfortunately, sleepiness has not received as much attention in driving safety program as alcohol use despite comparable levels of cognitive impairment: 19 hours of sustained wakefulness has been reported to be comparable to that observed at a blood alcohol concentration (BAC) of 0.05 percent and 24 hours of sleep deprivation induced performance decrements comparable to a BAC of 0.10 percent 89, legally drunk in many States. Determining the role sleepiness plays in motor vehicle crashes is often challenging to traffic safety professionals because of the multi-factorial nature of crashes 90. However, a report from the National Transportation Safety Board Studies <sup>91</sup> cited fatigue as the most frequently cited accident probable cause of all fatal-to-driver heavy truck crashes (31 percent) <sup>91</sup>, followed by alcohol or other drug use (29 percent). Similarly, the NTSB also reported that the amount of sleep in the last 24 hours was the key discriminating feature between fatigue- and non-fatigue-related crashes 92. The temporal distribution of motor vehicles crashes attributed to drowsy driving shows two distinct peaks that can be attributed to circadian peaks in sleepiness, at night and mid-afternoon 92-96. As those who work extended duty hours or shift-work schedules are often on the road during the nighttime hours, it follows that they would have a higher incidence of sleep-related motor vehicle crashes. In a survey study, 21.7 percent of rotating shift workers reported at least one motor vehicle crash or near miss whereas only 7.2 percent of non-rotating shift workers recalled such an event 97. Nurses working rotating shift schedules had twice the odds of falling asleep while driving to and from work and 2.5 times the odds of a reporting a near-miss crash <sup>98</sup>. In our recent work studying medical residents, we found that residents driving home after an extended duration work shift had approximately twice the risk of a car crash, and six times the risk of a near-miss accident 99.

Night work and sleep deprivation have been implicated as root causes of major accidents and adverse events in a range of occupational settings, including nuclear power plants, transportation, and other industries <sup>87</sup>. Disastrous examples include the Three Mile Island nuclear power plant incident, the Chernobyl nuclear power plant explosions and the grounding of the oil tanker Exxon Valdez. Studies have shown that gas meter readers <sup>100</sup> train drivers <sup>101</sup> and commercial pilots <sup>102</sup> make more errors during the night shift, likely due to increased sleepiness <sup>87</sup>. As outlined below, highly trained professionals such as medical resident and nurses also make more serious medical errors during extended shifts and during overnight work, highlighting the inability of individuals to overcome the biological drive for sleep even when highly motivated (<sup>99,99;103-105</sup> and see Preliminary Results).

Sleep deprivation caused by clinical sleep disorders also increase daytime sleepiness, and increase the risk of accidents. OSA syndrome, which has a prevalence of 1-4 percent in the general population

<sup>5,6,106</sup>, 4 to 8 percent in 40-59 year old males <sup>5</sup>, and up to 40 percent in long-distance truck drivers <sup>5</sup>, is associated with a seven-fold increase in risk of road traffic accidents <sup>107</sup>. Successful treatment of OSAS with continuous positive airway pressure (CPAP) therapy has resulted in a six- to seven-fold decrease in driving accident rates <sup>108,109</sup>[13824}. One study found that patients with sleep disorders may be responsible for up to 71 percent of all sleep—related automobile accidents <sup>110</sup>. The incidence of sleep-related accidents per year of excess sleepiness was found to be between 3 and 7 percent, an alarmingly high annual rate. As sleep disorders are often under-recognized but are highly treatable, this represents an extremely high burden of excess, avoidable risk both to individuals with sleep disorders and to those with whom they may collide in motor vehicle crashes.

In addition to its immediate effects on performance, chronic sleep deprivation causes significant short and long-term health problems. Sleep deprivation and working during an adverse circadian phase have been linked with increased risks of obesity <sup>19</sup>, gastric and duodenal ulcers <sup>15;111</sup>, cardiovascular disease <sup>15;112-116</sup>, and cancer <sup>20;21</sup>. Ingestion of meals at an inappropriate circadian phase may result in the gastrointestinal and metabolic problems <sup>17;18;117</sup>, that lead to an increased risk of cardiovascular disease and diabetes. <sup>19;111;118</sup>. Workers who routinely work extended hours and night shifts are at particularly high risk of suffering health consequences.

### 1.3 Sleep deprivation and sleep disorders in police

There are about 700,000 full-time sworn police officers in the United States <sup>119</sup>. Many of these police officers work extended duration shifts and long work weeks, especially in recent years with escalating threats to homeland security. There is no one standard work schedule for police departments across the United States. A wide array of work schedules are employed, including fixed shifts, rotating shifts and varying shift lengths, most commonly 8-, 10-, or 12-hour shifts. Additionally, the unpredictable and operational nature of police work results in frequent requirements for overtime, often scheduled in a haphazard manner. To earn extra money, many officers supplement their contracted 40-hour work week with additional employment. There are numerous and diverse policies governing this additional work time, including court appearances, detail work, voluntary and mandatory overtime, and second jobs.

Police officer schedules, especially those involving night or rotating shifts, can lead to misalignment of circadian phase, acute sleep deprivation, chronic partial sleep deprivation and consequent cumulative sleep debt. Their combined effect creates an imposing biological force that can overpower an officer's ability to remain alert and to maintain a high level of performance, particularly those tasks requiring sustained vigilance such as driving. Moreover, police officers are often expected to make demanding, complicated decisions, often in split-seconds, with potentially grave results for individuals, families and communities <sup>120</sup>.

Accident hazards are particularly important for police officers, as more officers are killed annually by accidents than by felonies. <sup>121;122</sup> A third of officers in one study reported being involved in preventable police vehicle crashes on the night shift, and 19 percent reported being involved in preventable crashes during the early afternoon, when going to court after a night shift. <sup>123</sup> The AAA Foundation for Traffic Study found in 1996 that 90 percent of troopers reported driving on duty while drowsy and 25 percent reported falling asleep at the wheel. <sup>123</sup> On July 8, 2001, CBS Health Watch reported four incidents of police officers falling asleep at the wheel in their patrol cars, including one that resulted in a fatality to a civilian. Two of these crashes occurred while the officers were working the night shift, one on the commute home following a night shift, and one while working a double shift. Although hard data on police fatigue have been limited, increasing evidence suggests that fatigue plays an important role in police officer accidents, injuries, and citizen complaints. <sup>120</sup>

Recently, Neylan and colleagues reported that police officers had significantly worse sleep quality and less average sleep duration than control subjects and work stress was strongly associated with poor global sleep quality <sup>124</sup>. Additionally, Italian state police shift workers reported sleep disturbances twice as often as non-shiftworkers <sup>125</sup>.

### 1.4 Fatigue intervention strategies

While a range of approaches exist to tackle sleepiness, few have been rigorously tested under real-world conditions. Most efforts have been focused on addressing problems with the pattern of shift work rotations. In the earliest published shift work intervention study in 1982, we (Czeisler and colleagues) were able to improve work schedule satisfaction, subjective health estimates, personnel turnover, and worker productivity in a group of mining and chemical workers by implementing a work schedule that adhered to circadian principles <sup>126</sup>. In a similar demonstration project of the Philadelphia Police Department in 1988, we implemented a schedule that resulted in a 40 percent decrease in patrol car crashes by police officers and 29 percent improvement in subjective alertness during the night shift <sup>127</sup>. These studies changed shift-work patterns from advancing patterns (night to evening to morning shift) which is difficult to adapt to, to delaying patterns (morning to evening to night shift) that track the natural rhythm of the internal circadian clock, which has a tendency to delay. In non-rotating or extended shift duration schedules, these approaches are not appropriate and little has been done to develop rigorous intervention programs to reduce sleepiness. Even fewer have coupled these programs with sleep disorders screening to provide a more comprehensive program.

Direct interventions are possible but these tend to address the sleepiness temporarily rather than correct the underlying cause of the sleep disruption or sleepiness. Interventions include pharmacological agents such as caffeine <sup>128</sup>[13789]<sup>129</sup>, melatonin <sup>130;131</sup>, modafinil (an FDA-approved agent for promoting wakefulness in patients with narcolepsy <sup>132-135;135</sup> and shift work sleep disorder, chemically unrelated to central nervous system (CNS) stimulants such as methylphenidate, amphetamine, or caffeine), and sedative hypnotics <sup>136;137</sup>; changes to sleep scheduling, diet, and the work environment; <sup>138</sup> real time alertness monitoring devices; <sup>139</sup> napping during extended duration work shifts; <sup>140</sup> the use of physiological screening devices to detect fatigue before or during a shift; <sup>141;142</sup> appropriate scheduling of hours of work and sleep; <sup>143</sup> and the use of bright light to hasten adaptation of circadian rhythms <sup>144-146</sup>. Although in their early stages, identifying and screening out individuals who have greater difficulty adapting to shift work <sup>147</sup> or who have sleep disorders <sup>148;149</sup> may be promising strategies, especially among demographic and occupational groups where sleep disorders are highly prevalent<sup>5</sup>.

## 2. Specific aims

The aim of the study was to use a district/station level, randomized experimental design to test the hypotheses that implementation of a comprehensive sleep disorders detection and treatment program in a police department will:

- 1. improve the mean nightly sleep and alertness of police officers;
- 2. improve police officer safety, as determined by:
  - a. decreased rates of motor vehicle crashes;
  - b. decreased on-the-job injuries;
- 3. improve police officer productivity, as determined by:
  - a. increased, citation and arrest rates;
  - b. increased rate of motor vehicle assistances;

- c. increased rate of citations and warnings issued;
- 4. improve officers' and families' job satisfaction and ability to cope with shift work

## 3. Methodology

### 3.1 Subjects

Subjects were sworn field service police officers of a police department in New England. According to Departmental statistics provided in June 2006, 1,610 officers were employed in field services. We subsequently found that the number of officers who were active and available to participate was 1,483. 878 of these officers participated in the sleep education session, representing 59 percent of the active and available officers. 692 officers subsequently provided written informed consent and were enrolled into the study. Of these, 86 withdrew consent or were lost to follow up prior to the study procedures. 606 subjects completed the baseline questionnaire and one subject was found ineligible. Characteristics of the 605 study participants who completed the study are reported in Table 1. Subject disposition in the protocol (for both phases combined) is illustrated in Figure 2.

### 3.2 Design

The study design was a station-level randomized experiment (see Table 2). To achieve this design, pairs of stations were identified based on size, employee characteristics and the acuity of police work in which they engage. In consultation with our Study Advisory Committee (SAC), which included members of the police department, we identified these pairs of stations. As we had originally proposed, in phase 1, one of each pair was randomly assigned to the intervention group, the other to the control group, and in phase 2 the intervention was extended to all stations. Since one of the station was considered dissimilar in the nature of business conducted we elected to divide it by line (there were 3 lines) and matched it within itself. This station is therefore represented in both years of the intervention.

#### 3.3 Survey tools

The sleep disorders screening tool was the major part of the Baseline survey. We reviewed and selected appropriate and validated questionnaires to compile a comprehensive sleep disorders screening tool for the purposes of this study (see Table 3). As there was no validated questionnaire available for Shift Work Disorder, we developed one based on the International Classification of Sleep Disorders-2 (ICSD-2) <sup>150</sup>. In developing this screening tool we consulted with various sleep experts, conducted an extensive literature review on validated survey instruments, and engaged in discussions with police consultants to ensure that the language used would be appropriate for the target population. In addition to sleep disorder screening, the survey also contained questions about work and sleep schedules, demographics, medical and social history, accidents and stress.

The survey was produced using Raosoft Interform 2004 (Raosoft, Inc., Seattle, WA). Completed surveys were scanned into electronic format and uploaded into the central study database. All free text fields were double-key entered and verified for accuracy. An automatic scoring algorithm based on published scoring criteria was developed for determining whether subjects were at high, low or unknown risk for each of the sleep disorders.

A Year-End survey was also developed to obtain secondary outcome measures of the efficacy (self-report) of the *Operation Healthy Sleep* program. The questions on this survey were essentially the

same as those on the Baseline survey, except that on the Year-End survey we did not seek to screen for sleep disorders.

### 3.4 Police Department Database Measures

The police department provided a number of (identifiable) datasets from their internal databases for all officers in field service (see Table 4). The period of data collection was September 2004 to June 2008. In addition, data on citations issued by officers from the department that was studied were obtained from a state agency.

We planned to use these data as objective measures of health, safety and productivity to evaluate the efficacy of the *Operation Healthy Sleep* program. Some of these datasets were found subsequently to have substantial missing data.

#### 3.5 Procedures

The protocol and all study materials were approved by the Brigham and Women's Hospital Institutional Review Board/ Human Research Committee.

Participants were recruited by members of the research team through educational outreach sessions on the topic of sleep health and caffeine education conducted at police stations. The outreach sessions were advertised through flyers displayed in the police stations, email invitations sent by union groups to their members, and through the police department newsletter.

The study was conducted between September 2005 and April 2007. Phase 1 was completed between September 2005 and July 2006 and Phase 2 was completed between February 2007 and April 2007. Members of the research team visited the each police station several times during different shift times. During each visit, the researchers first presented a 45-minute educational session on sleep health, caffeine use and the symptoms, consequences and treatment of OSA. The education session included an introductory video and a presentation delivered by *Powerpoint*. Educational brochures developed by the American Academy of Sleep Medicine (AASM) and Sleep Health*Centers* were made available to officers at the end of the educational session. These educational brochures provided included the following:

- Sleep well, perform well...be well (Harvard Work Hours, Health and Safety Group)
- Sleep Health Centers, better sleep, better health (Sleep Health Centers)
- Shift Work (AASM)
- Sleep Hygiene (AASM)
- Obstructive Sleep Apnea (AASM)
- Insomnia (AASM)
- Restless Leg Syndrome/Periodic Limb Movement Disorder (AASM)
- Narcolepsy (AASM)
- Overnight Sleep Studies (AASM)
- Sleep and Heart Disease (AASM)
- Sleep and Depression (AASM)

Following the educational session, officers were invited to participate in the research study. Those who elected to participate provided written informed consent and completed the baseline survey. The survey took approximately 45-60 minutes to complete.

Subjects who screened positive for one or more sleep disorder were referred to one of the local sleep clinic (Sleep Health Centers) for formal evaluation, and if indicated, treatment. Subjects who accepted

these referrals were asked to complete a medical release form to allow the researchers to access all records relating to their consultations for diagnosis and treatment of sleep disorders.

To validate the questionnaire screening tool for OSA in the police population, we selected a group of subjects whose questionnaire responses indicated that they were at low risk for OSA and invited these individuals to attend a sleep clinic for an overnight polysomnography study. 56 subjects agreed to participate in this component of the study. Our intention was to then compare these data with the polysomnographic data obtained from individuals whose questionnaire responses indicated that they were at high risk for OSA (n=63). Polysomnographic data from both groups were evaluated by an independent, blinded expert in sleep apnea.

A Year-End survey was sent by mail to all subjects who completed the Baseline survey approximately 1 year later. 130 Year-End surveys were completed and returned (21 percent response rate).

### 4. Results

We completed multiple visits to 40 of the 41 police stations and also three presentations at the police academy. One station was not visited for logistical reasons, but this station was invited to participate via mail in the sleep disorders screening portion of the program. Across both phases of the study our team scheduled 291 educational sessions during 167 station visits; we ultimately delivered 240 educational sessions. To conduct these sessions our staff drove over 16,000 miles. To ensure we gave officers every opportunity to attend the sessions, follow up station visits were scheduled during days and shifts when the largest numbers of officers who were not present during previous visits were scheduled to work. In phase 1, 94 percent of officers who were available and active were contacted and asked about willingness to participate. In phase 2 this number was 81 percent.

Of the 878 officers who attended the education sessions, 692 (79 percent) provided informed consent to participate in the research study, of which 605 (69 percent of officers attending sessions) completed and returned surveys. One subject was subsequently excluded when it was found that eligibility criteria were not met (see Figure 2).

Using the demographic data we collected from the police department, we compared the characteristics of those who elected to participate in the program compared to data for the entire department (field services) (see Table 5). The comparison revealed the following: (i) those who elected to participate in the education session were similar in their characteristics to those who elected to complete the survey; (ii) those who elected to attend the education session and complete the survey were on average approximately 2.5-3 years younger and 3 years less in police work than all officers in field services; (iii) the gender and rank distributions of those who elected to attend the education session and complete the survey were similar to those of all officers in field services.

To examine the extent of daytime sleepiness in the officers who completed the survey, we calculated the percentage of who reported having nodded off or fallen asleep while driving a vehicle, and how often this occurs (see Table 6). 46.6 percent of officers reported having nodded off or fallen asleep while driving, and of these officers, 20 percent reported that this occurs at least 1-2 times a month.

We examined the body mass index (BMI) characteristics of the sample (see Table 7). 21 percent of officers were categorized as normal or underweight ( $<25 \text{ kg/m}^2$ ), 57.7 percent were categorized as overweight ( $\ge 25 \text{ and} < 30 \text{ kg/m}^2$ ), and 21.3 percent were categorized as obese ( $\ge 30 \text{ kg/m}^2$ ). 79 percent of the sample was, therefore, categorized as overweight or obese.

148 officers (24.5 percent) were found to be at high risk for one or more sleep disorder. The most common disorder in the sample was OSA (20.3 percent), followed by shiftwork disorder (7.4 percent), insomnia – moderate to severe (3.8 percent), followed by restless leg syndrome (1.0 percent) (see Table 8). None of the officers in the sample were found to be at high risk of narcolepsy.

To examine the proportion of officers who reported being aware that they suffered from a sleep disorder, we calculated the percentage of subjects who reported having been diagnosed previously with each sleep disorder as a function of the number who fell into the low and high risk categories for each disorder (see Table 9). The percentage of subjects in our high risk groups who reported never having been diagnosed with the disorder in the past were as follows: 79.7 percent, 78.3 percent, 100 percent, 83.3 percent of those we found to be at high risk for OSA, insomnia, shiftwork disorder, and restless leg syndrome, respectively.

We compared sleepiness ratings on the Epworth Sleepiness Scale (ESS) for individuals we found to be at high risk for any sleep disorder and those whom we found to be at low risk for all sleep disorders (see Figure 3). ESS scores for the group found to be at high risk for any sleep disorder (mean 9.00, SD 4.49) was significantly greater than the scores of those whom we found to be at low risk for all sleep disorders (mean 6.83, SD 3.47) (p<0.001, independent samples t-test). 42.6 percent of the subjects who were at high risk for any sleep disorder showed a high level of sleepiness during their waketime (ESS  $\geq$ 10), compared to 20.5 percent of subjects who were at low risk for all sleep disorders. 12.6 percent of subjects who were at high risk for any sleep disorder showed extremely high levels of sleepiness (ESS  $\geq$ 15), compared to only 1.1 percent of subjects who were at low risk for all sleep disorders (see Figure 3).

Using the definition of mean  $\pm$  2 SD in the low risk group to determine the cut-off for the reference range of normal values for the ESS <sup>151</sup>, we found that 15.8 percent of the high risk group showed ESS scores above the normal value range, compared to only 3.5 percent of the low risk group.

In a preliminary analysis to examine the relationship between sleep disorders and social/domestic arrangements, we calculated the percentage of individuals who reported being currently divorced or separated and also the percentage reporting ever having been divorced in subjects we found to be at high risk for any sleep disorder compared to those we found to be at low risk of all sleep disorders. We found that the percentage of divorced or separated subjects was substantially higher in the high risk group compared to the low risk group (see Table 10).

The objective (police database) measures collected in this study have required considerable editing/cleaning to bring them to a level suitable for formal data analysis. We are continuing our analysis of these data.

#### 5. Discussion and Conclusions

With respect to the specific aims of the study, data analysis is ongoing to examine the efficacy of the comprehensive sleep disorders detection and treatment program in improving mean nightly sleep duration and alertness, safety, and productivity of police officers. The primary reason for the delay in completing these analyses is that many of the database measures we obtained from the police department were not of sufficient quality for formal data analysis. We have now identified a subgroup of variables suitable for analyses.

The Operation Healthy Sleep program was successfully implemented with a high level of participation in the police department. Of the available and active officers in field services, 59 percent attended the sleep health education session, and of these 69 percent (n=605) completed and returned the Baseline survey that included a sleep disorders screening questionnaire.

Demographic data obtained from the police databases showed that those who elected to attend the education session and complete the survey were on average approximately 2.5-3 years younger and 3 years less in police work than all officers in the Division of Field Services. We conclude from these analyses that, in the implementation of the Operation Healthy Sleep program, greater efforts need to be made to engage older individuals in the Department. This is particularly important given that the risk of many sleep disorders increases with age.

We expected that drowsy driving would be under-reported in this population. We found that 20 percent of our sample reported nodding off or falling asleep while driving a vehicle <u>at least 1-2 times a month</u>. Given the well-established link between drowsy driving and motor vehicle crashes, our findings suggest that the frequency of drowsiness-related crashes in police would be very high. We are presenting examining the association between sleep disorders, drowsiness and motor vehicle crash risk.

Sleep disorders appear to be highly prevalent in our sample of police officers. We found that approximately 25 percent of officers were at high risk for one or more sleep disorder. The most prevalent sleep disorder in the sample was OSA. Given the association between untreated OSA and adverse health and safety outcomes, sleep disorders screening and management programs should be instigated in law enforcement agencies across the country.

The majority of subjects (~80 percent for most disorders) who were found to be at high risk for a sleep disorder reported not having been diagnosed previously with that disorder. Our finding that none of the individuals we identified as being at high risk for shiftwork disorder had been previously diagnosed suggests that the detection of this disorder by clinicians is poor. We suggest increased educational initiatives about sleep disorders, in particular shiftwork disorder, for primary care physicians and other clinician groups.

Sleepiness level (ESS score) was significantly higher in subjects who were found to be at high risk for a sleep disorder compared to those found to be at low risk for all disorders. Of particular note, we found that 12.6 percent of subjects who were at high risk for any sleep disorder showed extremely high levels of sleepiness (ESS ≥15), compared to only 1.1 percent of subjects who were at low risk for all sleep disorders. ESS scores in this range are reported to have high sensitivity and specificity for distinguishing narcoleptic patients from normals <sup>151</sup>, suggesting that such scores represent excessively high sleepiness levels.

We conclude that sleep disorders and excessive sleepiness appear to be highly prevalent in our sample of police officers. Sleep disorders and sleep deprivation are associated with considerable health consequences including increased risk of hypotension, diabetes, obesity, depression, heart attack and stroke <sup>152</sup>. The Institute of Medicine estimated that approximately 20 percent of serious injuries from motor vehicle accidents in the general population are associated with driver sleepiness. Our findings suggest that a coordinated strategy is required to meet the substantial health and economic burden associated with sleep disorders. Occupational screening programs, such the Operation Healthy Sleep program implemented in our study, appear to an effective means of identifying and managing sleep disorders in the general population.

### 6. References

(1) National Commission on Sleep Disorders Research. Wake up America: A national sleep alert. 1-18. 1992. Palo Alto, CA, Stanford University Sleep Disorders Clinic and Research Center.

- (2) Webb WB. The cost of sleep-related accidents: A reanalysis. Sleep 1995;18:276-280.
- (3) Leger D. The cost of sleepiness: A response to comments. Sleep 1995;18:281-284.
- (4) Leger D. The cost of sleep-related accidents: A report for the national commission on sleep disorders research. *Sleep* 1994;17(1):84-93.
- (5) Bixler EO, Vgontzas AN, Ten Have T, Tyson K, Kales A. Effects of age on sleep apnea in men: I. Prevalence and severity. *Am J Respir Crit Care Med* 1998;157:144-148.
- (6) Young T, Palta M, Dempsey J, Skatrud J, Weber S, Badr S. The occurrence of sleep-disordered breathing among middle-aged adults. *N Engl J Med* 1993;328:1230-1235.
- (7) Guilleminault C, Czeisler CA, Coleman R, Miles L. Circadian rhythm disturbances and sleep disorders in shift workers. *Electroenceph Clin Neurophysiol* 1982;36:709-714.
- (8) Barbe, Pericas J, Munoz A, Findley L, Anto JM, Agusti AG. Automobile accidents in patients with sleep apnea syndrome. An epidemiological and mechanistic study. *Am J Respir Crit Care Med* 1998;158:18-22.
- (9) Carter N, Ulfberg J, Nystrom B, Edling C. Sleep debt, sleepiness and accidents among males in the general population and male professional drivers. *Accid Anal Prev* 2003;35:613-617.
- (10) Dyken ME, Somers VK, Yamada T, Ren ZY, Zimmerman MB. Investigating the relationship between stroke and obstructive sleep apnea. *Stroke* 1996;27:401-407.
- (11) Findley L, Unverzagt M, Guchu R, Fabrizio M, Buckner J, Suratt P. Vigilance and automobile accidents in patients with sleep apnea or narcolepsy. *Chest* 1995;108:619-624.
- (12) Foley DJ, Monjan AA, Masaki KH, Enright PL, Quan SF, White LR. Associations of symptoms of sleep apnea with cardiovascular disease, cognitive impairment, and mortality among older Japanese-American men. *J Am Geriatr Soc* 1999;47:524-528.
- (13) Olson LJ, Somers VK. Modulation of cardiovascular risk factors by obstructive sleep apnea. *Chest* 2006;129:218-220.
- (14) Fujino Y, Iso H, Tamakoshi A et al. A prospective cohort study of shift work and risk of ischemic heart disease in Japanese male workers. *Am J Epidemiol* 2006;164:128-135.
- (15) Knutsson A. Health disorders of shift workers. Occup Med (Lond) 2003;53:103-108.
- (16) Shea SA, Hilton MF, Orlova C, Ayers RT, Mantzoros CS. Independent circadian and sleep/wake regulation of adipokines and glucose in humans. *J Clin Endocrinol Metab* 2005;90:2537-2544.

- (17) Hampton SM, Morgan LM, Lawrence N et al. Postprandial hormone and metabolic responses in simulated shift work. *J Endocrinol* 1996;151:259-267.
- (18) Ribeiro D, Hampton SM, Morgan L, Deacon S, Arendt J. Altered postprandial hormone and metabolic responses in a simulated shift work environment. *J Endocrinol* 1998;158:305-310.
- (19) Di Lorenzo L, De Pergola G, Zocchetti C et al. Effect of shift work on body mass index: results of a study performed in 319 glucose-tolerant men working in a Southern Italian industry. *Int J Obes Relat Metab Disord* 2003;27:1353-1358.
- (20) Schernhammer ES, Laden F, Speizer FE et al. Rotating night shifts and risk of breast cancer in women participating in the nurses' health study. *J Natl Cancer Inst* 2001;93:1563-1568.
- (21) Schernhammer ES, Laden F, Speizer FE et al. Shift work and risk of colorectal cancer in the Nurses' Health Study. *J Natl Cancer Inst* 2003.
- (22) Davis S, Mirick DK, Stevens RG. Night shift work, light at night, and risk of breast cancer. *J Natl Cancer Inst* 2001;93:1557-1562.
- (23) De Bruin EA, Beersma DGM, Daan S. Sustained mental workload does not affect subsequent sleep intensity. *J Sleep Res* 2002;11:113-121.
- (24) Babkoff H, Caspy T, Mikulincer M. Subjective sleepiness ratings: The effects of sleep deprivation, circadian rhythmicity and cognitive performance. *Sleep* 1991;14(6):534-539.
- (25) Dijk DJ, Duffy JF, Czeisler CA. Circadian and sleep/wake dependent aspects of subjective alertness and cognitive performance. *J Sleep Res* 1992;1:112-117.
- (26) Johnson MP, Duffy JF, Dijk DJ, Ronda JM, Dyal CM, Czeisler CA. Short-term memory, alertness and performance: A reappraisal of their relationship to body temperature. *J Sleep Res* 1992;1:24-29.
- (27) Colquhoun WP, Hamilton P, Edwards RS. Effects of circadian rhythm, sleep deprivation, and fatigue on watchkeeping performance during the night hours. In: Colquhoun P, Folkard S, Knauth P, Rutenfranz J, eds. *Experimental Studies of Shiftwork*. Opladen: Westdeutscher Verlag; 1975;20-28.
- (28) Hildebrandt G, Rohmert W, Rutenfranz J. The influence of fatigue and rest period on the circadian variation of error frequency in shift workers (engine drivers). In: Colquhoun P, Folkard S, Knauth P, Rutenfranz J, eds. *Experimental Studies of Shiftwork*. Germany: Westdeutscher Verlag; 1975;174-187.
- (29) Dinges DF. The nature of sleepiness: Causes, contexts, and consequences. In: Stunkard AJ, Baum A, eds. *Perspectives in Behavioral Medicine: Eating, Sleeping, and Sex.* Hillsdale, NJ: Lawrence Erlbaum Associates; 1989;147-179.
- (30) Fröberg JE, Karlsson CG, Levi L, Lidberg L. Circadian rhythms of catecholamine excretion, shooting range performance and self-ratings of fatigue during sleep deprivation. *Biol Psychol* 1975;2:175-188.

- (31) Åkerstedt T, Fröberg JE. Psychophysiological circadian rhythms in women during 72 h of sleep deprivation. *Waking Sleeping* 1977;1:387-394.
- (32) Åkerstedt T, Gillberg M. Effects of sleep deprivation on memory and sleep latencies in connection with repeated awakenings from sleep. *Psychophysiol* 1979;16:49-52.
- (33) Åkerstedt T, Fröberg JE, Friberg Y, Wetterberg L. ZZZ Changed to 3119. ZZZ Changed to 3119 1979;4:219-225.
- (34) Wyatt JK, Ritz-De Cecco A, Czeisler CA, Dijk DJ. Circadian temperature and melatonin rhythms, sleep, and neurobehavioral function in humans living on a 20-h day. *Am J Physiol Regul Integr Comp Physiol* 1999;277:R1152-R1163.
- (35) Klein DC, Moore RY, Reppert SM. *Suprachiasmatic nucleus: The mind's clock*. New York: Oxford University Press, 1991.
- (36) Czeisler CA, Dijk DJ, Duffy JF. Entrained phase of the circadian pacemaker serves to stabilize alertness and performance throughout the habitual waking day. In: Ogilvie RD, Harsh JR, eds. *Sleep Onset: Normal and Abnormal Processes*. Washington, D.C.: American Psychological Association; 1994;89-110.
- (37) Czeisler CA, Duffy JF, Shanahan TL et al. Stability, precision, and near-24-hour period of the human circadian pacemaker. *Science* 1999;284:2177-2181.
- (38) Carskadon MA, Labyak SE, Acebo C, Seifer R. Intrinsic circadian period of adolescent humans measured in conditions of forced desynchrony. *Neurosci Lett* 1999;260:129-132.
- (39) Carskadon MA, Dement WC. Sleep studies on a 90-minute day. *Electroenceph Clin Neurophysiol* 1975;39:145-155.
- (40) Carskadon M, Dement W. Sleepiness and sleep state on a 90-Min schedule. *Physchophysiology* 1977;14:127-133.
- (41) Beersma DGM, Hiddinga AE. No impact of physical activity on the period of the circadian pacemaker in humans. *Chronobiol Int* 1998;15:49-57.
- (42) Klerman EB, Dijk DJ, Kronauer RE, Czeisler CA. Simulations of light effects on the human circadian pacemaker: implications for assessment of intrinsic period. *Am J Physiol* 1996;270:R271-R282.
- (43) Campbell SS, Dawson D, Zulley J. When the human circadian system is caught napping: evidence for endogenous rhythms close to 24 hours. *Sleep* 1993;16:638-640.
- (44) Jewett ME. Models of circadian and homeostatic regulation of human performance and alertness [Thesis]. Harvard University; 1997.
- (45) Dijk DJ, Shanahan TL, Duffy JF, Ronda JM, Czeisler CA. Variation of electroencephalographic activity during non-rapid eye movement and rapid eye movement sleep with phase of circadian melatonin rhythm in humans. *J Physiol (Lond)* 1997;505.3:851-858.

- (46) Czeisler CA. Human circadian physiology: internal organization of temperature, sleep-wake, and neuroendocrine rhythms monitored in an environment free of time cues [Dissertation]. Stanford University; 1978.
- (47) Czeisler CA, Weitzman ED, Moore-Ede MC, Zimmerman JC, Knauer RS. Human sleep: Its duration and organization depend on its circadian phase. *Science* 1980;210:1264-1267.
- (48) Strogatz SH, Kronauer RE, Czeisler CA. Circadian pacemaker interferes with sleep onset at specific times each day: Role in insomnia. *Am J Physiol* 1987;253:R172-R178.
- (49) Strogatz SH, Kronauer RE, Czeisler CA. Circadian regulation dominates homeostatic control of sleep length and prior wake length in humans. *Sleep* 1986;9:353-364.
- (50) Dijk DJ, Czeisler CA. Contribution of the circadian pacemaker and the sleep homeostat to sleep propensity, sleep structure, electroencephalographic slow waves, and sleep spindle activity in humans. *J Neurosci* 1995;15:3526-3538.
- (51) Wilkinson RT. Sleep deprivation: Performance tests for partial and selective sleep deprivation. *Prog Clin Psychol* 1969;8:28-43.
- (52) Aschoff J, Giedke H, Poppel E, Wever R. The influence of sleep interruption and of sleep deprivation on circadian rhythms in human performance. In: Colquhoun WE, ed. *Aspects of Human Efficiency: Diurnal Rhythm and Loss of Sleep*. London: English University Press; 1972.
- (53) Dement WC. Sleep deprivation and the organization of the behavioral states. In: Clemente CD, Purpura DP, Mayer FE, eds. *Sleep and the Maturing Nervous System*. New York: Academic Press; 1972;319-361.
- (54) Åkerstedt TA, Fröberg JE, Friberg Y, Wetterberg L. Melatonin excretion, body temperature and subjective arousal during 64 hours of sleep deprivation. *Psychoneuroendocrinology* 1979;4:219-225.
- (55) Friedman RC, Bigger JT, Kornfield DS. The intern and sleep loss. *N Engl J Med* 1971;285:201-203.
- (56) Asken MJ, Raham DC. ZZZZ Changed to 13492. J Med Educ 1983;58:382-388.
- (57) Åkerstedt T, Torsvall L, Gillberg M. Sleep-wake disturbances in shift work: Implications of sleep loss and circadian rhythms. *Sleep Res* 1983;12:359.
- (58) Vidacek S, Kaliterna L, Vic-Vidacek BR. Productivity on a weekly rotating shift system: circadian adjustment and sleep deprivation effects? *Ergonomics* 1986;29:1583-1590.
- (59) Folkard S, Tucker P. Shift work, safety and productivity. *Occup Med (Lond)* 2003;53:95-101.
- (60) Carskadon MA, Dement WC. Multiple sleep latency tests during the constant routine. *Sleep* 1992;15:396-399.
- (61) Pilcher JJ, Huffcutt AI. Effects of sleep deprivation on performance: A meta-analysis. *Sleep* 1996:19:318-326.

- (62) Lorenzo I, Ramos J, Arce C, Guevara MA, Corsi-Cabrera M. Effect of total sleep deprivation on reaction time and waking EEG activity in man. *Sleep* 1995;18:346-354.
- (63) Koslowsky M, Babkoff H. Meta-analysis of the relationship between total sleep deprivation and performance. *Chronobiol Int* 1992;9:132-136.
- (64) Johnson LC. Sleep deprivation and performance. In: Webb WB, ed. *Biological Rhythms, Sleep, and Performance*. New York: John Wiley & Sons Ltd.; 1982;111-141.
- (65) Wilkinson RT. Effects of up to 60 hours' sleep deprivation on different types of work. *Ergonomics* 1972;7:175-186.
- (66) Angus RG, Heslegrave RJ, Myles WS. Effects of prolonged sleep deprivation, with and without chronic physical exercise, on mood and performance. *Psychophysiol* 1985;22:276-282
- (67) Department of Transportation. ZZZ Changed to 10454. Federal Motor Carrier Safety Administration, editor. Federal Register 65[85], 25541-25611. 2000. Washington, D.C., National Archives and Records Administration.

- (68) Carskadon MA, Dement WC. Cumulative effects of sleep restriction on daytime sleepiness. *Psychophysiol* 1981;18:107-113.
- (69) Carskadon MA, Roth T. Sleep restriction. In: Monk TH, ed. *Sleep, sleepiness and performance*. John Wiley & Sons Ltd; 1991;155-167.
- (70) Balkin TJ, Badia P. Relationship between sleep inertia and sleepiness: Cumulative effects of four nights of sleep disruption/restriction on performance following abrupt nocturnal awakenings. *Biol Psychol* 1988;27:245-258.
- (71) Gillberg M, Åkerstedt T. Sleep restriction and SWS-suppression: effects on daytime alertness and night-time recovery. *J Sleep Res* 1994;3:144-151.
- (72) Lafrance C, Dumont M, Lesperance P, Lambert C. Daytime vigilance after morning bright light exposure in volunteers subjected to sleep restriction. *Physiol Behav* 1998;63:803-810.
- (73) Randazzo AC, Muehlbach MJ, Schweitzer PK, Walsh JK. Cognitive function following acute sleep restriction in children ages 10-14. *Sleep* 1980;21:861-868.
- (74) Blagrove M, Alexander C, Horne JA. The effects of chronic sleep reduction on the performance of cognitive tasks sensitive to sleep deprivation. *Applied Cognitive Psychology* 1995;9:21-40.
- (75) Brunner DP, Dijk DJ, Borbély AA. Repeated partial sleep deprivation progressively changes the EEG during sleep and wakefulness. *Sleep* 1993;16:100-113.
- (76) Brunner DP, Dijk DJ, Tobler I, Borbély AA. Effect of partial sleep deprivation on sleep stages and EEG power spectra: Evidence for non-REM and REM sleep homeostasis. *Electroenceph Clin Neurophysiol* 1990;75:492-499.

- (77) Dinges DF, Maislin G, Kuo A et al. Chronic sleep restriction: Neurobehavioral effects of 4hr, 6hr, and 8 Hr TIB [abstract]Dinges DF, Maislin G, Kuo A et al. *Sleep* 1999;22:S115
- (78) Dinges DF. Are you awake? Cognitive performance and reverie during the hypnopompic state. In: Bootzin R, Kihlstrom J, Schacter D, eds. *Sleep and cognition*. Washington, D.C.: American Psychological Association; 1990;159-175.
- (79) Folkard S, Åkerstedt T. A three-process model of the regulation of alertness-sleepiness. In: Broughton RJ, Ogilvie RD, eds. *Sleep, Arousal, and Performance*. Boston: Birkhäuser; 1992;11-26.
- (80) Achermann P, Werth E, Dijk DJ, Borbély AA. Time course of sleep inertia after nighttime and daytime sleep episodes. *Archives Italiennes de Biologie* 1995;134:109-119.
- (81) Jewett ME, Kronauer RE. Interactive mathematical models of subjective alertness and cognitive throughput in humans. *J Biol Rhythms* 1999;14:588-597.
- (82) Dinges DF. Sleep Inertia. In: Carskadon MA, ed. *Encyclopedia of Sleep and Dreaming*. New York: Macmillillan Publishing Company; 1993;553-554.
- (83) Jewett ME, Wyatt JK, Ritz-De Cecco A, Khalsa SB, Dijk DJ, Czeisler CA. Time course of sleep inertia dissipation in human performance and alertness. *J Sleep Res* 1999;8:1-8.
- (84) Ribak J, Ashkenazi IE, Klepfish A et al. Diurnal rhythmicity and air force flight accidents due to pilot error. *Aviat Space Environ Med* 1983;54:1096-1099.
- (85) Daan S, Beersma DGM, Borbély AA. Timing of human sleep: Recovery process gated by a circadian pacemaker. *Am J Physiol* 1984;246:R161-R183.
- (86) Achermann P, Borbély AA. Simulation of daytime vigilance by the additive interaction of a homeostatic and a circadian process. *Biol Cybern* 1994;71:115-121.
- (87) Dinges DF. An overview of sleepiness and accidents. J Sleep Res 1995;4:4-14.
- (88) National Highway Traffic Safety Administration. Traffic safety facts 1999: A compilation of motor vehicle crash data from the fatality analysis reporting system and the general estimates system. National Center for Statistics and Analysis, editor. 2000. Washington, D.C., U.S. Department of Transportation.

Ref Type: Report

- (89) Dawson D, Reid K. Fatigue, alcohol and performance impairment. *Nature* 1997;388:235.
- (90) Garbarino S, Nobili L, Beelke M, De Carli Phy F, Ferrillo F. The contributing role of sleepiness in highway vehicle accidents. *Sleep* 2001;24:203-206.
- (91) National Transportation Safety Board. Safety Study: Fatigue, Alcohol, Other Drugs, and Medical Factors in Fatal-to-the-Driver Heavy Truck Crashes (Volume 1). NTSB/SS-90/01, 1-181. 1990. Washington, DC, National Transportation Safety Board.

(92) National Transportation Safety Board. Factors That Affect Fatigue in Heavy Truck Accidents Volume 2: Case Summaries. NTSB/SS-95/02, 1-227. 1995. Washington, DC, National Transportation Safety Board.

Ref Type: Report

- (93) Prokop O, Prokop L. Ermudung und einschlafen am steuer. *Dtsch Z Gerichtl Med* 1955;44:343-355.
- (94) Harris W. Fatigue, circadian rhythm, and truck accidents. In: Mackie R, ed. *Vigilance Theory, Operational Performance, and Physiological Correlates*. New York: Plenum; 1977;133-146.
- (95) Mitler MM, Carskadon MA, Czeisler CA, Dement WC, Dinges DF, Graeber RC. Catastrophes, sleep, and public policy: Consensus report. *Sleep* 1988;11:100-109.
- (96) Pack AI, Pack AM, Rodgman E, Cucchiara A, Dinges DF, Schwab CW. Characteristics of crashes attributed to the driver having fallen asleep. *Accid Anal Prev* 1995;27:769-775.
- (97) Richardson GS, Miner JD, Czeisler CA. Impaired driving performance in shiftworkers: the role of the circadian system in a multifactorial model. In: Moskowitz H, ed. *Alcohol, drugs and driving*. Los Angeles: Alcohol Information Services; 1990;265-273.
- (98) Gold DR, Rogacz S, Bock N et al. Rotating shift work, sleep, and accidents related to sleepiness in hospital nurses. *Am J Public Health* 1992;82:1011-1014.
- (99) Barger LK, Cade BE, Ayas NT et al. Extended work shifts and the risk of motor vehicle crashes among interns. *N Engl J Med* 2005;352:125-134.
- (100) Bjerner B, Holm A, Swensson A. Diurnal variation in mental performance a study of three-shift workers. *Br J Indust Med* 1955;12:103-110.
- (101) Hildebrandt G, Rohmert W, Rutenfranz J. 12 & 24 h rhythms in error frequency of locomotive drivers and the influence of tiredness. *Int J Chronobiol* 1974;2:175-180.
- (102) Rosekind MR, Gander PH, Connell LJ, Co EL. Crew factors in flight operations X: Alertness management in flight operations. Tech.Memo.(in press), 1-93. 1994. Washington, D.C., NASA.

- (103) Lockley SW, Cronin JW, Evans EE et al. Effect of reducing interns' weekly work hours on sleep and attentional failures. *N Engl J Med* 2004;351:1829-1837.
- (104) Landrigan CP, Rothschild JM, Cronin JW et al. Effect of reducing interns' work hours on serious medical errors in intensive care units. *N Engl J Med* 2004;351:1838-1848.
- (105) Ayas NT, Barger LK, Cade BE et al. Extended work duration and the risk of self-reported percutaneous injuries in interns. *JAMA* 2006;296:1055-1062.
- (106) Gislason T, Almqvist M, Eriksson G, Taube A, Boman G. Prevalence of sleep apnea syndrome among Swedish men--an epidemiological study. *J Clin Epidemiol* 1988;41:571-576.

- (107) Findley LJ, Unverzagt ME, Suratt PM. Automobile accidents involving patients with obstructive sleep apnea. *Am Rev Respir Dis* 1988;138:337-340.
- (108) Engleman HM, Asgari-Jirhandeh N, McLeod AL, Ramsay CF, Deary IJ, Douglas NJ. Self-reported use of CPAP and benefits of CPAP therapy: a patient survey. *Chest* 1996;109:1470-1476.
- (109) Cassel W, Ploch T, Becker C, Dugnus D, Peter JH, von Wichert P. Risk of traffic accidents in patients with sleep-disordered breathing: reduction with nasal CPAP. *Eur Respir J* 1996;9:2606-2611.
- (110) Aldrich MS. Automobile accidents in patients with sleep disorders. Sleep 1989;12:487-494.
- (111) Vener KJ, Szabo S, Moore JG. The effect of shift work on gastrointestinal (GI) function: A review. *Chronobiologia* 1989;16:421-439.
- (112) Kawachi I, Colditz GA, Stampfer MJ et al. Prospective study of shift work and risk of coronary heart disease in women. *Circulation* 1995;92:3178-3182.
- (113) Knutsson A, Åkerstedt T, Jonsson BG, Orth-Gomer K. Increased risk of ischaemic heart disease in shift workers. *Lancet* 1986;2:89-92.
- (114) Knutsson A, Hallquist J, Reuterwall C, Theorell T, Åkerstedt T. Shiftwork and myocardial infarction: A case-control study. *Occup Environ Med* 1999;56:46-50.
- (115) Tenkanen L, Sjoblom T, Kalimo R, Alikoski T, Harma M. Shift work, occupation and coronary heart disease over 6 years of follow-up in the Helsinki Heart Study. *Scand J Work Environ Health* 1997;23:257-265.
- (116) Kristensen TS. Cardiovascular diseases and the work environment. A critical review of the epidemiologic literature on nonchemical factors. *Scand J Work Environ Health* 1989;15:165-179.
- (117) Lund J, Arendt J, Hampton SM, English J, Morgan LM. Postprandial hormone and metabolic responses amongst shift workers in Antarctica. *J Endocrinol* 2001;171:557-564.
- (118) Gais S, Plihal W, Wagner U, Born J. Early sleep triggers memory for early visual discrimination skills. *Nat Neurosci* 2000;3:1335-1339.
- (119) Paul MA, Brown G, Buguet A et al. Melatonin and zopiclone as pharmacologic aids to facilitate crew rest. *Aviat Space Environ Med* 2001;72:974-984.
- (120) Neville KJ, Bisson RU, French J, Boll PA, Storm WF. Subjective fatigue of C-141 aircrews during Operation Desert Storm. *Hum Factors* 1994;36:339-349.
- (121) The National Uniform Crime Reporting (UCR) Program. Law enforcement officers killed and assaulted. *Federal Bureau of Investigation* [serial online] 2004; Accessed June 1, 2004.
- (122) The National Uniform Crime Reporting (UCR) Program. Fact sheet for law enforcement officers killed and assaulted, 2002. 2003.

- (123) Vila B, Kenney DJ. Tired cops: the prevelance and potential consequences of police fatigue. *NIJ Journal* 2002;248:16-21.
- (124) McLellan TM, Kamimori GH, Voss DM, Bell DG, Cole KG, Johnson D. Caffeine maintains vigilance and improves run times during night operations for Special Forces. *Aviat Space Environ Med* 2005;76:647-654.
- (125) Garbarino S, Nobili L, Beelke M, Balestra V, Cordelli A, Ferrillo F. Sleep disorders and daytime sleepiness in state police shiftworkers. *Archives of Environmental Health* 2002;57:167-173.
- (126) Czeisler CA, Moore-Ede MC, Coleman RM. Rotating shift work schedules that disrupt sleep are improved by applying circadian principles. *Science* 1982;217:460-463.
- (127) Center for Design of Industrial Schedules. Final report on the Philadelphia Police Department shift rescheduling program. 1988. Boston, MA, Center for Design of Industrial Schedules. Ref Type: Report
  - (128) Muehlbach MJ, Walsh JK. The effects of caffeine on simulated night-shift work and subsequent daytime sleep. *Sleep* 1995;18:22-29.
  - (129) Wyatt JK, Cajochen C, Ritz-De Cecco A, Czeisler CA, Dijk DJ. Low-dose repeated caffeine administration for circadian-phase-dependent performance degradation during extended wakefulness. *Sleep* 2004;27:374-381.
  - (130) Dawson D, Encel N, Lushington K. Improving adaptation to simulated night shift: Timed exposure to bright light versus daytime melatonin administration. *Sleep* 1995;18:11-21.
  - (131) Sharkey KM, Eastman CI. Melatonin phase shifts human circadian rhythms in a placebo-controlled simulated night-work study. *Am J Physiol Regul Integr Comp Physiol* 2002;282:R454-R463.
  - (132) Billiard M, Besset A, Montplaisir J et al. Modafinil: A double-blind multicentric study. *Sleep* 1994;17:S107-S112.
  - (133) Walsleben J, Ackermann S, Ingalls K. Results of a recent eighteen-center clinical study of modafinil: A novel wake promotion agent for the treatment of excessive daytime sleepiness in narcolepsy [abstract]Walsleben J, Ackermann S, Ingalls K. Japanese Society for Sleep Research Annual Meeting 1996;163
  - (134) US Modafinil in Narcolepsy Multicenter Study Group. Randomized trial of modafinil as a treatment for the excessive daytime somnolence of narcolepsy. *Neurology* 2000;54:1166-1175.
  - (135) US Modafinil in Narcolepsy Multicenter Study Group. Randomized trial of modafinil for the treatment of pathological somnolence in narcolepsy. *Ann Neurol* 1998;43:88-97.
  - (136) Porcu S, Bellatreccia A, Ferrara M, Casagrande M. Performance, ability to stay awake, and tendency to fall asleep during the night after a diurnal sleep with temazepam or placebo. *Sleep* 1997;20:535-541.

- (137) Walsh JK, Schweitzer PK, Anch AM, Muehlbach MJ, Jenkins NA, Dickins QS. Sleepiness/alertness on a simulated night shift following sleep at home with triazolam. *Sleep* 1991;14:140-146.
- (138) Rosa RR, Bonnet MH, Bootzin RR et al. Intervention factors for promoting adjustment to nightwork and shiftwork. *Occup Med* 1990;5:391-415.
- (139) Sallinen M, Harma M, Åkerstedt TA, Rosa RR, Lillqvist O. Promoting alertness with a short nap during a night shift. *J Sleep Res* 1998;7:240-247.
- (140) Rosekind MR, Graeber RC, Dinges DF, Connell LJ, Rountree MS, Spinweber CL et al. Crew factors in flight operations IX: Effects of planned cockpit rest on crew performance and alertness in long-haul operations. Tech.Memo.108839, 1-64. 1994. Washington, D.C., NASA. Ref Type: Report
  - (141) Sullivan JJ. Fighting Fatigue. Public Roads 2003;67.
- (142) FIT 2000 An instrument that gauges fitness for duty. 2004. Ref Type: Internet Communication
  - (143) Czeisler CA, Johnson MP, Duffy JF, Brown EN, Ronda JM, Kronauer RE. Exposure to bright light and darkness to treat physiologic maladaptation to night work. *N Engl J Med* 1990;322:1253-1259.
  - (144) Dawson D, Campbell SS. Timed exposure to bright light improves sleep and alertness during simulated night shifts. *Sleep* 1991;14(6):511-516.
  - (145) Eastman CI, Boulos Z, Terman M, Campbell SS, Dijk DJ, Lewy AJ. Light treatment for sleep disorders: Consensus Report. VI. Shift Work. *J Biol Rhythms* 1995;10:157-164.
  - (146) Horne JA, Östberg O. A self-assessment questionnaire to determine morningness-eveningness in human circadian rhythms. *Int J Chronobiol* 1976;4:97-110.
  - (147) Van Dongen HPA, Baynard MD, Maislin G, Dinges DF. Systematic interindividual differences in neurobehavioral impairment from sleep loss: evidence of trait-like differential vulnerability. *Sleep* 2004;27:423-433.
  - (148) American Psychiatric Association. *Diagnostic and Statistical Manual of Mental Disorders Fourth Edition (DSM-IV)*. Washington, D.C.: American Psychiatric Association, 2000.
  - (149) Lavie P, Tzischinsky O, Epstein R, Zomer J. Sleep-wake cycle in shift workers on a "clockwise" and "counter-clockwise" rotation system. *Isr J Med Sci* 1992;28:636-644.
  - (150) The International Classification of Sleep Disorders; Diagnostic and Coding Manual. Second Edition ed. Westchester, IL: American Academy of Sleep Medicine, 2005.
  - (151) Johns MW. Sensitivity and specificity of the multiple sleep latency test (MSLT), the maintenance of wakefulness test and the epworth sleepiness scale: failure of the MSLT as a gold standard. *J Sleep Res* 2000;9:5-11.

(152) Institute of Medicine. Sleep disorders and sleep deprivation: An unmet public health problem. Colten HR, Alteveogt BM, editors. ISBN:0-309-66012-2, 1-500. 2006. Washington, D.C., National Academies Press.

- (153) Cajochen C, Khalsa SBS, Wyatt JK, Czeisler CA, Dijk DJ. EEG and ocular correlates of circadian melatonin phase and human performance decrements during sleep loss. *Am J Physiol* 1999;277:R640-R649.
- (154) Jewett ME, Dijk DJ, Kronauer RE, Czeisler CA. Sigmoidal decline of homeostatic component in subjective alertness and cognitive throughput [abstract]Jewett ME, Dijk DJ, Kronauer RE, Czeisler CA. Sleep 1999;22:S94-S95
- (155) Van Dongen HPA, Maislin G, Mullington JM, Dinges DF. The cumulative cost of additional wakefulness: Dose-response effects on neurobehavioral functions and sleep physiology from chronic sleep restriction and total sleep deprivation. *Sleep* 2003;26:117-126.
- (156) Netzer NC, Stoohs RA, Netzer CM, Clark K, Strohl KP. Using the Berlin Questionnaire to identify patients at risk for the sleep apnea syndrome. *Ann Intern Med* 1999;131:485-491.
- (157) Soldatos CR, Dikeos DG, Paparrigopoulos TJ. The diagnostic validity of the Athens Insomnia Scale. *J Psychosom Res* 2003;55:263-267.
- (158) Allen RP, Walters AS, Montplaisir J et al. Restless legs syndrome prevalence and impact: REST general population study. *Arch Intern Med* 2005;165:1286-1292.
- (159) Anic-Labat S, Guilleminault C, Kraemer HC, Meehan J, Arrigoni J, Mignot E. Validation of a cataplexy questionnaire in 983 sleep-disorders patients. *Sleep* 1999;22:77-87.

## 7. Figures

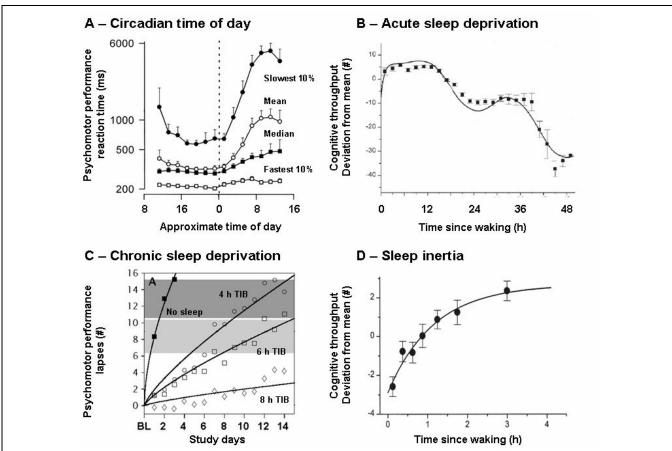


Figure 1: Examples from experimental data sets of the four major physiological determinants of fatique.

Figure A illustrates the endogenous circadian rhythm in visual psychomotor performance over a 32-hour vigil under constant conditions (n = 10) <sup>153</sup>. While average reaction times may slow to ~1s, there is more than a 10-fold increase in the slowest 10 percent of responses which averages nearly 6 seconds at the circadian nadir before the subject reacts to a visual stimulus, which would represent a significant lapse of attention under real-world conditions. Figure B shows the effects of 48 hours of continual wakefulness on mean (± sem) cognitive throughput, as measured by a simple addition test (n = 94) <sup>154</sup>. A circadian component can also be observed but cognition declines across all circadian phases with increasing time awake. The line represents a model prediction of cognition under these conditions <sup>81</sup>. Figure C shows how different amounts of chronic partial sleep deprivation affect psychomotor performance and compare the time course of average daily lapses in attention (based on 2-hourly tests from 7:30-23:30 h) over two weeks in subjects with an 8-hour (♦, n=9), 6-hour (□, n=13) and 4-hour (O, n=13) time-in-bed (TIB) sleep opportunity each day, and 88-hours of continuous sleep deprivation (■, n=13) <sup>155</sup>. Performance deteriorated in both the 6- and 4-hour sleep groups such that after 14 days, the 6-hour sleep group performed at an equivalent level to those kept awake for 24 hours continuously, and the 4-hour group was performing at the same level as someone kept awake for three whole days. Figure D shows the time course of sleep inertia in cognitive throughput over the first 4 hours of wakefulness after a normal 8-hour sleep for 3 days <sup>83</sup>. While there is an exponential improvement in performance over time, it takes at least two hours to reach maximal performance and there is a highest risk of a fatigue-related error in the first 30 minutes after waking.

Figure 2: Subject disposition (phase 1 and 2 combined)

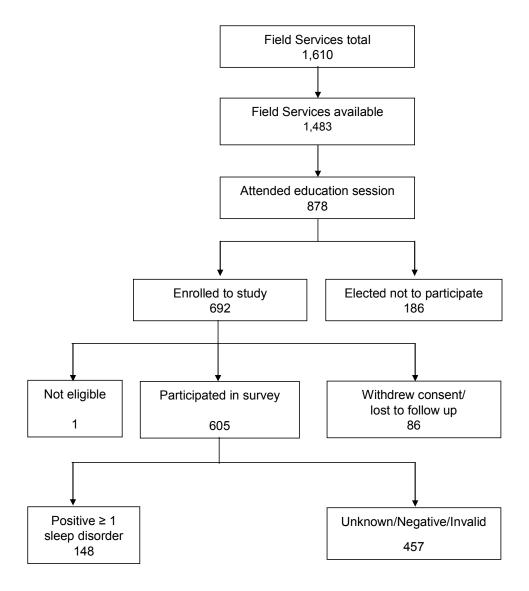
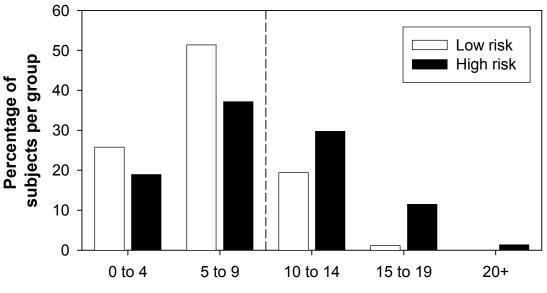


Figure 3: Epworth Sleepiness Scale score range as a function of the percentage of subjects categorised as high and low risk for any sleep disorder



**Epworth Sleepiness Scale score (range)** 

# 8. Tables

**Table 1: Participant characteristics** 

Characteristic	Data
N	605
Age, <i>y</i> Mean ± SD	38.3 ± 9.4
Service, y Mean ± SD	12.0 ± 9.0
Sex, <i>n</i> (percent) Female Male Not reported Body mass index, kg/m² Mean ± SD	34 (5.6) 566 (93.6) 5 (0.8) 27.7 ± 3.6
Race, <i>n</i> (percent) White Black Asian Native American Other Not stated Rank, <i>n</i> (percent) Sergeant or higher Trooper Trainee Not stated	548 (90.6) 30 (5.0) 4 (0.7) 3 (0.5) 12 (2.0) 8 (1.3) 96 (15.9) 353 (58.3) 127 (21.0) 29 (4.8)

Table 2: Study design

	Phase 1 Study year 1	Phase 2 Study year 2
Intervention group	Sleep health education and sleep disorders screening	No further intervention
Control group	No intervention	Sleep health education and sleep disorders screening

Table 3: Questionnaires used in the study to screen for major sleep disorders

Sleep disorder	Screening questionnaire	Reference
Obstructive sleep apnea	Berlin Questionnaire	Netzer N.C. et al. Ann Intern Med 1999 <sup>156</sup>
Insomnia	Athens Insomnia	Soldatos C.R. et al. J Psychosom Res 2000 <sup>157</sup>
Restless Leg Syndrome	Restless Leg Syndrome Questionnaire	Allen R.P. et al. Arch Intern Med 2005 <sup>158</sup>
Narcolepsy-Cataplexy	Cataplexy Questionnaire Epworth Sleepiness Scale	Anic-Labat S. et al. Sleep 1999 Johns M.W. Sleep 1991 <sup>159</sup>
Shift Work Disorder	Shift Work Disorder Questionnaire	Harvard Work Hours, Health and Safety Group (unpublished)

Table 4: Datasets obtained from internal databases of the police department

Measure	Data	Source
Demographics and	Age, rank, years in police service, station	Departmental Attendance
assignment	assignment, rostered shift schedule	Record (Access Database)
Work hours	Work hours, leave, overtime, detail work	Payroll Record (Paystation)
Motor vehicle crashes	Motor vehicle crashes in police vehicle	Departmental Cruiser Accident
Miloogo	Milegge in police valides	Report (Access Database)
Mileage	Mileage in police vehicles	Odometer Readings at Pump Database
Motorist assist	Assist type, date	Departmental Motorist Assist
		Report (Access Database)
Citations	Citations issued	Merit Rating Board
Accidents Investigated	Accident type, date	Departmental Accidents
_		Investigated Report
Arrests	Action taken, date	Departmental Arrests Report
		(Access Database)
Officer Calls	Call type, date	Departmental Officer Call
		Report (Access Database)
Tows	Status, date	Departmental Tow Report
		(Access Database)
Disabled vehicles	Classification, date	Departmental Disabled Vehicle
		Report (Access Database)

Table 5. Demographic characteristics of all field services officers, officers who elected to attend the education session, and officers who elected to complete the survey.#

	All officers	Officers who attended education session	Officers who completed survey
N	1,750	853	588
Age, <i>y</i>			
Mean ± SD	$41.0 \pm 9.7$	38.5 ± 9.3	$38.3 \pm 9.3$
Employed in police work, y			
Mean ± SD	13.5 ± 10.6	10.5 ± 10.2	10.2 ± 10.4
Sex, n (percent)			
Female	99 (6)	43 (5)	30 (5)
Male	1,651 (94)	810 (95)	558 (95)
Rank, <i>n (percent)</i>	, (- )	()	- ()
Trooper	1,402 (80)	732 (86)	499 (85)
Sergeant or higher	348 (20)	121 (14)	89 (15)

<sup>&</sup>lt;sup>#</sup> Due to missing values in the data we obtained from the police department, the total number of officers for whom we have demographic data is slightly less than the number who actually participated in the study. We were able to obtain departmental data for 97 percent of our subjects.

Table 6: Percentage of subjects reporting nodding off while driving and frequency of nodding off while driving

Question	Percentage of subjects	Cumulative percentage
I have nodded off or fallen		
asleep while driving a vehicle		
Yes	46.6	46.6
No	53.4	100.0
If YES, how often does this		
occur?		
Nearly every day	0.2	0.2
3-4 times a week	0.3	0.5
1-2 times a week	2.3	2.8
1-2 times a month	17.2	20.0
Never or nearly never	42.0	62.0
Not applicable or not states	38.0	100.0

Table 7: Body mass index (BMI) ranges of subjects

BMI range (kg/m²)	n	Percentage of subjects	Cumulative percentage
≥ 35	24	4.0	4.0
≥ 30 and <35	105	17.4	21.3
≥ 25 and <30	349	57.7	79.0
< 25	127	21.0	100.0

Table 8: Number and percentage of subjects found to be at high risk and low risk for sleep disorders

	n ( percent)
Obstructive sleep apnea	
High risk	123 (20.3)
Low risk	471 (77.9)
Not reported	11 (1.8)
Insomnia	,
High risk	23 (3.8)
Low risk	581 (96.0)
Not reported	1 (0.2)
Shiftwork Disorder	, ,
High risk	14 (7.4)
Low risk	172 (91.0)
Not reported	3 (1.6)
Restless Leg Syndrome	, ,
High risk	6 (1.0)
Low risk	593 (98.0)
Not reported	6 (1.0)
Narcolepsy	,
High risk	0 (0)
Low risk	605 (100)
Not reported	0 (0)

Table 9: Sleep disorder screening result from present study as a function of selfreported previous diagnosis of sleep disorder

Previous diagnosis of a sleep disord					leep disorder?	
Sleep disorder screening outcome	n	Never	Yes, in the past I have, but I don't have it now	Yes I have, but I do not regularly take medications / receive treatment	Yes I have, and I am regularly taking medications / receiving treatment	Not stated
Obstructive sleep						
apnea						
High risk	123	79.7	2.4	4.9	7.3	5.7
Low risk	471	96.4	0.0	0.4	0.8	2.3
Insomnia						
High risk	23	78.3	0.0	4.3	13.0	4.3
Low risk	581	94.8	1.0	0.2	0.2	3.8
Shift Work Disorder						
High risk	14	100.0	0.0	0.0	0.0	0.0
Low risk	172	94.2	0.6	1.2	0.0	4.1
Restless Leg Syndrome						
High risk	6	83.3	0.0	16.7	0.0	0.0
Low risk	593	95.3	0.3	0.7	0.2	3.5
Narcolepsy						
High risk	0	0.0	0.0	0.0	0.0	0.0
Low risk	605	96.4	0.0	0.0	0.0	3.6

Table 10: Marital status (current) and history of divorce for subjects categorised as high and low risk for any sleep disorder

Marital status	High risk for any sleep disorder	Low risk for any sleep disorder
Currently divorced or separated (percent)	12.2	7.8
Ever been divorced (percent)	25.0	13.5

## **Publications**

To disseminate the results of the study to the scientific community, we are preparing original reports for publication in scientific and medical journals. We will inform NIOSH about such publications after they are accepted.

We have published one preliminary report of the research:

Rajaratnam SMW, Barger LK, Lockley SW, Cade B, O'Brien C, White DP, Czeisler CA: [2007] Screening for sleep disorders in North American police officers. Sleep 30(Suppl):A209 (abstract).

Preparation of the following published review article was supported by this grant:

Barger LK, Rajaratnam SM, Lockley SW, Landrigan CP: [2009] Neurobehavioral, health and safety consequences associated with shift work in safety- sensitive professions. Curr Neurol Neurosci Rep. Mar;9(2):155-164.

Program Director/Principal Investigator (Last, First, Middle): Czeisler, Charles A.

# **Inclusion Enrollment Report**

This report format should NOT be used for data collection from study participants.

Study Title: Sleep disorders management, health and safety in police

Total Enrollment: 692 Protocol Number: 2004-P-002713

**Grant Number:** 5 R01 OH008496

	Sex/Gender				
Ethnic Category	Females	Males	Unknown or Not Reported	Total	
Hispanic or Latino	1	16	0	17	**
Not Hispanic or Latino	29	487	2	518	
Unknown (individuals not reporting ethnicity)	4	61	92	157	
Ethnic Category: Total of All Subjects*	34	564	94	692	*
Racial Categories					
American Indian/Alaska Native	0	3	0	3	
Asian	0	4	0	4	
Native Hawaiian or Other Pacific Islander	0	0	0	0	
Black or African American	1	29	0	30	
White	31	513	3	547	
More Than One Race	0	4	0	4	
Unknown or Not Reported	2	11	91	104	
Racial Categories: Total of All Subjects*	34	564	94	692	*

### PART B. HISPANIC ENROLLMENT REPORT: Number of Hispanics or Latinos Enrolled to Date (Cumulative)

Racial Categories	Females	Males	Unknown or Not Reported	Total
American Indian or Alaska Native	0	1	0	1
Asian	0	0	0	0
Native Hawaiian or Other Pacific Islander	0	0	0	0
Black or African American	0	1	0	1
White	0	10	0	10
More Than One Race	0	0	0	0

Unknown or Not Reported	1	4	0	5
Racial Categories: Total of Hispanics or Latinos**	1	16	0	17 **

<sup>\*</sup> These totals must agree.

PHS 398/2590 (Rev. 11/07)

Inclusion Enrollment Report Format Page

## Inclusion of children

No children participated in the survey.

## Materials available to other investigators

The research supported by this grant will result in data that will allow us to generate specific recommendations for the implementation of sleep disorders detection and treatment programs in police departments and potentially other occupational groups. The initial research plan did not include any formal plans for sharing final data. The data will be described in the various publications (original reports, reviews and proceedings of meetings). They are therefore in the public domain and are easily accessible.

<sup>\*\*</sup> These totals must agree.