Source-Apportioned PM_{2.5} and Cardiorespiratory **Emergency Department Visits**

Accounting for Source Contribution Uncertainty

Audrey Flak Pennington, a Matthew J. Strickland, b Katherine Gass, c Mitchel Klein, a Stefanie Ebelt Sarnat, a Paige E. Tolbert, a Sivaraman Balachandran, d Howard H. Chang, e Armistead G. Russell, f James A. Mulholland, f and Lyndsey A. Darrowb

Background: Despite evidence suggesting that air pollution-related health effects differ by emissions source, epidemiologic studies on fine particulate matter (PM25) infrequently differentiate between particles from different sources. Those that do rarely account for the uncertainty of source apportionment methods.

Methods: For each day in a 12-year period (1998-2010) in Atlanta, GA, we estimated daily PM25 source contributions from a Bayesian ensemble model that combined four source apportionment methods including chemical transport and receptor-based models. We fit Poisson generalized linear models to estimate associations between source-specific PM_{2.5} concentrations and cardiorespiratory

Submitted March 9, 2018; accepted July 29, 2019.

From the ^aDepartment of Environmental Health, Rollins School of Public Health, Emory University, Atlanta, GA; bSchool of Community Health Sciences, University of Nevada Reno, Reno, NV; 'Neglected Tropical Diseases Support Center, Task Force for Global Health, Decatur, GA; ^dDepartment of Biomedical, Chemical, and Environmental Engineering, College of Engineering and Applied Sciences, University of Cincinnati, Cincinnati, OH; eDepartment of Biostatistics and Bioinformatics, Rollins School of Public Health, Emory University, Atlanta, GA; and School of Civil and Environmental Engineering, Georgia Institute of Technology, Atlanta, GA.

This project was funded by grants R834799 and RD-83386601 from the US Environmental Protection Agency, grant R21ES022795 from the National Institute of Environmental Health Sciences, and training grant 5T03OH008609 from the National Institute for Occupational Safety and Health. This publication was developed under Assistance Agreement No. EPA834799 awarded by the US Environmental Protection Agency to Emory University and Georgia Institute of Technology. It has not been formally reviewed by EPA. The views expressed in this document are solely those of the authors and do not necessarily reflect those of the Agency. EPA does not endorse any products or commercial services mentioned in this publication.

The authors report no conflicts of interest.

SDC Supplemental digital content is available through direct URL citations in the HTML and PDF versions of this article (www.epidem.com).

The computing code can be obtained by contacting the first or last author. The data are not available because they include medical information that

Correspondence: Audrey Flak Pennington, Department of Environmental Health, Rollins School of Public Health, Emory University, 1518 Clifton Rd NE, Mailstop 1518-002-2BB, Atlanta, GA 30332-4201. E-mail: afpennington@alumni.emory.edu.

Copyright © 2019 Wolters Kluwer Health, Inc. All rights reserved.

DOI: 10.1097/EDE.0000000000001089

ISSN: 1044-3983/19/3006-0789

emergency department visits (n = 1,598,117). We propagated uncertainty in the source contribution estimates through analyses using multiple imputation.

Results: Respiratory emergency department visits were positively associated with biomass burning and secondary organic carbon. For a 1 μg/m³ increase in PM_{2.5} from biomass burning during the past 3 days, the rate of visits for all respiratory outcomes increased by 0.4% (95% CI 0.0%, 0.7%). There was less evidence for associations between PM25 sources and cardiovascular outcomes, with the exception of ischemic stroke, which was positively associated with most PM25 sources. Accounting for the uncertainty of source apportionment estimates resulted, on average, in an 18% increase in the standard error for rate ratio estimates for all respiratory and cardiovascular emergency department visits, but inflation varied across specific sources and outcomes, ranging from 2% to 39%.

Conclusions: This study provides evidence of associations between PM_{2,5} sources and some cardiorespiratory outcomes and quantifies the impact of accounting for variability in source apportionment approaches.

Keywords: Air pollution; Cardiovascular health; Fine particulate matter; PM, 5; Respiratory health; Source apportionment; Uncertainty

(Epidemiology 2019;30: 789-798)

ine particulate matter (PM_{2.5}) is a mixture of aerosols from heterogeneous sources such as biomass burning, gasolinefueled vehicles, coal combustion, and atmospheric reactions. Despite evidence suggesting that the health effects of PM25 may vary depending on pollutant source, 1-5 the vast majority of epidemiologic studies on PM_{2.5} do not differentiate between particles from different sources.

While we cannot directly identify the sources of particles using ambient pollutant monitors, we can use models to relate ambient PM_{2.5} back to its sources (i.e., emissions-based chemical transport models and receptor-based models). However, there is no gold standard method of source apportionment; different studies have applied different methods, all of which have limitations and source uncertainties. Even though

uncertainty estimates are available for different methods, they are rarely accounted for in health studies of source-apportioned PM25, which may underestimate the uncertainty of the resulting health associations of PM_{2.5} sources.⁶

One approach for estimating the mass contribution from specific PM_{2.5} sources is to combine the concentrations from several source apportionment methods. Compared with using only one source apportionment technique, ensemble averaging has been found to reduce variability in source attribution estimates, improve fit statistics, and provide uncertainty estimates for comparison across methods.8 In 2013, Balachandran and colleagues implemented a Bayesian-based ensemble source apportionment method for PM25 using three receptorbased source-apportionment models and one chemical transport model.⁹ Rather than providing a single estimate of source concentration for each day, their method resulted in a distribution of daily source-specific PM_{2.5} concentrations reflecting the source-specific uncertainty in the PM estimate on that day. This uncertainty can be propagated into the uncertainty estimates of the health associations in epidemiologic analyses. In 2015, Gass and colleagues used such an approach to estimate associations between ensemble-based PM25 source contributions and pediatric asthma emergency department visits.1 In this study, we extend the work of Gass et al to estimate associations between source-apportioned PM25 concentrations and all-ages emergency department visits for a range of cardiovascular and respiratory outcomes in Atlanta, GA, during the period from 1 August 1998 to 23 December 2010.

METHODS

Data Sources

Daily counts of emergency department visits, aggregated from individual-level data, with billing zip codes in the five-county (DeKalb, Clayton, Cobb, Fulton, Gwinnett) Atlanta metropolitan area for 1 August 1998 to 23 December 2010 (4528 days of data) were created for the following health outcomes: asthma and wheeze, chronic obstructive pulmonary disease (COPD), pneumonia, upper respiratory infection, ischemic heart disease, dysrhythmia, congestive heart failure, ischemic stroke, as well as for combinations of these respiratory disease and cardiovascular disease outcomes. Outcomes were identified via ICD-9 codes (Table 1) listed as the primary reason for the emergency department visit. These data were collected from individual hospitals and the Georgia Hospital Association as part of the Study of Particles and Health in Atlanta^{10–12} and capture over 90% of visits for the area. Emory University's Institutional Review Board approved this study.

We used daily measurements of pollutant gases (SO_2 , CO, and NO_x), total PM_{2.5} and PM_{2.5} components (major ions, carbon fractions, and trace metals) from the Jefferson Street monitor in midtown Atlanta¹³ for long-term PM_{2.5} source apportionment. Daily Bayesian ensemble source-apportioned PM₂₅ concentration estimates at Jefferson Street were

available for the period from 1 August 1998 to 23 December 2010 for five primary PM_{2.5} sources: biomass burning, primary coal combustion, dust/resuspended soil, diesel-fueled vehicles and non-road engines, and gasoline-fueled vehicles and engine sources; and one secondary source: secondary organic carbon (OC). Ammonium sulfate, ammonium bisulfate, and ammonium nitrate are derived directly from the monitor measurements and were not examined because our focus was on chemical mass balance-derived PM25 sources and the uncertainty inherent in quantifying source concentrations that cannot be directly measured. Organic carbon that is not attributed to one of the source categories above is expected to be largely secondary OC and is included to demonstrate the impact of separating the OC into different sources. The methodology for Bayesian-based ensemble source apportionment is described in detail elsewhere^{1,9} and summarized below.

We estimated distributions of speciated PM_{2.5} emissions distributions of two seasonal sets of ensemble-trained source profiles using Bayesian ensemble averaging, three receptorbased source apportionment methods (chemical mass balance with organic molecular markers, chemical mass balance with gas-based constraints, and positive matrix factorization), 14-16 and one chemical transport model (Community Multiscale Air Quality).¹⁷ We conducted the ensemble averaging and source profile estimation for 2 months representing summer and winter. These profiles are then used to estimate long-term PM_{2.5} source impacts and uncertainties as measured by the root mean square error (RMSE) between each method's estimated source concentration and the average source concentration across methods (the ensemble average) using a Monte Carlo framework. An estimate of uncertainty for each source apportionment method for each source concentration for each day was calculated using a Bayesian framework accounting for the uncertainties in the RMSEs themselves. Our approach is to describe uncertainties in source concentration estimates using posterior distributions derived from a data likelihood that assumes estimates from individual methods are normally distributed around the ensemble mean. To estimate daily source concentrations for use in this epidemiologic analysis, we sampled the seasonal source profiles 10 times for each day in the time series, and calculated deterministically 10 separate time series of daily source-apportioned PM25 concentrations from these realizations using the chemical mass balance with gas constraints approach. We present the correlations between PM_{2.5} source concentrations and specific PM_{2.5} compounds (tracers) commonly used in PM25 source apportionment in each technique and sometimes analyzed directly in relation to health outcomes.^{5,18–20}

Statistical Analysis

We used Poisson log-linear models, with unconstrained distributed lags that accounted for overdispersion, to estimate associations between cumulative lag 0-2 (same day and 2 previous days) exposure to PM_{2.5} sources and respiratory

TABLE 1. ICD-9 Codes and Summary Statistics of Emergency Department Visits for Respiratory and Cardiovascular Outcomes.

	ICD-9 codes		Visits per day			
Outcome	(only primary codes used)	Minimum	Mean (SD)	Maximum		
Respiratory						
All respiratory	460-465, 466.0, 477, 480-486, 491-492, 493, 466.1, 466.11, 466.19, 496, 786.07	86	86 286.0 (102.8)			
Asthma and wheeze	493, 786.07	13	64.7 (25.2)	191		
COPD	491-492, 496	1	1 12.4 (5.2)			
Pneumonia	480-486	6	35.7 (15.2)	108		
Upper respiratory infection	460-465, 466.0, 477	44	162.5 (62.5)	467		
Cardiovascular						
All cardiovascular	410-414, 427, 428, 433-437, 440, 443-445, 447	20	66.9 (18.7)	129		
Ischemic heart disease	410-414	3	3 18.0 (5.4)			
Dysrhythmia	427	3 17.2 (5.6)		40		
Congestive heart failure	428		17.6 (7.8)	51		
Ischemic stroke	433-437	0	12.8 (5.0)	34		

emergency department visits, and lag 0 (same day) exposure to PM_{2.5} sources and cardiovascular visits. A priori, we chose shorter lags for cardiovascular outcomes based on past evidence that the impact of pollution is more acute for these outcomes^{21,22} than for respiratory outcomes. 12,23 Rate ratios were calculated by exponentiating the sum of the betas for the source of interest {3 betas for respiratory models [RR₀₋₂ = exp(β_{lag} 0+ β_{lag} 1+ β_{lag} 2)] and 1 beta for cardiovascular models $[RR_0 = \exp(\beta_{lag\ 0}^{lag\ 0})]\}$. The covariance matrix from the genmod procedure in SAS was used to calculate standard errors (SAS Institute Inc., Cary, NC). Rate ratios for lag 0-2 exposure estimate the impact of a cumulative 1 μg/m³ increase of PM_{2.5} source concentration on all 3 days; rate ratios for lag 0 exposure estimate the impact of only a same day 1 μg/m³ increase. As a sensitivity analysis, we also calculated the association between lag 0–7 exposure to PM_{2.5} sources and respiratory emergency department visits.

To control for temporal and meteorologic factors all models included parametric cubic splines with monthly knots,²⁴ cubic polynomials on lag 0 maximum temperature, interaction between lag 0 maximum temperature and season, cubic polynomials for the 2-day (lags 1–2) moving average of minimum temperature, cubic polynomials for lag 0 dewpoint, an indicator for each hospital taking the value 1 if the hospital contributes on a given day and 0 if the hospital does not contribute, an indicator representing season of emergency department visits [December–February (winter), March–May (spring), June-August (summer), September-November (autumn)], and indicators for day of week and holidays and their interaction with season.²⁵ We first estimated associations for each source and each outcome group, and then fit models that included all sources to control for potential confounding by other sources. For respiratory outcomes, we also examined models adjusting for ozone due to previous findings of associations between ozone and respiratory outcomes.^{26,27}

We fit 10 models for each source—outcome combination, one for each of the 10 time series of daily source-apportioned PM_{2.5} concentrations. The combined point estimate was calculated as an average of the 10 point estimates from the models. Imputation-corrected variance was calculated to incorporate the average variance from the ensemble runs and variance between ensemble run coefficients using the method presented by Rubin²⁸ and described in more detail by Gass et al.¹ To quantify the increase in variance attributable to source method uncertainty, we calculated ratios of the imputation-corrected standard errors to the average standard error from the 10 model runs. To compare the results for specific sources to associations obtained for total PM_{2.5} mass, we also fit one model for each outcome with the exposure of total PM_{2.5} using the same lag structure and covariate control as for the source concentrations models.

We performed sensitivity analyses for select models based on our primary analysis results. We examined associations between GV and respiratory outcomes stratified by season. For models of BURN, we completed analyses to evaluate the extent to which days with extreme PM25 levels [days above 25 μg/m³ (88th percentile)] were driving the analysis. Because some previous analyses have used PM_{2.5} tracer species as indicators of sources instead of source apportionment models, we also examined adjusting for measured gasoline vehicle tracers from the monitor instead of model-based gasoline vehicle source concentrations estimated from the source apportionment in the all-sources model. All analyses were completed in SAS 9.4 (SAS Institute Inc., Cary, NC).

RESULTS

Over the 4528 day time series, there was an average of 286 respiratory outcome emergency department visits and 67 cardiovascular outcome emergency department visits per day (Table 1). The individual outcome with the highest number

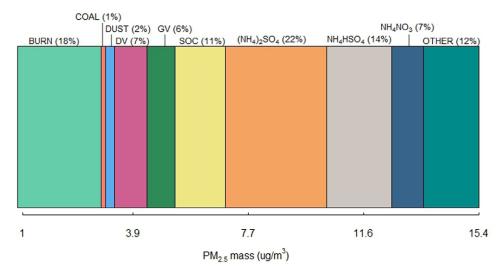


FIGURE 1. Contribution of sources to PM_{2.5} mass (averaged across the study period). BURN indicates biomass burning; COAL, primary coal combustion; DUST, dust/resuspended soil; DV, diesel-fueled vehicles; GV, gasoline-fueled vehicles; SOC, secondary organic carbon; $(NH_4)_2SO_4$, ammonium sulfate; NH₄HSO₄, ammonium bisulfate; NH₄NO₃, ammonium nitrate. Figure is available in color online.

TABLE 2. Summary Statistics for Source-Specific PM_{2.5} Concentrations, Atlanta, Georgia, 1 August 1998 to 23 December 2010a

Source	Minimum μg/m³	Median μg/m³	Mean μg/m³	Maximum μg/m³	SD	Interquartile Range Width	Correlation Between Ensemble Runs ^b
Biomass burning	0.000 (0.000)	1.877 (0.019)	2.737 (0.012)	32.103 (5.933)	2.642 (0.051)	2.689 (0.030)	0.747
Primary coal combustion	0.000 (0.000)	0.097 (0.001)	0.134 (0.002)	1.687 (0.273)	0.138 (0.003)	0.138 (0.002)	0.722
Dust/resuspended soil	0.000 (0.000)	0.250 (0.001)	0.373 (0.001)	9.378 (1.562)	0.474 (0.010)	0.248 (0.002)	0.976
Diesel vehicles	0.000 (0.000)	0.883 (0.012)	1.142 (0.009)	12.343 (1.259)	1.089 (0.020)	1.091 (0.015)	0.741
Gasoline vehicles	0.008 (0.009)	0.694 (0.010)	0.858 (0.004)	10.534 (0.884)	0.759 (0.007)	0.706 (0.009)	0.783
Secondary organic carbon	0.000 (0.000)	1.453 (0.012)	1.727 (0.007)	27.578 (1.071)	1.681 (0.011)	2.097 (0.032)	0.745

Results presented as mean (SD)

For comparison, the distribution of total PM, s, in µg/m, is: minimum 1.060, median 13.970, mean 15.473, maximum 72.560, SD 7.936, interquartile range 9.915.

of visits was upper respiratory infections, with an average of 163 visits per day, constituting over half of the all respiratory outcome group.

Due to missing data on source concentrations (due to incomplete PM_{2.5} speciation on those days), PM_{2.5}, and meteorologic variables, 3531 days of data were used in respiratory models and 4060 days of data were used in cardiovascular models. Fewer days were available for respiratory outcomes because they required non-missing source concentrations for 3 days (lag 0, lag 1, lag 2), whereas cardiovascular outcomes only required concentrations for 1 day (lag 0).

Figure 1 shows the average proportion of total PM_{2.5} mass contributed by each source and other measured components. The sources examined in this analysis make up 45% of total PM_{2.5} mass; of these, the largest contributors in terms of mass were biomass burning (daily average of 2.74 μg/m³) and secondary organic carbon (1.73 µg/m³) and the smallest was primary coal combustion (0.10 μ g/m³). It should be noted that the "other" in Figure 1 is likely substantially the oxygen (and some hydrogen, nitrogen, and sulfur) in secondary organic matter. Descriptive statistics for the source-specific PM_{2.5} concentrations are shown in Table 2. Within source, there were high correlations $(r_s>0.7)$ between estimates in the 10 ensemble datasets (Table 2). Between sources, correlation was highest between diesel vehicles and non-road engines and secondary OC (r_s =0.41) and between biomass burning and gasoline vehicles and engines (r_s =0.39) (Table 3). Correlations between sources and tracers are provided in eTable 1; http://links.lww.com/EDE/B580.

Figure 2 presents associations between source concentrations and emergency department visits, with numeric results included in eTable 2; http://links.lww.com/EDE/B580. We note that the magnitude of a 1 µg/m³ increase relative to each source's mass contribution differs markedly between sources. For example, for biomass burning, with the largest mass contribution, a 1 µg/m³ increase represents 38% of its SD, but for coal combustion a 1 µg/m³ represents 725% of its SD. Further, the interpretation of estimates differs for respiratory and cardiovascular outcomes due to the use of different lag structures (lag 0-2 for respiratory outcomes and lag 0 for cardiovascular outcomes).

Averaged across 10 ensemble runs.

b Mean Spearman correlation calculated from all pairwise runs

TABLE 3. Spearman Correlation Coefficients Between Source-Specific PM_{2,5} and Total PM_{2,5} Concentrations (Averaged Across 10 Ensemble Runs)

Pollutant	BURN	COAL	DUST	DV	GV	SOC	PM _{2.5}
BURN	1.00						
COAL	0.24	1.00					
DUST	-0.04	0.15	1.00				
DV	0.06	0.23	0.23	1.00			
GV	0.39	0.09	0.12	0.23	1.00		
SOC	-0.44	0.04	0.30	0.41	-0.09	1.00	
PM _{2.5}	0.18	0.23	0.40	0.46	0.33	0.47	1.00

BURN indicates biomass burning; COAL, primary coal combustion; DUST, dust/resuspended soil; DV, diesel-fueled vehicles; GV, gasoline-fueled vehicles; SOC, secondary

Emergency department visits for respiratory outcomes were consistently and positively associated with biomass burning (Figure 2). For example, for a 1 μg/m³ increase in biomass burning for lags 0-2, the rate of emergency department visits for all respiratory outcomes combined increased 0.4% (95% CI 0.0%, 0.7%) in the single-source model and 0.8% (95% CI 0.4%, 1.1%) when adjusting for other sources. Consistent positive associations across respiratory outcomes were also observed for secondary OC. Among the respiratory outcomes, association estimates were the most uncertain for COPD due to the small number of emergency department visits (an average of 12 per day). Rate ratios for the association between gasoline vehicle source emissions and respiratory outcomes were generally below the null in single-source models and further below the null when adjusting for other sources. Results for the associations between lag 0-7 PM_{2.5} sources and respiratory emergency department visits are provided in eTable 3; http://links.lww.com/EDE/B580 and show similar patterns but wider confidence intervals. Respiratory model results were similar when adjusting for ozone (results not shown).

Compared with respiratory outcomes, we observed less evidence of associations between PM_{2.5} source concentrations and cardiovascular outcomes. One exception was elevated rate ratios for the association between several PM25 sources and ischemic stroke. For example, the rate ratio for the association between an increase of 1 µg/m³ in same-day diesel vehicle emissions and ischemic stroke was 1.013 (95% CI 1.001, 1.025) in single-source models, and 1.009 (95% CI 0.995, 1.023) when adjusting for other sources. Rate ratios were elevated for associations between secondary OC and cardiovascular outcomes, but with less evidence of an association than between secondary OC and respiratory outcomes.

For the same mass concentrations (1 $\mu g/m^3$), the magnitude of associations between total PM2.5 and respiratory and cardiovascular outcomes were smaller than for PM_{2.5} sources. We observed positive associations between total PM_{2.5} and asthma and wheeze [RR (95% CI): 1.001 (1.000, 1.002) per 1 μg/m³], upper respiratory infections [RR (95% CI): 1.001

(1.000, 1.002)], and the combined respiratory outcome group [RR (95% CI): 1.001 (1.000, 1.002)] (Figure 3). We also observed a positive association between total PM_{2.5} and ischemic stroke [RR (95% CI) 1.002 (1.000, 1.003)] (Figure 3). Results were more consistent with no association for other cardiovascular outcomes.

The impact on standard errors due to accounting for the uncertainty in PM_{2.5} source apportionment techniques through multiple imputation varied notably across sources (Table 4). Across all sources, for all respiratory and cardiovascular emergency department visits, the average increase in SE was 18%. Accounting for this uncertainty had the smallest impact on associations with dust emission sources, where the SE increased 2.8% for the all respiratory outcome and 2.0% for the all cardiovascular outcome. The greatest increase in SE when accounting for between model uncertainty was seen for biomass burning and secondary OC reflecting more variability across methods in the estimated source concentrations and resulting health effect estimates from the different source apportionment methods (increase in SE for all respiratory emergency department visits 34.1% for biomass burning and 38.7% for secondary OC). When grouping outcomes, the relative inflation of the standard error was generally larger for all respiratory outcomes than for all cardiovascular outcomes, but this pattern was not seen within disease subgroups (eTable 4; http://links.lww.com/EDE/B580).

For coal combustion, dust, diesel vehicle, and secondary OC sources, results from single-source and all-source models were similar. For biomass burning, adjusting for other PM_{2.5} sources increased rate ratios for respiratory outcomes compared with results from single-source models. The opposite was true for gasoline vehicles where adjusting for other sources resulted in smaller rate ratios for respiratory outcomes than the already below the null rate ratios from single-source models. Inclusion of biomass burning and gasoline vehicles in the same model drove the higher estimates for biomass burning and the lower estimates for gasoline vehicles. Analyses stratified by season showed that this sensitivity of results based on inclusion of biomass burning and gasoline vehicles together

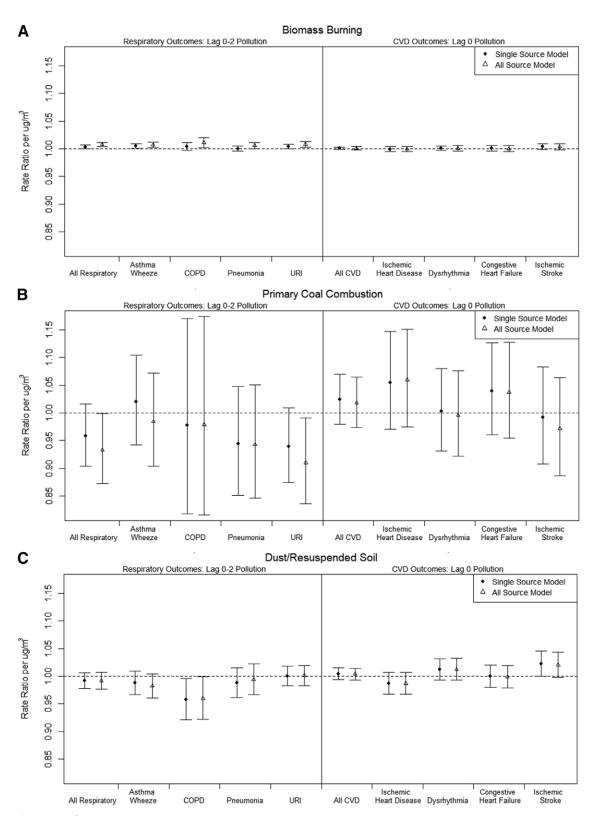


FIGURE 2. (Continued)

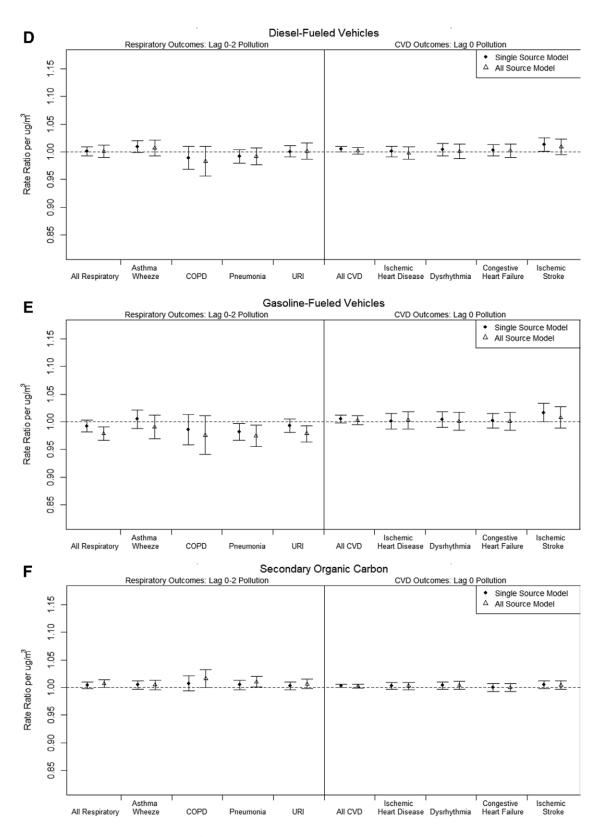


FIGURE 2 Continued. Rate ratios for 1 μ g/m³ increase in source specific PM_{2.5} with emergency department visits: lag 0–2 for respiratory outcomes and lag 0 for cardiovascular disease (CVD) outcomes, results from single- and all-sources models.

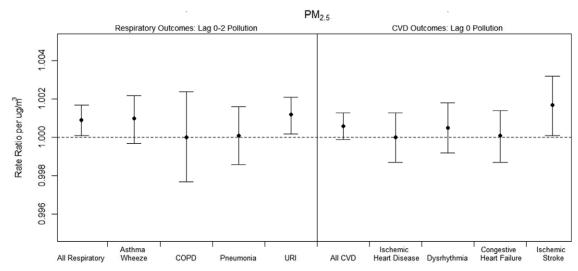


FIGURE 3. Rate ratios for 1 µg/m³ increase in PM_{2.5} with emergency department visits: lag 0–2 for respiratory outcomes and lag 0 for cardiovascular disease (CVD) outcomes.

TABLE 4. Ratios of the Imputation-Corrected SE (That Accounts for Between Imputation Uncertainty) to the Average SE from the Ensemble Runs from Single-Source Models for All Respiratory and All Cardiovascular ED Visits

	Inflation of SE				
Source	All Respiratory	All Cardiovascular			
Biomass burning	1.341	1.164			
Primary coal combustion	1.206	1.260			
Dust/resuspended soil	1.028	1.020			
Diesel-fueled vehicles	1.333	1.112			
Gasoline-fueled vehicles	1.248	1.068			
Secondary organic carbon	1.387	1.044			

Inflation of SE calculated as the SE accounting for both between- and within-model uncertainty divided by the average of the SEs from the 10 models for each sourceoutcome combination (SE accounting for only within-model uncertainty).

SE indicates standard error; ED, emergency department

was most pronounced during winter and autumn. We explored adjusting for zinc, a tracer of gasoline vehicle sources (eTable 1; http://links.lww.com/EDE/B580), in biomass burning models and observed similar results, that is, that in comparison to single-source models point estimates for biomass burning increased and zinc estimates decreased. Additional analyses on single-source biomass burning models showed that high-PM days (days above 25 µg/m³) were not driving the biomass burning associations (the RR for all respiratory outcomes was unchanged when excluding 384 days where PM_{2.5} is >25).

DISCUSSION

Due to the relevance of examining PM_{2.5} source impacts for regulation, examining impacts by source is of interest,⁶ but the uncertainty of source apportionment methods is rarely accounted for in the epidemiologic analysis. In this 12-year time series, we applied four source-apportionment approaches to obtain uncertainty distributions of daily source specific PM_{2,5} concentrations, with wider distributions on days where the source apportionment methods showed more disagreement. We then used these source-specific PM_{2.5} concentration estimates in epidemiologic analyses that propagated the uncertainty of the source apportionment techniques. Our results allow direct comparison of the associations of 1 µg/m³ of PM_{2.5} from different sources. They provide evidence for associations between some respiratory outcomes and biomass burning and secondary organic carbon source concentrations in the past 3 days and respiratory outcomes, and associations between several PM_{2.5} sources and ischemic stroke on the same day. Compared with an approach not accounting for the variability between source-apportionment methods, our approach widened confidence intervals around point estimates, more accurately representing the uncertainty in these model-based approaches to source apportionment.

A contribution of this approach is to add to our insight regarding the possible impacts of different source-apportionment techniques on associations between PM25 sources and health outcomes. The ensemble approach accounts for the fact that there is no preferred source-apportionment technique. The variability in estimated health associations between the four source-apportionment methods that we used is directly incorporated into our results. Accounting for this uncertainty results in a more accurate estimate of the true uncertainty in PM25 source-specific health effects. Previous studies have compared associations based on different source-apportionment approaches⁵ but, to our knowledge, there is only one other method presented in the literature that has a formal incorporation of uncertainty from multiple source-apportionment techniques in health models. The other method, presented by Kioumourtzoglou and colleagues, uses a block bootstrapping approach to combine analyses with inputs

from two receptor-based source-apportionment methods (both factor-analytic approaches).²⁹ Our approach differs from theirs in our accounting for between-method uncertainty and the broader range of source-apportionment methods combined in our ensemble, which include two chemical mass balance approaches (utilizing empirically derived source profiles), one factor analytic approach, and an emissions-based chemical transport model.

In this analysis, we propagated the uncertainty in daily estimated source concentration attributable to source apportionment technique through the epidemiologic models by repeat sampling (10 imputations) from a distribution of source concentrations on each day. When there was more disagreement among methods about source concentration on a given day, that sampling distribution had a higher variance, and when there was less disagreement among methods, that distribution had lower variance. The amount of added uncertainty in the estimated associations (shown in Table 4, eTable 4; http://links.lww. com/EDE/B580) due to accounting for this variability between source-apportionment techniques varied by source because the source-apportionment methods agreed more for some sources than others. For example, for dust and resuspended soil there was high correlation between estimates between the different ensemble runs (Spearman's r_s =0.976, Table 2) reflecting strong agreement between the source apportionment techniques. This high correlation translated to little additional uncertainty when accounting for variability between the health effect estimates, with an inflation of the standard error of ~2% for all respiratory outcomes and all cardiovascular outcomes (Table 4). For other sources, there was less agreement in daily source concentrations between the ensemble estimates, which in turn led to more uncertainty and higher standard errors. For example, for biomass burning the Spearman correlation between ensemble runs was 0.747 (Table 2), and accounting for the variability between point estimates increased the standard error by 34% for all respiratory outcomes and 16% for all cardiovascular outcomes (Table 4). The average inflation in standard errors shown in Table 4 was 18%. Differences in variance inflation by outcome (for a given source) could be explained by differences in sample size between outcomes, with smaller case groups having larger standard errors to begin with and thus smaller relative increase due to the added uncertainty. Additionally, differential impacts on precision by outcome may reflect differences in associations between each source-apportionment method and outcome; for example, if the individual source apportionment methods all yielded identical associations with an outcome, then variability in the ensemble weighting across methods should not inflate the standard errors for this outcome.

Our approach accounts for uncertainty from using an ensemble of source-apportionment methods, but does not account for the uncertainty due to exposure measurement error of the pollution components themselves. We used measurements from a single monitoring site for all receptor-based methods, which is not representative of PM for spatially heterogeneous sources. As a result, the measurement error could differ by source in our analysis. Associations of outcomes with sources with more spatial homogeneity (e.g., SOC) may be more strongly detected due to less spatial error in exposure estimates. We note that one of the four source-apportionment approaches is emissions-based, and thus would be less affected by measurement error at the monitors. These limitations apply to all of our results regardless of direction and magnitude of association.

Results from this study add to the growing evidence that biomass burning is of interest for respiratory health. Examining the association between biomass burning and respiratory disease emergency department visits in four US cities, Krall and colleagues observed elevated relative risks for lag 0 to lag 3 exposure in many of the cities with magnitudes that were often larger than those of the other sources examined.² Ostro and colleagues demonstrated an excess risk of respiratory disease emergency department visits in California with lag 0 and lag 1 biomass burning, but not with lag 2.3 Additional time series studies have observed elevated rate ratios between biomass burning and respiratory disease and pediatric asthma visits in Atlanta, but provide less evidence of an association. ^{1,5} There are also studies emerging suggesting that wildfire smoke, one type of biomass burning, is particularly harmful for respiratory disease.³⁰ We scaled results for all sources, and total PM_{2.5} mass, to a 1 µg/m³ increase despite variable distributions for each source concentration. Our results suggest that for all respiratory outcomes the per unit impact of biomass burning is more harmful than the per unit impact of total PM_{2.5} [RR (95% CI) 1.004 (1.000, 1.007), 1.001 (1.000, 1.002), respectively]. Primary coal combustion and dust/resuspended soil were relatively small contributors to the total PM_{2.5} concentration (contributing 0.9% and 2.4%, respectively) resulting in high levels of uncertainty in estimates per 1 µg/m³ increase. Variability in mass due to these sources may be dwarfed by the larger contributors to PM_{2.5}. We note that correlations between the sources we examined and the other major contributors to PM_{2.5} (ammonium sulfate, ammonium bisulfate, and ammonium nitrate) were low, suggesting that these other PM_{2.5} components excluded from the "all source" models are unlikely to be confounders of the observed associations. With the exception of associations between several sources and ischemic stroke, we observed little evidence of associations between same-day $PM_{2.5}$ source concentrations and cardiovascular disease outcomes.

The observed below the null rate ratios for the association between gasoline-fueled vehicles and respiratory outcomes were unexpected; these associations were furthest below the null when adjusting for biomass burning, the source with which gasoline-fueled vehicles was most highly correlated (r = 0.39). Results were similar from models including elemental zinc instead of gasoline-fueled vehicles, which was highly correlated with the ensemble average of gasolinefueled vehicles (r_s =0.8) and is a tracer of tailpipe emissions. Winter and autumn were the seasons where adjusting for biomass burning had the most impact on gasoline-fueled vehicle results. During these seasons, gasoline fueled vehicle contributions are high (due to mixing height and weather influences) and there may be overlap of gasoline-fueled vehicle and biomass burning source impact estimates, which may partially explain these results. Additionally, since there is substantial anthropogenic organic carbon associated with both gasolinefueled vehicle and biomass burning sources, they may be more difficult to differentiate as cleanly as other sources. With the exception of associations with ischemic stroke, and to some degree asthma for diesel-fueled vehicles, we observed little evidence of associations between mobile source PM_{2.5} (dieselfueled vehicles and gasoline-fueled vehicles) and emergency department visits. Evidence on the health effects of these sources from previous studies is inconsistent with some evidence suggesting associations with cardiovascular and respiratory emergency department visits, while others' results have been less convincing. 1-3,5

In this 12-year time series, we used concentration estimates from four source-apportionment methods to estimate associations between PM_{2.5} source concentrations and respiratory and cardiovascular emergency department visits in Atlanta. Our uncertainty propagation analytic framework allowed us to account for variability between source-apportionment methods and to take into account the uncertainty of each method when determining the weighting in the ensemble averaging. Our results indicate potential associations between biomass burning and respiratory outcomes and between a number of sources and ischemic stroke.

ACKNOWLEDGMENTS

We thank Atmospheric Research and Analysis, Inc., for the use of Southeastern Aerosol Research and Characterization (SEARCH) data.

REFERENCES

- Gass K, Balachandran S, Chang HH, Russell AG, Strickland MJ. Ensemble-based source apportionment of fine particulate matter and emergency department visits for pediatric asthma. Am J Epidemiol. 2015;181:504–512.
- Krall JR, Mulholland JA, Russell AG, et al. Associations between sourcespecific fine particulate matter and emergency department visits for respiratory disease in four U.S. cities. *Environ Health Perspect*. 2017;125:97– 103.
- Ostro B, Malig B, Hasheminassab S, Berger K, Chang E, Sioutas C. Associations of source-specific fine particulate matter with emergency department visits in California. Am J Epidemiol. 2016;184:450–459.
- 4. Bell ML, Ebisu K, Leaderer BP, et al. Associations of PM_{2.5} constituents and sources with hospital admissions: analysis of four counties in Connecticut and Massachusetts (USA) for persons ≥ 65 years of age. Environ Health Perspect. 2014;122:138–144.
- Sarnat JA, Marmur A, Klein M, et al. Fine particle sources and cardiorespiratory morbidity: an application of chemical mass balance and factor analytical source-apportionment methods. *Environ Health Perspect*. 2008;116:459–466.
- Krall JR, Strickland MJ. Recent approaches to estimate associations between source-specific air pollution and health. *Curr Environ Health Rep.* 2017;4:68–78.
- Lee D, Balachandran S, Pachon J, et al. Ensemble-trained PM2.5 source apportionment approach for health studies. *Environ Sci Technol*. 2009;43:7023–7031.

- 8. Balachandran S, Pachon JE,Hu Y, Lee D, Mulholland JA, Russell AG. Ensemble-trained source apportionment of fine particulate matter and method uncertainty analysis. *Atmos Environ*. 2012;61:387–394.
- Balachandran S, Chang HH, Pachon JE, Holmes HA, Mulholland JA, Russell AG. Bayesian-based ensemble source apportionment of PM2.5. Environ Sci Technol. 2013;47:13511–13518.
- Tolbert PE, Klein M, Metzger KB, et al. Interim results of the study of particulates and health in Atlanta (SOPHIA). J Expo Anal Environ Epidemiol. 2000;10:446–460.
- Metzger KB, Tolbert PE, Klein M, et al. Ambient air pollution and cardiovascular emergency department visits. *Epidemiology*. 2004;15:46–56.
- Strickland MJ, Darrow LA, Klein M, et al. Short-term associations between ambient air pollutants and pediatric asthma emergency department visits. Am J Respir Crit Care Med. 2010;182:307–316.
- Hansen DA, Edgerton ES, Hartsell BE, et al. The southeastern aerosol research and Characterization Study: part 1-overview. *J Air Waste Manag Assoc*. 2003;53:1460–1471.
- Zheng M, Cass GR, Schauer JJ, Edgerton ES. Source apportionment of PM2.5 in the Southeastern United States using solvent-extractable organic compounds as tracers. *Environ Sci Technol*. 2002;36:2361–2371.
- Marmur A, Unal A, Mulholland JA, Russell AG. Optimization-based source apportionment of PM2.5 incorporating gas-to-particle ratios. *Environ Sci Technol*. 2005;39:3245–3254.
- Paatero P, Tapper U. Positive matrix factorization: a non-negative factor model with optimal utilization of error estimates of data values. *Environmetrics*. 1994;5:111–126.
- Byun D, Schere K. Review of the governing equations, computational algorithms, and other components of the Models-3 Community Multiscale Air Quality (CMAQ) modeling system. *Applied Mechanics Review*. 2006;59:51–77.
- Ebisu K, Bell ML. Airborne PM2.5 chemical components and low birth weight in the northeastern and mid-Atlantic regions of the United States. Environ Health Perspect. 2012;120:1746–1752.
- Habre R, Moshier E, Castro W, et al. The effects of PM2.5 and its components from indoor and outdoor sources on cough and wheeze symptoms in asthmatic children. *J Expo Sci Environ Epidemiol*. 2014;24:380–387.
- Dai L, Koutrakis P, Coull BA, Sparrow D, Vokonas PS, Schwartz JD. Use
 of the adaptive LASSO method to identify PM2.5 components associated
 with blood pressure in elderly men: the Veterans Affairs Normative Aging
 Study. Environ Health Perspect. 2016;124:120–125.
- Shah AS, Lee KK, McAllister DA, et al. Short term exposure to air pollution and stroke: systematic review and meta-analysis. *BMJ*. 2015;350:h1295.
- 22. Shah AS, Langrish JP, Nair H, et al. Global association of air pollution and heart failure: a systematic review and meta-analysis. *Lancet*. 2013;382:1039–1048.
- Zheng XY, Ding H, Jiang LN, et al. Association between air pollutants and asthma emergency room visits and hospital admissions in time series studies: a systematic review and meta-analysis. *PLoS One*. 2015;10:e0138146.
- 24. Strosnider HM, Chang HH, Darrow LA, Liu Y, Vaidyanathan A, Strickland MJ. Age-specific associations of ozone and fine particulate matter with respiratory emergency department visits in the United States. Am J Respir Crit Care Med. 2019;199:882–890.
- Ye D, Klein M, Chang HH, et al. Estimating acute cardiorespiratory effects of ambient volatile organic compounds. *Epidemiology*. 2017;28:197–206.
- Darrow LA, Klein M, Flanders WD, Mulholland JA, Tolbert PE, Strickland MJ. Air pollution and acute respiratory infections among children 0-4 years of age: an 18-year time-series study. Am J Epidemiol. 2014;180:968–977.
- Peel JL, Tolbert PE, Klein M, et al. Ambient air pollution and respiratory emergency department visits. *Epidemiology*. 2005;16:164–174.
- Rubin DB. Multiple Imputation for Nonresponse in Surveys. New York, N.Y.: Wiley & Sons; 1987.
- Kioumourtzoglou MA, Coull BA, Dominici F, Koutrakis P, Schwartz J, Suh H. The impact of source contribution uncertainty on the effects of source-specific PM2.5 on hospital admissions: a case study in Boston, MA. J Expo Sci Environ Epidemiol. 2014;24:365–371.
- Liu JC, Pereira G, Uhl SA, Bravo MA, Bell ML. A systematic review of the physical health impacts from non-occupational exposure to wildfire smoke. *Environ Res*. 2015;136:120–132.