

## Does Acute Normobaric Hypoxia Induce Anapyrexia in Adult Humans?

Yongsuk Seo,<sup>1</sup> Hayden D. Gerhart,<sup>2,3</sup> Jeremiah Vaughan,<sup>2</sup> Jung-Hyun Kim,<sup>1</sup> and Ellen L. Glickman<sup>2</sup>

### Abstract

Seo, Yongsuk, Hayden D. Gerhart, Jeremiah Vaughan, Jung-Hyun Kim, and Ellen L. Glickman. Does acute normobaric hypoxia induce anapyrexia in adult humans? *High Alt Med Biol.* 18:185–190, 2017.—Exposure to hypoxia is known to induce a reduction in core body temperature as a protective mechanism, which has been shown in both animals and humans. The purpose of this study was to test if acute exposure to normobaric hypoxia (NH) induces anapyrexia in adult humans in association with decreased peripheral oxygen saturation (SpO<sub>2</sub>). Ten healthy male subjects were seated in atmospheres of normobaric normoxia 21% (NN21), NH 17% (NH17), and 13% (NH13) O<sub>2</sub> for 60 minutes in a counterbalanced manner. Rectal temperature (Tre) was continuously monitored together with the quantification of metabolic heat production (MHP) and body heat storage (S). Baseline physiological measurements showed no differences between the three conditions. SpO<sub>2</sub> was significantly decreased in NH17 and NH13 compared with NN21 ( $p \leq 0.001$ ). Tre decreased following 60 minutes of resting in all conditions, but, independent of the conditions, showed no association between Tre and levels of hypoxic SpO<sub>2</sub>. There was also no significant difference in either MHP or S between conditions. The present results showed no evidence of hypoxia-induced anapyrexia in adult humans during 1 hour of resting after exposure to NH either at 13% or 17% O<sub>2</sub>.

**Keywords:** body temperature; heat storage; hypoxia; metabolic heat production

### Introduction

EXPOSURE TO HYPOXIA evokes numerous physiological adjustments to compensate for a low partial pressure of oxygen (O<sub>2</sub>) in the inspired air, leading to insufficient O<sub>2</sub> supply into the body compared with sea level. These compensatory responses include, for example, increases in alveolar ventilation, cardiac output, and sympathetic excitation (Steiner and Branco, 2002; Wilkins et al., 2006).

Hypoxia has also been known to induce a reduction in core body temperature (T<sub>c</sub>), termed hypoxia-induced anapyrexia, which has been experimentally demonstrated in both animal and human studies (Gellhorn and Janus, 1936; Miller and Westheimer, 1966; Schubring, 1986; DiPasquale et al., 2015). This physiologic response is thought to be a protective mechanism of the body against low O<sub>2</sub> availability. Thus, to achieve homeostasis through, for example, a reduction in O<sub>2</sub>

consumption (VO<sub>2</sub>), a leftward shift of the oxyhemoglobin dissociation curve results in improved O<sub>2</sub> delivery to the body (Krogh, 1914; Wood, 1991; Steiner and Branco, 2002).

While the exact underlying physiologic and neuronal pathways governing anapyrexia remain unclear, it has been suggested that a downward resetting of the thermoregulatory set point triggered by peripheral and/or central chemoreflex activation may be a contributing factor (Wood, 1991; Steiner and Branco, 2002). There have also been a number of putative mediators thought to contribute to the development of hypoxia-induced anapyrexia discussed in review articles by Wood (1991) and Steiner and Branco (2002). More recent reports suggest other possible modulators of hypoxia-induced anapyrexia, including neurotransmitters such as hydrogen sulfide, endogenous opioids, nitric oxide, serotonin, adenosine, and dopamine (Scarpellini Cda et al., 2009; Branco et al., 2010; Kwiatkoski et al., 2012).

<sup>1</sup>Centers for Disease Control and Prevention, National Institute for Occupational Safety and Health, National Personal Protective Technology Laboratory, Pittsburgh, Pennsylvania.

<sup>2</sup>Department of Exercise Physiology, Kent State University, Kent, Ohio.

<sup>3</sup>Kinesiology, Health, and Sport Science, Indiana University of Pennsylvania, Indiana, Pennsylvania.

Despite ample evidence in animal studies, the presence of hypoxia-induced anapnoea in adult humans is challenged by equivocal results of bidirectional responses in Tc (Cipriano and Goldman, 1975; Blatteis and Lutherer, 1976; Coste et al., 2009; DiPasquale et al., 2015). Moreover, hypoxia-dependent reduction in Tc is much less clear in some studies due to confounding factors (e.g., acute mountain sickness, cold ambient temperature) and/or as an indirect finding rather than testing for hypoxia-induced anapnoea (Coste et al., 2004, 2009). The recent study by DiPasquale et al. (2015) demonstrated significantly decreased rectal temperature (Tre) in adult humans during acute exposure to normobaric hypoxia (NH) in a thermoneutral ambient condition. In that study, the decrease in Tre was observed to be as much as 0.3°C in less than an hour, with a clear dose–response relationship between peripheral O<sub>2</sub> saturation (SpO<sub>2</sub>) and Tre, leading to a conclusion that SpO<sub>2</sub> is a strong predictor of hypoxia-induced anapnoea. However, their experimental trials of normoxia and hypoxia were not isolated, but carried out on the same day, making it somewhat difficult to characterize Tre responses between conditions. Therefore, there is a possibility of the carryover effect on Tre response to a subsequent hypoxic condition and separate exposures would provide clearer results, although both continuous and separate exposures to hypoxia are considered validated methods (Scott et al., 2008). Furthermore, it is not clear from their results if the observed anapnoea was due to hypometabolism (e.g., reduced metabolic heat production [MHP]), increased heat loss (e.g., greater heat exchange between the body and environment), or a combination of both.

Therefore, the present study tested the hypothesis that acute exposure to NH results in a reduction in Tc predicted by SpO<sub>2</sub> in a dose–response manner to different levels of hypoxia. Furthermore, the present study also quantified MHP and body heat storage (S) to clarify if hypometabolism or increased body heat loss, singly or in combination, contributes to hypoxia-dependent anapnoea.

## Materials and Methods

The Institutional Review Board at Kent State University approved this study and all subjects signed a consent form before participation. The current study was carried out in a repeated measures within-subjects design. The study included three experimental trials: normobaric normoxia (21% O<sub>2</sub>: NN21) and two levels of NH (17% O<sub>2</sub>: NH17 and 13% O<sub>2</sub>: NH13). Experimental trials were counterbalanced and separated by at least 7 days.

### Subjects

Ten healthy nonsmoking men (23.4 ± 3.0 years; 179.5 ± 5.2 cm; 83.3 ± 9.1 kg; 10.7% ± 6.0% body fat) volunteered to participate in the current study. Subjects were excluded if they reported the presence or history of cardiovascular disease, metabolic disorder, respiratory disease, or were exposed to NH or an altitude above 2500 m within 2 months before participation. Women were not included in this study due to established gender difference in thermoregulation (Wagner and Horvath, 1985).

### Experimental procedures

Before participation, subjects underwent prescreening with a medical questionnaire and were introduced to the NH

chamber and the study procedures. Subjects were also instructed to abstain from strenuous exercise, caffeine, and alcohol at least 24 hours before each experimental trial. On the day of the experimental trial, subjects reported to the Exercise Physiology Laboratory at Kent State University at the same time of the day, following a 3-hour self-reported fast intended to stabilize substrate utilization (Ruby and Robergs, 1994). Upon arrival at the laboratory, subjects were dressed in t-shirts and shorts and self-inserted a flexible rectal thermocouple 13 cm past the anal sphincter, after which study instrumentation occurred. Then, subjects sat on a chair quietly for ~30 minutes in a thermoneutral condition (25°C, 40% relative humidity) and baseline measurements of VO<sub>2</sub>, SpO<sub>2</sub>, Tre, and skin temperature (Tsk) were obtained. Following baseline measurements, subjects walked ~3 m into the hypoxic chamber (Colorado Altitude Training, Louisville, CO) and, after ~5 minutes of sensor instrumentation, sat upright on a chair quietly for 60 minutes where the atmospheric O<sub>2</sub> levels were maintained at 21%, 17%, or 13% according to the assigned trial of the day.

### Instrumentation

Tre and Tsk were measured continuously using thermocouples (ITP082-25, Nikkiso; Therm Co., Ltd., Japan) connected to a data logger (Model N543, Nikkiso; Therm Co., Ltd.). Five skin thermocouples were affixed with a transparent permeable film on chest, forearm, thigh, triceps, and calf for the calculation of weighted mean skin temperature (Toner et al., 1985). Consequently, the thermal gradient (TG) between the body core and skin was calculated as follows: Tre–Tsk; and mean body temperature (Tb) was calculated as follows: 0.64 (Tre) + 0.36 (Tsk) (Burton, 1935). VO<sub>2</sub> was measured by indirect open-circuit spirometry (True Max 2400; Parvo Medics, Sandy, UT) and averaged at 1-minute intervals. SpO<sub>2</sub> was measured by digit pulse oximeter (Oxy-Go, Roslyn, NY) at 14–15th, 29–30th, 44–45th, and 59–60th minutes.

Measurement of thermogenesis was determined through calculation of MHP (Cena and Clark, 1981):

$$\frac{\text{VO}_2 \times \left( \left( \frac{\text{RER}-0.7}{0.3} \right) \times e_c \right) + \left( \left( \frac{1-\text{RER}}{0.3} \right) \times e_f \right)}{60} / \text{BSA}$$

where RER is respiratory exchange ratio,  $e_c$  is the caloric equivalent of a liter of oxygen when carbohydrates are oxidized (21.1 kJ), and  $e_f$  is the caloric equivalent of a liter of oxygen when fat is oxidized (19.6 kJ). BSA is the body surface area (m<sup>2</sup>) estimated as  $0.202 \times W^{0.425} \times H^{0.725}$ ; where  $W$  is the weight of body (kg) and  $H$  is the height of body (m).

Body heat storage (S; kJ·m<sup>-2</sup>) was calculated as follows:

$$S = \Delta \text{Tb} \times m \times c \times \text{BSA}$$

where  $m$  is the body mass (kg) and  $c$  is the average heat capacity of the body (3.48 kJ/kg/°C).

### Statistical analyses

Using a statistical software package (SPSS v.19.0), two-way (three conditions by five time points) repeated measures analysis of variance (ANOVA) was performed to ascertain the effect of hypoxia on dependent variables. When ANOVA

indicated a significant interaction, *post hoc* pair-wise comparison with least significant differences was performed. Statistical significance was set at  $p < 0.05$  and all data are presented as mean  $\pm$  standard deviation (SD).

## Results

Baseline measurements showed no differences in any dependent variables between conditions (NN21, NH17, and NH13).

There was a significant condition by time interaction for  $SpO_2$  ( $F = 18.924$ ,  $p \leq 0.001$ ) so that  $SpO_2$  was significantly

decreased immediately following exposure to hypoxia in NH17 (6% reduction) and NH13 (13% reduction), but not in NN21, and remained unchanged during 60 minutes of resting with a clear dose–response to the severity of hypoxia ( $p \leq 0.001$ ).

There was no significant interaction found for Tre ( $F = 1.658$ ,  $p = 0.124$ ), and Tre tended to decrease in all conditions by  $0.2^\circ\text{C} \pm 0.1^\circ\text{C}$ ,  $0.3^\circ\text{C} \pm 0.1^\circ\text{C}$ , and  $0.3^\circ\text{C} \pm 0.2^\circ\text{C}$  following 60 minutes of resting compared with baseline in NN21, NH17, and NH13, respectively (Fig. 1A). Tsk was also unchanged following 60 minutes of resting compared with baseline in NN21, NH17, and NH13, respectively (Fig. 1B), and therefore no significant difference was observed in TG trending a reduction by  $0.3^\circ\text{C} \pm 0.6^\circ\text{C}$ ,  $0.5^\circ\text{C} \pm 0.9^\circ\text{C}$ , and  $0.5^\circ\text{C} \pm 0.7^\circ\text{C}$  in NN21, NH17, and NH13, respectively (Fig. 1C).

Consequently, no meaningful association was identified between  $SpO_2$  and Tre; NN21 ( $R^2 = 0.352$ ,  $p = 0.071$ ), NH17 ( $R^2 = 0.021$ ,  $p = 0.693$ ), and NH13 ( $R^2 = 0.155$ ,  $p = 0.260$ ) (Fig. 2A); or between  $SpO_2$  and  $VO_2$ ; NN21 ( $R^2 = 0.011$ ,  $p = 0.772$ ), NH17 ( $R^2 = 0.036$ ,  $p = 0.598$ ), and NH13 ( $R^2 = 0.001$ ,  $p = 0.938$ ) (Fig. 2B).

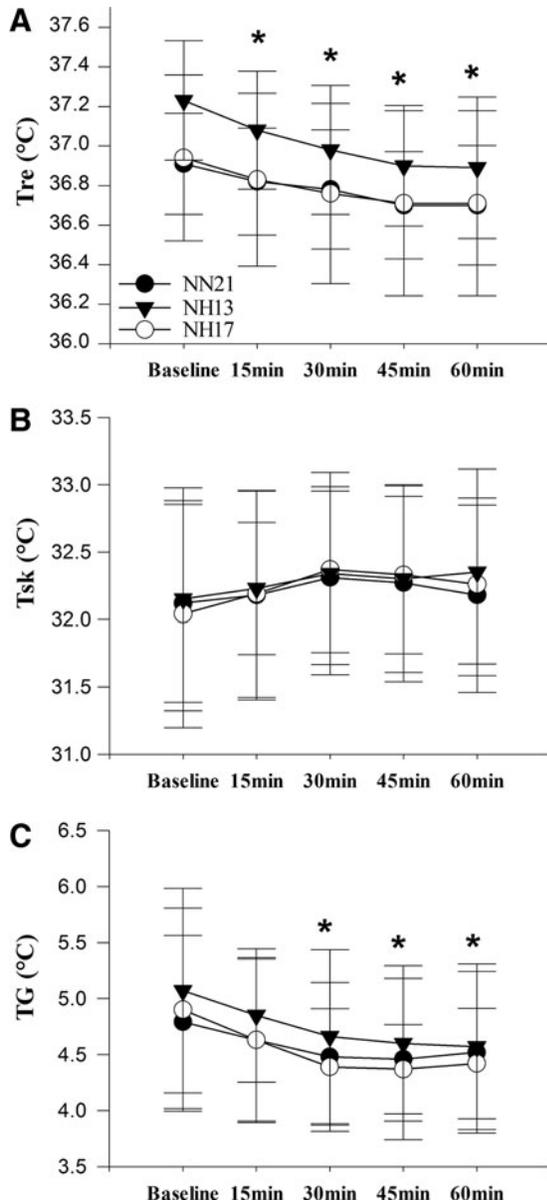
There was no significant interaction found for either MHP ( $F = 0.531$ ,  $p = 0.829$ ) or S ( $F = 1.344$ ,  $p = 0.254$ ) such that MHP was reduced by  $4.4 \pm 11.0$ ,  $1.3 \pm 16.6$ , and  $6.6 \pm 8.7 \text{ W} \cdot \text{m}^{-2}$  (Fig. 3A) and S was reduced by  $44.1 \pm 31.7$ ,  $103.1 \pm 159.8$ , and  $122.6 \pm 89.9 \text{ kJ} \cdot \text{m}^{-2}$  following 60 minutes of resting compared with baseline in NN21, NH17, and NH13, respectively (Fig. 3B). Therefore, no evidence of hypoxia-induced hypometabolism or increased heat loss leading to a significant reduction in Tre was observed.

## Discussion

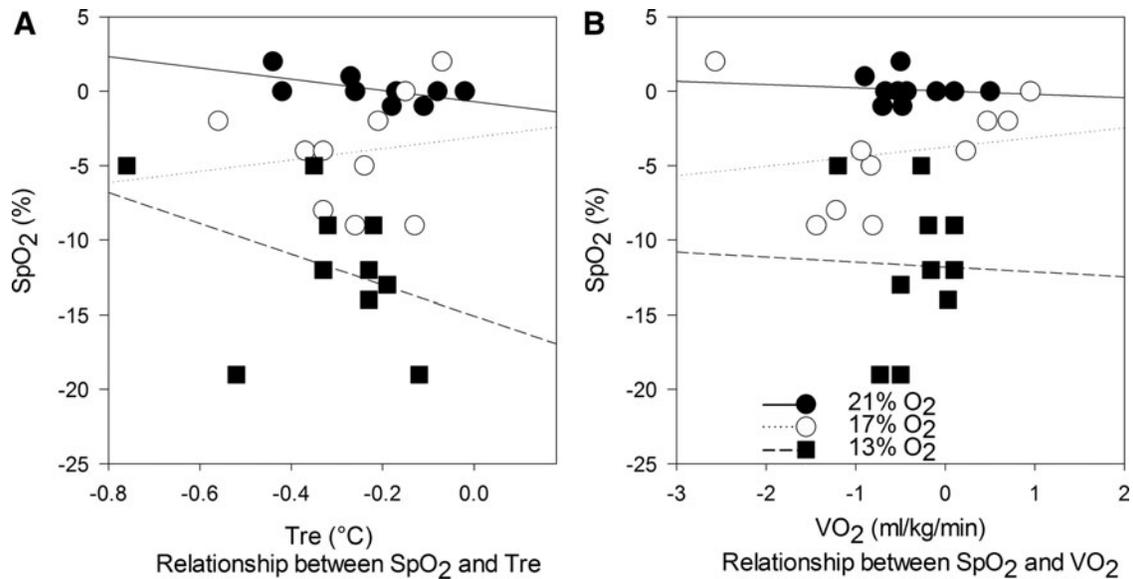
The present study was conducted to determine hypoxia-induced reduction in Tc in adult humans as well as to quantify thermoregulatory effector responses to acute exposure to different severities of NH. Contrary to previous findings (DiPasquale et al., 2015), the current results showed neither significant hypoxia-induced reduction in Tre nor meaningful association between  $SpO_2$  and Tre during 1 hour of passive exposure to NH13 and NH17. Furthermore, there were no significant signs of hypometabolism or increased heat loss as a possible effector pathway for anapyrexia when determined by MHP and S, respectively.

The present findings support a previous study that showed reduction in Tre was more dependent on ambient temperature, but not significantly influenced by altitude in thermoneutral ambient temperatures (e.g.,  $21^\circ\text{C}$  and  $26.5^\circ\text{C}$ ), without a noted difference in heat production, heat loss, or core-skin gradient between sea level and altitudes of 2500 and 5000 m (Cipriano and Goldman, 1975). Other studies, although not quite aimed at testing hypoxia-induced anapyrexia, were also in line with the present results, in that no difference in Tre between sea level and altitudes (e.g., 3330 and 4360 m) in ambient temperature of  $26^\circ\text{C}$  was noted (Blatteis and Lutherer, 1976) and Tre increased following 8 hours of exposure to a simulated altitude of 2438 and 3657 m in adult humans (Coste et al., 2009).

On the contrary, a study by Robinson and Haymes (1990) observed a significant reduction in Tre during 90 minutes of breathing 12%  $O_2$  in neutral ( $25^\circ\text{C}$ ) and cold ( $8^\circ\text{C}$ ) conditions, together with reduced  $O_2$  uptake. This result suggests that anapyrexia might occur in adult humans and results, in part, from reduced metabolic rate, while a degree of reduction



**FIG. 1.** (A) Rectal temperature and (B) mean skin temperature and (C) thermal gradient between Tre and Tsk response to 60 minutes of resting in NN21, NH17, and NH13 conditions; \* $p \leq 0.05$  compared with baseline at given time point, mean  $\pm$  SD. No significant condition by time interaction between the conditions. NH, normobaric hypoxia; NN, normobaric normoxia; SD, standard deviation; Tre, rectal temperature; Tsk, skin temperature.

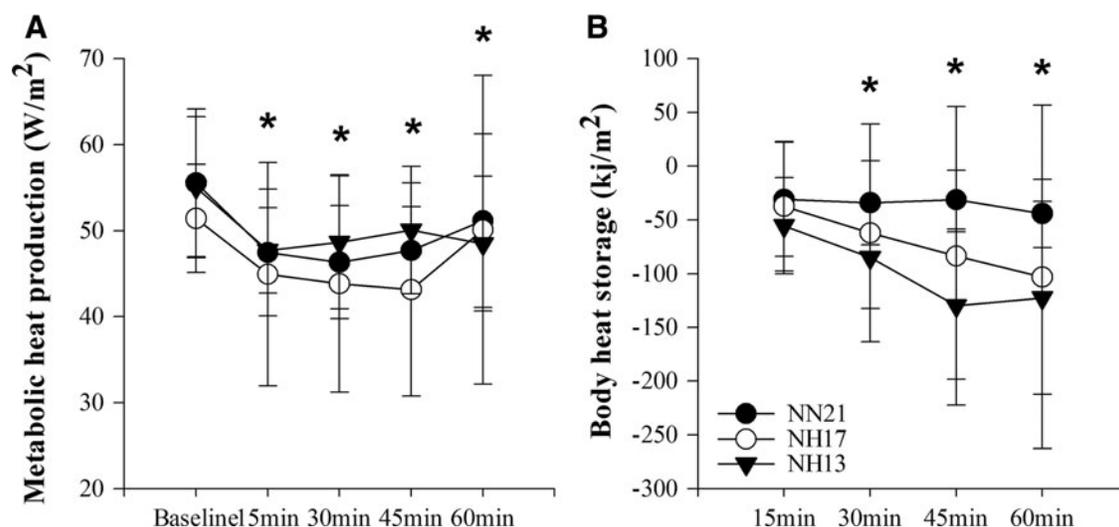


**FIG. 2.** (A) Relationship between changes in SpO<sub>2</sub> and Tre and (B) relationship between changes in SpO<sub>2</sub> and VO<sub>2</sub> across three conditions. SpO<sub>2</sub>, peripheral oxygen saturation; VO<sub>2</sub>, oxygen uptake.

in Tre was not specified in their report. A more recent study by DiPasquale et al. (2015) reported that Tre was significantly reduced by a mean of 0.13°C and 0.25°C following only 30 minutes of exposure to 14% and 12% O<sub>2</sub>, respectively. These reported reductions in Tre during NH, apart from the previously mentioned shortcoming in their study design, are seemingly small changes and similar to Tre responses in the present results (0.2°C–0.3°C). Such a small reduction in Tre may be the body's natural response to transitioning into a resting state. In fact, nonexercise activity thermogenesis has been reported to be lowered by ~9% during motionless seating than standing, likely due to a decreased muscle tone (Levine et al., 2000). In addition, it is plausible that vasomotor adjustments occurred during rest in the thermally comfortable environment so that elevated cu-

aneous vasodilation alters blood distribution between the core and skin, leading to a temporal decrease in Tre. This decrease might have been incorrectly interpreted as anapyrexia. Nonetheless, a responsible mechanism(s) leading to a consistent reduction in Tre during rest in both normoxia and hypoxia in this study is not clear.

Apart from the debate on the existence of thermoregulatory set point (Cabanac, 2006), we hypothesized that hypoxia-induced anapyrexia, through the downward resetting of the thermoregulatory set point (Wood, 1991; Steiner and Branco, 2002), would be more pertinent to a chronic long-term exposure to hypoxia. Thus, we speculated that either decreased thermogenesis or increased heat loss, singly or in combination, would be responsible for reduction in Tre during an acute exposure to hypoxia, which were also previously documented



**FIG. 3.** (A) MHP and (B) body heat storage response to 60 minutes of resting in NN21, NH17, and NH13 conditions; \* $p \leq 0.05$  compared with baseline at given time point, mean  $\pm$  SD. No significant condition by time interaction between the conditions. MHP, metabolic heat production.

for human neonates and other mammals (Gellhorn and Janus, 1936; Miller and Westheimer, 1966; Schubring, 1986; Barros et al., 2001; Tattersall and Milsom, 2003; Scott et al., 2008; Mortola and Maskrey, 2011; Cadena and Tattersall, 2014). Contrary to our expectation, no significant differences in MHP or S were observed between the conditions regardless of Tre responses. This indicated that such observations in other mammals and human neonates may have limited translational value in adult humans. Nonetheless, it is important to emphasize that most reported cases of hypoxia-induced anapyrexia are shown in small animals, including human neonates, in a time-dependent manner (Schubring, 1986; Barros et al., 2001; Tattersall and Milsom, 2003; Scott et al., 2008; Mortola and Maskrey, 2011; Cadena and Tattersall, 2014). This implies that the intensity of Tb reduction is likely dependent on the body size as well as on the duration of exposure, more specifically body surface area-to-mass ratio, which is a major factor in dry heat exchange. Therefore, a significant Tb reduction in adult humans (if hypoxia-induced alteration in metabolism and/or heat loss plays a main role) may be difficult to accomplish during a short period of hypoxic exposure due to a smaller surface area-to-mass ratio.

In conclusion, we did not observe hypoxia-induced anapyrexia in adult humans during 1 hour of resting exposure to NH either at 13% or 17% O<sub>2</sub>. Additionally, there were no significant changes in either resting thermogenesis or heat loss in NH compared with normoxia. Further studies are warranted to determine if the present results would differ under different conditions (e.g., temperature effect of hypoxemia brought about by extended duration [ $>60$  minutes] of normobaria at various levels of hypoxia; effect of NH and hypobaric hypoxia on Tc, thermogenesis, and heat loss responses). Future studies are also suggested to examine the role of other previously proposed mediators (Steiner and Branco, 2002; Branco et al., 2010) for hypoxic anapyrexia in adult humans. Measurement of these putative mediators, along with the assessment on thermoregulatory responses in a longer duration of hypoxic exposure, may provide a better understanding of hypoxic anapyrexia in adult humans.

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### Disclaimer

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### Author Disclosure Statement

No competing financial interests exist.

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Address correspondence to:

*Jung-Hyun Kim, PhD*

*Centers for Disease Control and Prevention*

*National Institute for Occupational Safety and Health*

*National Personal Protective Technology Laboratory*

*626 Cochrans Mill Road*

*Pittsburgh, PA 15236*

*E-mail: inr3@cdc.gov*

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