

OCCUPATIONALLY INDUCED AIRWAYS OBSTRUCTION

Eric Garshick, MD, MOH, Marc B. Schenker, MD, MPH,
and James A. Dosman, MD

Exposure to a variety of inorganic dusts, organic dusts, and gases and fumes may result in chronic airflow obstruction as well as chronic cough and phlegm (chronic bronchitis) independent of cigarette smoking. This article provides an overview of the occupations most at risk for the development of airflow obstruction and chronic bronchitis as a result of workplace exposure and the agents most likely responsible.

IDENTIFYING OCCUPATIONALLY RELATED AIRWAY DISEASES

An occupational respiratory hazard may be identified as a result of a case report, such as in occupational asthma, or by epidemiologic methods. Epidemiologic studies allow the recognition of patterns of disease attributable to an exposure by comparing the occurrence of disease between exposed and unexposed individuals and by evaluating the importance of potential confounding factors such as cigarette smoking. Cross-sectional or prevalence studies of workers actively employed in an industry are a common study design but tend to underestimate the prevalence and extent of disease because workers particularly af-

From the Pulmonary and Critical Care Section, Harvard Medical School and Brockton/West Roxbury Veterans Affairs Medical Center, West Roxbury, Massachusetts (EG); the Department of Community and International Health, University of California Davis, Davis, California (MBS); and the Department of Medicine, University of Saskatchewan, Saskatoon, Saskatchewan, Canada (JAD)

ected by an exposure may have left the workplace before the completion of the survey (the "healthy worker effect").

Longitudinal studies are more difficult to conduct than are cross-sectional surveys but have the advantage that pulmonary function measurements are compared within individuals, thus reducing variability between individuals unaccounted for by age, stature, adjustment for cigarette smoking, and other potential confounding factors.⁵ Exposed workers tested at the beginning of a longitudinal study have had better lung function than unexposed workers of the same age,^{80, 101} with an effect of exposure found only after follow-up. A community-based or general population-based epidemiologic study has the advantage that individuals can be studied without the potential for exclusion based on disability or illness. Recent studies have demonstrated an association between broadly based exposure histories obtained in general population surveys (dusts, gases, fumes) with chronic bronchitis and airflow obstruction.^{1, 2, 59, 67, 85, 88, 89, 121, 122, 129}

CHRONIC AIRFLOW OBSTRUCTION IN INDUSTRY-BASED AND WORKPLACE-BASED STUDIES OF MINERAL DUST EXPOSURES

Coal Mining

Pulmonary function and respiratory symptoms have been extensively studied among coal miners in relation to measurements of dust exposure. Exposure to coal dust may result in chronic bronchitis and chronic airflow obstruction unrelated to simple coal workers' pneumoconiosis or to progressive massive fibrosis.

Chronic Bronchitis

In studies of American⁸⁴ and British¹⁰⁵ miners, chronic bronchitis was related to respirable particle exposure. Pathology studies have also demonstrated a relationship between lifetime respirable dust exposure and bronchial mucous gland thickness.⁴⁷

Marine and coworkers,⁹⁶ reanalyzing a cohort of British miners initially studied by Rogan and colleagues,¹⁰⁵ found that the prevalence of bronchitis ranged from 16.1% to 56.2% among smokers and was 6.4% to 44.4% in nonsmokers depending on the extent of dust exposure and age (Fig. 1). The joint contribution of cigarette smoking and dust exposure on the occurrence of bronchitis was generally additive. Respirable dust exposure was high by current standards, with levels of 3.1 to 3.7 mg/m³ considered intermediate and exposures of 6.1 to 7.2 mg/m³ considered high. In contrast, the U.S. standard for respirable dust in a coal mine was set at 3.0 mg/m³ in 1970 with a reduction to 2.0 mg/m³ in 1973.¹¹⁰ Lifetime dust exposure was expressed as gram-hours per cubic meter of sampled air (gh/m³). Over 30 years of mine work,

workers with intermediate exposure would have a cumulative exposure of approximately 174 gh/m³, and highly exposed workers would have a cumulative exposure of 348 gh/m³ (see Fig. 1).

A cohort of 1185 U.S. miners who started working in 1970 or later was studied by Seixas and colleagues¹¹⁰ in 1985 through 1988 to assess the health effects of dust exposure under the new standards introduced in 1970. Cumulative dust exposure was still a significant predictor of chronic cough, chronic phlegm, and chronic bronchitis. The prevalence of chronic bronchitis among current smokers was 29.2%, among ex-smokers was 15.3%, and among never-smokers was 16.1%. These results indicate that dust exposure in coal mines under the current standards still results in chronic bronchitis.

Airflow Obstruction

Lifetime exposure to respirable dust in a coal mine is a significant predictor of a reduced forced expiratory volume in 1 second (FEV₁), adjusting for cigarette smoking.¹⁰⁵ The relationship between dust exposure and FEV₁ is not related to the radiographic category of simple coal workers' pneumoconiosis. The average dust exposure experienced by a cohort of British miners (174 gh/m³) resulted in a 100-mL decrement in FEV₁ (0.6 mL per gh/m³), equivalent to smoking 20 cigarettes per day or aging 2 years.¹⁰⁵ An interaction between age and dust exposure indicated that there was a greater effect of dust on FEV₁ loss in younger workers compared with older workers. There was no interaction between smoking and dust exposure.

Love and Miller⁹¹ subsequently analyzed longitudinal change in FEV₁ in a subset of these miners. Dust exposure occurring over an 11-year follow-up period was not a predictor of FEV₁ decline but previous exposure was, further suggesting that exposure to dust in younger workers is an important determinant of subsequent lung function loss. A worker with an exposure of 174 gh/m³ would be predicted to have an average decline in FEV₁ of 63 mL attributable to exposure, slightly less than in the cross-sectional survey reported by Rogan and colleagues¹⁰⁵ for the same dust exposure. A worker with the average dust exposure in the group studied by Love and Miller⁹¹ (117 gh/m³) would have an 11-year decrement in FEV₁ of 42 mL (3.8 mL/y) because of exposure, less than the average effect of smoking (122 mL or 11 mL/y). Workers with greater exposure, however, would be predicted to have a longitudinal decline in FEV₁ similar to smoking.

Marine and coworkers⁹⁶ calculated the prevalence of reduced values of FEV₁ in the cohort studied by Rogan and colleagues¹⁰⁵ (Fig. 2). The prevalence of workers with a reduction in FEV₁ depended on the extent of exposure, age, and smoking status. Among smokers age 55 to 64, the prevalence of an FEV₁ less than 80% of predicted ranged from 36.2% to 56.2%. Among nonsmokers the same age, the prevalence of an FEV₁ less than 80% of predicted ranged from 0 to 31.6% depending on the extent of dust exposure (see Fig. 2). The prevalence of a greater reduction in

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Figure 1. Prevalence of bronchitis in British miners according to smoking status and cumulative respirable dust exposure expressed in gram-hours per cubic meter (see text). N = number of men in exposure groups. (*Adapted from* Marine WH, Gurr D, Jacobsen M: Clinically important respiratory effects of dust exposure and smoking in British coal miners. *Am Rev Respir Dis* 137:106–112, 1988; with permission.)

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Figure 2. Prevalence of FEV₁ < 80% and FEV₁ < 60% of predicted in British miners according to smoking status and cumulative respirable dust exposure expressed in gram-hours per cubic meter (see text). Predicted values for FEV₁ were calculated based on nonsmoking workers without chronic bronchitis. N = number of men in exposure groups. (From Marine WH, Gurr D, Jacobsen M: Clinically important respiratory effects of dust exposure and smoking in British coal miners. *Am Rev Respir Dis* 137:106–112, 1988; with permission.)

FEV₁ (<65% of predicted) was 23% among smokers and 14% among nonsmokers age 55 to 64 with the greatest lifetime dust exposure.

Hurley and Soutar⁶⁸ identified a subgroup of 199 British coal miners with bronchitic symptoms who had left the industry before retirement age and determined that these workers had experienced a greater effect of dust exposure than workers previously studied.^{91, 105} There was approximately a 2 mL per gh/m³ decrement in FEV₁, leading to a decrement in FEV₁ of roughly 350 mL for an exposure of 174 gh/m³.

Among Eastern U.S. surface coal miners,⁵¹ the prevalence of significant airflow obstruction, defined as a decrease in the ratio of FEV₁ to forced vital capacity (FVC) more than 2 standard deviations below predicted for age, was 6.6% among nonsmokers and 18.9% among smokers. In another group of American miners, the prevalence of an FEV₁-to-FVC ratio more than 2 standard deviations below predicted was 6.3% among nonsmokers, 13.8% among ex-smokers, and 17.8% among smokers.⁸⁴

Among U.S. miners hired since 1970, lifetime respirable dust exposure was still a significant predictor of lower levels of FVC, FEV₁, and FEV₁/FVC measured in 1985 through 1988, despite more stringent dust standards and lower exposures.^{110, 111} The greatest effect of exposure on lung function was during the initial period of study when a parallel decrement in FVC and FEV₁ was observed without a decrease in FEV₁/FVC. Soutar and Hurley¹¹⁷ also found a parallel decrement in FVC and FEV₁ because of dust exposure in nonsmoking coal miners, whereas smoking miners had an obstructive abnormality. The mechanisms responsible for the greater initial effects of exposure on lung function, also noted by Rogan and colleagues¹⁰⁵ and Love and Miller,⁹¹ and the parallel effect of exposure on FVC and FEV₁ in some studies have not yet been determined. The studies reported by Fairman and associates,⁵¹ Kibelstis and colleagues,⁸⁴ and Marine and coworkers⁹⁶ suggest that nonsmokers as well as smokers develop an obstructive abnormality because of coal dust exposure.

Pathology Studies

Autopsy studies have demonstrated an excess of emphysema in coal miners compared with control groups.^{35, 108} The presence of centriacinar emphysema is associated with increasing evidence of simple coal workers' pneumoconiosis, progressive massive fibrosis, greater amounts of dust retained in the lung,¹⁰⁷ and a reduction in FEV₁.¹⁰⁸ Lifetime respirable coal dust exposure was a predictor of centriacinar emphysema only in the setting of palpable fibrotic lung lesions, suggesting an association between fibrosis (coal workers' pneumoconiosis) and the presence of emphysema. Because decrement in FEV₁ in epidemiologic studies of coal miners occurs independently of radiographic grade of pneumoconiosis, it is likely that the pathologic correlate of a reduction in FEV₁ in coal miners is not exclusively attributable to emphysema.

Gold Mining

Chronic Bronchitis

South African gold miners are exposed to dust with a greater silica content (30% to 75%) than are coal miners. In 1967, Sluis-Cremer and coworkers¹¹⁵ reported that the prevalence of bronchitis in currently smoking gold miners was 50.5% versus 28% in controls and in ex-smokers was 15.9% versus 6.6% in controls, but in nonsmokers there was little difference (8.2% in the miners and 6.7% in the comparison group). Wiles and Faure,¹²⁶ studying a larger cohort and using measurements of respirable dust, demonstrated that the prevalence of bronchitis increased in current smokers, ex-smokers, and nonsmokers with cumulative exposure, with evidence of a survivor or a healthy worker effect (Fig. 3). Additionally, Australian gold miners working underground for 20 years or more had a fivefold elevated risk of bronchitis compared with nonminers.⁶⁶

Airflow Obstruction

Analyses of the cohort of gold miners described by Wiles and Faure¹²⁶ demonstrated that greater amounts of lifetime respirable dust



Figure 3. Bronchitis prevalence and respirable dust level in 2209 South African gold miners aged 45 to 54 years. At greater cumulative exposure levels (equivalent to approximately 25 years at a moderate level of exposure), the prevalence of bronchitis did not increase further and decreased in some groups, suggestive of a survivor or healthy worker effect. (Adapted from Wiles FJ, Faure MH: Chronic obstructive lung disease in gold miners. In Walton WH, McGowan B (eds): *Inhaled Particles IV*, part 2. Oxford, Pergamon Press, 1977, pp 727–735; with permission.)

exposure were associated with a decrease in FEV₁, FVC, FEV₁/FVC, and mean forced expiratory flow over the middle half of the vital capacity (FEF_{25%-75%}) after adjustment for smoking and were not related to the presence of silicosis.^{62, 63, 71} Based on a regression model, a 50-year-old gold miner exposed over 24 years to an average level of 0.3 mg/m³ (14.4 gh/m³) of respirable dust would have an excess FEV₁ loss of 236 mL (approximately 10 mL/y). For miners in the highest quartile of exposure (22 gh/m³), the FEV₁ loss because of dust would be 361 mL (15 mL/y). For a 30-pack-year smoker, the average effect of smoking would be a decrement in FEV₁ of 552 mL, an effect roughly double the average effect of dust exposure. The combined effect of smoking and dust exposure on FEV₁ was additive.

A study in 1197 black South African gold miners gave similar results.³⁷ Years of dust exposure, pack-years of cigarette smoking, and profusion of silicotic nodules on radiography were independent predictors of a reduced FEV₁, FEV₁/FVC, and FEF_{25%-75%}. The mean decrement in FEV₁ per year of dust exposure was 8 mL, or 200 mL over 25 years.

Pathology Studies

Autopsy studies of South African gold miners have also demonstrated an excess of emphysema related to dust exposure.^{7, 64} Years of work in high-dust areas of the mine and cumulative dust exposure were significant predictors for emphysema after adjusting for smoking and age. Silicosis grade was not a significant predictor of emphysema in one smaller study⁷ (86 workers), whereas in other larger study⁶⁴ (1553 workers), a significant association was noted between silicosis and centriacinar emphysema.

Few nonsmoking gold miners, however, had significant emphysema.^{64, 65} When these gold miners were analyzed separately from the smokers, the extent of emphysema was not associated with years of mining or cumulative dust exposure. These results suggest that the association between dust exposure and pathology evidence of emphysema in gold miners applies only in miners who smoke.

Other Mineral Dust Exposed Workers

Chronic Bronchitis and Airflow Obstruction

Other Miners. Among hard rock mining industry workers exposed to dust and fumes from zinc, copper, and nickel mining and processing,⁹⁵ with respirable dust exposure of underground workers ranging from 0.2 to 4.8 mg/m³ (median value of 0.5 mg/m³, 6% to 9% silica), the prevalence of cough and phlegm (symptoms of bronchitis) was more frequent among current smokers and never-smokers in underground miners than in controls. In an additional 7-year longitudinal study of 972 hard rock miners and smelter workers,⁹⁴ there was a decline in FEV₁ varying

between 33 and 69 mL per year for workers in various job categories. The decline in FEV_1 was of similar magnitude to that attributable to smoking.

Among hard rock miners (molybdenum miners in Colorado) studied 5 to 11 months after the closure of their mine and not currently employed,⁸⁶ there was an increase in total lung capacity (TLC) and residual volume (RV), a decrease in single-breath carbon monoxide diffusion capacity adjusted for alveolar volume (DLCO/VA), and a decrease in expiratory flow rates with cumulative dust exposure in smokers. In nonsmoking miners, there was a decrease in TLC and RV and an increase in DLCO/VA with cumulative exposure. Maximal expiratory flows were increased when flow rates were compared at equivalent lung volumes, suggesting a restrictive rather than an obstructive abnormality attributable to dust exposure in nonsmoking miners.

Asbestos Exposure. Evidence of airflow obstruction attributable to asbestos exposure has been described by Becklake and coworkers.⁶ Small airway abnormalities have been noted. In asbestos workers with normal values for FEV_1 and FEV_1/FVC and normal chest radiographs, but with heavy dust exposure, maximal expiratory flows at any transpulmonary pressure were less over the range of lung volume than in workers with less exposure.⁷⁵ In nonsmoking asbestos workers with asbestosis compared with nonsmoking workers without asbestosis, there were reductions in flow at low lung volumes.^{8, 9}

Other Mineral Exposures. Wollastonite is a fibrous monocalcium silicate used in the manufacture of wall tile, ceramics, grinding wheels, and refractory products. In a study of 108 workers in a wollastonite mine and mill, lifetime dust exposure was a significant predictor of a lower FEV_1 , FEV_1/FVC , and peak flow rate adjusting for smoking and age.⁵⁷ Kauffmann and coworkers^{79, 80} reported on a decline in FEV_1 of 51 to 65 mL per year (Table 1) among Paris-area factory workers attributable to occupational exposure to various mineral and plant dusts compared with 42 mL per year in unexposed workers.

Mixed Dust, Gas, and Fume Exposures. In a study among 861 foundry workers, the prevalence of phlegm production for at least 3 months out of the year was elevated among both current smokers and nonsmokers in high dust exposure areas relative to workers with less exposure.⁷⁷ An excess of bronchitis has also been reported among coke industry workers.^{92, 123}

Welding produces a mixture of gases, particles, and metal oxide fumes. Much of the fume is of respirable size. Cross-sectional studies of pulmonary function have demonstrated a decrement primarily of mid-flow rates suggestive of an effect in the smaller airways.¹¹² Elevated rates of bronchitis and an increased yearly decline in FEV_1 also have been reported among smoking and nonsmoking welders.^{32, 112}

Pathologic Studies

The earliest changes of asbestosis in animals are peribronchiolar inflammation with compression of the small airways.¹⁰ Pathology evi-

Table 1. FEV₁ SLOPE ADJUSTED FOR AGE, SMOKING, AND FEV₁ LEVEL ACCORDING TO OCCUPATIONAL EXPOSURE IN PARIS AREA WORKERS WITH DUST EXPOSURE

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From Kauffmann F, Drouet D, Lellouch J, et al: Occupational exposure and 12-year spirometric changes among Paris area workers. Br J Ind Med 39:221-232, 1982; with permission.

dence of small airway abnormalities also has been noted in human studies, in which lung biopsy in three workers demonstrated macrophages and mononuclear cells in the alveoli as well as in the interstitium surrounding the small airways.⁹ The small airways were distorted and narrowed, with thickened walls. Fibrosis of the walls of the respiratory bronchioles and alveolar ducts with pigment deposition was present.¹²⁷ At autopsy, long-term Canadian chrysotile miners had more fibrosis of the alveolar ducts and respiratory bronchioles than controls without occupational dust exposure matched on age and smoking history.¹²⁸

Hard rock miners as well as workers in other dusty occupations (foundry worker, metal machinist, welder, construction worker) have pathologic changes in the airways similar to the changes in the airways of asbestos-exposed workers, with fibrosis in the walls of the alveolar ducts and respiratory bronchioles and pigment deposition.^{33, 34} Among individuals who had a lobectomy or pneumonectomy because of lung cancer,⁸³ fibrosis and goblet cell metaplasia in the walls of the terminal bronchioles of workers with mineral dust exposure (>10 years) were present.

CHRONIC AIRFLOW OBSTRUCTION IN INDUSTRY-BASED AND WORKPLACE-BASED STUDIES OF ORGANIC DUST EXPOSURES

Grain Dust

Grain dust includes particles of grain as well as various insect and rodent parts and excreta, soil (including quartz), mites, and fungi.

Exposure to grain dust may cause acute reversible airflow obstruction (asthma) as well as shift-related and harvest season-related decrements in lung function.⁷⁴ Broder and coworkers¹⁶ described a minimal restrictive defect rather than an obstructive defect in association with an increase in grain elevator work over 2.5 months. Respiratory symptoms such as cough and sputum production also occur soon after hire in grain workers, and symptoms improve after layoffs occur.^{16, 18} Workers who do not develop respiratory symptoms such as cough and sputum production are more likely to stay on the job, consistent with a healthy worker effect.¹⁵

Chronic Bronchitis

Surveys of various groups of grain industry workers have found an excess of bronchitic symptoms attributable to exposure.^{17, 24, 43, 44} Dosman and coworkers^{43, 44} demonstrated that among lifetime nonsmoking and currently smoking Saskatchewan grain workers, chronic bronchitis was present in 3.5% of nonsmoking community controls, 16.5% of nonsmoking grain workers, 25% of smoking controls, and 36.5% of smoking workers—a roughly additive effect of exposure and smoking on the prevalence of chronic bronchitis. DoPico and colleagues⁴¹ studied 300 U.S. grain elevator workers and found that 30% of the nonsmokers had symptoms of chronic bronchitis. Average 8-hour total dust levels in these elevators were quite high and ranged from 10.3 to 253 mg/m³, with 66% greater than 15 mg/m³, the dust standard at the time.

Among grain workers studied by Chan-Yeung and coworkers²⁴ in five surveys of active workers between 1976 and 1988, the prevalence of respiratory symptoms was compared with a control group of civic workers at each survey. With the exception of wheeze in two of the surveys (Table 2), the smoking-adjusted and age-adjusted relative odds of the grain workers reporting cough, phlegm, wheeze, or dyspnea were consistently elevated. Average lifetime exposure was calculated for workers studied in the 1988 survey, and after adjustment for age and smoking, the prevalence of chronic cough and chronic wheeze increased with increasing exposure.⁶⁹

Airflow Obstruction

Multiple studies in grain dust-exposed workers have consistently related chronic exposure to lower levels of lung function. In a cross-sectional study, Dosman and coworkers^{36, 42} reported that years of work as grain elevator operator was a predictor of a lower FEF_{25%-75%}, with an additive effect of smoking on FEF_{25%-75%}. A longitudinal study of workers in the Canadian grain industry (studied in three cycles—1978–1981, 1981–1984, and 1984–1987¹⁰⁰) demonstrated that the decline in FEV₁ among nonsmoking grain workers was greater than reported for a sample of nonsmokers recruited from six U.S. cities (Table 3).¹²⁴ The grain workers exhibited a similar loss in FVC, suggestive of a restrictive

Table 2. ODDS RATIOS AND 95% CONFIDENCE INTERVALS (IN PARENTHESES) FOR RESPIRATORY SYMPTOMS IN BRITISH COLUMBIA GRAIN WORKERS COMPARED WITH CIVIC WORKERS, FIVE CROSS-SECTIONAL STUDIES, 1976–1988*

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From Chan-Yeung M, Dimich-Ward H, Enarson D, et al: Five cross-sectional studies in grain elevator workers. Am J Epidemiol 136:1269–1279, 1992; with permission.

rather than obstructive defect. Studies in British Columbia grain terminal workers also suggest the development of a restrictive rather than an obstructive defect because of chronic grain dust exposure.^{24, 69} The average effect of each milligram per cubic meter of grain dust on FVC and FEV₁ was equivalent to smoking one sixth of a pack of cigarettes per day. Lung function loss was unrelated to atopy or respiratory symptoms.¹¹⁸ Sufficient prospective data were not available to assess whether nonspecific bronchial responsiveness was a risk factor prospectively.

Table 3. FEV₁ DECLINE BY AGE GROUP IN NONSMOKING GRAIN ELEVATOR WORKERS COMPARED WITH POPULATION-BASED ESTIMATES FROM NONSMOKERS IN SIX U.S. CITIES*

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From Pahwa P, Senthilselvan A, McDuffie HH, Dosman J: Longitudinal estimates of pulmonary function decline in grain workers. Am Rev Respir Crit Care Med 150:656–662, 1994; with permission.

The pathologic correlate of the decrease in FVC and FEV₁ in grain workers is unknown, and the component of the grain dust responsible is unknown. In the studies by Chan-Yeung and coworkers,²⁴ radiographic films were obtained during the initial survey, and no evidence of pneumoconiosis was noted to account for this apparent restrictive ventilatory defect. During the studies previously noted, the Canadian standard for an 8-hour time-weighted average for exposure to grain dust was 10 mg/m³. These results suggest that this standard may not be adequate because workers with average exposure below 10 mg/m³ had an excess decline in lung function attributable to exposure. An alternative explanation is that an additional, unmeasured factor is also responsible. For example, in Dutch animal feed workers, airborne endotoxin levels were a stronger predictor of a reduced FEV₁ than were dust levels.¹¹⁶

Cotton Dust

Byssinosis and Chronic Bronchitis

Cotton dust is a mixture that is present in the air after handling or processing cotton and contains plant matter, bacteria, fungi, soil, and other contaminants. Inhalation of cotton, flax, or hemp dust is associated with byssinosis, a syndrome characterized by chest tightness and shortness of breath occurring on the Monday morning back at work. Cigarette smoking increases the prevalence of byssinosis,^{12, 99} with one study demonstrating a more than additive effect of smoking in association with high dust levels.⁹⁷ Symptoms are generally associated with a reversible drop in FEV₁ across a work shift.^{70, 132} Workers with byssinosis are likely to exhibit nonspecific bronchial hyperresponsiveness,⁵² and atopy is a risk factor for developing an acute decrement in FEV₁ and bronchial responsiveness following cotton dust exposure.⁷³

North Carolina textile workers studied in the early 1970s revealed a dose-response relationship between dust levels and the prevalence of byssinosis and between dust levels and drop in FEV₁ over 6 hours on a Monday following 2 days away from work.^{97, 98} Exposure to cotton dust is associated with symptoms of chronic bronchitis that tend to coexist with byssinosis.^{12, 70, 97, 99}

A dose-response relationship between airborne endotoxin concentrations and drop in FEV₁ independent of cotton dust concentrations has been noted.^{22, 23} Kennedy and coworkers⁸¹ studied Shanghai cotton mill workers and demonstrated a dose-response relationship between endotoxin levels and cross-shift change in FEV₁.

Airflow Obstruction

In active and retired South Carolina textile workers, similar decrements in FVC and FEV₁ were found, suggestive of a restrictive rather

than an obstructive defect.¹⁴ The effect of smoking and working as a textile worker was additive in the prediction of a reduced FVC and FEV₁.³ Lung function loss was greater than in a control group in a 6-year follow-up study⁴; however, the study population may have been self selected on the basis of respiratory symptoms, and the use of this particular control group has been questioned.^{38, 120}

The decline in FEV₁ in Lancashire cotton mill workers was not related to dust levels and was similar to that in a group of workers from a control mill.¹¹ In ex-Lancashire cotton workers, the effect of past work in the carding section of a mill was a decrement in FEV₁ of 150 to 180 mL compared with workers without dust exposure, and for all job categories, the FEV₁ was 2% to 8% less compared with unexposed workers.⁵⁰

Cotton yarn manufacturing workers studied at least three times over 3 to 5 years had an annual decline in FEV₁ 1.5 times that of other cotton textile workers (cotton slashing or weaving) despite having lower cumulative dust exposures, suggesting differences in dust potency or the effect of an unmeasured factor.⁵⁵ Similar findings were noted for FVC, but the decline was less than the FEV₁, consistent with the development of an obstructive defect. There was an interaction between exposure and smoking, with current smokers exhibiting the greatest effects of exposure on lung function. Cross-shift change in FEV₁ was also a predictor of longitudinal decline in FEV₁.⁵⁶

Pathologic Studies

The available studies do not suggest that cotton dust exposure results in pathologic evidence of emphysema.¹⁰⁴ The changes described are those of chronic bronchitis.^{48, 49}

Agricultural and Farm Workers; Other Organic Dusts

Agricultural workers are potentially exposed to a variety of gases as well as various organic and inorganic dusts. Farmers and farm workers are exposed to silica and various nonfibrous silicates in soils and to minerals used as fillers in pesticides. Workers in animal confinement houses work in an enclosed environment that is often poorly ventilated with large numbers of animals in a relatively small space. In addition to the organic dust that is present (grain, animal, mites, insect, bacterial and fungal products) the animals produce large amounts of manure and urine that decompose. Gases given off include hydrogen sulfide, ammonia, and methane. Silo workers may also be exposed to nitrogen dioxide as well as to dust related to feed storage.

Chronic Bronchitis

Pig farmers and swine containment workers have an increased risk of chronic bronchitis.^{46, 72, 131} Chronic cough and chronic bronchitis in

swine producers have been related to endotoxin levels measured in swine containment buildings but were not related to measurements of respirable dust.¹³⁰ In a study of animal feed workers, the odds ratios for chronic cough and chronic phlegm were elevated in individuals with 2 to 10 years of work in the animal feed industry.¹¹⁶ Dosman and colleagues⁴⁵ have demonstrated an increased prevalence of respiratory symptoms in grain farmers.⁴⁵ Manfreda and associates,⁹³ however, found no association between work as a grain farmer and cough, phlegm, or chronic bronchitis. Similarly, Gamsky and coworkers⁵³ studied 759 California Central Valley field workers and found a low prevalence of chronic cough (1.6%) and chronic phlegm (5.1%), but chronic cough was independently associated with agricultural work. Other organic dusts associated with bronchitis are wood dusts and dust from flour processing.^{113, 114}

Airflow Obstruction

Manfreda and coworkers⁹³ found little evidence of an effect of farming on pulmonary function in a study of grain farmers. In contrast, Dosman and colleagues⁴⁵ demonstrated a reduction in FEV₁ and FVC and an increased FEV₁/FVC in farmers growing mainly wheat and other field crops. Similarly, in California grape workers, a reduction in FVC but not FEV₁/FVC was noted, suggestive of a restrictive defect rather than an obstructive defect.⁵⁴ Pulmonary function abnormalities described in swine production workers have included a reduction in FEV₁/FVC, FEV₁, and FEF_{25%-75%} relative to a control group¹³¹ as well as reduced FEV₁ and FVC with a preserved FEV₁/FVC.⁴⁶ Endotoxin levels in swine containment buildings were significantly related to a reduced FVC but not FEV₁.¹³⁰ Dust exposure and exposure to ammonia were also predictors of changes in pulmonary function over a work week.³⁹

In a study of 63 nonsmoking South African bakery workers and 63 nonsmoking controls who worked in a bottling plant, there were significantly lower values for FEV₁ and FEV₁/FVC among the bakery workers.¹¹⁴ Wood workers with respiratory symptoms had significantly lower values for FEV₁ and FEV₁/FVC compared with other workers who were not symptomatic.²¹ Shamssain¹¹³ studied 145 nonsmoking workers exposed to wood dust in the furniture industry in South Africa and compared their pulmonary function with nonsmoking controls and had similar findings. The workers exposed to wood dust had lower values for FEV₁, FEV₁/FVC, and FVC.

EXPOSURE TO GASES AND FUMES

Brooks and others^{19, 119} have described reactive airways dysfunction syndrome (RADS), the asthmalike syndrome that may develop following exposure to high concentrations of an irritant gas, vapor, fume, or dust. Workers exposed to high concentrations of sulfur dioxide may develop this syndrome and exhibit persistent bronchial hyperresponsiveness. In

one study of six men followed after exposure, the greatest decrease in FEV_1 , FEV_1/FVC , and FVC occurred at 1 week postexposure.⁵⁸ Four weeks postexposure, there was a slight improvement, but over the next 4 years a persistent obstructive pattern was noted with a mean FEV_1 of roughly 60% of predicted.

After acute gassing with sulfur dioxide in a paper mill, autopsies in two workers revealed denudation of airway mucosa and hemorrhagic alveolar edema. Among three survivors, pulmonary function tests 116 days after the event revealed that one subject had a severe obstructive defect with an FEV_1 of 61% of predicted 1 day after exposure falling to 38% of predicted, one person was asymptomatic with normal tests, and one person had mild airflow obstruction.³¹

Workers in a copper smelter more chronically exposed to sulfur dioxide did not have an accelerated loss of FEV_1 .¹⁰⁶ In a cross-sectional survey in a pulp and paper mill, workers exposed to gases including sulfur dioxide also did not have decrements in pulmonary function compared with other workers.²⁹ In workers from a Berlin, New Hampshire paper mill who had experienced episodes in which they were overcome by gases or fumes (called *gassing* episodes, involving sulfur dioxide, hydrogen sulfide, or chlorine), however, the average effect of reporting a history of gassing was a decrement in FEV_1 of 292 mL, a decrement in FVC of 195 mL, and a decrease in FEV_1/FVC of 5% relative to other workers.⁶¹ Among workers who had been gassed in a pulp mill (mainly exposed to chlorine gas), there was a higher prevalence of wheezing and lower values of FEV_1/FVC .⁸⁵ In an additional study of pulp mill workers repeatedly exposed to chlorine, an 18- to 24-month follow-up identified a subset with persistent respiratory symptoms, airflow obstruction, and bronchial hyperresponsiveness.¹³ Chan-Yeung and associates²⁷ further described three pulp mill workers with persistent asthma after multiple gassing episodes.

Acute chlorine exposure alone has resulted in persistent airflow obstruction and bronchial hyperreactivity or in airflow obstruction that can resolve.^{30, 40, 103, 109} Weill and coworkers¹²⁵ studied 12 subjects for up to 7 years after exposure following a freight car derailment and found no appreciable abnormality. Similarly, Jones and coworkers⁷⁶ studied 60 workers over a 6-year period acutely exposed after a train derailment and found no new cases of asthma, no increase in respiratory symptoms, and no unexpected decline in FEV_1 . Severe airflow obstruction persistent over 12 years and bronchiectasis have also been noted following acute ammonia inhalation.^{78, 90} Thus, the long-term consequence of acute exposure to a gas or fume may result in persistent airflow obstruction and an asthmalike syndrome, or there may be resolution of initial abnormalities.

CHRONIC PERSISTENT OCCUPATIONAL ASTHMA

Occupational asthma is discussed elsewhere in this issue. Despite removal of the worker from workplace, persistent asthma has been

described in asthma because of toluene diisocyanate, Western red cedar, and colophony as well as in crab processors.^{26, 102} Chan-Yeung and coworkers²⁸ described Western red cedar workers with occupational asthma who remained symptomatic on average 3.5 years after leaving work, suggesting that the early diagnosis of occupational asthma may prevent subsequent airflow obstruction. Persistent asthma may result in irreversible airflow obstruction.²⁰

COMMUNITY SURVEYS

Chronic Bronchitis

Korn and coworkers⁸⁵ reported on the association between respiratory symptoms and occupational exposures to dust or gases and fumes in a random sample of 8515 adults age 25 to 74 residing in six U.S. cities. Lifetime occupational histories were obtained by interviewer. The prevalence of any occupational dust exposure was 45% among the men and 19% among the women; 14% of the men and 10% of the women reported gas or fume exposure. A history of occupational dust exposure, history of exposure to gases or fumes, and the combined history of exposure were significant predictors of chronic cough, chronic phlegm, persistent wheeze, and breathlessness (Table 4). On repeat assessment 3 and 6 years later, workers with current exposure to dust or gases and fumes had a greater prevalence of respiratory symptoms compared with workers in whom exposure had ceased.

Studies have been done in general population samples from France,⁸⁹ the Netherlands,^{59, 60} Poland,⁸⁷ Italy,¹²² China,¹²⁹ Denmark,¹²¹ and Norway.² Each study gave similar results with an increased odds of

Table 4. ODDS RATIOS AND 95% CONFIDENCE INTERVALS (IN PARENTHESES) FOR CHRONIC OBSTRUCTIVE PULMONARY DISEASE* AND RESPIRATORY SYMPTOMS IN SIX U.S. CITIES

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From Korn RJ, Dockery DW, Speizer FE, et al: Occupational exposure and chronic respiratory symptoms: A population based study. *Am Rev Respir Dis* 136:298-304, 1987; with permission.

reporting symptoms of chronic bronchitis, breathlessness, and wheeze after adjustment for age and potential confounding factors such as cigarette smoking (Table 5). Heederik and colleagues^{59, 60} defined a syndrome called *chronic nonspecific lung disease* based on respiratory symptoms such as regular cough, phlegm, or wheezing and a clinical diagnosis of chronic obstructive pulmonary disease (COPD) by a physician. There was regular follow-up over 25 years (1960–1985). The rate ratio for the occurrence of chronic nonspecific lung disease over 25 years because of exposure to dust, gas, or fumes reported in 1960 was significantly elevated at 1.4 (95% confidence interval [CI] = 1.07, 1.85) adjusting for confounders.

Xu and coworkers¹²⁹ and Korn and colleagues⁸⁵ specifically addressed the interaction of smoking and occupational exposures and found that the joint effect was not more than additive. These authors also noted that with increasing years of exposure to dust, the greater the relative odds of reporting chronic cough, chronic phlegm, breathlessness, and persistent wheeze.

Airflow Obstruction

Korn and coworkers⁸⁵ found that the relative odds of a decreased FEV₁/FVC (<0.60) adjusting for smoking, age, and sex was significantly elevated in individuals from six U.S. cities reporting dust exposure and combined dust and gas exposure. The results of various studies of airflow obstruction and occupational exposure are summarized in Table 6. In 8692 men in France, Krzyzanowski and Kauffmann⁸⁹ found that dust exposure was a significant predictor of a decrease in FEV₁/FVC. In a study in a general population sample near Venice, Viegi and coworkers¹²² found that men exposed to dusts, fumes, or chemicals had an increased odds of an FEV₁/FVC less than 0.70 (odds ratio = 1.45; 95% CI = 1.03, 2.45). Krzyzanowski and coworkers⁸⁸ in a study in Poland found an excess average loss of function attributable to dust exposure of 6.9 mL per year in a 13-year longitudinal study. In Norway, there were increased odds of either asthma or COPD in those with dust, fume, or gas exposure.¹ Humerfelt and coworkers⁶⁷ reported that multiple occupational exposures to various dusts and gases resulted in an excess decline in FEV₁. Dust exposure and gas and fume exposure were independent predictors of reduced levels of FVC, FEV₁, FEV₁/FVC, and FEF_{25%–75%} in a sample of the general population of Beijing.¹²⁹ Korn and associates⁸⁵ found no interaction between smoking and occupational exposure on the odds of a FEV₁/FVC less than 0.60. Xu and coworkers,¹²⁹ however, found a multiplicative effect between smoking and dust exposure on a reduced FEV₁/FVC suggesting that smokers were more susceptible to the effects of dust.

Table 5. RELATIONSHIP BETWEEN OCCUPATIONAL EXPOSURE TO DUST AND GAS/FUMES IN THE GENERAL POPULATION AND SELECTED RESPIRATORY SYMPTOMS ADJUSTED FOR AGE AND SMOKING

Reference	Population	Exposure	Health Effect	Odds Ratio	95% Confidence Interval
Krzyzanowski and Kaufmann ⁴⁹	8692 men in France	34% to dust, gas, or fumes	Chronic cough Chronic bronchitis Wheezing	1.36 1.53 1.63	1.18, 1.57 1.27, 1.85 1.45, 1.83
Viegi et al ¹²²	7772 women in France	23% to dust, gas, or fumes	Chronic cough Chronic bronchitis*	1.37 2.09	1.09, 1.73 1.41, 3.12
	1027 men near Venice age 18-64	30.9% to dusts, chemicals, or fumes	Wheezing Chronic cough Chronic phlegm	1.70 1.69 1.64	1.45, 1.83 1.17, 2.43 1.14, 2.36
Heederik et al ⁵⁸	804 Dutch men 40-59 in 1960, followed to 1985	29.7% to dust, gas, or fume in 1960	Persistent wheeze	1.41	0.94, 2.01
Krzyzanowski and Jedychowski ³⁷	1132 men in Poland surveyed in 1968, 1973, 1981, age 19-60 in 1968	21.8% to dust both in 1968 and 1973	Episodes of respiratory symptoms or diagnosis of COPD	1.40†	1.07, 1.85
Bakke et al ²	2220 men and 2249 women in Norway age 15-70	45.7% men, 11.9% women dust or gas	Chronic phlegm reported in 1973 or 1981	2.10	1.50, 3.00
Vesbo et al ¹²¹	698 men in Denmark surveyed in 1974 and 1985, mean age 56 in 1974	195 cement workers 360 other blue collar workers	Chronic cough† Phlegm with cough Breathlessness	1.80 1.90 1.70	1.40, 2.20 1.60, 2.20 1.40, 2.20
Xu et al ²⁹	1762 men and 1844 women age 40-69 in Beijing, China	37.7% dust in men; 27% dust in women	Cough in 1974 and 1985 Cough in 1974 and 1985	2.60 1.37	1.04, 6.51 0.58, 3.24
		24% gas/fume in men; 14.4% gas/fume in women	Chronic cough† Chronic phlegm Breathlessness Persistent wheeze	1.32 1.27 1.39 1.02	1.09, 1.59 1.07, 1.50 1.16, 1.65 0.77, 1.36
			Chronic cough† Chronic phlegm Breathlessness	1.17 1.21 1.31	0.94, 1.46 1.00, 1.48 1.06, 1.61
			Persistent wheeze	1.62	1.18, 2.21

*10 or more years' exposure.

†Rate ratio.

‡Adjusted for sex.

COPD = Chronic obstructive pulmonary disease.

Table 6. RELATIONSHIP BETWEEN OCCUPATIONAL EXPOSURE TO DUST AND GAS/FUMES IN THE GENERAL POPULATION AND PULMONARY FUNCTION ADJUSTED FOR AGE AND SMOKING

Reference	Population	Exposure	Health Effect	Outcome
Krzyzanowski and Kauffmann ⁸⁹	8692 men in France	34% to dust, gas, or fumes	FEV ₁ /FVC	Significant predictor of a decreased FEV ₁ /FVC
Viegi et al ¹²²	1027 men near Venice age 18-64	43% to dusts, chemicals, or fumes	Odds of FEV ₁ /FVC <70% or FEV ₁ <70%	OR = 1.45 95% CI = 1.03, 2.05
Krzyzanowski et al ⁸⁸	759 men in Poland studied 1968-1981	30% exposed to dust in 1968	FEV ₁ slope between 1968-1981	Slope = 6.9 mL/y related to dust exposure
Bakke et al ¹	714 men and women in Norway age 18-73	3.5% with high exposure; 26.8% moderate exposure to dusts, fumes, or gases	Odds of asthma or COPD* (see text)	High exposure—OR = 3.60; 95% CI = 1.30, 9.90 Low exposure—OR = 1.40; 95% CI = 0.90, 3.00
Xu et al ²⁹	1094 men and women age 40-69 in Beijing, China	27.8% dust only 8% fume or gas only 15.6% both	FEV ₁ ; FEF _{25%-75%} FEV ₁ /FVC* FVC; FEV ₁ *	Dust exposure a predictor of reduced levels Decrease with greater categories of exposure
Humerfelt et al ⁶⁷	911 men age 22-54 in Norway followed from 1965-1970 through 1988-1990	Self-report of exposure at initial survey; 43% with dust, gas, or fume	FEV ₁ slope	Increased slope for workers exposed to multiple agents; slope of 1.09 mL/y per agent
			FEV ₁ /FVC <0.65	OR = 1.3; 95% CI = 0.80, 2.20 for any dust, gas, fume exposure

*Adjusted for sex.

FEV₁/FVC = Forced expiratory volume in 1 second/forced vital capacity; OR = odds ratio; CI = confidence interval; COPD = chronic obstructive pulmonary disease; FEF_{25%-75%} = forced expiratory flow, midexpiratory phase.

SUMMARY

The studies reviewed in this article indicate the association of occupational exposure to a variety of organic and inorganic dusts and various gases and fumes with chronic bronchitis and decrements in FEV₁. Usually an obstructive pattern was noted, although in some occupations a similar decrement in FVC was noted. The effect of smoking on chronic bronchitis, respiratory symptoms, and FEV₁ was usually additive, although workers exposed to cotton dust in one study⁵⁵ demonstrated an interaction between exposure and smoking, as did a study of a general population sample.¹²⁹ In coal workers, exposure to dust in younger workers resulted in a greater decline in lung function than if the exposure occurred in older workers. Studies in coal miners and grain workers further suggest that occupational standards in effect are not sufficient to protect the working population from adverse effects.

The magnitude of the effect of occupation on decrement in FEV₁ is usually less than cigarette smoking. Studies in coal miners⁶⁸ indicate, however, that a minority of workers could be more severely affected by exposure. When considered together with cigarette smoking, additional decrements in lung function because of occupational exposure could contribute to disability. Additional study is needed for better understanding of exposure-response relationships, host factors, potential interaction with cigarette smoking, and the pathophysiology of the development of occupationally induced airway disease.

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Address reprint requests to

Eric Garshick, MD, MOH
 Pulmonary and Critical Care Section
 Brockton/West Roxbury Veterans Affairs Medical Center
 1400 VFW Parkway
 West Roxbury, MA 02132