

The Current Status of Nickel Carcinogenesis *

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ABSTRACT

More than 327 cases of lung cancer and 115 cases of nasal cancer have been documented among workmen who were occupationally exposed to inhalation of nickel compounds. Epidemiological studies in several countries have demonstrated that the prevalence of cancers of the respiratory tract among nickel refinery workers has been significantly greater than among the general population. Certain nickel compounds (Ni^0 , $\text{Ni}(\text{CO})_4$, Ni_3S_2) have been shown to be carcinogenic following administration to rodents by inhalation and/or by parenteral routes. Several biochemical alterations have been identified in experimental animals following exposures to carcinogenic nickel compounds. These alterations are similar to the changes which develop in animals following exposures to various other chemical carcinogens. Nickel carcinogenesis affords an attractive experimental model for research into the mechanisms of tumor induction. In addition to the serious carcinogenic hazards of nickel inhalation for industrial workers, nickel carcinogenesis may constitute a risk for the general population. Nickel has been detected in the gaseous phase of cigarette smoke, suggesting that nickel may be one of the carcinogenic constituents of tobacco. The presence of nickel in implanted therapeutic devices and prostheses may also represent a possible carcinogenic hazard for man.

Introduction

In 1932, a question was raised in the English House of Commons regarding an apparent propensity of workmen at the Mond Nickel Works in Clydach, Wales for

the development of nasal cancer.^{41, 42, 94} An investigation by the Chief Inspector of Factories led to the registry of 10 cases of cancer of the nose and paranasal sinuses.¹² By 1937, Baader² reported that 17 cases of nasal cancer and 19 cases of lung cancer had occurred among the Welsh nickel workers. During the ensuing years, studies in several countries substantiated the prevalence of cancers of the respiratory tract among nickel workers, and investigations in various species of experimental animals confirmed the carcinogenicity of certain

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TABLE I
CANCERS OF THE RESPIRATORY TRACT AMONG NICKEL WORKERS

| Author | Date | Country | Major Industrial Process | Previously Unreported Respiratory Cancers | |
|-----------------------------------|----------|---------|--------------------------|--|--------|
| | | | | Lung | Nose |
| Bridge ¹² | 1933 | Wales | Nickel carbonyl | | 10 |
| Baader ³ | 1937 | Wales | Nickel carbonyl | 19 | 7 |
| Barnett ³ | 1949 | Wales | Nickel carbonyl | 63 | 30 |
| Loken ^{65, 66} | 1950, 56 | Norway | Smelting; electrolysis | 5 | |
| Rockstroh ⁸⁷ | 1958 | Germany | Smelting; electrolysis | 45 | |
| Morgan ⁷⁶ | 1958 | Wales | Nickel carbonyl | 49 | 14 |
| Sutherland ¹¹³ | 1959 | Canada | Smelting; electrolysis | 19 | 7 |
| Passey ⁸³ | 1962 | Wales | Nickel carbonyl | 16 | |
| Znamenskii ¹³³ | 1963 | USSR | Smelting | "several" | "many" |
| Tatarskaya ^{116, 117} | 1965, 67 | USSR | Electrolysis | 3 | 6 |
| Tsuchiya ¹²¹ | 1965 | Japan | Not specified | 19 | |
| Bourasset & Galland ¹¹ | 1966 | France | Ni-plating | | 1 |
| Mastromatteo ⁷¹ | 1967 | Canada | Smelting; electrolysis | 16 | 9 |
| Touraine & Rambaud ¹¹⁹ | 1968 | France | Ni-Cr plating | 1 | |
| Saknyn & Shabynina ⁸⁹ | 1970 | USSR | Smelting | "many" | |
| Morgan ⁷⁷ | 1972 | Wales | Nickel carbonyl | 28 | 17 |
| Pedersen ^{85a} | 1973 | Norway | Smelting; electrolysis | 43 | 14 |
| Present report | 1973 | USA | Ni-grinding & polishing | 1 | |
| Totals: | | | | >327 | >115 |

nickel compounds. In 1968, nickel carcinogenesis was reviewed in the proceedings of a Seminar on Occupational Diseases of the Chest.¹⁰² The goal of this paper is to bring the topic up-to-date, focusing particular attention upon the avenues whereby carcinogenic nickel compounds may gain entry into target cells, and upon the possible mechanisms whereby nickel may initiate neoplastic transformation.

Respiratory Cancers Observed in Nickel Workers

In table I are listed the cases of respiratory cancers which have been documented among workmen who were exposed to inhalation of nickel compounds. To date, more than 327 cases of lung cancer and 115 cases of nasal cancer have occurred among nickel workers in Wales, Norway, Germany, France, Russia, Japan, Canada and the United States. Some of these workmen were also exposed to other metals, including

arsenic, chromium, and cobalt. However, inhalation of nickel apparently has been the major common factor in these industrial exposures, and most of the authors have inferred that some nickel compound was the principal carcinogen. Controversy has existed regarding the chemical nature of the carcinogenic nickel compound(s). Consideration as possible carcinogenic agents has been directed particularly upon respirable particles of metallic nickel, nickel sulfides, nickel oxides and upon the vapor of nickel carbonyl. Since respiratory cancers have occurred at nickel refineries and factories which performed diverse metallurgical operations (e.g., smelting, sintering, electrolytic separations and the Mond nickel carbonyl process), it is unlikely that any one nickel compound can be implicated as the sole carcinogenic factor. More probably, several nickel compounds are carcinogenic for man following chronic exposures by inhalation.

TABLE II
PREVALENCE OF RESPIRATORY CANCER AMONG NICKEL WORKERS

| Author | Date | Country | Years Studied | Exposure Group | Prevalence Ratio* | |
|----------------------------------|------|---------|---------------|-----------------|-------------------|------|
| | | | | | Lung | Nose |
| Hill ⁵² | 1939 | Wales | 1929-1938 | All workers | 16 | |
| Doll ^{21, 22} | 1958 | Wales | 1938-1956 | All workers† | 4.9 | 196 |
| | | | | Mond process‡ | 7.1 | 297 |
| | | | | Other workers | 3.4 | 119 |
| Sutherland ¹¹² | 1959 | Canada | 1930-1957 | All workers | 2.2 | 37 |
| Tsuchiya ¹²¹ | 1965 | Japan | 1957-1958 | All workers | 2.2 | |
| Mastromatteo ⁷¹ | 1967 | Canada | 1930-1965 | All workers | 2.9 | 96 |
| | | | | Cupola furnace§ | 4.4 | 197 |
| | | | | Other workers | 1.8 | 21 |
| Saknyn & Shabynina ⁸⁹ | 1970 | USSR | 1955-1967 | All workers | 1.8 | |
| Doll et al ²³ | 1970 | Wales | Before 1910 | All workers | 9.5 | 308 |
| | | | 1910-1914 | All workers | 10.5 | 870 |
| | | | 1915-1919 | All workers | 5.7 | 400 |
| | | | 1920-1924 | All workers | 6.3 | 116 |
| | | | 1925-1944 | All workers | 1.3 | |

* Multiple of age-specific male death rates from lung or nose cancers.

† From 1938 to 1956, 25.6 percent of all deaths among nickel workers in Glamorganshire, Wales were due to lung cancer, and 9.9% were due to nasal cancer.

‡ Men whose last employment was in the Mond nickel carbonyl process.

§ Men who worked ≥ 3 years in the cupola furnace.

|| Year of first employment in the nickel refinery.

Prevalence of Respiratory Cancers in Nickel Refinery Workers

In table II, mortality data for pulmonary and nasal cancers among workers in nickel refineries are expressed as multiples of the age-specific death rates from these tumors in the male populations of Wales, Canada, Japan and Russia. These studies have demonstrated enhanced prevalence of cancers of the respiratory tract among nickel workers, relative to the prevalence in the general populations. Doll,^{21, 22} and Mastromatteo⁷¹ and Pederson^{85a} have observed that certain groups of nickel workers were subject to special risks of developing respiratory cancers. Among the Welsh workmen who were employed directly on the nickel carbonyl process; among the Canadian workmen who

tended the cupola furnaces; and among the Norwegian workers who were engaged in roasting/smeltering operations or in electrolytic refining, the incidences of cancers of the lung and nose were much higher than among their fellow workers in other sections of the refineries. Morgan⁷⁶ and Doll and coworkers²³ have reported that increased incidences of cancers of the lung and nose in Welsh nickel workers were limited to men who were first employed in the nickel industry prior to 1925. According to Morgan,⁷⁶ the reduction in the prevalence of respiratory cancers in Welsh nickel workers coincided with changes in the raw materials and refining procedures and with major improvements in industrial hygiene.

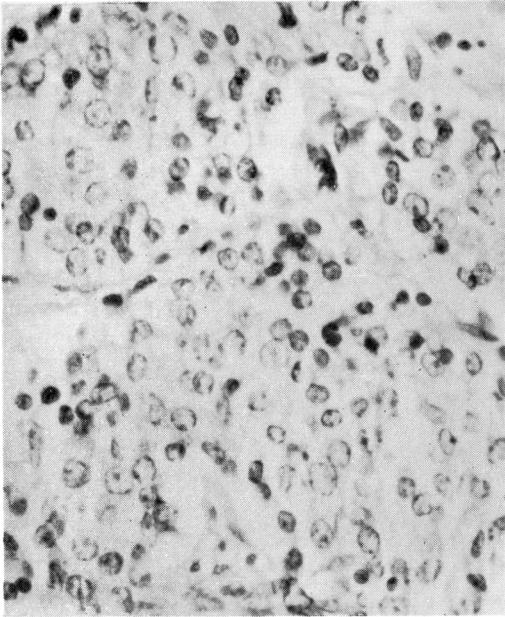


FIGURE 1. Large-cell adenocarcinoma of the lung of a nickel worker (see text). (Courtesy of E. B. Wert.) (H&E, $\times 250$)

Respiratory Cancers in Nickel Plating and Grinding Workers

Reports by Bourasset and Galland,¹¹ Touraine and Rambaud,¹¹⁹ and our personal observations have indicated that cancers of the respiratory tract may represent an occupational hazard among workmen who are involved in nickel plating and grinding operations. Bourasset and Galland¹¹ reported the occurrence of a reticulosarcoma of the nasal fossa in a 59 year old woman who had performed electrolytic nickel plating in a cutlery factory. This subject had been chronically exposed to the inhalation of vapors containing nickel and ammoniacal products of electrolysis. The latent period between first exposure and appearance of the sarcoma was five years. Touraine and Rambaud¹¹⁹ reported the simultaneous occurrence of two distinct primary epidermoid carcinomas in the left lung of a 53 year old man who had been employed in an electrolytic plating shop. In addition to performing nickel-chromium

plating, this man had been engaged in grinding and polishing operations and had been chronically exposed to the inhalation of dust containing both nickel and chromium. The present author has recently been consulted by Dr. Martin Perlman of Mobile, Alabama, regarding the occurrence of cancer of the lung in a 36 year old man who had been employed as a grinder and polisher of nickel-plated materials, and who had been chronically exposed to the inhalation of nickel dust. The latent period between first exposure and appearance of carcinoma was nine years. Autopsy by Dr. Earl B. Wert of Mobile, AL revealed an anaplastic large-cell adenocarcinoma in the apex of the left lung, with metastases to mediastinal lymph nodes, intestine and skin (figures 1 and 2). Nickel analyses were performed in our laboratory by atomic absorption spectrometry^{81, 105} upon lung, kidney and heart tissues from this patient. In table III, these data are compared with concentrations of nickel in lung, liver and heart

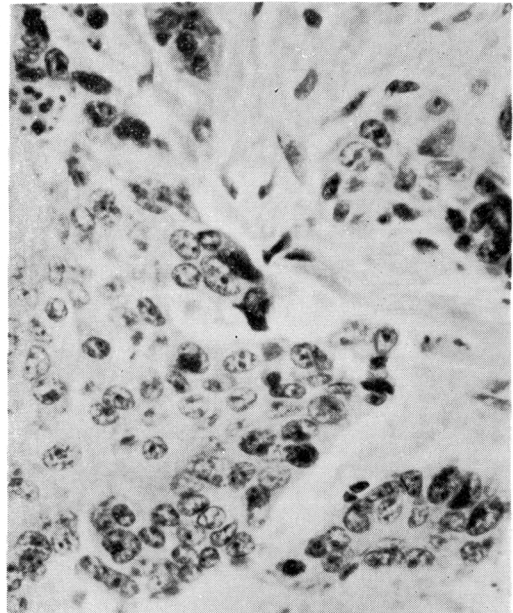


FIGURE 2. Another section of the pulmonary adenocarcinoma shown in figure 1, to illustrate the anaplastic character of the tumor. (Courtesy of E. B. Wert.) (H&E, $\times 250$)

TABLE III

MEASUREMENTS OF NICKEL IN HUMAN TISSUES

| Subject Age | Cause of Death | Lung ($\mu\text{g Ni}/100\text{ g dry wt}$) | Liver | Heart | Kid- ney |
|----------------|---|--|-------|-------|-------------|
| ♂ 36 | Pulmonary cancer (Nickel worker) | 197* | — | 12 | 14 |
| ♂ 34 | Acute Ni(CO) ₄ poisoning | 115 | 21 | — | 80 |
| ♂ 44 | Stab wounds | 15 | 2.1 | 2.3 | — |
| ♀ 40 | Barbiturate poisoning | 12 | 3.2 | 2.4 | — |
| ♂ 18 | Hanging | 3.3 | 2.6 | 1.6 | — |
| ♀ 22 | CO poisoning | 4.3 | 4.8 | 3.0 | — |

* Lung tissue which did *not* contain tumor.

from four control subjects who were apparently in good health until they died suddenly from homicide or suicide. Also included in table III are concentrations of nickel in lung and liver of a 34 year old man who died five days after acute accidental exposure to inhalation of nickel carbonyl. As indicated in table III, the nickel burden in lung tissue of the nickel worker who had pulmonary cancer was greater than that in the patient who died of acute nickel carbonyl poisoning, and was far greater than the values which were obtained in the control subjects. This case has established a precedent in the United States for recognition of lung cancer among nickel workers as a compensable occupational disease under workmans' compensation laws.

TABLE IV

HISTOPATHOLOGY OF RESPIRATORY CANCERS
IN NICKEL WORKERS

| Tumor Classification | Respiratory Cancers | |
|----------------------------------|---------------------|------|
| | Lung | Nose |
| Epidermoid | 34 | 22 |
| Anaplastic (undifferentiated) | 13 | 6 |
| Alveolar cell | 1 | — |
| Adenocarcinoma | 1 | — |
| Columnar cell | — | 2 |
| Speroidal cell | — | 1 |
| Spindle cell | — | 1 |
| Scirrhous | — | 1 |
| Pleomorphic | — | 15 |
| Reticulosarcoma | — | 1 |
| Totals: | 49 | 49 |

It may be noted that the Ministry of Pensions of Great Britain has officially designated cancer of the respiratory tract among nickel workers as a compensable occupational disease.²⁰

Histopathology of Respiratory Cancers in Nickel Workers

The available data on the histopathology of cancers of the respiratory tract among nickel workers are summarized in table IV, based upon observations of Amor,¹ Perry,⁸⁶ Loken,^{65, 66} Williams,¹²⁹ Morgan,⁷⁷ Bouras-set and Galland,¹¹ Touraine and Rambaud,¹¹⁹ and including the case which was described in the previous section. The nasal cancers have been reported to originate in

TABLE V

MEAN INTERVALS BETWEEN FIRST EMPLOYMENT IN NICKEL REFINERY
AND DETECTION OF CANCERS

| Author | Date | Country | Years Between Entry and Diagnosis | |
|----------------------------------|------|---------|--------------------------------------|-------------|
| | | | Lung Cancer | Nose Cancer |
| Barnett ³ | 1948 | Wales | 25 (N = 72) | 23 (N = 47) |
| Loken ⁶⁵ | 1950 | Norway | 21 (N = 3) | |
| Morgan ⁷⁶ | 1958 | Wales | 27 (N = 131) | 23 (N = 61) |
| Passey ⁸³ | 1962 | Wales | 30 (N = 144) | |
| Saknyn & Shabynina ⁸⁹ | 1970 | USSR | 13 (N = ?) | |

the nasal turbinates and in the ethmoid and antral sinuses. Epidermoid, anaplastic and pleomorphic carcinomas have been the most common types of respiratory cancers in the nickel workers.

Latent Periods of Respiratory Cancers in Nickel Workers

Data for the average lengths of time between first employment in a nickel refinery and occurrence of cancers of the respiratory tract are summarized in table V. Morgan's observations indicated that the average latent period for lung cancer among Welsh nickel workers was four years longer than that for nasal cancer.⁷⁶ There is wide variability in the observed latent periods for respiratory cancers in nickel workers. Thus, in Morgan's series of 131 patients with lung cancer, the intervals between first employment in the nickel refinery and occurrence of cancers ranged from less than five years to more than 40 years.⁷⁶ Similarly, in Morgan's series of 61 patients with nasal cancers, the latent periods ranged from less than 10 years to more than 40 years.⁷⁶ Saknyn and Shabynina⁸⁹ reported that Russian nickel workers who developed lung cancer had worked in the nickel refineries for an average of 13 years.

Lack of Data on Cigarette Smoking in Nickel Workers

To date, there are no available data concerning the possible relationship between cigarette smoking and occupational exposures to nickel in the induction of lung cancer. Doll and coworkers²³ have suggested that differences in the amount of cigarette smoking, which affects the incidence of lung cancer but not of nasal sinus cancers, might account for the substantial differences which they observed between age-trends for the susceptibility of nickel workers to the development of lung and nasal cancers. There is need for an epidemiological investigation of the carcino-

genic interactions of cigarette smoking and occupational nickel exposures, such as have previously been reported in asbestos⁹² and uranium miners.⁶⁷

Incidence of Non-Respiratory Cancers in Nickel Workers

In contrast to the strong epidemiological evidence of enhanced prevalence of cancers of the lung and nose among nickel workers, there is only scanty evidence of increased incidence of neoplasms which occur outside the respiratory tract. Doll and associates²³ found that the mortality from cancers which originated outside the respiratory tract was slightly increased among Welsh nickel workers who were employed before 1925 (49 deaths observed against 31.5 expected; $p < 0.01$). They stated that no one type of cancer accounted for this apparent excess of cancer deaths, and they attributed their observation to diagnostic confusion with cancer of the lung. In support of this view, Mastromatteo⁷¹ did not observe any increased mortality from cancers which originated outside the respiratory tract among Canadian nickel workers. On the other hand, Saknyn and Shabynina⁸⁹ reported that the incidence of sarcomas among Russian nickel workers was 6.2 times greater than among the male urban population, and that the incidence of gastric cancer in male nickel workers, who were above the age of 50 years, was slightly but significantly greater than in the control group. Pedersen^{85a} reported that 5 cases of cancer of the larynx had occurred among Norwegian nickel workers, (vs 1.4 expected cases), and he speculated that laryngeal cancer may be another manifestation of risk due to occupational exposure to metal.

Experimental Models of Nickel Carcinogenesis

The experimental systems which have been used to study nickel carcinogenesis in animals are summarized in table VI.

TABLE VI
EXPERIMENTAL MODELS OF NICKEL CARCINOGENESIS

| <i>Authors</i> | <i>Date</i> | <i>Animals</i> | <i>Compounds</i> | <i>Route</i> | <i>Tumors</i> |
|-------------------------------------|-------------|----------------|---|----------------------------|--|
| Campbell ¹⁴ | 1943 | Mice | Ni dust | Inhalation | Unspecified |
| Hueper ^{54,55} | 1952, 55 | Rats & rabbits | Ni dust | Intravenous & intrapleural | Sarcomas |
| Hueper ⁵⁶ | 1958 | Guinea pigs | Ni dust | Inhalation | Anaplastic & adenocarcinomas |
| Sunderman et al ^{95,96,97} | 1959, 65 | Rats | Ni(CO) ₄ | Inhalation | Epidermoid, anaplastic & adenocarcinomas |
| Mitchell et al ⁷⁵ | 1960 | Rats | Ni pellets | Subcutaneous | Sarcomas |
| Gilman ³⁴ | 1962 | Rats & mice | Ni ₃ S ₂ , NiO dusts | Intramuscular | Sarcomas |
| Toda ¹¹⁸ | 1963 | Rats | NiO & methylcholanthrene | Intratracheal | Epidermoid carcinomas |
| Heath et al ^{46,47} | 1964, 67 | Rats | Ni dust | Intramuscular | Sarcomas |
| Haro et al ⁴⁴ | 1968 | Rats | Nickelocene | Intramuscular | Sarcomas |
| Gilman ³⁸ | 1970 | Cats | Ni ₃ S ₂ discs | Sinus implants | Epidermoid & adenocarcinomas, sarcomas |
| Maenza et al ⁶⁸ | 1971 | Rats | Ni ₃ S ₂ & 3,4-benzpyrene | Intramuscular | Sarcomas |
| Furst & Schlauder ³³ | 1971 | Hamsters | Nickelocene | Intramuscular | Sarcomas |
| Lau et al ⁶⁴ | 1972 | Rats | Ni(CO) ₄ | Intravenous | Carcinomas & sarcomas |

TABLE VII
COMPARISONS OF VALENCES, SOLUBILITIES AND CARCINOGENICITIES OF NICKEL COMPOUNDS

| <i>Ni Compounds</i> | <i>Formula</i> | <i>Solubility (mg/ml)</i> | | <i>Percentage of Rats with Tumors*</i> | | | | |
|---|---|----------------------------------|-------------------------------------|---|-----------------------------------|---|--|--|
| | | <i>Cold Water</i> ¹²³ | <i>Saline at 37°C</i> ⁹⁵ | <i>NIH Black Rats</i> ^{84, 85} | <i>Fischer Rats</i> ⁸⁵ | <i>Fischer Rats</i> ^{81, 44, 61} | <i>Sprague-Dawley Rats</i> ²⁶ | |
| | | | | | | | | |
| Ni ⁽⁰⁾ | Ni | Insol. | — | — | — | 66 | 23 | |
| Ni ⁽⁰⁾ bis(cyclopentadienyl) | Ni π (C ₅ H ₅) ₂ | Insol. | — | — | — | 36 | — | |
| Ni ⁽⁰⁾ tetracarbonyl | Ni(CO) ₄ | 0.18 | — | — | — | 16 | — | |
| Ni ^(0-II) subsulfide | Ni ₃ S ₂ | Insol. | <0.001 | 74 | 85 | — | 37 | |
| Ni ^(II) oxide | NiO | Insol. | 0.003 | 18 | 10 | — | — | |
| Ni ^(II) monosulfide | NiS | 0.004 | — | — | 0 | — | — | |
| Ni ^(II) carbonate | NiCO ₃ | 0.093 | 0.023 | 40 | — | — | — | |
| Ni ^(II) hydroxide | Ni(OH) ₂ | 0.13 | — | — | 75 | — | — | |
| Ni ^(II) fluoride | NiF ₂ | 40 | — | — | 17 | — | — | |
| Ni ^(II) acetate | Ni(C ₂ H ₃ O ₂) ₂ | — | 120 | 7 | — | — | — | |
| Ni ^(II) hydrated acetate | Ni(C ₂ H ₃ O ₂) ₂ ·4H ₂ O | — | 238 | 5 | — | 22 | — | |
| Ni ^(II) sulfate | NiSO ₄ | 293 | 762 | 0 | 0 | — | — | |
| Ni ^(II) chloride | NiCl ₂ | 642 | 1256 | 0 | — | — | — | |
| Ni ^(III) oxide | Ni ₂ O ₃ | — | 0.001 | 8 | — | — | — | |
| Ni ^(III) ammonium sulfate | NiNH ₄ SO ₄ | — | 392 | 0 | — | — | — | |

* Compounds administered i.m. or i.v. Consult original papers for dosage schedules, vehicles and durations of observation. † Nickelocene.

TABLE VIII
CARCINOGENESIS IN RATS EXPOSED TO NICKEL CARBONYL

| <i>Authors</i> | <i>Date</i> | <i>Route & Schedule</i> | <i>Dosage of Ni(CO)₄</i> | <i>Cancer Incidence</i> | <i>Cancer Types & Locations</i> |
|---------------------------------------|-------------|-----------------------------|-------------------------------------|-------------------------|---|
| Sunderman et al ^{95, 96, 97} | 1959, 65 | Inhalation 1 exposure | 250 μ g/liter/0.5 h | 4% in lung* | Anaplastic & adenocarcinomas of lung |
| | | Inhalation 150 exposures | 30-60 μ g/liter/0.5 h | 21% in lung* | Epidermoid & adenocarcinomas of lung |
| Sanina ⁹⁰ | 1968 | Inhalation 10 exposures | 0.7-1.7 μ g/liter/2 h | Not given | Malignancies in uterus, ovary & breast |
| Lau et al ⁹⁴ | 1972 | Intravenous 1 injection | 2.2 mg/100 g | 8%† | Carcinoma (kidney) & sarcomas (lung & s.c. tissue) |
| | | Intravenous 6 injections | 0.9 mg/100 g | 16%† | Carcinomas (liver, breast) & sarcomas (lung, liver, pancreas, uterus & s.c. tissue) |

* vs 0 percent in controls. † vs 4 percent in controls.

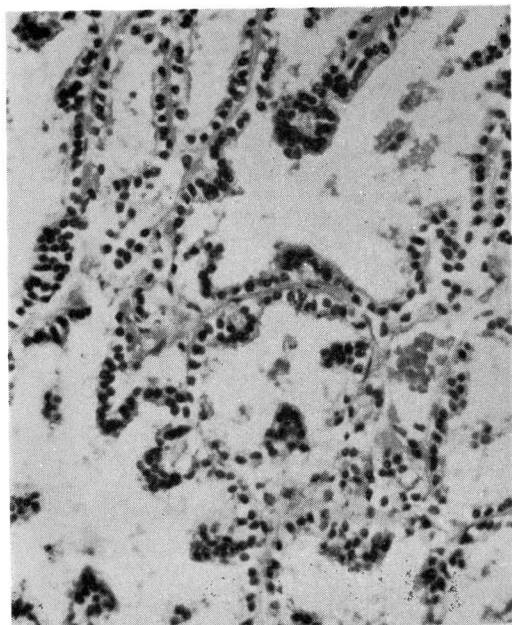


FIGURE 3. Anaplastic carcinoma in lung of a rat which had had a single exposure to inhalation of $\text{Ni}(\text{CO})_4$. (Courtesy of F. W. Sunderman and A. J. Donnelly.) (H&E, $\times 250$)

Huper,^{54, 55} Mitchell,⁷⁵ and Heath and associates^{46, 47} have found that parenteral administration of metallic nickel dust or pellets to rats, guinea pigs and rabbits results in induction of malignant sarcomas at the injection sites. Gilman³⁴ has shown that nickel sulfide (Ni_3S_2) is a potent inducer of rhabdomyo-sarcomas following intramuscular injection in rats. Moreover, Gilman³⁶ has observed epidermoid and adenocarcinomas in the sinuses of cats following implantation of nickel sulfide discs. Induction of pulmonary carcinomas in rats has been reported by Hueper⁵⁶ following inhalation of nickel dust, and by Sunderman et al^{95, 96, 97} following inhalation of nickel carbonyl. Lau et al⁶⁴ have reported the occurrence of carcinomas and sarcomas in diverse organs (including liver and kidney) of rats which received multiple intravenous injections of nickel carbonyl. Toda¹¹⁸ and Maenza et al⁶⁸ have found carcinogenic synergism between certain nickel compounds (NiO , Ni_3S_2) and polycyclic aro-

matic hydrocarbons (methylcholanthrene, 3,4-benzpyrene). On the basis of the studies which are cited in table VI, nickel carcinogenesis has been unequivocally documented in several species of animals following administration by inhalation and parenteral routes. There is no experimental evidence that nickel compounds are carcinogenic by oral or cutaneous routes of exposure.

Relative Carcinogenicity of Nickel Compounds

Fifteen nickel compounds have been tested for carcinogenicity in rats following parenteral injection. In table VII are presented comparisons of the valences, solubilities and relative carcinogenicities of these 15 compounds. The investigators who are cited in table VII used different experimental designs to test the carcinogenicity of the various nickel compounds. Payne^{84, 85} and Friedmann and Bird²⁶ employed single i.m. implantations; Gilman³⁵ employed bilateral i.m. injections, and Haro et al^{31, 44}

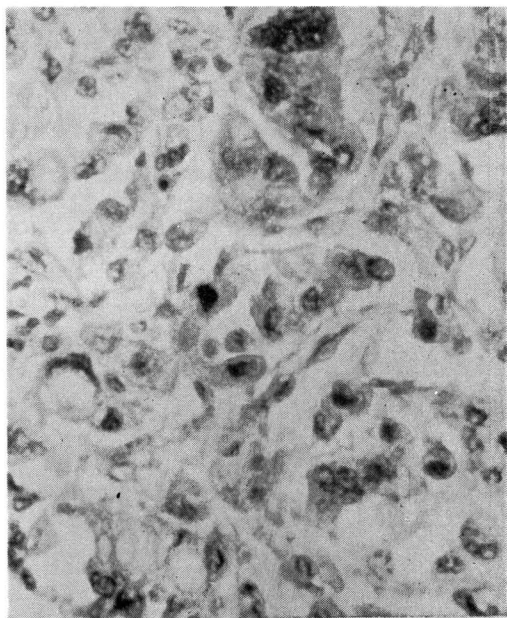


FIGURE 4. Adenocarcinoma in lung of a rat which had had a single exposure to inhalation of $\text{Ni}(\text{CO})_4$. (Courtesy of F. W. Sunderman and A. J. Donnelly.) (H&E, $\times 250$)

and Lau et al.⁶⁴ employed 5 to 12 i.m. or i.v. injections at monthly intervals. There were also significant differences among these studies in (1) the strains of rats, (2) the injection vehicles, (3) the dosage levels, (4) the durations of observation and (5) the methods of pathological examination. Hence, it is impossible to make direct comparisons of the tumor incidences which were observed in the five investigations which are cited in table VII. Nonetheless, there is a general pattern to the data. The carcinogenicities of the nickel compounds appear to be inversely correlated with their solubilities in aqueous media. Thus, the strong carcinogens, Ni_3S_2 and Ni^0 , are practically insoluble in aqueous solutions, and the non-carcinogens, NiSO_4 , NiCl_2 and NiNH_4SO_4 , are highly soluble. There are obvious important exceptions to this rule, since NiS (which has low solubility) was not carcinogenic in Gilman's study,³⁵ and $\text{Ni}(\text{C}_2\text{H}_3\text{O}_2)_2$ (which is relatively soluble) was moderately carcinogenic in the studies by Payne^{84, 85} and Haro et al.⁴⁴

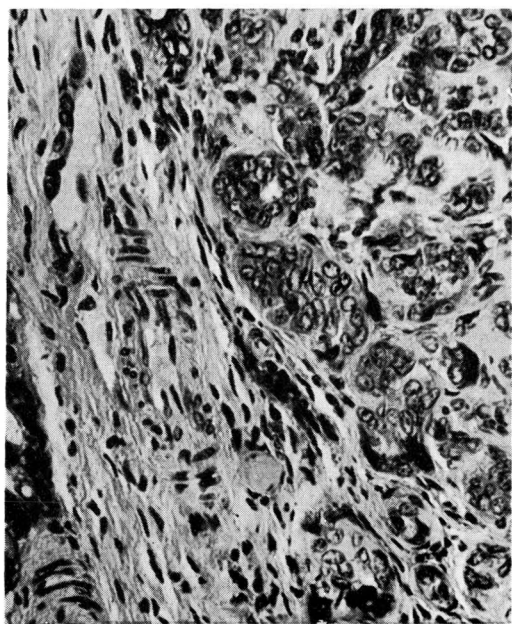


FIGURE 5. Cholangiocarcinoma of liver of a rat which received a single intravenous injection of $\text{Ni}(\text{CO})_4$. (Lau et al.⁶⁴) (H&E, $\times 250$)

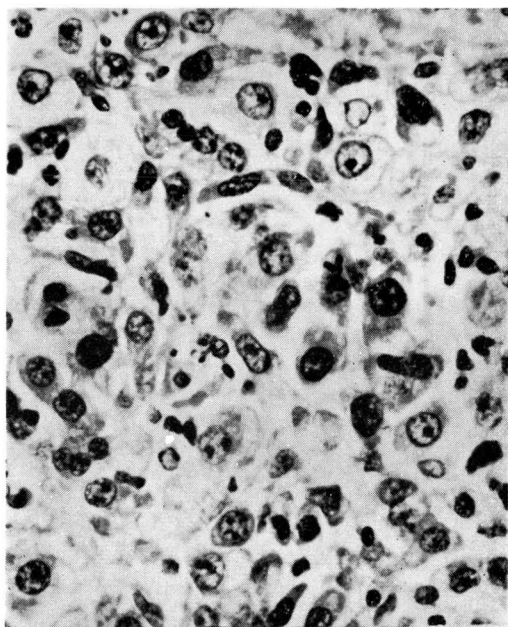


FIGURE 6. Carcinoma of kidney of a rat which received six intravenous injections of $\text{Ni}(\text{CO})_4$. (Lau et al.⁶⁴) (H&E, $\times 450$)

Carcinogenesis by Nickel Carbonyl

Investigations of the carcinogenicity of nickel carbonyl, $\text{Ni}(\text{CO})_4$, are summarized in table VIII. Particular attention has been focused upon nickel carbonyl, owing to its extreme toxicity, and its widespread uses as (1) a catalyst in the petroleum, plastics and rubber industries, (2) a vehicle for depositing thin films or coatings of nickel in the electronics industry and (3) an intermediate product in the Mond process for refining nickel matte in the nickel industry. The studies which are cited in table VIII demonstrate that cancers are induced in rats following administration of nickel carbonyl by inhalation and by parenteral injection. Lung cancers which developed in rats following inhalation of nickel carbonyl are illustrated in figures 3 and 4 and tumors of other organs which developed in rats following injections of nickel carbonyl are illustrated in figures 5 and 6. From an experimental viewpoint, the induction of lung cancers in rats by inhalation of nickel car-

TABLE IX
INDUCTION OF SARCOMAS IN RATS BY INTRAMUSCULAR OR SUBCUTANEOUS INJECTION OF Ni_3S_2

| <i>Authors</i> | <i>Date</i> | <i>Strain of Rat</i> | <i>Dosage of Ni_3S_2</i> | <i>Observations</i> |
|----------------------------------|-------------|----------------------|---|--|
| Gilman ³⁴ | 1962 | Wistar | Dust (40 mg) | Sarcoma incidence = 89% (80% rhabdomyosarcomas, 20% fibrosarcomas); lung metastases = 76%. |
| Gilman & Herchen ³⁹ | 1963 | Fischer | Dust (20 mg) Discs (500 mg) Chips (500 mg) | No effect of physical form of Ni_3S_2 implant upon sarcoma incidence (71-95%) or lung metastases (69-100%). |
| Gilman & Basrur ³⁷ | 1963 | Fischer | Dust (20 mg) | Precancerous changes in muscle cells: nucleolar hypertrophy; mitoses; evolution of myoblasts. |
| Jasmin ^{58, 59, 60} | 1963-65 | Fischer | Dust (10 mg) | Tumor susceptibility not sex dependent; greatest at 2 months of age; promoted by methandrostenolone. |
| Herchen & Gilman ⁴⁰ | 1964 | Fischer | Discs (250 mg) | Tumorigenesis prevented by excision of Ni_3S_2 discs before 64 days after implantation. |
| Gilman ³⁵ | 1964 | Fischer | Dust (10 mg) Discs (250 mg) | Higher sarcoma incidence after i.m. injection (80%) than after s.c. (44%) or i.p. (24%) injections. CaEDTA inhibited muscle tumorigenesis. |
| Kazantis & Hanbury ⁶³ | 1966 | Chester-Beatty | Dust (25 mg) | Fibrosarcomas in 8 to 10 rats after s.c. injection beneath dorsal skin. |
| Daniel et al ^{18, 19} | 1967 | 3 Strains | Dust (20 mg) | Fischer and hooded rats more susceptible to Ni_3S_2 sarcomas than NIH black rats. |
| Corbeil ⁷ | 1968 | Fischer | Dust (10 mg) | Tumor-specific antibodies in serums from rats with Ni_3S_2 sarcomas. |
| Friedmann & Bird ²⁶ | 1969 | Sprague-Dawley | Dust (20 mg) | Sarcoma incidence = 37%; description of ultrastructure of rhabdomyosarcomas. |
| Hebert et al ⁴⁹ | 1970 | Fischer | Dust (10 mg) | Arginase activity much higher in Ni_3S_2 -induced rhabdomyosarcomas than in adult or embryonic muscle. |
| Mason ^{60, 70} | 1970 | Fischer | Dust (3.3 & 10 mg) | At 3.3 mg dose, mean survival time was longer (42 wk) than at 10 mg dose (36 wk). Sarcoma incidence was not affected (97% and 85%). |
| Maenza et al ⁶⁸ | 1971 | Fischer | Dust (20 mg) | Sarcoma incidence = 100% (81% rhabdomyosarcomas, 19% fibrosarcomas). Lung metastases = 57%; survival time = 33 \pm 5 wk. |

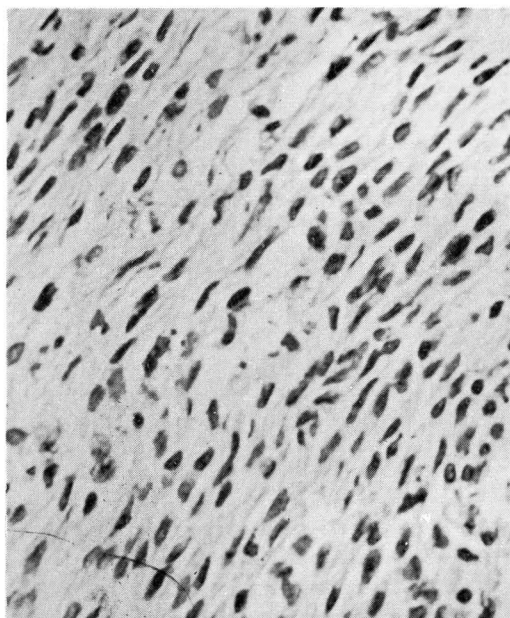


FIGURE 7. Spindle-cell fibrosarcoma in thigh of a rat at the site of a single intramuscular injection of Ni_3S_2 . (Maenza et al.⁹⁸) (H&E, $\times 450$)

bonyl^{95, 96, 97} has three principal advantages: (1) this method produces pulmonary carcinomas which closely resemble the lung cancers in nickel workers, (2) since $\text{Ni}(\text{CO})_4$ is inhaled as a vapor, this method does not entail any problems regarding the influences of particle size upon the pulmonary retention of nickel and (3) since $\text{Ni}(\text{CO})_4$ is rapidly absorbed by the lung and is distributed throughout the body before being metabolized and excreted in urine and expired air, $\text{Ni}(\text{CO})_4$ is particularly suited for pharmacological studies. On the other hand, inhalation of nickel carbonyl has four practical disadvantages as a technique for studying the mechanisms of nickel carcinogenesis: (1) the latent period for induction of lung cancers is long (24 to 27 months), (2) the incidence of lung cancers is low (4 to 21 percent of two-year survivors), (3) there is necessity for specialized equipment for inhalation exposures and (4) stringent safety measures are essential in order to safeguard the investigators from accidental poisoning.

Carcinogenesis by Nickel Sulfide (Ni_3S_2)

Investigations of the induction of sarcomas in rats by intramuscular injections of nickel sulfide, Ni_3S_2 , are tabulated in table IX. The carcinogenic properties of Ni_3S_2 were discovered by Gilman and Ruckerbauer⁴⁰ in 1962. They found that a powder which was collected from the dust flue of a Canadian nickel refinery was a potent carcinogen when injected intramuscularly in rats and mice. By investigating the carcinogenicity of various metallic constituents of the refinery dust (Ni_3S_2 , NiO , $\text{NiSO}_4 \cdot 6\text{H}_2\text{O}$, CoS , CoO , CuS , Cu_2S , CuO , FeS , FeO , and Fe_2O_3), Gilman³⁴ identified Ni_3S_2 as the most carcinogenic component. Gilman³⁵ developed one of the simplest, most convenient and most reproducible methods of chemical carcinogenesis. Rhabdomyosarcomas and fibrosarcomas which developed in Fischer rats following injection of Ni_3S_2 are illustrated in figures 7 to 11. Induction of sarcomas in Fischer rats by intramuscular injection of Ni_3S_2 has proved to be an ex-

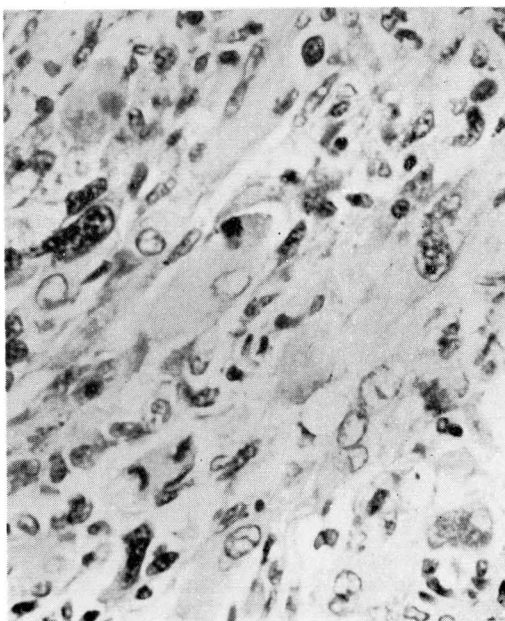


FIGURE 8. Rhabdomyosarcoma in thigh of a rat at the site of a single intramuscular injection of Ni_3S_2 . (Maenza et al.⁹⁸) (H&E, $\times 450$)

cellent experimental system for studies of endocrine factors and cancer chemotherapy. Several cell lines which are derived from Ni_3S_2 -induced sarcomas have been successfully propagated in tissue culture.^{4, 5, 79, 80, 113}

Carcinogenesis by Metallic Nickel

In table X are summarized several studies of the induction of sarcomas in rats by intramuscular or subcutaneous injections of metallic nickel in the forms of pellets, dust, or sponge. According to Friedmann and Bird²⁶ rhabdomyosarcomas which are induced with metallic nickel are indistinguishable by biological, histological or ultrastructural criteria from the rhabdomyosarcomas which are induced with Ni_3S_2 . A resume of the reported biological characteristics of the sarcomas which are induced in rats by intramuscular injections of Ni dust or Ni_3S_2 is given in table XI.

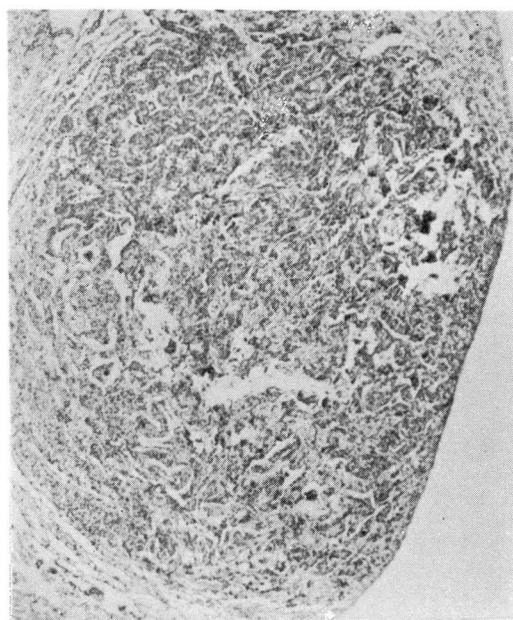


FIGURE 9. Sub-pleural pulmonary metastasis of the rhabdomyosarcoma shown in figure 8. (H&E, $\times 100$)

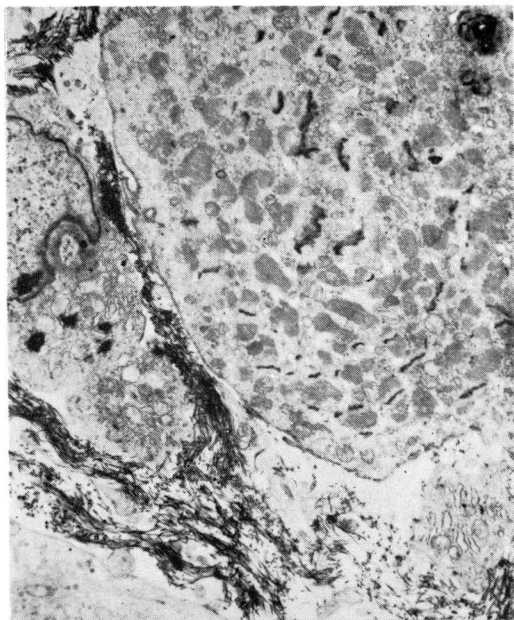


FIGURE 10. Electron micrograph of a rhabdomyoblast in the rhabdomyosarcoma shown in figure 8. The tumor cell is surrounded by fibrous collagen and fibroblasts of the supporting stroma. Note scattered myofibrils identified by dark Z-band material. (Courtesy of P. J. Goldblatt.) Fixation: osmium tetroxide (2 percent) buffered with s-collidine. Staining: uranyl acetate and lead citrate. ($\times 4,800$)

Intracellular Transport of Nickel Compounds

Elucidating the mechanisms whereby nickel enters the target cells is an initial step in understanding nickel carcinogenesis. Three hypotheses are summarized in table XII. Gas chromatographic studies in our laboratory have shown that nickel carbonyl can cross the alveolar membrane in either direction without decomposition or metabolic alteration.^{62, 108, 109} This observation has suggested that lipid-soluble, organic nickel complexes such as nickel carbonyl and nickelocene can directly penetrate cellular membranes, and thus can exert their pharmacological effects.^{13, 106} It is presumed that Ni^0 is liberated intracellularly and oxidized to Ni^{2+} . According to the nickel-protein-binding hypothesis of Heath and

coworkers,⁴⁸ nickel dust and Ni_3S_2 are deposited extracellularly and are slowly oxidized to Ni^{2+} , which becomes bound to albumin and possibly other serum or tissue proteins. The nickel-protein complex, adsorbed on the myoblast surface, enters the cell by endocytosis. Hydrolysis of the proteins by lysosomal proteinases is presumed to lead to intracellular release of Ni^{2+} . The principal support for this hypothesis rests upon *in vitro* observations that nickel dust slowly dissolves in sterile horse serum and bovine albumin solutions.⁴⁸ According to the recent ultrafiltrable Ni-complex hypothesis of Weinzierl and Webb,¹²⁷ nickel becomes bound to ultrafiltrable molecules such as histidine in muscle autolysates. It is speculated that myoblasts which are involved in the repair of injured muscle actively absorb the diffusible Ni-complex(es). Experimental support for this hypothesis is based upon the findings of Weinzierl and Webb¹²⁷ that nickel dust dissolves in muscle autolysates and that 90 percent of the dissolved nickel is complexed with ultrafiltrable molecules. Moreover, Webb and Weinzierl¹²⁵ have demonstrated the cellular uptake of diffusible ^{63}Ni -complexes by mouse dermal fibroblasts in tissue culture. The three hypothetical mechanisms for the entry of nickel into target cells which are given in table XII are not mutually exclusive, and a carcinogenic nickel compound might cross cell membranes by a combination of these processes.

Mechanisms of Nickel Carcinogenesis

Evidence which may be related to the mechanisms of nickel carcinogenesis is summarized in table XIII. *First*, studies in our laboratory, which have recently been confirmed by Witschi,¹³⁰ have shown that intravenous injection of nickel carbonyl in rats profoundly inhibits RNA synthesis in hepatocytes, as measured *in vivo* and *in vitro*.^{9, 10} The mechanism is believed to be an inhibitory effect of nickel upon nucleolar



FIGURE 11. Higher magnification of the cytoplasm of a rhabdomyoblast from a Ni_3S_2 -induced rhabdomyosarcoma. The small, irregular myofibrils are composed of bundles of thick and thin filaments, cross-striated by dark Z-band material. Irregular mitochondria and dilated vesicles of endoplasmic reticulum are also shown. (Courtesy of P. J. Goldblatt.) Fixation and Staining: same as figure 10. ($\times 31,300$)

RNA-polymerase activity.^{10, 107} The inhibition of synthesis of RNA results in inhibition of messenger-RNA-dependent induction of hepatic enzyme synthesis¹¹⁰ (table XIV). *Second*, studies by Webb and coworkers¹²⁴ have shown that 70 to 90 percent of intracellular nickel in Ni-induced rhabdomyosarcomas is located in the nucleus, and that an average of 53 percent of nuclear nickel is present in the nucleolar fraction. Nucleolar localization of nuclear nickel has also been observed by Webb and Weinzierl¹²⁵ in mouse dermal fibroblasts grown *in vitro* in the presence of ^{63}Ni complexes. Intracellular ^{63}Ni in the fibroblasts was predominantly located within the nuclei, and one half of the nuclear ^{63}Ni was associated with the nucleolar fraction.¹²⁵ Webb and coworkers¹²⁴ emphasized the possible relationships between their find-

TABLE X
INDUCTION OF SARCOMAS IN RATS BY INTRAMUSCULAR OR SUBCUTANEOUS INJECTION OF METALLIC NICKEL

| <i>Authors</i> | <i>Date</i> | <i>Strain of Rats</i> | <i>Dosage of Nickel</i> | <i>Observations</i> |
|---------------------------------|-------------|-----------------------|---|---|
| Mitchell et al ¹⁵ | 1960 | Wistar | 4 pellets (2 × 2 mm) s.c. | Fibrosarcoma incidence = 50% |
| Heath & Daniel ¹⁶ | 1964 | Hooded | Dust (28 mg) i.m. | Rhabdomyosarcoma incidence = 100% lymph node metastases = 30% |
| Heath & Webb ¹⁷ | 1967 | Hooded | Dust (28 mg) i.m. | Ni bound to DNA and RNA in rhabdomyo- sarcoma nuclei. |
| Friedmann & Bird ¹⁸ | 1969 | Sprague-Dawley | Sponge (20 mg) i.m. | Rhabdomyosarcoma incidence = 24%; tumor classification based upon differentiation of rhabdomyoblasts. |
| Furst & Schlauder ²³ | 1971 | Fischer | Powder (5 mg) i.m. × 5 at 4 wk intervals | Sarcoma incidence = 76% |
| Webb et al ²⁴ | 1972 | Hooded | Dust (28 mg) i.m. | Intranuclear Ni in rhabdomyosarcoma cells is 53% in nucleolar fraction. |
| Furst et al ²² | 1972 | Fischer | Powder (5 mg) i.m. × 6 at 4 wk intervals | Sarcomas uniformly transplantable to Fischer rats; growth of tumor transplants inhibited by chemotherapy with N-methylformamide |

TABLE XI
BIOLOGICAL CHARACTERISTICS OF SARCOMAS INDUCED IN RATS BY INTRAMUSCULAR
INJECTION OF Ni DUST OR Ni₃S₂

| | |
|---|--|
| <i>Strain Susceptibility:</i> Fischer > Hooded > > Wistar > Sprague-Dawley > NIH Black | <i>Endocrine Factors:</i> No sex difference in suscepti- bility; promoted by androgens; depressed by castration and hypophysectomy |
| <i>Dosage:</i> 3.3 to 20 mg Ni ₃ S ₂ ; 20 to 28 mg Ni dust | <i>Tumor Viability:</i> May be transplanted to inbred rats and grown in tissue culture |
| <i>Latent Period:</i> 5 to 10 months; <i>Survival Period:</i> 6 to 12 months | <i>Metastases:</i> Lung and lymph nodes (50 to 80 percent) |
| <i>Maximum Susceptibility:</i> 2 months age; <i>Minimum</i> <i>Exposure:</i> 2 months | <i>Immunology:</i> Serum contains tumor-specific anti- bodies |
| <i>Tumor Incidence:</i> 80 to 100 percent | <i>Enzymology:</i> Arginase activity higher than in adult or embryonic muscle |
| <i>Tumor Histology:</i> Rhabdomyosarcomas (80 percent), fibrosarcomas (20 percent) | <i>Ni-binding:</i> Ni bound to DNA, RNA and nucleo- protein, and localized particularly in rhabdomyo- blast nucleoli |

TABLE XII

MECHANISMS FOR INTRACELLULAR TRANSPORT
OF NICKEL

- I. *Lipid-Solubility Hypothesis* (Sunderman,¹⁰⁶ 1971). Owing to their lipid solubility, pharmacologically active nickel compounds ($\text{Ni}(\text{CO})_4$, Nickelocene) cross cell membranes without metabolic alteration, followed by intracellular oxidation of Ni^0 to Ni^{2+} .
- II. *Protein-Binding Hypothesis* (Heath et al,⁴⁸ 1969). Ni dust and Ni_3S_2 are deposited extracellularly and are slowly oxidized to Ni^{2+} , which becomes bound to proteins (e.g., serum albumin). The Ni-protein complex, adsorbed on the myoblast surface, enters the cell by endocytosis. Hydrolysis of the proteins by lysosomal proteinases leads to intracellular release of Ni^{2+} .
- III. *Ultrafiltrable Ni-Complex Hypothesis* (Weinzierl and Webb,¹²⁷ 1972). The Ni^{2+} which is formed from Ni dust and Ni_3S_2 becomes bound to ultrafiltrable molecules (e.g., histidine) in muscle autolysates. Myoblasts which are involved in the repair of muscle injury actively absorb the diffusible Ni-complexes.

ings of nucleolar localization of nickel in rhabdomyoblasts and fibroblasts and our findings that nickel is bound to a RNA polymerase-chromatin complex isolated from hepatocyte nuclei of rats which were treated with nickel carbonyl.^{10, 111} *Third*, Treagan and Furst¹²⁰ have shown that, in tissue cultures of mouse L929 cells, Ni^{2+} inhibits the synthesis of antiviral protein and interferon, in response to inoculation with Newcastle Disease Virus. From these observations, they infer that nickel might also inhibit the synthesis of repressors of tumor viral replication. *Fourth*, Basrur and coworkers^{5, 114} have found that Ni_3S_2 inhibits mitotic activity and induces abnormal mitotic figures in tissue cultures of rat embryo muscle cells. Their findings suggest that nickel may interfere with gene replication and the control of cell division. *Fifth*, Swierenga¹¹³ has found that, in tissue cultures of rat embryo muscle cells, Ni_3S_2 profoundly inhibits glyceraldehyde-3-phosphate dehydrogenase activity, whereas NiCl_2 and NiSO_4 cause only slight inhibition of this important glycolytic enzyme. Inhibition of glyceraldehyde-3-phosphate

dehydrogenase activity results in a shift of energy metabolism to the alternative hexose monophosphate pathway. Swierenga¹¹³ postulates that this alteration of muscle glycolysis may be an initial factor in tumor induction.

Current theories regarding possible mechanisms whereby chemical carcinogens may initiate neoplastic transformation are summarized in table XV, based upon Miller and Miller's 1971 schema,⁷⁴ with modifications derived from Huebner and Todaro,⁵³ Ryser,⁸⁸ Weinstein et al,¹²⁶ and Jungmann and Schweppe.⁶¹ The studies of nickel carcinogenesis which have been reported by Sunderman¹¹¹ are most consistent with hypotheses I-C, II-A, or II-B; the studies of Treagan and Furst¹²⁰ appear to support hypothesis II-B, and the studies of Basrur et al^{5, 114} are most consistent with hypotheses I-A or I-B. Thus, despite considerable

TABLE XIII

EVIDENCE RELATED TO MECHANISMS
OF NICKEL CARCINOGENESIS

- I. *Ni(CO)₄ inhibition of RNA-polymerase activity in hepatocytes.*^{9, 10, 107, 130} Intravenous injection of $\text{Ni}(\text{CO})_4$ in rats causes inhibition of liver RNA synthesis as measured (1) *in vitro* in intact hepatic nuclei; (2) *in vitro* by chromatin-RNA polymerase aggregate isolated from nuclei; and (3) *in vivo* by incorporation of ^{14}C -orotic acid into liver RNA.
- II. *Ni-binding to DNA, RNA, and nucleoproteins in rhabdomyoblasts.*^{47, 124} In Ni-induced rhabdomyosarcomas, 70 to 90 percent of intracellular Ni is located in the nucleus, where it is bound to DNA, RNA and nucleoproteins. Nucleolar fraction contains 53 percent of nuclear Ni.
- III. *Ni-inhibition of anti-viral protein and interferon synthesis.*¹²⁰ In tissue culture of mouse L929 cells, Ni^{2+} inhibits synthesis of antiviral protein and interferon, in response to inoculation with Newcastle Disease Virus.
- IV. *Ni-interference with cell division.*^{5, 114} In tissue culture of rat embryonic muscle cells, Ni_3S_2 inhibits mitotic activity and induces abnormal mitotic figures.
- V. *Ni-alteration of energy metabolism.*¹¹³ In tissue culture of rat embryonic muscle cells, Ni_3S_2 inhibits glyceraldehyde-3-phosphate dehydrogenase activity, resulting in a shift of energy metabolism to the hexose monophosphate shunt.

TABLE XIV
RESUME OF THE ACUTE BIOCHEMICAL EFFECTS OF NICKEL CARBONYL IN RATS

| Experimental Systems | Observed Activities (% of Control Values)* | |
|---|--|------------------------------------|
| | Control Rats | Ni(CO) ₄ -Treated Rats† |
| Hepatic tryptophan pyrrolase activity after tryptophan induction ¹⁰⁰ | 100 ± 17 (7) | 100 ± 12 (7) |
| Hepatic tryptophan pyrrolase activity after cortisone induction ¹⁰⁰ | 100 ± 6 (27) | 72 ± 7† (9) |
| Hepatic benzopyrene hydroxylase activity after phenothiazine induction ⁹⁹ | 100 ± 8 (25) | 45 ± 8† (9) |
| Hepatic cytochrome P ₄₅₀ concentration after phenobarbitone induction ¹⁰¹ | 100 ± 4 (16) | 48 ± 5† (9) |
| ¹⁴ C-Leucine incorporation <i>in vivo</i> into hepatic microsomal protein ¹⁰⁴ | 100 ± 5 (16) | 82 ± 6† (11) |
| ¹⁴ C-Orotic acid incorporation <i>in vivo</i> into hepatic RNA ⁹ | 100 ± 14 (9) | 25 ± 2† (8) |
| RNA polymerase activity in intact hepatic nuclei ¹⁰⁷ | 100 ± 6 (7) | 40 ± 7† (8) |
| RNA synthesis <i>in vitro</i> by chromatin-RNA polymerase complex from hepatic nuclei ¹⁰ | 100 ± 9 (18) | 49 ± 6† (18) |
| Template activity of hepatic chromatin for RNA polymerase from <i>M. lysodeikticus</i> ⁸ | 100 ± 9 (6) | 87 ± 12 (5) |
| Template activity of hepatic DNA for RNA polymerase from <i>M. lysodeikticus</i> ⁸ | 100 ± 12 (6) | 98 ± 6 (5) |

* Expressed as mean ± SEM, with number of rats in each experiment group in parentheses. Values marked with a dagger differ significantly from the control values.

† P < 0.01.

‡ Ni(CO)₄, 2.2-mg per 100 g body weight administered intravenously 6 to 28 hr before sacrifice.

speculation,^{27, 28, 30, 113, 128} there is currently little understanding of the exact mechanisms whereby nickel compounds exert their carcinogenic actions.

Nickel as a Possible Carcinogen in Tobacco

The amount of nickel in cigarettes has been measured by seven groups of investigators.^{16, 25, 73, 82, 98, 115, 122} As summarized in table XVI, the reported mean values for the nickel content of cigarettes from various sources have ranged from 2 to 6.2 μg. Analyses by Sunderman and Sunderman⁹⁸ and Szadkowski and coworkers¹¹⁵ have shown that 10 to 20 percent of the nickel which is present in cigarettes is released

into the mainstream smoke. Based upon the measurements which are summarized in table XVII, an individual who smokes 40 cigarettes per day might inhale approximately from 1 to 5 mg of nickel per year. According to Szadkowski and associates,¹¹⁵ an average of 84 percent of the nickel in mainstream smoke is present in the gaseous phase, and only 16 percent is present in the particulate phase. Sunderman and Sunderman⁹⁸ speculated that gaseous nickel in mainstream smoke occurs in the form of nickel carbonyl. Furst²⁷ and Wynder and Hoffmann¹²³ objected to this suggestion on the grounds that nickel carbonyl would readily decompose in tobacco smoke. This objection has been weakened by subse-

quent evidence^{62, 108} that nickel carbonyl is more stable in air, breath and biological fluids than had previously been suspected. However, there is to date no definite evidence regarding the chemical form of the vaporized nickel compound(s) in mainstream cigarette smoke.

Measurements of the nickel which is present in various other tobacco products are listed in table XVIII. American pipe tobacco, cigars and snuff have been reported to contain approximately 2 to 3 μg of nickel per gram of tobacco. Fresh and associates²⁵ have found that Formosan cigars contain an average of 8.5 μg of nickel per gram. Baumslag and coworkers⁷ have found that three varieties of South African "Swazi" snuff are grossly contaminated with nickel and other metals. Swazi snuff consists of an admixture of powdered tobacco with the ash of incinerated herbs. On the basis of epidemiological evidence, Baumslag et al^{6, 7} have suggested that nickel and other metals which are present in Swazi snuff may contribute to the prevalence of carcinomas of the nose and accessory sinuses among Bantu males.

Carcinogenic Hazards of Nickel Implants

Nickel-containing alloys have been implanted in man and animals in a wide variety of therapeutic devices and prostheses, including (1) stainless steel and nickel wires as suture materials,¹³¹ (2) stainless

TABLE XV

CURRENT HYPOTHESES REGARDING CHEMICAL INITIATION OF CARCINOGENESIS
(Modified from Miller and Miller⁷⁴)

I. Genetic Mechanisms

A. *Direct modification of existing DNA* ("somatic mutation") in which replication of chemically altered DNA causes heritable modifications of the DNA nucleotide sequence, causing permanent changes in growth regulation.

B. *Alteration of DNA polymerase* which temporarily decreases the fidelity of DNA replication, causing mutations of the DNA genome.

C. *Chemical modification of RNA* which is subsequently transcribed into DNA that becomes integrated in the host genome. This may involve viral RNA-primed DNA polymerase ("reverse transcriptase").

II. Epigenetic Mechanisms

A. *Chemical modification of RNA or proteins* (e.g. histones and nuclear acidic proteins) which regulate DNA template activity, causing expression of normally repressed portions of the DNA genome.

B. *Chemical modification of RNA or proteins*, causing depression of tumor viruses or oncogenes.

C. *Carcinogen-induced changes in immunological or humoral mechanisms*, leading to preferential proliferation of previously existing preneoplastic or neoplastic cells.

steel orthopedic implants^{3a, 23a, 71b} (3) nickel-chrome metallic mesh for nasal prostheses,⁷⁸ (4) stainless steel heart valve prostheses,⁹¹ (5) nickel wire as an intra-uterine contraceptive device,¹⁵ (6) nickel-cadmium batteries for implantable cardiac pacemakers⁵¹ and (7) nickel alloys^{43, 45, 93} for dental castings and filling materials. Although it has generally been assumed that

TABLE XVI
NICKEL CONTENT OF CIGARETTES

| Authors | Date | Source of Cigarettes | No. of Brands | μg Ni/cigarette (mean and range) |
|---------------------------------------|------|----------------------|---------------|---|
| Cogbill and Hobbs ¹⁶ | 1957 | American | 5 | 2.0 |
| Voss and Nicol ¹²² | 1960 | British | 11 | 6.2 (3.6-11.0) |
| Sunderman and Sunderman ⁹⁸ | 1961 | American | 6 | 2.2 (1.6-3.1) |
| Fresh et al ²⁵ | 1967 | American | 15 | 5.4 (0.2-11.6) |
| | | Formosan | 12 | 4.3 (1.1-14.0) |
| Szadkowski et al ¹¹⁵ | 1969 | German | 8 | 2.3 (1.1-3.2) |
| Menden et al ⁷³ | 1972 | American | 2 | 5.9 (4.3-7.6) |

nickel in stainless steels is biologically inert, Ferguson and coworkers²⁴ have reported that intramuscular implantation of cylinders of stainless steels in rabbits resulted in increased nickel concentrations in parenchymal tissues. Moreover, Mears⁷² has demonstrated by electron microprobe analysis that nickel is liberated into human tissues adjacent to implants of stainless steel rods. The nickel concentration was consistently highest at the tissue edge adjacent to the implant. Mears⁷² has also found that tissue culture cells (fetal rat dermal fibroblasts) which were grown on grids of stainless steel, accumulated nickel which was clearly demonstrable by electron microprobe analysis. Mears⁷² concluded that stainless steel yielded nickel corrosion products in the interstitial fluid which in turn became associated with the tissue culture cells. The development of cutaneous allergic reactions to nickel in human subjects following implantation of stainless steel and vitallium orthopedic devices provides further evidence that such alloys are not biologically inert.^{3a, 71b}

There is a paucity of evidence concern-

ing the carcinogenicity of implanted nickel alloys in experimental animals. Mitchell⁷⁵ implanted pellets of nickel-gallium dental filling material subdermally in Wistar rats, and found that sarcomas developed at one or more implantation sites in 9 of 10 rats. For comparison, local sarcomas developed in 5 of 10 rats which received implants of pure nickel. No sarcomas developed in any of 10 other experimental groups of 10 rats each, which received implants of a diverse selection of other materials which have been used in dentistry. According to Hueper⁵⁷ "the evidence on hand indicates that metal implants which contain nickel and which remain over long periods in human tissues might create delayed potential cancer hazards to their recipients." Two clinical case reports have been published which support Hueper's warning. In a patient described by McDougall,^{71a} a sarcoma developed in the soft tissues of the arm, 30 years after implantation of a steel plate. In a patient recently described by Dube and Fisher,^{23a} a hemangioendothelioma developed in the tibia and soft tissues of the leg, 30 years after implantation of a steel

TABLE XVII
PARTITION OF NICKEL DURING CIGARETTE SMOKING

| Authors | Date | Cigarettes | Nickel (μg per cigarette [mean \pm std. dev.]) | | | | |
|---------------------------------------|------|-------------------------------|--|----------------------|----------------------|----------------------|----------------------|
| | | | Total Ni | Ash and Butt | Mainstream Smoke | Gaseous Phase | Particulate Phase |
| Sunderman and Sunderman ⁹⁸ | 1961 | 1 American brand | 1.85 \pm 0.22 | 1.32 \pm 0.23 | 0.37 \pm 0.16 | | |
| Pailer and Kuhn ⁸² | 1963 | 1 Austrian brand | | | 0.1 | | |
| Szadkowski et al ¹¹⁵ | 1969 | 8 German brands* | 2.340 \pm 0.650 | 1.140 \pm 0.780 | 0.225 \pm 0.142 | 0.190 \pm 0.140 | 0.035 \pm 0.024 |
| Menden et al ⁷³ | 1972 | Kentucky reference cigarettes | 4.25 \pm 0.18 | 3.14 | † | † | 0.08 |
| | | 1 American commercial brand | 7.55 \pm 0.50 | 6.71 | † | † | 0.02 |

* Including 5 brands of cigarettes with filters.

† Menden et al⁷³ measured nickel in the particulate phase, but they neglected to measure nickel in the gaseous phase.

TABLE XVIII
NICKEL CONTENT OF VARIOUS TOBACCO PRODUCTS

| Authors | Date | Product | Source of Product | No. of Varieties | Ni Content ($\mu\text{g/gm}$) (mean and range) |
|---------------------------------------|------|--------------|-------------------|------------------|--|
| Sunderman and Sunderman ⁹⁸ | 1961 | Pipe tobacco | American | 1 | 2.7 |
| | | Cigars | American | 1 | 3.2 |
| Fresh et al ²⁵ | 1967 | Cigars | Philippine | 3 | 2.8 (1.9-3.9) |
| | | Cigars | Formosan | 3 | 8.5 (3.6-15.0) |
| Baumslag et al ⁷ | 1971 | Snuff | American | 3 | 2.3 (2-3) |
| | | Snuff | South African | 3 | 52 (43-87) |
| Baumslag and Keen ⁶ | 1972 | Snuff | South African | 3 | 88 (58-112) |

plate. In both of these patients, the implanted steel plate was fabricated of an alloy which differed from that of the screws which were used to fix the plate *in situ*. Such conjoined surgical implantation of metals that are of dissimilar composition may result in unnecessary electrolysis and metallic corrosion.^{23a} Dube and Fisher^{23a} speculated that metallic corrosion products, including nickel and chromium, were responsible for the induction of the hemangio-endothelioma in their patient.

Conclusions

The epidemiological and experimental data which have been summarized in this paper provide conclusive evidence of the carcinogenicity of certain nickel compounds. In addition to the obvious hazards to workmen in the nickel industries, nickel carcinogenesis may represent a widespread hazard to the general population, since tobacco products have been shown to contain appreciable concentrations of nickel, and since the parenteral implantation of nickel alloys is becoming common. Data which have been reviewed elsewhere¹⁰³ suggest that atmospheric contamination with nickel and the presence of nickel in asbestos fibers may also pose carcinogenic hazards. From a methodological viewpoint, nickel carcinogenesis affords an attractive experimental model for further research into

mechanisms of chemical carcinogenesis, inasmuch as the carcinogenic nickel compounds are (1) structurally simple, (2) inexpensively available in high purity and (3) readily labelled with ⁶³Ni, a beta-emitting radioisotope with a long half-life, which is ideally suited for liquid scintillation spectrometry and autoradiography.

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My Daily Prayer

O Lord, Help me to keep my big mouth shut
until I know about what I am talking.
