

Investigation of Health Hazards in Brake Lining  
Repair and Maintenance Workers  
Occupationally Exposed to Asbestos

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## INTRODUCTION

Several investigations have indicated that significant asbestos exposure may occur during brake maintenance and repair work (Nicholson, 1979; Rohl, et al. 1976; Hickish and Knight, 1970). Limited studies have suggested that exposure may be associated with the risk of asbestos-induced disease including both pulmonary asbestosis and asbestos-associated cancer (Lorimer et al, 1976; Greenberg and Lloyd-Davies, 1974). These limited reports do not, however, indicate whether the risk of asbestos-associated disease is common or uncommon. The question is of importance because over one million workers are regularly or intermittently engaged in vehicle maintenance work in the United States at this time (Bureau of Labor Statistics, 1979). Additionally, a large number of other workers were formally engaged in such work in the past and now are either retired or employed otherwise. It is estimated that 4,940,000 individuals are currently alive with such current or past employment (Nicholson et al., 1982).

There are several sources of asbestos exposure to garage mechanics and automobile repairmen. First, of course, is that the asbestos exposure from the debris of brake or clutch lining wear, particularly when the brake or clutch housing are cleaned using a high pressure air hose. Second, garage mechanics may be at risk from exposures during the use of autobody filler and, finally, asbestos dust can be disseminated during mixing and application of undercoating materials each of which has contained the fiber in previous years.

In order to determine the extent of the asbestos-related risk from garage maintenance work, NIOSH has contracted with the Environmental Sciences Laboratory to conduct a clinical examination of garage mechanics. The examination was to focus specifically upon those manifestations of asbestos disease, such as X-ray abnormalities and pulmonary function deficits, that would elucidate the possible effects from exposure to asbestos in garage employment.

## MATERIALS AND METHODS

### STUDY POPULATION

The study population for this project consisted of members of the following union groups who routinely performed brake maintenance repair work:

1. Local 259 of the United Automobile Workers (UAW) whose members were employed in commercial garages in New York, New Jersey and Connecticut. Also included were all pensioners maintaining vested rights in a program administered by the Local.
2. Local 246 of the Service Employees International Union (SEIU) employed in New York City municipal garages.

The unexposed control population for the above brake workers consisted of:

1. Local 259 members and pensioners employed currently or previously in parts warehouses (New York City) and amphibious vehicle construction in Stamford, Connecticut and Schenectady, New York.
2. District Council 37 (DC 37), Municipal State and Federal Employees Union members employed in New York City as motor vehicle operators or traffic device repairmen.

The above groups were selected prior to the beginning of the project as the study and control groups for determining the effects of possible asbestos exposure on workers engaged in brake maintenance and repair work. The control groups were chosen because of membership in the same union and similar employment circumstances but without brake maintenance work. As the study progressed it was found that many of the control population had had exposure to asbestos in other circumstances such as shipyard work, and that fewer DC 37 members were participating in the examination than was initially expected. Thus, in consultation

with the project officer, it was decided to add to the control groups the X-rays to be taken in examinations of Local 595, UAW members employed in production (non-maintenance) jobs in an automobile body construction facility in Linden, New Jersey. These workers were to be examined for effects of lead exposure associated with their work in an examination April 7-10, 1980. An analysis of their work activities had indicated that their current employment provided only limited opportunity for asbestos exposure. The decision to include this group was made prior to the April examination.

In order to reflect the effects of asbestos exposure, only those individuals with ten years of employment in a particular trade were considered for examination. In the case of the UAW members this consisted of all individuals with ten years of union membership. For SEIU members who were classified as mechanics in the New York City Civil Service Employees categorization, five years of prior automobile maintenance work were required in order to obtain such a status. Thus, the criteria of five years of union membership identified individuals who had at least ten years of employment in garage work as a mechanic or apprentice. Table 1 lists the distribution by seniority date and union affiliation of all individuals who were invited and who participated in the examinations.

The response was limited by several circumstances. One was the distance many individuals had to travel in order to reach an examination site. For example, both Local 259 and DC 37 Headquarters at which most examinations were held are located in lower Manhattan, whereas most study participants lived in Brooklyn or Queens. Many lived at even greater distances in Westchester or Nassau County, N.Y. or in New Jersey. Examination sites were arranged to accommodate as many of the study population as possible, but many individuals still lived at considerable distance from a site. Another factor was that most shops organized by these unions are relatively small (79% of the population workers in shops employing fewer than 30 members, only one-sixth of whom would have been employed for longer than ten years).



Table 1

The number of individuals invited and participating in  
the examinations by union affiliation

| Years of<br>union<br>seniority | UAW 259<br>garage workers |              | UAW 259<br>vehicle construction |              | DC 37<br>motor vehic. ops.<br>or sign maintenance |              | SEIU 246 <sup>1</sup><br>garage mechanics |              |
|--------------------------------|---------------------------|--------------|---------------------------------|--------------|---|--------------|---|--------------|
|                                | invited                   | participated | invited                         | participated | invited   | participated | invited                                   | participated |
| < 10                           |                           |              | 45                              | 28           |   | 4            | 575                                       | 177          |
| 10.0-19.9                      | 426                       | 187          | 115                             | 69           | 292   | 53           | 315                                       | 116          |
| 20.0-29.9                      | 197                       | 77           | 43                              | 12           | 34  | 30           | 2   | 33           |
| 30.0-39.9                      | 48                        | 27           | 3                               | 1            |   | 5            |   | 12           |
| 40 or more                     | 5                         | 2            |                                 |              |   |              |   |              |
| Total                          | 676                       | 293          | 206                             | 110          | 326   | 92           | 892                                       | 338          |
| Percentage                     | (43.3)                    |              | (53.4)                          |              | (28.2)  |              | (37.9)                                    |              |
| Retirees                       | 395                       | 63           | 36                              | 15           |   |              |   |              |
| Percentage                     | (15.9)                    |              | (41.6)                          |              |   |              |   |              |

<sup>1</sup> Because of its recent establishment SEIU seniority was limited to less than 20 years although individuals were employed for longer by the city as mechanics. The DC 37 seniority was that of time in the particular job and employment with the city was usually longer. These circumstances are reflected in the distributions of job employment times for those participating in the examinations

The contact with individuals in these shops was by mail with letters from the Union President and Dr. Irving J. Selikoff of Mount Sinai School of Medicine, followed by a phone call from Mount Sinai personnel. In the larger shops where the program was also described by the Union health and safety representative and attendance encouraged, greater participation resulted. Finally, only one examination was held at each of the sites outside of Manhattan and those unable to attend on a given day could only be accommodated at a clinic in New York City. These factors led to a particularly low response of the 264 individuals invited to the examination site in Long Island where only 23.8% participated versus 53.4% at the large shops of Condec.

Information was obtained by phone, and from the return mailer, of the reasons for non-participation. These are tabulated in Table 2. It also was found that, of those sent invitations, 2.1-5.3% were undeliverable by the post office.

#### EXAMINATION LOCATIONS AND PROTOCOL

Examinations were held at approximately monthly intervals from May 1978 through February 1980 at a variety of sites chosen for their convenience to the residence or employment location of the participants. These included the union headquarters of Local 259, UAW in New York City and Schenectady, New York; the Community Center, Stamford, Connecticut; the headquarters of DC 37, State, County and Municipal Employees Union in New York City; the Paterson Clinic, Paterson, New Jersey; the Multiphasic Medical Center, Hempstead, Long Island; and Mount Sinai School of Medicine, New York City. For those locations without X-ray facilities, portable units were obtained. In each location spirometry stations were established using field survey equipment of the Pulmonary Function Laboratory of Mount Sinai School of Medicine. Laboratory, examining and interview stations were easily established in the available space in all facilities.

Appendix 1 is the form used for all examinations. The examinations consisted of a complete occupational history with detailed descriptions

Table 2

| <u>Reasons given for non-participation</u><br><u>in examinations</u> |                       |                     |
|--|-----------------------|---------------------|
|  | Telephone<br>response | Mail-in<br>response |
| Do not wish to participate   |                       | 175                 |
| Not interested   | 20                    |                     |
| Too busy at this time  | 20                    |                     |
| Inconvenient time  | 20                    |                     |
| Recent examination   | 7                     | 5                   |
| Current illness, injury<br>or incapacitation                         | 5                     | 3                   |
| Illness or death in family   | 3                     |                     |
| Too far to come or moved away  | 4                     | 5                   |
| Miscellaneous non-health<br>reasons                                  | 5                     | 1                   |

of work activities that could affect the health of the individual, descriptions of work practices during brake maintenance and repair work, and the use of personal protection devices. Special care was taken to elicit information on previous exposures to asbestos and other dusts that might be pneumoconiotic.

The clinical examination, tests, and procedures consisted of:

1. complete medical history;
2. current and past uses of medications;
3. current and past symptoms;
4. physical examination;
5. complete blood count, including a differential count on individuals with an abnormal white cell count;
6. 20 channel blood chemistry analysis;
7. pulmonary function tests, including determination of complete flow-volume characteristics;
8. 14 x 17 inch, full size postero/anterior chest X-ray.

All chest X-rays, laboratory results, and examination findings were reviewed immediately for conditions that might require urgent attention. If such conditions were found, the patient was notified and, if he wished, his personal physician was called. The radiographs were subsequently interpreted using the ILO U/C International Classification of Pneumoconioses. Parenchymal changes of 1/0 or greater were considered abnormal as were pleural thickening, pleural plaques and pleural calcification. Additionally other abnormal disease conditions were noted. (See Appendix 2.)

Predicted values for spirometry were based upon the revised analysis by Miller et al. (1980) of data of Morris, Koski, and Johnson (1971). The criteria for individual spirometric abnormalities are listed in Table 3. After interpretation of the X-rays and review of all laboratory and clinical findings, a report and letter were prepared and sent to each participant. The two most important parameters for the assessment of health effects from asbestos exposure are the manifestation of small irregular opacities on an interpretable X-ray and restrictive pulmonary

Table 3Criteria for normality of spirometry

|                       |   |
|-----------------------|---|
| FVC                   | > 80% of predicted                                |
| FEV <sub>1</sub>      | ≥ 80% of predicted                                |
| MMF                   | ≥ 75% of predicted                                |
| FEV <sub>1</sub> /FVC | age <29, ≥.75<br>age 30-59, ≥.70<br>age ≥60, ≥.65 |

FVC predicted =  $0.147 \times \text{Height (in.)} - 0.025 \times \text{Age (yr.)} - 4.241$

FEV<sub>1</sub> predicted =  $0.094 \times \text{Height (in.)} - 0.032 \times \text{Age (yr.)} - 1.426$

(For Blacks, the predicted values were 89% of the above.)

MMF predicted  $0.044 \times \text{Height (in.)} - 0.046 \times \text{Age (yr.)} + 2.806$

(For Blacks, the same values were observed.)

From: Miller, Thornton, Smith and Morris. Am. J. Ind. Med. 1:55-68, (1980).

function deficits. Of the 916 individuals from the four groups listed above who participated in the examinations, readable X-rays were available on 860 individuals and interpretable pulmonary function tests on 883. The 6.1% unsatisfactory X-rays stemmed from the use of portable X-ray facilities that did not have processing facilities immediately available. In one examination, a light leak developed in the X-ray cassette holder that rendered 30 X-rays unreadable (24 from SEIU members and 6 from DC 37 members). Because of the extensive travel involved, few with unreadable X-rays from the examination took advantage of the availability of another X-ray at a later examination. The distributions by union affiliation and work activity of participants with valid X-rays and pulmonary function tests are shown below in Tables 6 and 7.

X-ray developing facilities were not available for films taken by the portable units and by two of the fixed installations used in this survey. The unavailability of a film for review at the time it was taken led to a less than desired quality for the readable X-rays. Both over- and under-penetrated films present difficulties in the interpretation of the minimal changes seen with low level asbestos exposure. Thus, a greater degree of inter- and intra-observer variability exists with the interpretation of the films of this survey by the physicians reading the X-rays than with films taken by the same technician, with the same equipment, and continuously monitored for quality.

Following the completion of the examinations, all X-rays from all examinations were intermixed, masked, and identified with a coded number. If multiple films of the same individual were taken; these were labeled with a single number and designated as A, B, C, etc. These films were read as a group individually by three experienced readers who were free to utilize the best P-A film for the determination of an X-ray reading. Results for the three readers and that of the individual who read the X-rays following each examination were entered on a code sheet for each participant. The results of the four readings were then averaged using the following criteria:

1. All parenchymal readings within given categories (rounded, irregular and combined) were averaged utilizing the ILO U/C twelve point scale. If the average came exactly half-way between two values, as is possible with an even number of readers, the values of the three readers who read the entire group of X-rays at one time determined whether one averaged up or down.
2. If the reading of one individual differed by three units from that of the average of the others, all readers re-read that particular film. The readers were told that a disagreement existed on parenchymal readings, but no other information was provided. If the subsequent reading brought the outlying interpretation into closer agreement, the average of the second readings was utilized. If a disagreement of the same magnitude still persisted, the X-ray was interpreted by the entire group of readers and a consensus obtained. (This occurred for fewer than five X-rays.)
3. If a disagreement existed among the readers as to whether or not pleural changes existed such films were also re-read with the specification that a disagreement existed with respect to interpretation of the pleura. Following a second interpretation, a determination was based upon the average of the second reading. If an X-ray was interpreted as normal by two individuals and abnormal by two others, the interpretations of the same three readers as before determined the overall classification.

Approximately 110 X-rays read early in the sequence were reintroduced into the group at a later time to provide information on reproducibility of the individual readers. For this analysis no "second" readings as described above were used. Table 4 shows the distribution of differences of parenchymal and pleural readings of each of the four readers along with the average score of the parenchymal readings for each individual (based on a 12 point scale). As can be seen both the inter-observer reproducibility and intra-observer comparability of readers is well within similar variations determined by other interpretation groups (Rossiter, 1972; Glover, Bevan, Cotes, et al., 1980). (See Table 12 for the results of individual and averaged readings by job category.)

Table 4

The variability and reproducibility of three  
X-ray readers participating in this study

| Reader   | Subcategory difference<br>(Second reading - first reading) |    |    |            |    |   |   |
|--|--|----|----|------------|----|---|---|
|  | -3   | -2 | -1 | 0          | 1  | 2 | 3 |
| 1.   | 0  | 4  | 13 | 93<br>(82) | 1  | 1 | 0 |
| Standard deviation: 0.77<br>Average score: First reading 2.40, Second reading, 2.24 <sup>1</sup> |  |    |    |            |    |   |   |
| 2.   | 0  | 5  | 20 | 66<br>(56) | 10 | 4 | 0 |
| Standard deviation: 0.83<br>Average score: First reading, 2.67; Second reading, 2.55             |  |    |    |            |    |   |   |
| 3.   | 3  | 8  | 13 | 63<br>(57) | 14 | 5 | 0 |
| Standard deviation: 1.01<br>Average score: First reading, 2.51; Second reading, 2.38             |  |    |    |            |    |   |   |

( ) = Number of films read as 0/0 both times.

<sup>1</sup> 0/- = 1; 0/0=2; etc.



## RESULTS

### OCCUPATIONAL HISTORIES

A careful review of all jobs each person held revealed that many examinations participants had previously been employed in a job with potential for significant asbestos exposure. This is shown in Table 5 for each union group that participated in the examination or whose X-rays were utilized for analysis. Overall 21.5% of the study participants had an identified or highly probable exposure to asbestos in previous employment or military service. The percentages were particularly high in the groups that included men with skills as welders (vehicle construction and city maintenance mechanics). Here, asbestos exposure in shipyards or during the welding and cutting of high temperature equipment insulated with asbestos was identified. Of the 234 with identified or possible asbestos exposure and readable X-rays, 87 (8.9% of all X-rays) were of men previously employed in shipyards. In order to eliminate any confounding exposure in the analysis of brake work, the health effects of individuals with identified and possible exposures to asbestos and other dusts were analyzed separately, according to the four broad exposure categories of Table 5, direct occupational asbestos exposure, shipyard and heating trades, possible asbestos exposure, and exposure to other dusts. In the direct occupational category were those individuals that insulated pipes, worked in asbestos manufacturing operations or had similar exposures. Among those classified in shipyard or heating trades were those with any shipyard employment or work that involved the repair, installation, or maintenance of boiler room equipment or equivalent exposures. Also included in this category were a group of men (12) that insulated mufflers with asbestos or installed brake linings on a continuous basis during the construction of amphibious vehicles.

An individual was classified in the category with the highest potential asbestos exposure (as ordered above), irrespective of the total employment time in that category. It should be noted that while every care was utilized in attempting to elicit a past asbestos exposure, this may

Table 5

The distribution by union affiliation and  
type of work activity<sup>1</sup> of study participants

| Type of work activity                               | Union affiliation |                           |              |              |               | Totals        |
|---|-------------------|---------------------------|--------------|--------------|---------------|---------------|
|   | UAW 259           |                           |              |              |               |               |
|   | Maint.            | Const.                    | UAW 595      | DC 37        | SEIU 246      |               |
| No identified asbestos exposure or garage work      | 14<br>(3.9)       | 70<br>(55.6)              | 85<br>(69.1) | 28<br>(28.9) | 8<br>(2.4)    | 205           |
| Garage employment but no brake work                 | 82<br>(23.0)      | 2<br>(1.6)                | -            | 18<br>(18.6) | 22<br>(6.5)   | 124           |
| Brake work  | 217<br>(61.0)     | 2<br>(1.6)                | -            | 18<br>(18.6) | 213<br>(63.2) | 450           |
| Other dust exposure                                 | 7<br>(2.0)        | 3<br>(2.4)                | 18<br>(14.6) | 1<br>(1.0)   | 7<br>(2.1)    | 36            |
| Possible asbestos exposure                          | 14<br>(3.9)       | 23<br>(18.3)              | 11<br>(8.9)  | 13<br>(13.4) | 22<br>(6.5)   | 83            |
| Shipyard, heating trades                            | 22<br>(6.2)       | 26 <sup>2</sup><br>(20.6) | 5<br>(4.1)   | 17<br>(17.5) | 61<br>(18.1)  | 131           |
| Direct asbestos exposure (insulation, factory work) | -                 | -                         | 4<br>(3.3)   | 2<br>(2.1)   | 4<br>(1.2)    | 10            |
| Totals  | 356               | 126                       | 123          | 97           | 337           | 1039          |
| Totals with other possible asbestos exposure        | 36<br>(10.1)      | 37 <sup>2</sup><br>(29.4) | 20<br>(16.3) | 32<br>(33.0) | 7<br>(25.8)   | 224<br>(21.5) |

( ) = Percentage of union members in job category.

<sup>1</sup> Four men had missing occupational histories and. These were excluded from further analysis.

<sup>2</sup> Ten of these 26 individuals insulated mufflers and 2 continuously installed brakes during amphibious vehicle construction. Their "other asbestos exposure" occurred as part of the job under study. These were not included in totals with other possible asbestos exposure. In subsequent analysis, however, they were included in the category of shipyard, heating trades.

not have been successful in every instance, due to inadequate recall of the worker or because an asbestos exposure may have occurred without his knowledge. The large number of workers with identified exposures suggests the possible scope of the problem. Such unidentified exposures would, however, contribute proportionately to the abnormalities found in both the exposed (brake workers) and unexposed groups under study. In the analysis that follows workers will be classified according to their asbestos exposure irrespective of their union affiliation or job classification at recruitment.

It is striking that 8.9% of all participants had previous employment in the shipbuilding and ship repair industry. As approximately 45% of the study participants were over age 55, many had opportunity for employment in one of the several New York area shipyards that operated during and after World War II (Brooklyn Navy Yard, the Hoboken yards of Todd and Bethlehem Steel). [Approximately 4,500,000 men (and women) were employed in ship construction and repair during World War II (Nicholson, Perkel, and Selikoff, 1982). This constituted 9.4% of the non-agricultural national male work force in 1943, but a much smaller percentage was employed after World War II (0.2%-0.5%) (Bureau of Labor Statistics, 1979). Thus, the finding of such a large number with shipyard employment in this study is understandable and provides an opportunity to evaluate the effects of past short-term shipyard work as well as that of garage employment.

Tables 6 and 7 display the distributions, by work activity, of those for whom interpretable X-rays are available and for whom valid pulmonary function tests were obtained. As can be seen, lower percentages of readable X-rays were available for DC 37 and SEIU 246 members because of the previously mentioned loss of 30 X-rays. The individuals involved, however, were randomly scattered among the different exposure categories. The percentage of individuals with valid pulmonary function tests (96.4%) compares favorably with other surveys. Again, those with missing tests are randomly distributed among all occupational categories.

Table 6

The distributions and percentages of readable X-rays by  
union affiliations and type of work activity<sup>1</sup>

| Type of work activity                               | Union affiliation |               |                |               |               | Totals        |
|---|-------------------|---------------|----------------|---------------|---------------|---------------|
|   | UAW 259<br>Maint. | Const.        | UAW 595        | DC 37         | SEIU 246      |               |
| No identified asbestos exposure or garage work      | 14<br>(100.0)     | 67<br>(95.7)  | 85<br>(100.0)  | 25<br>(100.0) | 8<br>(100.0)  | 202<br>(98.5) |
| Garage employment but no brake work                 | 81<br>(98.8)      | 2<br>(100.0)  | -              | 14<br>(77.8)  | 19<br>(86.4)  | 116<br>(93.5) |
| Brake work  | 214<br>(98.6)     | 2<br>(100.0)  | -              | 18<br>(100.0) | 187<br>(87.8) | 421<br>(93.6) |
| Other dust exposure                                 | 7<br>(100.0)      | 3<br>(100.0)  | 18<br>(100.0)  | 0<br>(0.0)    | 7<br>(100.0)  | 35<br>(97.2)  |
| Possible asbestos exposure                          | 14<br>(100.0)     | 21<br>(91.3)  | 11<br>(100.0)  | 9<br>(81.8)   | 20<br>(90.9)  | 77<br>(92.8)  |
| Shipyard, heating trades                            | 22<br>(100.0)     | 26<br>(100.0) | 5<br>(100.0)   | 13<br>(76.5)  | 57<br>(93.4)  | 123<br>(94.0) |
| Direct asbestos exposure (insulation, factory work) | -                 | -             | 4<br>(100.0)   | 1<br>(50.0)   | 4<br>(100.0)  | 9<br>(90.0)   |
| Totals  | 352<br>(98.8)     | 121<br>(96.0) | 123<br>(100.0) | 80<br>(87.0)  | 302<br>(89.6) | 983<br>(94.6) |

( ) = Percentage of category with readable X-ray.

Table 7

The distribution of valid pulmonary function tests  
by union affiliation and type of work activity<sup>1 2</sup>

| Type of work activity                               | Union affiliation |                            |               |               | Totals        |
|---|-------------------|----------------------------|---------------|---------------|---------------|
|   | UAW 259           |                            |               |               |               |
|   | Maint.            | Const.                     | DC 37         | SEIU 246      |               |
| No identified asbestos exposure or garage work      | 13<br>(92.9)      | 69<br>(98.6)               | 25<br>(89.2)  | 8<br>(100.0)  | 115<br>(95.8) |
| Garage employment but no brake work                 | 80<br>(97.6)      | 2<br>(100.0)               | 18<br>(100.0) | 22<br>(100.0) | 122<br>(98.4) |
| Brake work  | 211<br>(97.2)     | 2<br>(00.0)                | 17<br>(94.4)  | 206<br>(96.7) | 436<br>(96.9) |
| Other dust exposure                                 | 7<br>(100.0)      | 2<br>(67.7)                | 1<br>(100.0)  | 7<br>(100.0)  | 17<br>(94.4)  |
| Possible asbestos exposure                          | 14<br>(100.0)     | 23<br>(100.0)              | 11<br>(100.0) | 20<br>(90.9)  | 68<br>(97.1)  |
| Shipyard, heating trades                            | 21<br>(95.5)      | 26 <sup>2</sup><br>(100.0) | 17<br>(100.0) | 56<br>(91.8)  | 120<br>(95.2) |
| Direct asbestos exposure (insulation, factory work) | -                 | -                          | 1<br>(50.0)   | 4<br>(100.0)  | 5<br>(83.3)   |
| Totals  | 346<br>(97.2)     | 124<br>(98.4)              | 89<br>(96.7)  | 323<br>(95.8) | 883<br>(96.4) |

( ) = Percentage of category with valid pulmonary function test.

<sup>1</sup> Two men with valid pulmonary function tests had missing occupational histories.

<sup>2</sup> No pulmonary function tests were performed at the Linden, New Jersey examination of UAW 595 members.

### X-RAY ABNORMALITIES

Tables 8a and 8b list the averaged readings for the parenchymal X-ray abnormalities according to the ILO U/C International Classification of Pneumoconioses for the various asbestos-exposed groups established in this survey. Seven hundred and ninety-nine of 983 readable X-rays were classified as having 0/0 or 0/1 readings for parenchymal fibrosis. One hundred and seventy-seven were classified in category 1 (1/0-1/2) and seven were classified as having 2/1-2/3 abnormalities. Of those with normal pleura, 735 of 871 (84.5%) were also normal for parenchymal changes. For those with abnormal pleura, the percentage with normal parenchyma was much less. Only 64 of 113 (56.6%) of the readings were categorized as 0/0 or 0/1.

Tables 9a and 9b list the pleural abnormalities according to the severity (extent and thickness) of pleural thickening and whether or not calcification or pleural plaques were seen. The greatest proportion of pleural abnormalities consisted of pleural thickening (89 of 113). Pleural calcification was seen only infrequently, being identified on only 12 X-rays, including eight for which pleural thickening was also noted. Pleural plaques were seen on 38 X-rays, including 16 for which pleural thickening was also identified and one with pleural calcification. The degree of pleural abnormalities was relatively minor. Of those with pleural thickening, 42 had the lowest category of extent and thickness (A/1). Twenty-nine were categorized as either A/2 or B/1. The more severe examples of pleural thickening were largely confined to individuals who had either direct asbestos exposure or had previously been employed in shipyards.

Tables 10 and 11 list the percentages in the different occupational categories according to the type(s) of X-ray abnormality. As can be seen from Table 11, the percentage with any abnormality among those with garage employment is 24.2% compared to 18.8% among individuals with no stated asbestos exposure or garage employment. Corresponding percentages for parenchymal abnormalities are 19.0% vs. 15.3% and for pleural abnormalities 8.4% vs. 8.9%, respectively for those with garage

Table 8a

The number and category of parenchymal X-ray abnormalities according to work activity

Normal pleura

Profusion of small irregular opacities

| Type of work activity                               | <u>Normal X-ray</u> |            | <u>Abnormal Parenchyma</u> |            |            |            |            |            |
|---|---------------------|------------|----------------------------|------------|------------|------------|------------|------------|
|   | <u>0/0</u>          | <u>0/1</u> | <u>1/0</u>                 | <u>1/1</u> | <u>1/2</u> | <u>2/1</u> | <u>2/2</u> | <u>2/3</u> |
| No identified asbestos exposure or garage work      | 107                 | 57         | 17                         | 3          | 0          | 0          | 0          | 0          |
| Garage employment                                   | 264                 | 143        | 61                         | 16         | 4          | 3          | 1          | 0          |
| No brake work                                       | 60                  | 27         | 13                         | 4          | 0          | 1          | 0          | 0          |
| Brake work  | 204                 | 116        | 48                         | 12         | 4          | 2          | 1          | 0          |
| Other dust exposure                                 | 10                  | 17         | 4                          | 2          | 0          | 0          | 0          | 0          |
| Possible asbestos exposure                          | 30                  | 23         | 8                          | 0          | 1          | 0          | 0          | 0          |
| Shipyard, heating trades                            | 52                  | 27         | 7                          | 4          | 1          | 0          | 0          | 1          |
| Direct asbestos exposure (insulation, factory work) | 5                   | 0          | 2                          | 0          | 0          | 0          | 0          | 0          |
| Totals  | 468                 | 267        | 99                         | 25         | 6          | 3          | 1          | 1          |

The categorization of work activity is such that an individual in a given category would have no exposure of a category lower in the table, i.e., a person classified as a brake worker would not have other exposure to asbestos or other dusts. On the other hand, categories low in the table, shipyard workers, e.g., could also have exposure to asbestos in categories higher in the table, such as brake work.

Table 8b

The number and category of parenchymal X-ray abnormalities according to work activity

| Type of work activity                               | <u>Abnormal pleura</u>                        |     |                            |     |     |     |     |     |
|---|---|-----|----------------------------|-----|-----|-----|-----|-----|
|   | <u>Profusion of small irregular opacities</u> |     |                            |     |     |     |     |     |
|   | <u>Normal X-ray</u>                           |     | <u>Abnormal Parenchyma</u> |     |     |     |     |     |
|   | 0/0   | 0/1 | 1/0                        | 1/1 | 1/2 | 2/1 | 2/2 | 2/3 |
| No identified asbestos exposure or garage work      | 5   | 2   | 9                          | 1   | 0   | 0   | 1   | 0   |
| Garage employment                                   | 10  | 17  | 9                          | 6   | 2   | 0   | 0   | 0   |
| No brake work                                       | 3   | 2   | 3                          | 2   | 1   | 0   | 0   | 0   |
| Brake work  | 7   | 16  | 6                          | 4   | 1   | 0   | 0   | 0   |
| Other dust exposure                                 | 0   | 2   | 0                          | 0   | 0   | 0   | 0   | 0   |
| Possible asbestos exposure                          | 6   | 2   | 3                          | 4   | 0   | 0   | 0   | 0   |
| Shipyards, heating trades                           | 7   | 11  | 10                         | 1   | 1   | 0   | 1   | 0   |
| Direct asbestos exposure (insulation, factory work) | 1   | 0   | 1                          | 0   | 0   | 0   | 0   | 0   |
| Totals  | 29  | 35  | 32                         | 12  | 3   | 0   | 2   | 0   |

The categorization of work activity is such that an individual in a given category would have no exposure of a category lower in the table, i.e., a person classified as a brake worker would not have other exposure to asbestos or other dusts. On the other hand, categories low in the table, shipyard workers, e.g., could also have exposure to asbestos in categories higher in the table, such as brake work.



Table 9a

The number and category of pleural X-ray abnormalities according to work activityNormal parenchyma (0/0-0/1)

| Type of work activity                               | Pleural abnormality                               |                    |                    |    |                   |                   |    |      |                          |                   |
|---|---|--------------------|--------------------|----|-------------------|-------------------|----|------|--------------------------|-------------------|
|   | <u>Extent and thickness of pleural thickening</u> |                    |                    |    |                   |                   |    |      |                          |                   |
|   | Normal<br>pleura                                  | Abnormal<br>pleura | A1                 | A2 | B1                | B2                | C1 | C2   | Pleural<br>calcification | Pleural<br>plaque |
| No identified asbestos exposure or garage work      | 164   | 7                  | 4                  | 1  | 0                 | 0                 | 1  | 0    | 0                        | 1                 |
| Garage employment                                   | 407   | 27                 | 11(3) <sup>2</sup> | 3  | 3                 | 0                 | 0  | 0    | 0                        | 13(3)             |
| No brake work                                       | 87  | 5                  | 2                  | 2  | 0                 | 0                 | 0  | 0    | 0                        | 1                 |
| Brake work  | 320   | 23                 | 9(3)               | 1  | 3                 | 1                 | 0  | 0    | 0                        | 12(3)             |
| Other dust exposure                                 | 27  | 2                  | 1                  | 0  | 1(1)              | 0                 | 0  | 0    | 0                        | 1(1)              |
| Possible asbestos exposure                          | 53  | 8                  | 4                  | 2  | 0                 | 0                 | 0  | 0    | 0                        | 2                 |
| Shipyards, heating trades                           | 79  | 18                 | 4(2)               | 0  | 6{1} <sup>1</sup> | 2{1} <sup>3</sup> | 2  | 2(1) | 3[2]                     | 5(4)              |
| Direct asbestos exposure (insulation, factory work) | 5   | 1                  | 0                  | 0  | 0                 | 1                 | 0  | 0    | 0                        | 0                 |
| Totals  | 735   | 64                 | 24(5)              | 6  | 10[1](1)          | 4{1}              | 3  | 2(1) | 3[2]                     | 22(8)             |

<sup>1</sup> [ ] = calcification present with pleural thickening.

<sup>2</sup> ( ) = plaque(s) present with other conditions.

<sup>3</sup> { } = both pleural calcification and plaque(s) present with pleural thickening.

The categorization of work activity is such that an individual in a given category would have no exposure of a category lower in the table, i.e., a person classified as a brake worker would not have other exposure to asbestos or other dusts. On the other hand, categories low in the table, shipyard workers, e.g., could also have exposure to asbestos in categories in the table, such as brake work.

Table 9b

The number and category of pleural X-ray abnormalities according to work activityAbnormal parenchyma (1/0-2/3)

| Type of work activity                                  | Normal<br>pleura | Abnormal<br>pleura | Pleural abnormality                        |    |      |                   |    |    |      |         | Pleural<br>calcification | Pleural<br>plaque |
|--|------------------|--------------------|--|----|------|-------------------|----|----|------|---------|--------------------------|-------------------|
|  |                  |                    | Extent and thickness of pleural thickening |    |      |                   |    |    |      |         |                          |                   |
|  |                  |                    | A1   | A2 | B1   | B2                | C1 | C2 |      |         |                          |                   |
| No identified asbestos exposure or garage work         | 20               | 11                 | 3[1] <sup>1</sup>                          | 3  | 1    | 1{1} <sup>3</sup> |    | 0  | 0    | 2[2]    | 4(1)                     |                   |
| Garage employment                                      | 85               | 17                 | 8[1](2) <sup>2</sup>                       | 3  | 3    | 0                 |    | 0  | 0    | 2[1]    | 4(2)                     |                   |
| No brake work  | 18               | 6                  | 3(2)                                       | 1  | 1    | 0                 |    | 0  | 0    | 1       | 2(2)                     |                   |
| Brake work   | 67               | 11                 | 5[1]                                       | 2  | 2    | 0                 |    | 0  | 0    | 1[1]    | 2                        |                   |
| Other dust exposure                                    | 6                | 0                  | 0  | 0  | 0    | 0                 |    | 0  | 0    | 0       | 0                        |                   |
| Possible asbestos exposure                             | 9                | 7                  | 3  | 0  | 0    | 3[1](1)           |    | 0  | 0    | 1[1]    | 2(1)                     |                   |
| Shipyard, heating trades                               | 13               | 13                 | 4{1}(1)                                    | 1  | 2[1] | 2(1)              |    | 2  | 0    | 4[2](1) | 5(4)                     |                   |
| Direct asbestos exposure<br>(insulation, factory work) | 2                | 1                  | 0  | 0  | 0    | 0                 |    | 0  | 1(1) | 0       | 1(1)                     |                   |
| Totals   | 135              | 49                 | 18{1}[2](3)                                | 7  | 6[1] | 6{1}[1](2)        |    | 2  | 1(1) | 9[6](1) | 16(9)                    |                   |

<sup>1</sup> [ ] = calcification present with pleural thickening.

<sup>2</sup> ( ) = plaque(s) present with other conditions.

<sup>3</sup> { } = both pleural calcification and plaque(s) present with pleural thickening.

The categorization of work activity is such that an individual in a given category would have no exposure of a category lower in the table, i.e., a person classified as a brake worker would not have other exposure to asbestos or other dusts. On the other hand, categories low in the table, shipyard workers, e.g., could also have exposure to asbestos in categories in the table, such as brake work.

Table 10

Number and percentage of X-ray abnormalities  
according to work activity

| Work activity                                       | Parenchymal Reading |                 |           |                   |            |           | Number in category |
|---|---------------------|-----------------|-----------|-------------------|------------|-----------|--------------------|
|   | Normal x-ray        | Normal pleura 1 | 2         | Abnormal pleura 0 | 1          | 2         |                    |
| No stated asbestos exposure or garage work          | 164<br>81.2%        | 20<br>9.9%      | 0<br>-    | 7<br>3.4%         | 10<br>5.0% | 1<br>0.5% | 202                |
| All garage work                                     | 407<br>75.8%        | 81<br>15.1%     | 4<br>0.7% | 27<br>5.0%        | 17<br>3.2% | 0<br>-    | 537                |
| No brake work                                       | 87<br>75.0%         | 17<br>14.7%     | 1<br>0.9% | 5<br>4.3%         | 6<br>5.2%  | 0<br>-    | 116                |
| Brake work  | 320<br>76.0%        | 64<br>15.2%     | 3<br>0.7% | 23<br>5.5%        | 11<br>2.6% | 0<br>-    | 421                |
| Other dust exposure                                 | 27<br>77.1%         | 6<br>17.1%      | 0<br>-    | 2<br>5.7%         | 0<br>-     | 0<br>-    | 35                 |
| Possible asbestos exposure                          | 53<br>68.8%         | 9<br>11.7%      | 0<br>-    | 8<br>10.4%        | 7<br>9.1%  | 0<br>-    | 77                 |
| Shipyard, heating trades                            | 79<br>64.2%         | 12<br>9.8%      | 1<br>0.8% | 18<br>14.6%       | 12<br>9.8% | 1<br>0.8% | 123                |
| Direct asbestos exposure (insulation, factory work) | 5<br>55.6%          | 2<br>22.2%      | 0<br>-    | 1<br>11.1%        | 1<br>11.1% | 0<br>-    | 9                  |
| Totals  | 735<br>74.8%        | 130<br>13.2%    | 5<br>0.5% | 64<br>6.5%        | 47<br>4.8% | 2<br>0.2% | 983                |

Table 11

Number and percentage of X-ray abnormalities,  
according to work activity and X-ray criteria

| Work activity  | 1/0 or greater<br>parenchymal<br>change | Any pleural<br>change | 1/0 or greater<br>parenchymal<br>and/or any pleural<br>change | 1/1 or greater<br>parenchymal and/or<br>pleural thickening<br>or calcification | Number in<br>category |
|--|---|-----------------------|---|--|-----------------------|
| No stated asbestos exposure<br>or garage work          | 31<br>15.3%                             | 18<br>8.9%            | 38<br>18.8%   | 17<br>8.4%   | 202                   |
| All garage work  | 102<br>19.0%                            | 45<br>8.4%            | 130<br>24.2%  | 59<br>11.0%  | 537                   |
| No brake work  | 24<br>20.7%                             | 11<br>9.5%            | 29<br>25.0%   | 15<br>12.9%  | 116                   |
| Brake work   | 78<br>18.5%                             | 34<br>8.1%            | 101<br>24.0%  | 44<br>10.5%  | 421                   |
| Other dust exposure                                    | 6<br>17.1%                              | 2<br>5.7%             | 8<br>22.9%  | 4<br>11.4%   | 35                    |
| Possible asbestos exposure                             | 16<br>20.8%                             | 15<br>19.5%           | 24<br>31.2%   | 13<br>16.9%  | 77                    |
| Shipyard, heating trades                               | 26<br>21.1%                             | 31<br>25.2%           | 44<br>35.8%   | 34<br>27.6%  | 123                   |
| Direct asbestos exposure<br>(insulation, factory work) | 3<br>33.3%                              | 2<br>22.2%            | 4<br>44.4%  | 2<br>22.2%   | 9                     |
| Totals   | 184<br>18.7%                            | 113<br>11.5%          | 248<br>25.2%  | 129<br>13.1%   | 983                   |

employment compared to those with no such employment or no stated asbestos exposure. The minimal overall differences between these two groups are more manifest in parenchymal abnormalities, there being little difference in the percentages of pleural abnormalities. On the other hand, significant differences exist in the percentages of pleural abnormalities among those employed in work having direct asbestos exposure or shipyard employment and those only employed in garage work or having no asbestos exposure. We will thus utilize both parenchymal and pleural abnormalities to characterize the various exposed groups.

Other criteria could have been utilized for the determination of an abnormal X-ray. For example, we could have required that all four readers read an X-ray as abnormal before it would be so categorized, or that an averaged reading of 1/1 be required for the establishment of parenchymal fibrosis, or that pleural plaques not constitute an abnormality. To investigate whether more stringent criteria for abnormalities would have altered the analysis, the overall results were calculated with the criteria for abnormality being an X-ray categorized as 1/1 or greater and/or the presence of pleural thickening or calcification (plaques were not considered abnormal). These data are shown in the last column of Table 11 and demonstrate the identical trends that were present in the data with less stringent criteria for abnormalities.

Table 12 shows the distribution of abnormal X-rays for each reader according to work category. While different percentages of abnormal X-rays were obtained by the various readers, the trends according to work activity were highly consistent. Those engaged in brake work had a higher percentage of abnormalities compared to those who did not and those with other asbestos exposures had the greatest percentage of abnormalities according to all four readers. Thus, the overall X-ray results are relatively independent of the individual readers and independent of the criteria for abnormality established.

Table 12

Percentage of X-ray abnormalities  
according to individual readings of four readers,  
by job category

| Reader           | Job category     |                   |                  |                |                  |                   |                 | Totals            |
|------------------|------------------|-------------------|------------------|----------------|------------------|-------------------|-----------------|-------------------|
|                  | No exposure      | Brake work        | Garage, no brake | Heavy exposure | Shipyard work    | Possible asbestos | Other dust      |                   |
| Averaged reading | 18.8<br>(38/202) | 24.0<br>(101/421) | 25.0<br>(29/116) | 44.4<br>(4/9)  | 35.8<br>(44/123) | 31.2<br>(24/77)   | 22.9<br>(8/35)  | 24.8<br>(248/983) |
| 1                | 16.8<br>(34/202) | 20.0<br>(84/421)  | 18.1<br>(21/116) | 44.4<br>(4/9)  | 34.4<br>(42/122) | 22.4<br>(17/76)   | 28.6<br>(10/35) | 21.6<br>(212/981) |
| 2                | 31.7<br>(64/202) | 37.1<br>(156/421) | 33.6<br>(39/116) | 55.6<br>(5/9)  | 45.1<br>(55/122) | 36.8<br>(28/76)   | 51.4<br>(18/35) | 37.2<br>(365/981) |
| 3                | 19.3<br>(39/202) | 25.3<br>(106/419) | 27.6<br>(32/116) | 44.4<br>(4/9)  | 38.2<br>(47/123) | 33.8<br>(26/77)   | 17.1<br>(6/35)  | 26.5<br>(260/981) |
| 4                | 18.3<br>(37/202) | 22.2<br>(93/419)  | 25.9<br>(30/116) | 33.3<br>(3/9)  | 37.4<br>(46/123) | 33.8<br>(26/77)   | 25.7<br>(9/35)  | 24.9<br>(244/981) |

### Age standarization of X-ray readings

Inasmuch as the prevalence of X-ray abnormalities reflects various assaults to the lung (separate from that under study) that accrue overtime, it is necessary to consider age in comparisons of groups exposed to asbestos in different circumstances. Any age effect can, in part, be due to unknown exposures to various dusts, including asbestos, the opportunities for which increase with time. Additionally, any dust-related changes progress with time and the manifestation of relatively minor exposures early in life can be significant in later years. As discussed previously, a selection criteria for the exposed and control groups resulted in study populations that had similar age distributions. However, to more accurately take into account any age effect, the overall percentages of X-ray abnormalities were standardized to the age distribution of all 983 individuals for whom readable X-rays were available. A standardized percentage,  $P_{std}$ , was calculated using equation (1).

$$P_{std} = \sum_i P_i N_i^T / 983 \quad (1)$$

Where  $P_i$  is the percentage of abnormal X-rays in the  $i$ th age category of the group of interest and  $N_i^T$ , the number of all 983 individuals in the  $i$ th age group. For a group having an age cell with no data (insulation/factory, other) standardization was based on those cells with data using equation (2)

$$P_{std} = \sum_{\text{avail } i} P_i N_i^T / 983 \left[ \sum_{\text{all } i} P_i^T N_i^T / \sum_{\text{avail } i} P_i^T N_i^T \right] \quad (2)$$

Where  $P_i$  again equals the percentage of abnormal X-rays in the  $i$ th age category of the group of interest and  $N_i^T$ , the number of all 983 individuals in the  $i$ th age category;  $P_i^T$  is the percentage of abnormal X-rays among all 983 individuals in the  $i$ th age category. These overall age-standardized percentages are shown in Table 13. The difference between the individuals performing brake work and those with no identified asbestos exposure remains, while the percentage of abnormalities in those employed in a garage but not engaged in brake repair work

Table 13

Percentage of X-ray abnormalities according to work activity, by age

| Type of work activity                                  | Age              |                  |                   |                  |                 | Totals            | Age standardized percentage <sup>1</sup> |
|--|------------------|------------------|-------------------|------------------|-----------------|-------------------|--|
|  | <40              | 40-49            | 50-59             | 60-69            | >70             |                   |  |
| No identified asbestos exposure or garage work         | 4.3<br>(2/47)    | 14.9<br>(7/47)   | 20.0<br>(13/65)   | 37.8<br>(14/37)  | 33.3<br>(2/6)   | 18.8<br>(38/202)  | 21.4 ± 3.5                               |
| Garage employment                                      | 11.9<br>(8/69)   | 15.7<br>(19/121) | 26.7<br>(55/206)  | 34.4<br>(44/128) | 30.8<br>(4/13)  | 24.2<br>(130/537) | 24.3 ± 2.1                               |
| No brake work  | 25.0<br>(2/8)    | 0.0<br>(0/11)    | 25.6<br>(9/38)    | 22.9<br>(8/35)   | 28.6<br>(2/7)   | 21.2<br>(21/99)   | 18.6 ± 4.1                               |
| Brake work   | 11.1<br>(6/54)   | 17.8<br>(19/107) | 24.5<br>(38/155)  | 36.5<br>(31/85)  | 40.0<br>(2/5)   | 23.6<br>(96/406)  | 24.5 ± 2.5                               |
| Body work  | 0.0<br>(0/7)     | 0.0<br>(0/3)     | 57.1<br>(8/14)    | 71.4<br>(5/7)    | 0.0<br>(0/1)    | 30.6<br>(13/32)   | 38.6 ± 10.7                              |
| Other dust exposure                                    | ----             | 18.2<br>(2/11)   | 16.7<br>(2/12)    | 33.3<br>(3/9)    | 33.3<br>(1/3)   | 22.9<br>(8/35)    | 20.3 ± 7.2                               |
| Possible asbestos exposure                             | 40.0<br>(4/10)   | 26.3<br>(5/19)   | 35.5<br>(11/31)   | 18.8<br>(3/16)   | 100<br>(1/1)    | 31.2<br>(24/77)   | 32.0 ± 6.5                               |
| Shipyards, heating trades                              | 0.0<br>(0/8)     | 20.0<br>(3/15)   | 39.6<br>(21/53)   | 40.5<br>(17/42)  | 60.0<br>(3/5)   | 35.8<br>(44/123)  | 30.8 ± 4.6                               |
| Direct asbestos exposure<br>(insulation, factory work) | ----             | ----             | 40.0<br>(2/5)     | 33.3<br>(1/3)    | 100<br>(1/1)    | 44.4<br>(4/9)     | 32.6 ± 6.3                               |
| Totals   | 10.4<br>(14/134) | 16.9<br>(36/213) | 28.0<br>(104/372) | 34.9<br>(82/235) | 41.4<br>(12/29) | 25.2<br>(248/983) | 25.2 ± 1.6                               |

<sup>1</sup> Standardized to the age distribution of all 983 individuals for whom readable X-rays were available.



decreases to somewhat below the level of those unexposed otherwise. The percentages of abnormalities among those with other asbestos exposure remain significantly different from those unexposed.

If we consider the percentage of X-ray abnormalities a manifestation of a normal distribution with a variance reflected by the number of abnormal X-rays, the respective standard deviations in the final column of Table 13 are calculated. While the percentage of abnormal X-rays in each of the job categories of Table 13 has a large uncertainty associated with it because of the limited number of individuals in a work activity (other than brake work), the pattern of observed percentages yields a remarkable consistency. The two groups with no identified asbestos exposure, non-garage controls and garage workers with no brake or body work have the lowest percentages of abnormalities, the various activities with probable asbestos exposure have the highest percentages, and brake workers are intermediate. Individuals with other dust exposure appear to be unaffected by such materials. This is probably the result of the relatively short exposure times or the marginal exposure circumstances. The other dusts included coal (23 men), silica (9 men), and other dusts (3 men). Five of the other dust exposures were for longer than ten years. (One of the five had an abnormal X-ray.) The average exposure of the other 30 was 3.2 years. In subsequent analysis these 35 individuals will be characterized by their garage (or non-garage) employment. One notable feature of Table 13 is the high percentage of abnormal X-rays among the 32 individuals who worked for some time in auto body repair. (See subsequent sections on auto body repair and undercoating work.)

#### Smoking standardization of X-ray abnormalities

Smoking has been identified as exacerbating the non-malignant effects of asbestos. Death rates from asbestosis among 20+ cigarette/day smokers are 2.8 times greater than among nonsmokers (Hammond, Selikoff, and Seidman, 1979) and both parenchymal and pleural X-ray abnormalities have been reported as more common among current and ex-smokers than nonsmokers (Weiss, 1971; Rossiter and Harries, 1979; Weiss, Levin, and

Goodman, 1981). An effect of smoking on the prevalence of X-ray abnormalities was also found in this study with both parenchymal and pleural abnormalities found more among ex-smokers than nonsmokers and more frequently among current smokers than ex-smokers. The age standardized data for the overall X-ray readings are shown in Table 14a.

Table 14b lists the percentage distribution of smoking histories according to job category. As the unexposed group contains 25% more current smokers than the other exposure groups, the X-ray results, where possible, should be standardized for cigarette smoking as well as for age. This can be done for major work categories, but is unreliable for most subcategories because of the absence of data in some smoking-age cells. The standardization procedure follows that of age standardization and utilizes the overall smoking histories of the study group (31.6% were smokers; 42.4%, ex-smokers; and 26.0% nonsmokers).

#### Standardized X-ray abnormalities by work activity

Table 15 lists the age and smoking standardized percentages of X-ray abnormalities among those with no identified asbestos exposure, those engaged in brake maintenance and repair, and those with probable asbestos exposure, including auto body repair work. The overall percentage of abnormal X-rays among individuals with probable asbestos exposure differs significantly from those unexposed ( $P < 0.005$ , two sided). The difference is particularly significant ( $P < 0.0002$ ) for pleural abnormalities. This occurs because pleural abnormalities often appear from relatively low asbestos exposures and can exceed parenchymal abnormalities in prevalence at long times from onset of exposure. (See Anderson, et al. 1976, e.g.) As many of the individuals in this "probably exposed" category worked during World War II in shipyards, we are observing effects in this group after 35 years from onset of exposure.

Table 16 lists the age and smoking standardized percentages of abnormalities according to garage activity and union. There is relatively little difference between the percentages of abnormal X-ray for the auto workers of UAW Local 259 while a large and significant ( $p < 0.05$ )

Table 14a

Age standardized percentage of X-ray  
abnormalities according to smoking history  
 (all exposure categories)

| <u>Abnormality</u> | <u>Smoking History</u> |                   |                   | <u>Smoking<br/>standardized</u> |
|--------------------|------------------------|-------------------|-------------------|---------------------------------|
|                    | <u>Smokers</u>         | <u>Ex-smokers</u> | <u>Nonsmokers</u> |                                 |
| Pleural            | 14.0                   | 11.2              | 9.1               | 11.5                            |
| Parenchymal        | 26.3                   | 16.0              | 13.9              | 18.8                            |
| Any                | 32.6                   | 22.9              | 20.4              | 25.3                            |

Table 14b

Percentages of distribution of smoking histories  
according to job category

|   | <u>Smokers</u> | <u>Ex-smokers</u> | <u>Nonsmokers</u> |
|---|----------------|-------------------|-------------------|
| No exposure including<br>non-mechanic garage work | 39.1           | 39.1              | 21.7              |
| Brake work  | 29.1           | 43.1              | 27.8              |
| Probable asbestos exposure                        | 31.8           | 42.0              | 26.1              |

Table 15

The age and smoking standardized percentages  
of X-ray abnormalities according to exposure category

| Exposure category                                 | Pleural    | Parenchymal | Any        |
|---|------------|-------------|------------|
| No identified asbestos exposure                   | 8.4 ± 1.6  | 15.8 ± 2.1  | 20.1 ± 2.5 |
| Non-garage work                                   | 10.4 ± 2.3 | 17.0 ± 2.8  | 21.9 ± 3.2 |
| Garage work but no<br>brake work                  | 6.6 ± 2.2  | 14.4 ± 3.5  | 17.8 ± 3.9 |
| Brake work  | 7.2 ± 1.3  | 19.3 ± 2.2  | 24.4 ± 2.5 |
| Probable asbestos exposure<br>including body work | 19.8 ± 2.7 | 19.9 ± 2.7  | 32.5 ± 3.5 |

Table 16

Age and smoking standardized percentages<sup>1</sup> of X-ray abnormalities for different groups of garage workers according to work activity

| Work activity  | Group             |            |               |            |
|--|-------------------|------------|---------------|------------|
|  | UAW<br>259        | UAW<br>595 | DC 37<br>SEIU | Overall    |
| Brake work <sup>2</sup>                                    | 19.8 ± 3.0        |            | 28.9 ± 4.0    | 24.4 ± 2.5 |
| No brake work <sup>2</sup>                                 | 17.3 ± 3.3        | 21.7 ± 4.4 | 18.7 ± 4.7    | 20.1 ± 2.5 |
| Percentage of participants<br>with other asbestos exposure | 15.1 <sup>3</sup> | 16.3       | 25.8          | 21.5       |

<sup>1</sup> Standardized to age and smoking distribution of all 983 men for whom readable X-rays were available.

<sup>2</sup> No identified asbestos exposure.

<sup>3</sup> Does not include 12 individuals with asbestos exposure during amphibious vehicle construction.

difference exists between those employed in city garages and all other groups combined. This can be attributed to the greater grinding and finishing of brake lining material that the city workers do. The work in commercial garages is virtually exclusively on automobiles in which brake shoes are simply removed and replaced. Asbestos exposures largely occur through the air blowing of residual dust in the drum housing. Many of the city workers, on the other hand, repair truck brakes and, here, machining of the lining may be required to obtain a proper fit. This additional exposure can be significant. Rohl, Langer, Wolff, and Weisman (1976) found short-term asbestos concentrations during the machining of new brake linings to range from 24 f/ml to 72 f/ml.

Tables 17a and 17b show the percentages of individuals with X-ray abnormalities according to years of garage employment and years since onset of garage work. As can be seen, there is an increasing percentage of X-rays according to either variable. However, to some extent, this would be a reflection of the previously discussed age effect seen in both the exposed and unexposed populations analyzed in this study. In order to take into account age, the age-standardized percentages of abnormal X-rays were calculated for the age decades 40 through 69 years for those employed more than or less than 30 years, and more than or less than 30 years from onset of employment. (Individuals in each of these three age decades were included in both the 30+ years and 30-year groups so difficulties with empty cells was avoided.) However, the small numbers in each age cell prevented any smoking standardization. The results show that those employed for more than 30 years or with 30 or more years from onset of exposure have the greater percentage of abnormal X-rays. The differences between the age standardized abnormalities in the group with 30 or more years of employment and those with no asbestos or garage exposure or those with less than 30 years of garage employment achieve a one-sided level of significance of  $P < 0.05$ .

An analysis by the estimated number of brake jobs per week, however, does not indicate any trend (Table 18). The estimates are necessarily uncertain because of poor recall and the analysis did not consider either duration of work or time from onset of employment.

Table 17a

Percentage of individuals with X-ray abnormalities  
according to years of garage work

| Garage work      | <u>Years of garage employment</u> |                  |                  |                 | Totals            |
|------------------|-----------------------------------|------------------|------------------|-----------------|-------------------|
|                  | <20                               | 20-29            | 30-39            | 40+             |                   |
| Brake work       | 13.8<br>(13/94)                   | 20.0<br>(34/170) | 28.8<br>(34/18)  | 45.2<br>(14/31) | 23.0<br>(95/413)  |
| No brake work    | 27.0<br>(3/48)                    | 16.7<br>(6/36)   | 16.7<br>(2/12)   | 0.0<br>(0/3)    | 21.2<br>(21/99)   |
| Total            | 18.3<br>(26/142)                  | 19.4<br>(40/206) | 27.7<br>(36/130) | 41.2<br>(14/34) | 24.2<br>(116/512) |
| Age standardized | <30                               |                  | 30+              |                 |                   |
| Brake work       | 19.4 ± 3.0                        |                  | 28.7 ± 4.2       |                 |                   |

Table 17b

Percentage of individuals with X-ray abnormalities  
according to years since onset of garage work

| Garage work      | <u>Years since onset</u> |                  |                  |                 | Totals            |
|------------------|--------------------------|------------------|------------------|-----------------|-------------------|
|                  | <20                      | 20-29            | 30-39            | 40+             |                   |
| Brake work       | 13.2<br>(10/76)          | 20.3<br>(30/148) | 24.4<br>(33/135) | 40.7<br>(22/54) | 23.0<br>(95/413)  |
| No brake work    | 22.5<br>(9/40)           | 21.2<br>(7/33)   | 11.1<br>(2/18)   | 37.5<br>(3/8)   | 21.2<br>(21/99)   |
| Totals           | 16.4<br>(19/116)         | 20.4<br>(37/181) | 22.9<br>(35/153) | 40.3<br>(25/62) | 24.2<br>(116/512) |
| Age standardized | > 30                     |                  | 30+              |                 |                   |
| Brake work       | 21.2 ± 3.6               |                  | 25.3 ± 3.5       |                 |                   |

Table 18

Percentage of abnormal X-rays according  
to the frequency of brake work, by age

| Frequency of<br>brake work | Age            |                  |                  |                  |                | Totals            | Age standardized<br>percentage <sup>1</sup> |
|----------------------------|----------------|------------------|------------------|------------------|----------------|-------------------|---|
|                            | <40            | 40-49            | 50-59            | 60-69            | 70+            |                   |   |
| Any brake<br>work          | 11.1<br>(6/54) | 17.8<br>(19/107) | 4.5<br>(38/155)  | 36.5<br>(31/85)  | 40.0<br>(2/5)  | 23.6<br>(96/406)  | 24.5  |
| Daily                      | 13.0<br>(3/23) | 13.5<br>(7/52)   | 23.3<br>(14/60)  | 35.7<br>(15/42)  | 33.3<br>(1/3)  | 22.2<br>(40/180)  | 23.0  |
| 1-4 times<br>weekly        | 12.0<br>(3/25) | 25.0<br>(11/44)  | 23.1<br>(18/78)  | 39.4<br>(10/34)  | 0.0<br>(0/1)   | 23.1<br>(42/182)  | 22.8  |
| Less than<br>weekly        | 0.0<br>(0/6)   | 9.1<br>(1/11)    | 35.3<br>(6/17)   | 66.7<br>(6/9)    | 100<br>(1/1)   | 31.8<br>(14/44)   | 34.2  |
| No brake work              | 25.0<br>(2/8)  | 0.0<br>(0/11)    | 25.6<br>(9/37)   | 22.9<br>(8/35)   | 0.0<br>(0/7)   | 21.2<br>(21/99)   | 18.6  |
| Total                      | 12.9<br>(8/62) | 16.1<br>(19/118) | 24.9<br>(47/192) | 32.5<br>(39/120) | 33.3<br>(4/12) | 23.0<br>(117/505) | 23.4  |

<sup>1</sup> Standardized to the age distribution of all 983 individuals for whom readable X-rays were available.



Among those members of Local 259 of the UAW who were examined, 27 had been examined previously (Lorimer, 1976). Table 19 shows the comparison of the two sets of readings for these individuals. Of the 27 pairs of X-rays read, ten received identical readings. The 1979/1980 reading was one subcategory higher than that of 1974 in seven; in six it was one subcategory less. One reading was two subcategories higher in 1979-80 and three were two subcategories lower. Thus the overall readings were very similar between the two periods of time and there was no evidence for any progression of fibrosis among the small group of individuals examined in two periods of time.

#### Auto body repair and undercoating work

The highest percentage of X-ray abnormalities in any group observed in this study was among men employed in auto body shops for varying periods of time (38.6% versus 24.5% for brake workers and 18.6% for garage workers who did no brake work). While relatively few men were in the category, the percentage of abnormalities was significantly higher than garage workers who did no brake repair ( $P < 0.05$ , one-sided). The average time of employment of the group in body shops was 13.3 years. Of those employed more than 20 years, 5 of 7 (71%) had abnormal X-rays.

In past years asbestos was commonly used as a reinforcing agent in plastic auto body fillers used for dent patching. Extensive dust exposure was common as the material was sanded smooth. The extent of asbestos exposure is unknown because no fiber counts are available from such operations. Fortunately, with the increased awareness of asbestos hazards and with the introduction of the occupational asbestos standard, most manufacturers removed asbestos from their formulations. In a current (1981) analysis of six compounds used in shops employing UAW 259 members, no asbestos was identified. However, while current asbestos risks no longer exist in these shops (at least to our knowledge), persons exposed previously should be made aware of their asbestos exposure, the risk associated with it, the synergistic effect of cigarette smoking, and the desirability of appropriate medical surveillance.

Table 19

Comparison of X-ray readings  
of garage employees 1974-1979/80

| <u>1974</u><br><u>reading</u> | <u>1979/1980 Reading</u> |            |            |            |            |
|-------------------------------|--------------------------|------------|------------|------------|------------|
|                               | <u>0/1</u>               | <u>0/1</u> | <u>1/0</u> | <u>1/1</u> | <u>1/2</u> |
| 0/0                           | 6                        | 2          | 0          | 0          | 0          |
| 0/1                           | 5                        | 4          | 4          | 1          | 0          |
| 1/0                           | 0                        | 0          | 0          | 0          | 0          |
| 1/1                           | 0                        | 3          | 0          | 0          | 1          |
| 1/2                           | 0                        | 0          | 0          | 1          | 0          |

Automobile undercoating materials also contained asbestos as a reinforcement fiber and disease had been suggested in individuals engaged principally in undercoating work. Thirteen men were identified in this study with significant periods of undercoating work. Of the group three had abnormal X-rays, but two of the three also work in body shops. Thus, we have insufficient data to evaluate a risk from this activity in this population. Parenthetically, numerous other men reported having occasionally (one to two times per year) applied undercoating materials. These individuals were not segregated in any analysis. In all cases where undercoating was done, ready-mixed compounds were utilized. It would be expected that the spraying of such material would not lead to the release of high concentrations of respirable asbestos fibers. However, the grinding underfoot of dry, over-sprayed material on shop floors could release the encapsulated fibers.

#### Effect of previous shipyard employment

Of the 983 individuals with readable X-rays, 87 had been previously employed for some period of time in a shipyard, generally during World War II. Overall, 39.1% of the X-rays of this group were abnormal. On an age standardized basis, 28.6% were abnormal compared to 20.5% for all groups unexposed to asbestos (control group, garage workers with no brake or body work exposure, and those exposed only to other dusts). Because of the relatively small number of cases, the difference between these percentages is not significant at  $P < 0.05$  level. Tables 20 and 21 show the number and percentages of abnormal X-rays according to years of shipyard employment and time since onset of shipyard work. To the extent possible, age standardized percentages have been calculated for each exposure category although, with the small numbers in a given time cell, the procedure has large statistical uncertainties. The average time of employment of all 87 individuals in shipyard work was five years. As few (15) worked longer than ten years, the data in Table 20 on the trend according to shipyard employment are unstable because of the small numbers in longer term employment categories. In Table 21 however, a significant trend with years since onset of shipyard employment is seen. On an age standardized basis, 37.9% of those with more

Table 20

Number and percentage of X-ray abnormalities  
according to years of shipyard employment

| Parenchymal<br>reading                              | <u>Years of shipyard employment</u> |            |            |            | Totals      |
|---|-------------------------------------|------------|------------|------------|-------------|
|   | 0-4.9                               | 5-9.9.     | 10-14.9    | 15-19.9    |             |
| <u>Normal pleura</u>                                |                                     |            |            |            |             |
| 0   | 31                                  | 14         | 4          | 4          | 53          |
| 1   | 3                                   | 4          | 0          | 2          | 9           |
| 2   | 1                                   | 0          | 0          | 0          | 1           |
| <u>Abnormal pleura</u>                              |                                     |            |            |            |             |
| 0   | 7                                   | 1          | 4          | 1          | 13          |
| 1   | 8                                   | 2          | 0          | 0          | 10          |
| 2   | 1                                   | 0          | 0          | 0          | 1           |
| Any<br>abnormality                                  | 20<br>39.2%                         | 7<br>33.3% | 4<br>50.0% | 3<br>42.9% | 34<br>39.1% |
| Age<br>standardized<br>percentage of<br>abnormality | 27.2%                               | 24.7%      | 45.5%      | 37.1%      | 28.6%       |

Table 21

The number and percentage of X-ray abnormalities  
according to years from onset of shipyard employment

| Parenchymal<br>abnormality                          | <u>Years since onset of employment</u> |           |            |             |                 | Totals      |
|---|--|-----------|------------|-------------|-----------------|-------------|
|   | < 10                                   | 10-19.9   | 20-29.9    | 30-39.9     | 40+             |             |
| <u>Normal pleura</u>                                |  |           |            |             |                 |             |
| 0   | 3                                      | 3         | 12         | 33          | 2               | 53          |
| 1   | 0                                      | 0         | 2          | 7           | 0               | 9           |
| 2   | 0                                      | 0         | 0          | 1           | 0               | 1           |
| <u>Abnormal pleura</u>                              |  |           |            |             |                 |             |
| 0   | 0                                      | 0         | 2          | 9           | 2               | 13          |
| 1   | 0                                      | 0         | 0          | 9           | 1               | 10          |
| 2   | 0                                      | 0         | 0          | 1           | 0               | 1           |
| Any<br>abnormality                                  | 0<br>0.0%                              | 0<br>0.0% | 4<br>25.0% | 27<br>45.0% | 3<br>60.0%      | 34<br>39.1% |
| Age<br>standardized<br>percentage of<br>abnormality | -                                      | -         | 19.2%      | 36.6%       | 47.6%<br>37.9%* | 28.6%       |

\* Age standardized percentage of those 30 or more years from  
onset of shipyard employment.

than 30 years from onset of shipyard employment had abnormal X-rays. This percentage is different from that of unexposed individuals at a  $P < 0.02$  (two-sided) level of significance.

It is possible to compare the results of the prevalence of abnormal X-rays among different shipyard populations, 30 years or more from onset of employment. The finding here of 17% more X-ray abnormalities compared to controls among former shipyard workers, employed for an average of five years, is in agreement with studies of the effects of long-term shipyard employment. In a study of ship repair workers, employed for more than 20 years, 87% of the X-rays of those 30 or more years from onset of employment were abnormal (Selikoff, Nicholson, and Lilis, 1980). The percentages of abnormal X-rays in the two groups are approximately in the ratio of the periods of employment.

#### Evidence of malignancy

In any X-ray screening of a population of this size, it would not be unexpected that a chest malignancy would be identified. Such was the case with one individual in this study. He was referred to the chest service at Mount Sinai Hospital for follow-up diagnosis. His bronchogenic carcinoma was not operable, and while alive two years later, was in poor condition. Parenthetically, at examination his CEA level was 1.3 nanogram/ml and his sputum analysis showed moderate atypia, but no malignant cells present.

#### PULMONARY FUNCTION TESTS

##### Principal spirometric tests

Tables 22, 23, 24 and 25 show the mean values of forced vital capacity as a percent of that predicted ( $100 \times \text{FVC}/\text{PFVC}$ ), the forced expiratory volume in 1 second as a percent of forced vital capacity ( $100 \times \text{FEV}_1/\text{FVC}$ ), the forced expiratory volume in 1 second as a percent of that predicted ( $100 \times \text{FEV}_1/\text{PFEV}_1$ ), and the maximal midexpiratory flow between 25% and 75% of forced vital capacity as a percent of that pre-

Table 22

Forced vital capacity as a percent of that predicted (FVC/PFVC)  
according to job category and smoking history

| Type of work  | Smoking History |                |                | Smoking<br>standardized <sup>1</sup> |
|---|-----------------|----------------|----------------|--------------------------------------|
|   | Current smokers | Ex-smokers     | Non-smokers    |                                      |
| No stated asbestos exposure or garage work          | 94.1±1.8 (45)   | 94.7±1.9 (47)  | 99.2±2.6 (25)  | 95.7±1.2                             |
| Garage employment with no brake or body work        | 86.0±2.6 (36)   | 92.1±2.4 (42)  | 96.4±2.6 (29)  | 91.3±1.5                             |
| Garage employment including brake work              | 93.6±1.2 (128)  | 98.5±1.2 (181) | 98.2±1.4 (118) | 96.9±0.7                             |
| Garage employment including body work               | 93.0±2.8 (12)   | 92.8±4.6 (15)  | 92.9±4.5 (5)   | 92.9±2.4                             |
| All identified and possible asbestos exposure       | 94.0±2.0 (63)   | 94.7±1.5 (83)  | 100.8±2.2 (53) | 96.1±1.1                             |
| Possible asbestos exposure                          | 94.9±3.3 (24)   | 94.7±2.2 (26)  | 99.8±2.7 (18)  | 96.1±1.6                             |
| Shipyard, heating trades                            | 93.0±2.7 (37)   | 94.3±2.0 (56)  | 101.5±3.2 (33) | 95.8±1.5                             |
| Direct asbestos exposure (insulation, factory work) | 101.6±3.4 (2)   | 118.9 (1)      | 98.4±19.1 (2)  | *                                    |

± = standard error of the mean

( ) = number of individuals in category

\* = Too few in category to be meaningful

<sup>1</sup> Standardized to the smoking distribution of all with valid pulmonary function test.  
(Smokers, 31.6%; Ex-smokers, 42.4%; Non-smokers, 26.0%)

The categorization of work activity is such that an individual in a given category would have no exposure of a category lower in the table, i.e., a person classified as a brake worker would not have other exposure to asbestos or other dusts. On the other hand, categories low in the table, shipyard workers, e.g., could also have exposure to asbestos in categories higher in the table, such as brake work.

Table 23

Forced expiratory volume in one second as a percent  
of forced vital capacity ( $100 \times \text{FEV}_1/\text{FVC}$ ) according  
to job category and smoking history

| Type of work  | Smoking history |                |                | Smoking<br>standardized <sup>1</sup> |
|---|-----------------|----------------|----------------|--------------------------------------|
|   | Current smokers | Ex-smokers     | Non-smokers    |                                      |
| No stated asbestos exposure or garage work          | 76.5±1.4 (45)   | 77.3±1.7 (47)  | 80.1±1.2 (25)  | 77.8±0.9                             |
| Garage employment with no brake or body work        | 75.6±1.3 (36)   | 74.7±1.7 (43)  | 76.3±1.9 (29)  | 74.9±1.0                             |
| Garage employment including brake work              | 75.7±0.8 (128)  | 79.0±0.6 (182) | 80.6±0.6 (118) | 78.4±0.4                             |
| Garage employment including body work               | 74.5±3.3 (12)   | 74.7±2.5 (15)  | 79.0±4.9 (5)   | 75.7±1.9                             |
| All identified and possible asbestos exposure       | 74.3±1.1 (61)   | 76.2±0.9 (83)  | 78.4±1.0 (52)  | 76.2±0.6                             |
| Possible asbestos exposure                          | 75.4±1.9 (24)   | 75.5±1.7 (26)  | 76.4±1.6 (18)  | 75.7±1.0                             |
| Shipyard, heating trades                            | 73.7±1.4 (35)   | 76.4±1.1 (56)  | 79.9±1.2 (32)  | 76.4±0.7                             |
| Direct asbestos exposure (insulation, factory work) | 72.4±5.6 (2)    | 81.6 (1)       | 72.7±12.8 (2)  | *                                    |

± = standard error of the mean

( ) = number of individuals in category

\* = Too few in category to be meaningful

<sup>1</sup> Standardized to the smoking distribution of all with valid pulmonary function test. (Smokers, 31.6%; Ex-smokers, 42.4%; Non-smokers, 26.0%)

The categorization of work activity is such that an individual in a given category would have no category lower in the table, i.e., a person classified as a brake worker would not have other exposure to asbestos or other dusts. On the other hand, categories low in the table, shipyard workers, e.g., could also have exposure to asbestos in categories higher in the table, such as brake work.



Table 24

Forced expiration volume in one second as a percent of that predicted  
(FEV<sub>1</sub>/PFEV<sub>1</sub>) according to job category and smoking history

| Type of work  | Smoking history |                 |                 |                                   |
|---|-----------------|-----------------|-----------------|-----------------------------------|
|   | Current smokers | Ex-smokers      | Non-smokers     | Smoking standardized <sup>1</sup> |
| No stated asbestos exposure or garage work          | 98.1±2.2 (45)   | 101.3±2.7 (47)  | 110.1±3.1 (25)  | 102.6±1.6                         |
| Garage employment with no brake work                | 91.8±2.9 (36)   | 97.6±3.3 (42)   | 104.5±3.2 (29)  | 97.6±1.9                          |
| Garage employment including brake work              | 97.9±1.4 (128)  | 108.0±1.3 (181) | 109.6±1.5 (118) | 105.2±0.8                         |
| Garage employment including body work               | 95.9±5.5 (12)   | 96.9±5.5 (15)   | 100.6±6.5 (5)   | 97.6±3.4                          |
| All identified and possible asbestos exposure       | 97.6±2.4 (61)   | 101.8±2.0 (83)  | 110.4±2.5 (52)  | 102.8±1.3                         |
| Possible asbestos exposure                          | 98.8±4.0 (24)   | 99.3±3.1 (26)   | 107.8±3.7 (18)  | 101.7±2.0                         |
| Shipyard, heating trades                            | 96.7±3.2 (35)   | 102.4±2.6 (56)  | 112.5±3.5 (32)  | 103.1±1.8                         |
| Direct asbestos exposure (insulation, factory work) | 100.2±10.0 (2)  | 135.1 (1)       | 101.3±5.1 (2)   | *                                 |

± = standard error of the mean

( ) = number of individuals in category

\* = Too few in the category to be meaningful

<sup>1</sup> Standardized to the smoking distribution of all with valid pulmonary function test.  
(Smokers, 31.6%; Ex-smokers, 42.4%; Non-smokers, 26.0%)

The categorization of work activity is such that an individual in a given category would have no exposure of a category lower in the table, i.e., a person classified as a brake worker would not have other exposure to asbestos or other dusts. On the other hand, categories low in the table, shipyard workers, e.g., could also have exposure to asbestos in categories higher in the table, such as brake work.

Table 25

The forced expiratory flow between 25% and 75% of forced vital capacity  
as a percent of that predicted (100 x MMF/PMF)  
according to job category and smoking history

| Type of work  | Smoking History |                 |                 | Smoking<br>standardized <sup>1</sup> |
|---|-----------------|-----------------|-----------------|--------------------------------------|
|   | Current smokers | Ex-smokers      | Non-smokers     |                                      |
| No stated asbestos exposure or garage work          | 83.6± 5.5 (39)  | 92.3± 5.8 (42)  | 113.8± 6.5 (19) | 95.1±3.5                             |
| Garage employment with no brake or body work        | 78.0± 5.9 (30)  | 78.3± 6.3 (32)  | 86.9± 6.3 (24)  | 80.5±3.6                             |
| Garage employment including brake work              | 78.9± 2.9 (110) | 98.4± 2.6 (149) | 103.5± 2.6 (90) | 93.6±1.6                             |
| Garage employment including body work               | 82.3± 9.7 (11)  | 71.3±9.4 (11)   | 91.3±27.9 (4)   | 80.0±8.8                             |
| All identified and possible asbestos exposure       | 76.2± 4.1 (58)  | 89.2± 4.8 (65)  | 104.2± 5.6 (36) | 89.0±2.8                             |
| Possible asbestos exposure                          | 81.5± 7.1 (23)  | 82.6± 8.6 (23)  | 101.1±11.5 (12) | 87.0±5.2                             |
| Shipyard, heating trades                            | 72.8± 5.3 (33)  | 92.8± 5.7 (42)  | 104.9± 6.5 (23) | 89.6±3.4                             |
| Direct asbestos exposure (insulation, factory work) | 70.4±26.9 (2)   |                 | 123.3 (1)       |                                      |

± = standard error of the mean

( ) = number of individuals in category

\* = Too few in category to be meaningful

<sup>1</sup> Standardized to the smoking distribution of all with valid pulmonary function test.  
 (Smokers, 31.6%; Ex-smokers, 42.4%; Non-smokers, 26.0%)

The categorization of work activity is such that an individual in a given category would have no exposure of a category lower in the table, i.e., a person classified as a brake worker would not have other exposure to asbestos or other dusts. On the other hand, categories low in the table, shipyard workers, e.g., could also have exposure to asbestos in categories higher in the table, such as brake work.

dicted ( $100 \times \text{MMF/PMMF}$ ) according to job category and smoking history. The prediction equations used were those of Miller et al. (1980) (See Table 3). The distribution of these parameters is shown in Tables 26, 27, 28, 29. With the exception of auto body workers and garage workers who do not work with brakes, there are no differences between the means or in the distributions of the three principal spirometric test results ( $\text{FVC/PFVC}$ ,  $\text{FEV}_1/\text{PFEV}_1$ ,  $\text{FEV}_1/\text{FVC}$ ) for all other groups of workers, including those with possible past exposure to asbestos. While there are some differences between the various job categories in smoking category subgroups, these are largely the result of statistical variations. The more stable, smoking standardized data, show virtually identical results for the unexposed control population, brake workers and individuals exposed, or possibly exposed, to asbestos. These data are shown in Table 30. This might be anticipated as only marginal differences were seen in the percentage of X-ray abnormalities among individuals in different work categories. As forced vital capacity is usually a less sensitive determination of asbestos-related changes than the presence of X-ray abnormalities and forced expiratory volume in one second relates to exposures other than asbestos, the absence of a definite trend among the groups with varying asbestos exposure is not unexpected (see section on measures of small airway disease for a discussion of  $\text{MMF/PMMF}$ ).

#### Measures of small airway disease

As was seen in Tables 22-24, with the exception of non-mechanic garage workers and those men engaged in auto body work, no differences existed in the means of the spirometric test results between the three major occupational groups studied, those unexposed to asbestos or to garage work, those engaged in brake work, and those with a probable asbestos exposure. However, in the case of  $\text{MMF/PMMF}$  (Table 25) individuals with an identified asbestos exposure had a lower smoking standardized mean compared to those in the other two categories although not at a  $P < 0.05$  level of significance. The unexposed control group had the highest mean values, followed closely by mechanics engaged in brake work. Those with identified and possible exposure to asbestos had interme-

Table 26

The distribution of forced vital capacity  
as a percentage of that predicted ( $100 \times \text{FVC}/\text{PFVC}$ )  
by job category and smoking history

| Job category                                   | 100 x FVC/FVCP |              |              |              |
|--|----------------|--------------|--------------|--------------|
|  | <60            | 60-79.9      | 80-99.9      | 100+         |
| <u>Smokers</u>                                 |                |              |              |              |
| No identified asbestos exposure or garage work | 0<br>(0.0)     | 5<br>(11.1)  | 29<br>(64.4) | 11<br>(24.4) |
| Garage employment but no brake work            | 2<br>(5.6)     | 7<br>(19.4)  | 23<br>(63.9) | 4<br>(11.1)  |
| Brake work                                     | 1<br>(0.8)     | 20<br>(15.6) | 67<br>(52.3) | 40<br>(31.3) |
| Body work                                      | 0<br>(0.0)     | 0<br>(0.0)   | 11<br>(91.7) | 1<br>(8.3)   |
| <u>Ex-smokers</u>                              |                |              |              |              |
| No identified asbestos exposure or garage work | 0<br>(0.0)     | 4<br>(8.5)   | 27<br>(57.5) | 16<br>(34.0) |
| Garage employment but no brake work            | 2<br>(6.7)     | 9<br>(20.9)  | 16<br>(37.2) | 16<br>(37.2) |
| Brake work                                     | 2<br>(1.1)     | 21<br>(11.5) | 75<br>(41.2) | 84<br>(46.2) |
| Body work                                      | 1<br>(6.7)     | 1<br>(6.7)   | 10<br>(66.7) | 3<br>(20.0)  |
| <u>Nonsmokers</u>                              |                |              |              |              |
| No identified asbestos exposure or garage work | 0<br>(0.0)     | 3<br>(12.0)  | 11<br>(44.0) | 11<br>(44.0) |
| Garage employment but no brake work            | 0<br>(0.0)     | 4<br>(13.8)  | 16<br>(55.2) | 9<br>(31.0)  |
| Brake work                                     | 2<br>(1.7)     | 7<br>(5.9)   | 59<br>(50.0) | 50<br>(42.4) |
| Body work                                      | 0<br>(0.0)     | 0<br>(0.0)   | 4<br>(80.0)  | 1<br>(20.0)  |

( ) = Percentage

Table 27

The distribution of forced expiratory volume in one second  
as a percentage of forced vital capacity ( $100 \times \text{FEV}_1/\text{FVC}$ )  
according to job category and smoking history

| Job category                                   | 100 x FEV <sub>1</sub> /FVC |             |              |              |              |
|--|-----------------------------|-------------|--------------|--------------|--------------|
|  | <55                         | 55-64.9     | 65-74.9      | 75-84.9      | 85+          |
| <u>Smokers</u>                                 |                             |             |              |              |              |
| No identified asbestos exposure or garage work | 0<br>(0.0)                  | 7<br>(15.6) | 12<br>(26.7) | 17<br>(37.8) | 9<br>(20.0)  |
| Garage employment but no brake work            | 0<br>(0.0)                  | 4<br>(11.1) | 10<br>(27.8) | 18<br>(50.0) | 4<br>(11.1)  |
| Brake work                                     | 4<br>(3.1)                  | 11<br>(8.6) | 42<br>(32.8) | 53<br>(41.4) | 18<br>(14.1) |
| Body work                                      | 1<br>(8.3)                  | 0<br>(0.0)  | 3<br>(25.0)  | 8<br>(66.7)  | 0<br>(0.0)   |
| <u>Ex-Smokers</u>                              |                             |             |              |              |              |
| No identified asbestos exposure or garage work | 3<br>(6.4)                  | 3<br>(6.4)  | 8<br>(17.0)  | 23<br>(48.9) | 10<br>(21.3) |
| Garage employment but no brake work            | 3<br>(7.0)                  | 2<br>(4.7)  | 14<br>(32.6) | 18<br>(41.9) | 6<br>(14.0)  |
| Brake work                                     | 1<br>(0.6)                  | 9<br>(5.0)  | 35<br>(29.2) | 99<br>(54.4) | 38<br>(20.9) |
| Body work                                      | 1<br>(6.7)                  | 0<br>(0.0)  | 6<br>(40.0)  | 6<br>(40.0)  | 2<br>(13.3)  |
| <u>Nonsmokers</u>                              |                             |             |              |              |              |
| No identified asbestos exposure or garage work | 0<br>(0.0)                  | 1<br>(4.0)  | 2<br>(8.0)   | 19<br>(76.0) | 3<br>(12.0)  |
| Garage employment but no brake work            | 2<br>(6.9)                  | 2<br>(6.9)  | 5<br>(17.2)  | 15<br>(51.7) | 5<br>(17.2)  |
| Brake work                                     | 0<br>(0.0)                  | 0<br>(0.0)  | 26<br>(22.0) | 64<br>(54.2) | 28<br>(23.7) |
| Body work                                      | 0<br>(0.0)                  | 1<br>(20.0) | 0<br>(0.0)   | 3<br>(60.0)  | 1<br>(20.0)  |

( ) = percentage.

Table 28

The distribution of forced expiratory volume in one second to that predicted ( $100 \times FEV_1/PFEV_1$ ) by job category and smoking history

| Job category                                   | $100 \times FEV_1/PFEV_1$ |             |              |               |
|--|---------------------------|-------------|--------------|---------------|
|  | <60                       | 60-79.9     | 80-99.9      | 100+          |
| <u>Smokers</u>                                 |                           |             |              |               |
| No identified asbestos exposure or garage work | 0<br>(0.0)                | 5<br>(11.1) | 19<br>(42.2) | 21<br>(46.7)  |
| Garage employment but no brake work            | 1<br>(2.8)                | 6<br>(16.7) | 20<br>(55.6) | 9<br>(25.0)   |
| Brake work                                     | 2<br>(1.6)                | 14<br>(8.6) | 57<br>(44.5) | 58<br>(45.3)  |
| Body work                                      | 1<br>(8.3)                | 0<br>(0.0)  | 7<br>(58.3)  | 4<br>(33.3)   |
| <u>Ex-smokers</u>                              |                           |             |              |               |
| No identified asbestos exposure or garage work | 2<br>(4.3)                | 2<br>(4.3)  | 15<br>(31.9) | 28<br>(59.6)  |
| Garage employment but no brake work            | 4<br>(9.3)                | 3<br>(7.0)  | 17<br>(9.5)  | 19<br>(44.2)  |
| Brake work                                     | 2<br>(1.1)                | 9<br>(5.0)  | 41<br>(22.5) | 130<br>(71.4) |
| Body work                                      | 1<br>(6.7)                | 1<br>(6.7)  | 6<br>(40.0)  | 7<br>(46.7)   |
| <u>Nonsmokers</u>                              |                           |             |              |               |
| No identified asbestos exposure or garage work | 0<br>(0.0)                | 0<br>(0.0)  | 7<br>(28.0)  | 18<br>(72.0)  |
| Garage employment but no brake work            | 1<br>(3.5)                | 1<br>(3.5)  | 9<br>(31.0)  | 18<br>(62.1)  |
| Brake work                                     | 1<br>(0.9)                | 4<br>(3.4)  | 29<br>(24.6) | 84<br>(71.2)  |
| Body work                                      | 0<br>(0.0)                | 0<br>(0.0)  | 3<br>(60.0)  | 2<br>(40.0)   |

( ) = percentage

Table 29

The distribution of forced expiratory flow between 25% and 75% of forced vital capacity as a percent of that predicted  
( $100 \times \text{MMF/PMMF}$ ) according to job category and smoking history

| Job category                                   | 100 x MMF/PMMF |              |              |              |              |
|--|----------------|--------------|--------------|--------------|--------------|
|  | <60            | 60-74.9      | 75-89.9      | 90-104.9     | 105+         |
| <u>Smokers</u>                                 |                |              |              |              |              |
| No identified asbestos exposure or garage work | 10<br>(25.6)   | 9<br>(23.1)  | 3<br>(7.7)   | 5<br>(12.8)  | 12<br>(30.8) |
| Garage employment but no brake work            | 9<br>(30.0)    | 9<br>(30.0)  | 4<br>(13.3)  | 3<br>(10.0)  | 5<br>(16.7)  |
| Brake work                                     | 37<br>(33.6)   | 16<br>(14.6) | 19<br>(17.3) | 14<br>(12.7) | 24<br>(21.8) |
| Body work                                      | 2<br>(18.2)    | 3<br>(27.3)  | 2<br>(18.2)  | 1<br>(9.1)   | 3<br>(27.2)  |
| <u>Ex-Smokers</u>                              |                |              |              |              |              |
| No identified asbestos exposure or garage work | 7<br>(16.7)    | 5<br>(11.9)  | 7<br>(16.7)  | 5<br>(11.9)  | 18<br>(42.9) |
| Garage employment but no brake work            | 12<br>(36.4)   | 5<br>(15.2)  | 5<br>(15.2)  | 3<br>(9.1)   | 8<br>(24.2)  |
| Brake work                                     | 14<br>(9.3)    | 17<br>(11.3) | 33<br>(22.0) | 31<br>(20.7) | 51<br>(36.7) |
| Body work                                      | 4<br>(36.4)    | 1<br>(9.1)   | 5<br>(45.5)  | 0<br>(0.0)   | 1<br>(9.1)   |
| <u>Nonsmokers</u>                              |                |              |              |              |              |
| No identified asbestos exposure or garage work | 0<br>(0.0)     | 3<br>(15.8)  | 1<br>(5.3)   | 1<br>(5.3)   | 14<br>(73.7) |
| Garage employment but no brake work            | 3<br>(12.5)    | 5<br>(20.8)  | 5<br>(20.8)  | 6<br>(25.0)  | 5<br>(20.8)  |
| Brake work                                     | 1<br>(1.1)     | 11<br>(12.2) | 16<br>(17.8) | 21<br>(23.3) | 41<br>(45.6) |
| Body work                                      | 1<br>(25.0)    | 1<br>(25.0)  | 1<br>(25.0)  | 0<br>(0.0)   | 1<br>(25.0)  |

( ) = percentage.

Table 30

Smoking standardized<sup>1</sup> means and percentages of  
abnormal pulmonary function tests by job category

| <u>Job category</u>                            | <u>Mean value</u><br><u>100 x FVC/PFVC</u>    | <u>Percent</u><br><u>abnormal<sup>2</sup></u> |
|--|---|---|
| No identified asbestos exposure or garage work | 95.7 ± 1.2                                    | 10.2 ± 2.9                                    |
| Garage employment but no brake work            | 91.3 ± 1.5                                    | 23.2 ± 4.7                                    |
| Brake work                                     | 96.9 ± 0.7                                    | 10.9 ± 1.6                                    |
| Body work                                      | 92.9 ± 2.4                                    | 5.7 ± 4.0                                     |
| Definite and possible asbestos exposure        | 96.1 ± 1.1                                    | 13.1 ± 2.6                                    |
| <hr/>  |   |   |
|  | <u>100 x FEV<sub>1</sub>/PFEV<sub>1</sub></u> |   |
| No identified asbestos exposure or garage work | 102.6 ± 1.6                                   | 7.2 ± 3.2                                     |
| Garage employment but no brake work            | 97.6 ± 1.9                                    | 14.9 ± 4.9                                    |
| Brake work                                     | 105.2 ± 0.8                                   | 7.1 ± 1.3                                     |
| Body work                                      | 97.6 ± 3.4                                    | 8.3 ± 4.8                                     |
| Definite and possible asbestos exposure        | 102.8 ± 1.3                                   | 8.7 ± 2.1                                     |
| <hr/>  |   |   |
|  | <u>100 x MMF/PMMF</u>                         |   |
| No identified asbestos exposure or garage work | 95.1 ± 3.5                                    | 31.6 ± 5.4                                    |
| Garage employment but no brake work            | 80.5 ± 3.6                                    | 49.5 ± 7.5                                    |
| Brake work                                     | 93.6 ± 1.6                                    | 27.5 ± 2.8                                    |
| Body work                                      | 80.0 ± 8.8                                    | 46.6 ± 13.5                                   |
| Definite and possible asbestos exposure        | 89.0 ± 2.8                                    | 43.9 ± 5.2                                    |

<sup>1</sup> Standardized to the smoking distribution of all with valid pulmonary function test. (Smokers, 31.6%; Ex-smokers, 42.4%; Non-smokers, 26.0%).

<sup>2</sup> Abnormal is less than 80 for 100 x FVC/PFVC and 100 x FEV<sub>1</sub>/PFEV<sub>1</sub> and less than 75 for 100 x MMF/PMMF



diate values and those non-mechanic garage workers the lowest values. The difference between the mechanic and non-mechanic garage workers is significant at the  $P < 0.001$  (two-sided) level. (See section on possible health effects of non-mechanic garage employment.) The same difference was seen in auto body repair workers, but, because of the smaller number of individuals, it did not achieve significance at the 0.05 level ( $P = 0.12$ ).

#### Possible effects from non-mechanic garage employment

For the principal three spirometric tests, as well as for MMF/ PMMF, individuals with garage employment, but who did not engage in brake maintenance work, had significantly lower means and a greater percentage of abnormal pulmonary function results than either controls, brake workers, or those with possible exposure to asbestos otherwise. We investigated whether this may be the result of the greater percentage of blacks and Hispanics employed in this category compared to other job activities, coupled with improper pulmonary function standards for these groups. Table 31 shows the percentage of individuals in the various job categories according to their race. As can be seen, among those with garage employment, but no brake work, more than 40% were black or Hispanic compared to 28% of those with no identified asbestos exposure and 16% of those who worked in brake maintenance and repair. To the extent possible, we took into account the known differences between black and white populations in the predicted standards for forced vital capacity and forced expiratory volume in one second (black normals were 89% of those of whites). For MMF the same prediction parameters were used for blacks and whites as there appears little racial difference in flow rates (Schoenberg et al., 1978). However, data on these standards are relatively limited and they may not be fully accurate. For Hispanics we used the same criteria as for whites. Table 32 shows the overall spirometric data according to race. As can be seen, the means for FVC/PFVC and  $FEV_1/PFEV_1$  for the black population are generally lower than the white population in all smoking categories. [The smoking standardized difference is significant at the  $P < 0.01$  level (two-sided) for FVC/PFVC.] On the other hand, the data for the Hispanic

Table 31

The distribution and percentages by race  
according to the work activity of study participants  
who had valid pulmonary function tests

| <u>Type of work activity</u>                   | <u>Race</u>   |               |                 |
|--|---------------|---------------|-----------------|
|  | <u>White</u>  | <u>Black</u>  | <u>Hispanic</u> |
| No identified asbestos exposure or garage work | 89<br>(76.1)  | 19<br>(16.2)  | 9<br>(7.7)      |
| Garage employment but no brake work            | 63<br>(58.9)  | 40<br>(37.4)  | 4<br>(3.7)      |
| Brake work                                     | 356<br>(83.4) | 56<br>(13.1)  | 15<br>(3.5)     |
| Body work                                      | 24<br>(75.0)  | 6<br>(18.7)   | 2<br>(6.3)      |
| Identified and possible asbestos exposure      | 177<br>(88.9) | 19<br>(9.5)   | 3<br>(1.5)      |
| Totals   | 709<br>(86.3) | 140<br>(17.0) | 33<br>(4.0)     |

Table 32

The means of spirometric tests by race

| Race  | Smoking history |                 |                 |                                   |
|---|-----------------|-----------------|-----------------|-----------------------------------|
|   | Current smokers | Ex-smokers      | Nonsmokers      | Smoking standardized <sup>2</sup> |
| <u>100 x FVC/PFVC</u>                         |                 |                 |                 |                                   |
| White   | 93.0±0.9 (222)  | 97.4±0.9 (300)  | 99.6±1.0 (187)  | 96.6±0.5                          |
| Black <sup>1</sup>                            | 91.2±2.3 (53)   | 89.9±1.9 (51)   | 96.5±3.0 (36)   | 92.0±1.4                          |
| Hispanic                                      | 96.9±3.4 (9)    | 94.4±2.8 (17)   | 81.6±5.6 (7)    | 91.9±2.2                          |
| <u>100 x FEV<sub>1</sub>/PFEV<sub>1</sub></u> |                 |                 |                 |                                   |
| White   | 96.2±1.1 (220)  | 104.9±1.1 (300) | 110.0±1.2 (186) | 103.5±0.7                         |
| Black <sup>1</sup>                            | 98.4±2.8 (53)   | 100.4±2.2 (51)  | 106.8±3.6 (36)  | 101.4±1.6                         |
| Hispanic                                      | 105.0±5.3 (9)   | 101.7±4.7 (17)  | 95.3±5.9 (7)    | 101.1±3.0                         |
| <u>100 x MMF/PMMF</u>                         |                 |                 |                 |                                   |
| White   | 77.5±2.2 (197)  | 92.7±2.3 (248)  | 102.9±2.5 (144) | 90.6±1.4                          |
| Black   | 83.5±4.9 (44)   | 89.8±4.7 (40)   | 95.5±6.1 (24)   | 89.3±3.0                          |
| Hispanic                                      | 95.9±14.1(7)    | 94.1±9.3(11)    | 113.3±6.4 (5)   | 99.7±6.2                          |

<sup>1</sup> PFVC and PFEV<sub>1</sub> for blacks were 0.89 that for whites.

<sup>2</sup> Standardized to the smoking distribution of all with valid pulmonary function tests. (Smokers, 31.6%; Ex-smokers, 42.4%; Nonsmokers, 26.0%.)

population is similar to that of whites with the exception of the nonsmoking group, which is comprised of only six individuals. Thus, the use of the same prediction function for Hispanics as for whites would appear to be a correct procedure but the prediction correction for blacks remains in question.

Table 33 depicts the means of FVC/PFVC and  $FEV_1/PFEV_1$  for blacks and whites by smoking history and job category and Table 34, the smoking standardized means by race and job category. (Too few Hispanics were available for this analysis.) As can be seen, the deficits for those categorized as garage employees, but who do no brake repair or maintenance work, exist for both racial groups. However, it is particularly notable for the blacks where the means of FVC/PFVC and  $FEV_1/PFEV_1$  for non-brake garage workers are 10% lower than for blacks employed in other jobs. The pulmonary function deficits in this group largely account for the lower values seen for blacks overall in Table 32 and suggest that proper normal spirometry parameters were used.

The origin of these deficits is not clear. The group is largely comprised of "car jockeys," auto polishers, and new car preparation men. (There was considerable movement of individuals among these jobs and often a person would do all three.) They are employed in the same facilities as the brake workers. Also included are a small group of skilled mechanics who would perform difficult mechanical or electrical repairs, parts department personnel, and a few men in DC 37 who were dispatchers working out of the repair area. Table 35 lists the spirometric data for the three groups in commercial garages, compared to those engaged in brake work, those exposed to asbestos otherwise, or the unexposed control group. As can be seen, the deficits are associated with the group working as car jockeys or car preparation men and those employed in auto body shops. The differences in the smoking standardized means for FVC/PFVC and  $FEV_1/PFEV_1$ , between the car jockey-vehicle preparation men and mechanics (including those who do brake work) are significant at  $P < 0.01$  (two-sided).

Table 33

Smoking standardized means of spirometric tests  
according to race and job category

| <u>Type of work activity</u>                   | <u>Means</u>          |   |                       |
|--|-----------------------|---|-----------------------|
|  | <u>100 x FVC/PFVC</u> | <u>100 x FEV<sub>1</sub>/PFEV<sub>1</sub></u> | <u>100 x MMF/PMMF</u> |
|  |                       | <u>Whites</u>                                 |                       |
| No identified asbestos exposure or garage work | 96.2±1.3              | 102.9±1.7                                     | 94.7±3.7              |
| Garage employment but no brake work            | 94.2±1.7              | 98.9±2.6                                      | 78.5±4.6              |
| Brake work                                     | 97.5±0.8              | 105.1±0.9                                     | 93.0±1.8              |
| Body work                                      | 94.3±3.1              | 99.0±4.0                                      | 83.5±11.6             |
|  |                       | <u>Blacks</u>                                 |                       |
| No identified asbestos exposure or garage work | 95.6±2.3              | 103.7±3.7                                     | 88.5±10.4             |
| Garage employment but no brake work            | 86.2±2.5              | 95.2±3.1                                      | 83.5±7.1              |
| Brake work                                     | 95.0±2.0              | 106.0±2.3                                     | 93.9±3.6              |
| Body work                                      | 87.4±4.5              | 90.1±6.1                                      | 66.1±12.9             |

Table 34

Pulmonary function results of garage workers who do not repair brakes according to work activity and smoking history

| <u>Pulmonary<br/>function<br/>parameter</u>            | <u>Current<br/>Smoker</u> | <u>Ex-smokers</u> | <u>Non-smokers</u> |
|--|---------------------------|-------------------|--------------------|
| <u>Mechanical Work/Parts Department</u>                |                           |                   |                    |
| FVC/PFVC   | 88.8± 3.4(6)              | 105.3± 1.4(4)     | 95.2±4.4(7)        |
| FEV <sub>1</sub> /PFEV <sub>1</sub>                    | 98.8± 4.3(6)              | 110.7± 6.5(4)     | 100.1±6.2(7)       |
| MMF/PMMF   | 106.1±14.7(6)             | 80.7± 7.5(4)      | 89.0±12.1(7)       |
| <u>Auto body repair work</u>                           |                           |                   |                    |
| FVC/PFVC   | 93.0± 2.8(12)             | 92.8±4.6(15)      | 92.9± 4.5(5)       |
| FEV <sub>1</sub> /PFEV <sub>1</sub>                    | 95.9± 5.5(12)             | 96.9±5.5(15)      | 100.6± 6.5(5)      |
| MMF/PMMF   | 82.3± 8.8(11)             | 71.4±9.4(11)      | 91.3±27.9(4)       |
| <u>New vehicle preparation-car jockey-car polisher</u> |                           |                   |                    |
| FVC/PFVC   | 83.6± 4.7(15)             | 88.5± 3.1(21)     | 96.9± 6.6(8)       |
| FEV <sub>1</sub> /PFEV <sub>1</sub>                    | 87.0± 5.2(15)             | 93.7± 4.0(21)     | 108.3± 6.9(8)      |
| MMF/PMMF   | 76.2±10.6(12)             | 81.0± 8.2(16)     | 94.2±15.3(5)       |

Table 35

Smoking standardized<sup>1</sup> pulmonary function results of  
garage workers who do not repair brakes  
compared to other groups

| Job category   | FVC/PFVC  | FEF <sub>1</sub> /PFEV <sub>1</sub> | MMF/PMMF |
|--|-----------|-------------------------------------|----------|
| No identified asbestos exposure or garage work       | 102.6±1.6 | 102.6±1.6                           | 95.1±3.5 |
| Brake work   | 96.9±0.7  | 105.2±0.8                           | 93.6±1.6 |
| Parts department                                     | 97.5±1.7  | 104.2±3.5                           | 90.9±6.4 |
| Body work  | 92.9±2.4  | 97.6±3.4                            | 80.0±8.8 |
| Vehicle preparation<br>car jockeys, car<br>polishers | 89.1±2.6  | 95.4±3.0                            | 82.9±6.3 |
| Definite and possible<br>asbestos exposure           | 96.1±1.1  | 102.8±1.3                           | 89.0±2.8 |

<sup>1</sup>Standardized to the smoking distribution of all with valid pulmonary function test. (Smokers, 31.6%; Ex-smokers, 42.4%; Non-smokers, 26.0%.)

To the extent that occupational factors may be associated with these deficits, the possibility exists that greater exposure to automobile exhaust among individuals in this group has contributed to these findings. A greater exposure could arise if the mechanics generally worked on cars with engines off or, if on, with exhaust hoses attached. That auto exhaust may be contributory is suggested by the data of Ayers et al. (1973) who have observed significant pulmonary deficits among bridge and tunnel workers who are heavily exposed to automobile exhaust during the course of their work in the bridges and tunnels in and around New York City. There, deficits in forced vital capacity of 9% for nonsmokers and 13% for smokers, compared to a normal population (smoking not specified) were seen. Greater deficits were seen for various measures of airway flow. The association of automobile exhaust with the deficits in pulmonary function among a limited group of garage workers is, of course, only suggestive. For a definitive association to be demonstrated, it is necessary that a detailed study be made of the work activities and the exposures of this group of workers in order to determine if they do have significantly more exposure to auto exhaust than do garage mechanics.

#### Auto body repair

Workers who were engaged in auto body repair had mean values for the various spirometric tests that were considerably lower than those of garage mechanics, unexposed controls and even the groups exposed to asbestos otherwise. Because of the few individuals in this group, the differences between the auto body workers and garage mechanics only achieve two-sided statistical significance for  $FEV_1/PFEV_1$ . In addition to asbestos and other dusts, which could primarily affect FVC, the auto body shop workers are exposed to solvents from paints and fillers, and welding fumes. The manifestations of disease seen in both the X-rays and the pulmonary function tests in the limited populations observed in this study warrant further definition. Similarly, an industrial hygiene survey of the materials to which auto body repairmen are exposed is also necessary.



### Correlations between X-ray abnormalities and pulmonary function tests

Table 36 lists three pulmonary function results according to the X-ray reading of the individuals. Two criteria were utilized for X-ray abnormality, a 1/0 or greater parenchymal reading and/or any pleural change, including the presence of diaphragmatic plaques, and a 1/1 or greater parenchymal reading and/or pleural thickening or calcification. (The presence only of a diaphragmatic plaque was not considered abnormal in the latter criterion.) The results show that in general those with abnormal X-rays have lower pulmonary function than those with normal X-rays. This is evident for smokers and ex-smokers. The pulmonary function means for the nonsmokers, however, are the same for those with abnormal X-rays as for those with normal X-rays. This is to be expected as minimal asbestos effects can be seen on X-ray before significant deficits in FVC occur. However, even minimal asbestos effects can be exacerbated by smoking, leading to the deficits seen in Table 36. The data of Table 36 are consistent with the previous discussed data suggesting a greater percentage of X-ray abnormalities among current and previous smokers compared to nonsmokers. Also, as expected, the pulmonary deficits in those with abnormal X-rays are greater when the criteria for abnormality is more stringent.

### Comparisons with other populations

Table 37 provides a comparison between pulmonary function results measured in this study and those determined in a study of the general population of Michigan (Miller et al., 1980). For this comparison, the non-mechanic garage workers are excluded because of factors that may be unique to that group. The studies overlapped considerably in time and the same technical personnel were used in each. (The field dates for the Michigan survey were February 1978 through December 1979 and for this study, May 1978 through February 1980.) The purpose of the Michigan survey was to measure levels of polybrominated biphenyls in blood and adipose tissue and to evaluate other health indicators. The group was chosen by a random selection process using Michigan phone numbers. Predicted values utilized the regression equations for the data of

Table 36

The means of spirometric values according to X-ray  
reading and smoking history using two criteria  
for X-ray abnormality

| Spirometric<br>parameter<br>(x 100) | <u>X-ray reading</u>            |                |                          |                |
|-------------------------------------|---------------------------------|----------------|--------------------------|----------------|
|                                     | Normal<br>(1/0, Pl.Th. or Pqs.) | Abnormal       | Normal<br>(1/1 or Pl.Th) | Abnormal       |
| <u>Smokers</u>                      |                                 |                |                          |                |
| FVC/PFVC                            | 92.7±1.1 (176)                  | 91.6±1.6 (84)  | 94.0±1.0 (213)           | 89.2±2.3 (43)  |
| FEV <sub>1</sub> /PFEV <sub>1</sub> | 97.2±1.3 (175)                  | 94.0±1.9 (83)  | 96.8±1.2 (212)           | 89.0±2.5 (42)  |
| MMF/PMMF                            | 80.3±2.6 (152)                  | 76.1±3.8 (73)  | 80.7±2.3 (190)           | 69.5±5.2 (35)  |
| <u>Ex-smokers</u>                   |                                 |                |                          |                |
| FVC/PFVC                            | 97.2±0.9 (264)                  | 92.4±1.9 (81)  | 98.1±0.9 (299)           | 90.1±2.7 (43)  |
| FEV <sub>1</sub> /PFEV <sub>1</sub> | 105.2±1.1 (264)                 | 98.7±2.5 (81)  | 104.1±1.0 (299)          | 96.1±3.3 (43)  |
| MMF/PMMF                            | 92.4±2.3 (216)                  | 89.4±4.8 (62)  | 91.6±2.3 (243)           | 91.3±6.0 (34)  |
| <u>Nonsmokers</u>                   |                                 |                |                          |                |
| FVC/PFVC                            | 98.5±1.2 (173)                  | 98.3±1.6 (48)  | 99.8±1.1 (191)           | 99.2±2.3 (24)  |
| FEV <sub>1</sub> /PFEV <sub>1</sub> | 108.8±1.4 (172)                 | 110.2±1.9 (48) | 108.5±1.2 (190)          | 108.6±2.5 (24) |
| MMF/PMMF                            | 101.0±2.5 (127)                 | 105.3±4.9 (37) | 101.9±2.4 (144)          | 107.6±7.1 (17) |

( ) = Number in category

Table 37

A comparison of spirometric results in this cohort  
with those observed in the general population of Michigan \*

| Smoking history | Observation group  |                                   |        |
|-----------------|--|-----------------------------------|--------|
|                 | This study (excluding<br>non-mechanic garage<br>workers) | Michigan<br>general<br>population |        |
|                 | Blacks   | Whites                            | Whites |
| <hr/>           |  |                                   |        |
|                 | 100 x FVC/PFVC   |                                   |        |
| Smokers         | 96.5±2.4   | 94.3±1.0                          | 94.7   |
| Ex-smokers      | 93.7±2.3   | 98.6±0.9                          | 97.3   |
| Nonsmokers      | 98.2±3.7   | 101.1±1.1                         | 99.2   |
| <hr/>           |  |                                   |        |
|                 | 100 x FEV <sub>1</sub> /PFEV <sub>1</sub>                |                                   |        |
| Smokers         | 104.1±2.7  | 95.5±1.2                          | 96.1   |
| Ex-smokers      | 102.4±2.6  | 104.8±1.1                         | 101.6  |
| Nonsmokers      | 106.7±4.3  | 110.2±1.2                         | 105.8  |
| <hr/>           |  |                                   |        |
|                 | 100 x MMF/PMMF   |                                   |        |
| Smokers         | 90.2±5.2   | 76.5±2.4                          | 80.5   |
| Ex-smokers      | 90.8±5.5   | 95.5±2.3                          | 88.2   |
| Nonsmokers      | 98.9±6.0   | 106.6±2.6                         | 97.6   |

\* Predicted values were obtained from the data of  
 Morris et al.

Morris et al., 1971). As can be seen, the data for the whites in this study compare very favorably with Michigan white males. (Had the non-mechanic garage workers been included, mean values from 1% to 2% lower would have been obtained (c.f. Table 30).

A variety of different research groups have produced regression equations for pulmonary function parameters on the basis of measurements on "normal" populations. The equations of Kory et al, 1961; Cherniak and Raber, 1972; and Morris, Koski, and Johnson, 1971, particularly, have gained widespread acceptance for comparative purposes. The populations utilized and the criteria for acceptable data are shown in Table 38 for these three studies along with five others that have also established regression equations for normal populations and this study. As can be seen, only two of these research groups included only nonsmokers in the study group. A third included individuals who smoked for less than six months and one included individuals who were ex-smokers of less than five-pack years of cigarettes. In some cases averages of best efforts were utilized; in others, values for all parameters were taken from the flow volume curve of the best effort as determined by forced vital capacity. Of most importance, a considerable diversity exists in the age distributions of the study populations selected. Values for FVC and  $FEV_1$  increase until about age 25, then remain relatively unchanged until about age 35, and thereafter declining steadily with age. Because of this complexity, regression equations, based upon a wide range of ages and utilizing only a single linear term to account for age, can be significantly in error. The errors are such as to produce overestimates of pulmonary functions for young and older groups and underestimates for those in the middle years (see, e.g., Schoenberg et al., 1978). As the population in this study is largely between the ages of 40 and 70, most regression equations are likely to understate the values for these parameters. Additionally, lower predicted values will clearly be obtained from populations that include smokers or from studies that include averages of several expiratory efforts rather than the maximal ones. The use of standards from such populations can lead to underestimates of effect from agents in the workplace that can affect pulmonary function.

Table 38

The criteria for spirometry in several studies of "normal" subjects

|                  | Number of<br>individuals<br>tested | Age<br>range | Number of<br>individuals<br>age 30 | Smoking<br>habits<br>included       | Min.<br>number<br>of<br>trials | Selection<br>process            | FEV <sub>1</sub><br>determination | Spirometer<br>utilized                          |
|------------------|------------------------------------|--------------|------------------------------------|-------------------------------------|--------------------------------|---------------------------------|-----------------------------------|---|
| Morris et al     | 517 (507)                          | 20-84        | 348                                | Non-smokers<br>(no cigs $\geq$ 6mo) | 2                              | Best effort                     | After 200 ml                      | Stead-Wells                                     |
| Cherniak & Raber | 870                                | 15-79        | 336                                | Non-smokers                         | 3                              | Max. values                     | Direct                            | Wedge   |
| Knudsen et al    | 128                                | 25-79        | 95                                 | Non-smokers                         | 5                              | Best 2 of 5<br>Best of any flow | Direct                            | Pneumotachygraph<br>corrected to<br>Stead-Wells |
| Kory et al       | 468                                | 18-66        | 335                                | Any                                 | 2                              | Best effort                     | After 200 ml                      | Collins   |
| Higgins & Keller | 1035                               | 20-74        | ~760                               | Any                                 | 2                              | Best effort                     | Direct                            | Wedge   |
| Ferris et al     | 156                                | 25-74        | NA                                 | Non-smokers<br>Ex-smokers           | 5                              | Best 3 of 5                     | Direct                            | Collins   |
| Bass             | 149                                | 21-71+       | 125                                | Non-smokers<br>( $<5$ pk-yrs)       | 2                              |                                 | Direct                            | Wedge   |
| This study       | 163                                | 26-70        | 160                                | Non-smokers                         | 3                              | Best effort                     | Extrapolation                     | Rolling Seal                                    |

In this study valid pulmonary function tests exist for 163 nonsmokers in other than the non-mechanic garage group. The previous discussion has indicated their pulmonary function parameters (FVC,  $FEV_1$  and MMF) compare favorably with the group studied by Morris, Koski and Johnson. It is instructive to utilize the regression equations from the above studies of normal populations to obtain other comparative data. The various regression equations utilized are shown in Table 39 and the percent ratios of observed to predicted spirometry parameters are listed in Table 43. As can be seen, the values obtained for FVC/PFVC and  $FEV_1/PFEV_1$  are in excess of 1.00 for all regression equations. This is the result of the inclusion of smokers among comparative groups, the use of averages of several efforts, or the underprediction resulting from the broad age distributions utilized for the regression equations. High values of  $FEV_1/PFEV_1$  result, in part, from an extrapolated origin of expiration (c.f. Table 39). The values for MMF/PMMF,  $FEF_{50}/PFEF_{50}$ , and  $FEF_{75}/PFEF_{75}$  are less than 1.00 for the prediction equations of Cherniak and Knudson. This is the result of their use of the maximum flow value obtained in any effort rather than the flow in the effort with maximum FVC. It is generally found that lower flow rates are obtained during the achievement of a maximal FVC. The Morris, Koski and Johnson equations would appear to give the best comparison for FVC<sub>1</sub> and MMF because a large proportion of their population was above age 30, only nonsmokers were studied, and the best effort was utilized. The very complicated equation of Schoenberg, which was developed to properly take account of age, is limited by the relatively few individuals over age 30 and the use of averages of efforts.

Regression equations for the various spirometric parameters obtained from data on the 163 white, nonsmokers tested in this survey are listed in Table 41. Because only three individuals were under age 30, only the linear dependence on age could be established.

#### PHYSICAL EXAMINATION RESULTS

Table 42 lists the number and percentage of abnormalities found on physical examination according to job category and organ system. The only

Table 39

The parameters in regression equations for the  
prediction of spirometric functions in nine studies

| Study                   | x Ht. (in.) | x Age (yrs.) | Constant |
|-------------------------|-------------|--------------|----------|
| <u>FVC</u>              |             |              |          |
| Morris et al.           | 0.148       | -0.025       | -4.241   |
| Miller et al.           | 0.147       | -0.026       | -4.053   |
| Cherniak & Raber        | 0.12102     | -0.01357     | -3.18373 |
| Knudson et al.          | 0.1651      | -0.029       | -5.459   |
| Ferris et al.           | 0.11684     | -0.027       | -2.79    |
| Kory et al.             | 0.13208     | -0.022       | -3.60    |
| Bass                    | 0.159       | -0.023       | -5.72    |
| Higgins & Keller        | 0.15748     | -0.024       | -5.38    |
| <u>FEV<sub>1</sub></u>  |             |              |          |
| Morris et al.           | 0.092       | -0.032       | -1.260   |
| Miller et al.           | 0.094       | -0.032       | -1.426   |
| Cherniak & Raber        | 0.09107     | -0.0232      | -1.50723 |
| Knudson & Keller        | 0.13208     | -0.027       | -4.203   |
| Ferris et al.           | 0.07366     | -0.028       | -0.70    |
| Kory et al.             | 0.09398     | -0.028       | -1.59    |
| Higgins & Keller        | 0.11684     | -0.028       | -3.18    |
| <u>MMF</u>              |             |              |          |
| Morris et al.           | 0.047       | -0.045       | +2.513   |
| Miller et al.           | 0.044       | -0.046       | +2.806   |
| Cherniak & Raber        | 0.05948     | -0.037       | +2.61187 |
| Knudson et al.          | 0.1143      | -0.031       | -1.864   |
| Higgins & Keller        | 0.05588     | -0.044       | +1.89    |
| <u>FEF<sub>50</sub></u> |             |              |          |
| Cherniak & Raber        | 0.06526     | -0.03049     | +2.40337 |
| Knudson et al.          | 0.069       | -0.015       | -5.4     |
| Higgins & Keller        | 0.0635      | -0.037       | +1.577   |
| Michigan                | 0.10255     | -0.0252      | -1.1121  |
| <u>FEF<sub>75</sub></u> |             |              |          |
| Cherniak & Raber        | 0.0903      | -0.01987     | +2.72554 |
| Knudson et al.          | 0.044       | -0.012       | -4.143   |
| Michigan                | 0.0497      | -0.02895     | 0.03562  |

Table 40

The ratios of the means of observed to predicted values for  
spirometric parameters according to regression  
equations determined in ten studies

| Study             | FVC/PFVC<br>(x100) | FEV <sub>1</sub> /PFEV <sub>1</sub><br>(x100) | MMF/PMMF<br>(x100) | FEF <sub>50</sub> /PFEF <sub>50</sub><br>(x100) | FEF <sub>75</sub> /PFEF <sub>75</sub><br>(x100) |
|-------------------|--------------------|---|--------------------|---|---|
| Morris et al.     | 101.7 ± 1.1        | 110.0 ± 1.2                                   | 107.3 ± 2.6        |   |   |
| Miller et al.     | 100.2 ± 1.1        | 111.1 ± 1.2                                   | 106.0 ± 2.6        |   |   |
| Cherniak & Raber  | 105.3 ± 1.1        | 104.8 ± 1.1                                   | 76.3 ± 1.8         | 87.3 ± 2.0                                      | 66.6 ± 2.2                                      |
| Knudson et al.    | 108.9 ± 1.2        | 109.7 ± 1.3                                   | 85.0 ± 2.1         | 81.0 ± 1.9                                      | 53.4 ± 1.7                                      |
| Ferris et al.     | 122.7 ± 1.3        | 128.3 ± 1.4                                   |                    |   |   |
| Kory et al.       | 108.4 ± 1.2        | 109.4 ± 1.2                                   |                    |   |   |
| Bass              | 119.2 ± 1.4        |   |                    |   |   |
| Higgins & Keller  | 113.5 ± 1.3        | 111.5 ± 1.2                                   | 106.4 ± 2.6        | 116.6 ± 2.7                                     |   |
| Schoenberg et al. | 111.3 ± 1.3        | 111.2 ± 1.3                                   |                    | 115.3 ± 2.6                                     | 112.5 ± 3.6                                     |
| Michigan          |                    |   |                    | 101.7 ± 2.4                                     | 82.5 ± 2.7                                      |



Table 41

Prediction equations determined for  
various spirometric parameters from  
163 nonsmoking whites<sup>1</sup> in this study

| Parameters        | Regression equation   | R <sup>2</sup> |
|-------------------|---|----------------|
| FVC               | $0.0136 \times \text{Ht.} - 0.0267 \times \text{Age} - 3.29$    | 0.43           |
| FEV <sub>1</sub>  | $0.0858 \times \text{Ht.} - 0.0270 \times \text{Age} - 0.792$   | 0.43           |
| MMF               | $0.0159 \times \text{Ht.} - 0.0289 \times \text{Age} + 4.017$   | 0.09           |
| FEF <sub>50</sub> | $- 0.0033 \times \text{Ht.} - 0.0259 \times \text{Age} + 6.124$ | 0.04           |
| FEF <sub>75</sub> | $0.0060 \times \text{Ht.} - 0.0196 \times \text{Age} + 2.093$   | 0.12           |

<sup>1</sup> No non-mechanical garage workers are included. However, there were no other exclusions based on health information or job.

Table 42

The percentage and number of abnormalities found on  
physical examination according to job category

| Organ system<br>examined      | No identified<br>exposure | Garage<br>work | Brake<br>work | Asbestos<br>exposure |
|-------------------------------|---------------------------|----------------|---------------|----------------------|
| Cyanosis/extremities          | 8.7 (10)                  | 9.6 (12)       | 6.0 (27)      | 7.9 (16)             |
| Clubbing                      | 2.7 (3)                   | 3.2 (4)        | 2.2 (10)      | 2.1 (4)              |
| Joints                        | 8.4 (9)                   | 8.1 (9)        | 8.6 (32)      | 8.1 (14)             |
| Skin                          | 11.5 (13)                 | 18.4 (23)      | 10.0 (45)     | 13.1 (26)            |
| Eyes                          | 8.0 (9)                   | 4.0 (5)        | 5.4 (24)      | 6.6 (13)             |
| Mouth                         | 7.8 (9)                   | 2.4 (3)        | 4.9 (22)      | 5.0 (10)             |
| Thyroid                       | 0.0 (0)                   | 1.6 (2)        | 0.0 (0)       | 0.5 (1)              |
| Lymphatics                    | 11.2 (12)                 | 0.0 (0)        | 2.3 (10)      | 1.6 (3)              |
| Chest                         | 18.3 (21)                 | 20.2 (25)      | 19.5 (87)     | 18.7 (38)            |
| Dry rales                     | 2.9 (6)                   | 4.8 (6)        | 5.8 (26)      | 4.4 (10)             |
| Cardiac                       | 17.3 (18)                 | 9.3 (10)       | 9.9 (37)      | 12.4 (23)            |
| Gastrointestinal <sup>1</sup> | 11.5 (9)                  | 24.2 (8)       | 9.5 (11)      | 8.3 (8)              |
| Any abnormality               | 37.4 (43)                 | 46.8 (59)      | 56.4 (257)    | 52.0 (106)           |

<sup>1</sup>Abdominal palpation was not done on all individuals.

( ) = Number of individuals with abnormality.

circumstance in which a statistically significant greater percentage of abnormalities is found is for abnormal lymph glands among the population serving as an unexposed control. With the number of subcategories established in Table 42, such a finding would be expected on the basis of chance alone. We attribute no significance to it.

Table 43 shows the number and percentage of individuals with rales or finger clubbing according to their X-ray reading. A greater percentage of individuals with abnormal X-rays have dry rales than do individuals with normal X-rays. The numbers, however, are so small that the data do not achieve significance. There was no correlation of finger clubbing with X-ray findings. Overall, rales were observed in 4.8% of the entire study group and clubbing in 2.6% compared to 25.2% with abnormal X-rays [or 13.1% if more stringent X-ray reading criteria were utilized (c.f. Table 11)]. Thus, in contrast to earlier data from Great Britain (British Occupational Hygiene Committee, 1968), it would appear that the presence of X-ray abnormalities is a considerably more sensitive parameter than rales (or restrictive pulmonary function deficits) for the categorization of asbestos disease. This has also been seen in several other asbestos-exposed groups, including shipyard workers (to be published) and chemical or oil refinery maintenance employees (Lilis et al., 1980).

Data for the blood pressures are shown in Table 44 according to age, job category, and race. As can be seen, the systolic and diastolic blood pressures of the blacks are significantly above those of the whites. Systolic pressures increase from 5 to 7 percent per decade and diastolic from 2 to 3 percent each decade with the rates of increase being greater for blacks than whites. The analyses of the age standardized blood pressures according to smoking history or job category are shown in Table 45. White cigarette smokers appear to have somewhat lower blood pressures, but this could be artifactual as it is not reflected in blacks. No significant differences are seen in the analysis of blood pressures according to work activity.

Table 43

The number and percentage of individuals  
with rales and/or finger clubbing  
according to X-ray reading

|                                     | <u>X-ray reading</u> |                 |
|-------------------------------------|----------------------|-----------------|
|                                     | <u>Normal</u>        | <u>Abnormal</u> |
| Rales present                       | 30 (4.3)             | 17 (6.9)        |
| Clubbing present                    | 16 (2.6)             | 6 (2.7)         |
| Both rales and clubbing<br>present* | 3 (0.5)              | 1 (0.5)         |

\* The cases with both rales and clubbing are included  
in the separate categories as well.

Table 44

The systolic and diastolic blood pressures  
by race and age

| Age                       | Race              |                  |                  |
|---------------------------|-------------------|------------------|------------------|
|                           | White             | Black            | Hispanic         |
| <u>Systolic pressure</u>  |                   |                  |                  |
| 20 - 29                   | 123.4 ± 3.8 (13)  | 137.0 ± 3.0 (2)  | 120.0 (1)        |
| 30 - 39                   | 126.6 ± 1.9 (67)  | 131.8 ± 2.5 (23) | 123.2 ± 2.6 (6)  |
| 40 - 49                   | 128.0 ± 1.4 (143) | 134.0 ± 3.3 (28) | 128.4 ± 5.1 (10) |
| 50 - 59                   | 136.8 ± 1.1 (283) | 142.7 ± 2.7 (41) | 143.4 ± 6.1 (12) |
| 60 - 69                   | 142.9 ± 1.6 (170) | 155.4 ± 4.0 (28) | 146.7 ± 12.0 (3) |
| 70+                       | 152.2 ± 5.0 (13)  | 166.5 ± 6.8 (12) |                  |
| Age<br>standardized       | 135.4 ± 0.6       | 143.2 ± 1.6      | 138.7 ± 3.9      |
| <u>Diastolic pressure</u> |                   |                  |                  |
| 20 - 29                   | 76.2 ± 1.8 (13)   | 75.5 ± 9.5 (2)   | 75.0 (1)         |
| 30 - 39                   | 81.3 ± 1.0 (67)   | 83.6 ± 2.0 (23)  | 83.5 ± 5.2 (6)   |
| 40 - 49                   | 83.1 ± 0.8 (143)  | 85.3 ± 2.6 (28)  | 79.8 ± 2.2 (10)  |
| 50 - 59                   | 85.6 ± 0.6 (283)  | 90.4 ± 1.7 (41)  | 89.1 ± 2.1 (12)  |
| 60 - 69                   | 85.8 ± 0.8 (170)  | 93.3 ± 2.2 (28)  | 81.3 ± 5.9 (3)   |
| 70+                       | 89.3 ± 2.1 (13)   | 93.5 ± 5.0 (12)  |                  |
| Age<br>standardized       | 84.6 ± 0.4        | 89.0 ± 1.1       | 84.4 ± 1.8       |

Table 45

Age standardized systolic and diastolic  
blood pressures according to race,  
smoking history, and job category

| Smoking history | Race             |             |
|-----------------|------------------|-------------|
|                 | White            | Black       |
|                 | <u>Systolic</u>  |             |
| Smokers         | 131.5 ± 1.3      | 140.8 ± 3.3 |
| Ex-smokers      | 137.3 ± 1.0      | 143.4 ± 2.2 |
| Nonsmokers      | 135.6 ± 1.4      | 141.7 ± 3.8 |
|                 | <u>Diastolic</u> |             |
| Smokers         | 82.9 ± 0.8       | 88.5 ± 2.1  |
| Ex-smokers      | 85.3 ± 0.6       | 90.0 ± 1.6  |
| Nonsmokers      | 84.8 ± 0.7       | 86.3 ± 2.3  |

| Job category                 | Race             |             |
|------------------------------|------------------|-------------|
|                              | White            | Black       |
|                              | <u>Systolic</u>  |             |
| No identified exposure       | 140.1 ± 2.5      | 150.4 ± 5.3 |
| Garage work but<br>no brakes | 136.1 ± 2.7      | 139.4 ± 4.0 |
| Brake work                   | 134.5 ± 1.0      | 140.5 ± 2.7 |
| Asbestos exposure            | 135.5 ± 1.4      | 149.6 ± 5.2 |
|                              | <u>Diastolic</u> |             |
| No identified exposure       | 85.1 ± 1.2       | 89.9 ± 3.6  |
| Garage work but<br>no brakes | 85.5 ± 1.3       | 87.8 ± 1.5  |
| Brake work                   | 84.4 ± 0.6       | 87.0 ± 1.9  |
| Asbestos exposure            | 84.4 ± 0.6       | 94.9 ± 2.4  |

### LABORATORY FINDINGS

The data on the blood analyses (CBC and SMA) are shown in Tables 46 and 47. No unusual findings are present for any parameter. The means of the various parameters according to job category are listed in Table 48 and do not show anything remarkable. Tables 49 and 50 show the results of the analysis of sputum specimens for abnormal cytology and the blood analysis for carcinogenic embryonic antigen (CEA). They show no findings suggestive of neoplasia, most values are within the normal range. Further, there is no difference in the distribution of either parameter for the different job categories.

### MRC RESPIRATORY SYMPTOMS

The principal results of the respiratory symptoms questionnaire are shown in Tables 51, 52 and 53. Tables 51 and 52 show the expected correlation with cigarette smoking. In Table 53, the smoking standardized percentages of chronic bronchitis and shortness of breath categories are listed for different job categories.

### NICKEL CONCENTRATIONS IN WELDERS

As part of a study to assess effects on welders, plasma nickel concentrations were measured in twelve welders in the control group engaged in amphibious vehicle construction. The results are shown in Table 54 and indicate that the particular group within this study had higher nickel concentrations than a group of 62 welders in a large shipyard and ten times the concentration of hospital controls. While no physiological effects can be associated with such concentrations, the toxic and potentially carcinogenic effects of nickel would suggest identification of the exposure source and implementation of abatement measures.

Table 46

The distribution of blood count values  
(CBC Analysis)

| Range (See headings<br>for concentration unit) | Individuals within range |            |
|--|--------------------------|------------|
|  | Number                   | Percentage |
| White blood cell count (thousands) [3.9-11.3]  |                          |            |
| < 3.00   | 1                        | 0.1        |
| 3.00 - 4.99                                    | 70                       | 7.9        |
| 5.00 - 6.99                                    | 342                      | 38.7       |
| 7.00 - 8.99                                    | 308                      | 34.9       |
| 9.00 -10.99                                    | 124                      | 14.0       |
| >11.00   | 39                       | 3.1        |
| Red blood cell count (millions) [4.3-6.3]      |                          |            |
| < 4.25   | 5                        | 2.0        |
| 4.25 - 4.74                                    | 130                      | 14.7       |
| 4.75 - 5.24                                    | 462                      | 52.3       |
| 5.25 - 5.74                                    | 228                      | 25.8       |
| 5.75 - 6.24                                    | 34                       | 3.8        |
| > 6.25   | 12                       | 1.4        |
| Hemoglobin (gm/dl) [12.9-18.2]                 |                          |            |
| <13.0  | 12                       | 1.4        |
| 13.0 - 13.9                                    | 54                       | 6.1        |
| 14.0 - 14.9                                    | 237                      | 26.8       |
| 15.0 - 15.9                                    | 335                      | 37.9       |
| 16.0 - 16.9                                    | 186                      | 21.0       |
| 17.0 - 17.9                                    | 54                       | 6.1        |
| > 18.0   | 6                        | 0.7        |
| Hematocrit (percent) [38.9-54.9]               |                          |            |
| <37.5  | 4                        | 0.5        |
| 37.5 -39.9                                     | 13                       | 1.4        |
| 40.0 -42.4                                     | 92                       | 10.4       |
| 42.5 -44.9                                     | 245                      | 27.7       |
| 45.0 -47.4                                     | 308                      | 34.8       |
| 47.5 -49.9                                     | 154                      | 17.4       |
| 50.0 -52.4                                     | 53                       | 6.0        |
| >52.5  | 15                       | 1.7        |

[ ] = Laboratory normal range



Table 47

The distribution of concentrations of  
various blood components (SMA Analysis)

| Range<br>(See headings for<br>concentration unit) | Within range |            |
|---|--------------|------------|
|   | Number       | Percentage |
| <u>Glucose (mg/dl) [65-125]</u>                   |              |            |
| < 80  | 46           | 5.0        |
| 80 - 99   | 415          | 46.8       |
| 100 - 119   | 294          | 33.2       |
| 120 - 139   | 61           | 6.9        |
| 140 - 159   | 25           | 2.8        |
| ≥ 160   | 47           | 3.5        |
| <u>Urea-nitrogen (mg/dl) [8-25]</u>               |              |            |
| < 10  | 44           | 5.0        |
| 10 - 14   | 250          | 28.2       |
| 15 - 19   | 420          | 47.4       |
| 20 - 24   | 150          | 16.9       |
| ≥ 25  | 22           | 2.5        |
| <u>Creatinine (mg/dl) [0.7-1.5]</u>               |              |            |
| < 0.80  | 5            | 0.6        |
| 0.80 - 0.99                                       | 113          | 12.8       |
| 1.00 - 1.19                                       | 339          | 38.4       |
| 1.20 - 1.39                                       | 315          | 35.6       |
| 1.40 - 1.59                                       | 89           | 10.0       |
| ≥ 1.60  | 22           | 2.5        |
| <u>Sodium (meq/L) [135-145]</u>                   |              |            |
| < 138   | 14           | 1.6        |
| 138 - 139   | 102          | 11.5       |
| 140 - 141   | 234          | 26.4       |
| 142 - 143   | 237          | 26.7       |
| 144 - 145   | 125          | 14.1       |
| 146 - 147   | 76           | 8.6        |
| ≥ 148   | 98           | 11.1       |
| <u>Potassium (meq/L) [3.5-5.0]</u>                |              |            |
| < 3.00  | 5            | 0.6        |
| 3.00 - 3.49                                       | 38           | 4.3        |
| 3.50 - 3.99                                       | 222          | 25.2       |
| 4.00 - 4.49                                       | 451          | 39.8       |
| 4.50 - 4.99                                       | 209          | 23.7       |
| ≥ 5.00  | 56           | 6.2        |

Table 47 (continued-2)

| Range<br>(See headings for<br>concentration unit) | Within range |            |
|---|--------------|------------|
|   | Number       | Percentage |
| <u>Chloride (meq/L) [95-107]</u>                  |              |            |
| < 100   | 50           | 5.6        |
| 100.0 - 102.4                                     | 215          | 24.3       |
| 102.5 - 104.9                                     | 212          | 23.9       |
| 105.0 - 107.4                                     | 229          | 25.8       |
| 107.5 - 109.9                                     | 88           | 9.9        |
| ≥ 110.0   | 92           | 10.4       |
| <u>Carbon dioxide (meq/L) [24-32]</u>             |              |            |
| < 25.0  | 39           | 4.4        |
| 25.0 - 27.4                                       | 238          | 26.9       |
| 27.5 - 29.9                                       | 310          | 35.0       |
| 30.0 - 32.4                                       | 244          | 27.5       |
| ≥ 32.5  | 55           | 6.2        |
| <u>Uric acid (mg/dl) [3.5-9.0]</u>                |              |            |
| < 4.0   | 24           | 2.7        |
| 4.0 - 5.9   | 376          | 42.4       |
| 6.0 - 7.9   | 392          | 44.2       |
| 8.0 - 9.9   | 88           | 9.9        |
| ≥ 10.0  | 6            | 0.8        |
| <u>Calcium (mg/dl) [8.5-10.5]</u>                 |              |            |
| < 9.0   | 16           | 1.8        |
| 9.0 - 9.9   | 510          | 57.8       |
| 10.0 - 10.9                                       | 340          | 38.5       |
| ≥ 11.0  | 17           | 1.9        |
| <u>Inorganic phosphate (mg/dl) [2.0-4.5]</u>      |              |            |
| < 2.00  | 16           | 1.8        |
| 2.00 - 2.49                                       | 134          | 15.1       |
| 2.50 - 2.99                                       | 331          | 37.4       |
| 3.00 - 3.49                                       | 280          | 31.6       |
| 3.50 - 3.99                                       | 92           | 10.4       |
| ≥ 4.00  | 33           | 3.8        |
| <u>Total protein (g/dl) [6.0-8.5]</u>             |              |            |
| < 6.50  | 26           | 2.9        |
| 6.50 - 6.90                                       | 197          | 22.3       |
| 7.00 - 7.49                                       | 401          | 45.4       |
| 7.50 - 7.99                                       | 197          | 22.3       |
| 8.00 - 8.49                                       | 55           | 6.2        |
| ≥ 8.50  | 7            | 0.8        |

Table 47 (continued-3)

| Range<br>(See headings for<br>concentration unit) | Within range |            |
|---|--------------|------------|
|   | Number       | Percentage |
| <u>Albumin (g/dl) [3.0-5.5]</u>                   |              |            |
| 3.50 - 3.99                                       | 18           | 2.0        |
| 4.00 - 4.49                                       | 439          | 49.7       |
| 4.50 - 4.99                                       | 398          | 45.0       |
| 5.00 - 5.49                                       | 29           | 3.3        |
| <u>Cholesterol (mg/dl) [140-330]</u>              |              |            |
| < 150   | 13           | 1.5        |
| 150 - 174   | 66           | 7.4        |
| 175 - 199   | 162          | 18.3       |
| 200 - 249   | 443          | 50.0       |
| 250 - 299   | 178          | 20.1       |
| ≥ 300   | 24           | 2.7        |
| <u>Creatine phosphokinase (U/L) [0-225]</u>       |              |            |
| < 50  | 52           | 5.9        |
| 50 - 74   | 162          | 18.3       |
| 75 - 99   | 196          | 22.1       |
| 100 - 149   | 258          | 29.0       |
| 150 - 199   | 104          | 11.7       |
| 200 - 249   | 49           | 5.6        |
| 250 - 299   | 22           | 2.5        |
| ≥ 300   | 43           | 4.9        |

Table 48

The mean values of blood count parameters and concentrations of  
various blood components according to job category

| Blood parameter        | No identified<br>exposure or<br>garage work |        | Garage employment<br>but no<br>brake work |        | Brake work |        | Definite and<br>possible<br>asbestos exposure |        |
|------------------------|---|--------|---|--------|------------|--------|---|--------|
| White blood count      | 7.17  | ± 0.20 | 7.14                                      | ± 0.19 | 7.40       | ± 0.09 | 7.55  | ± 0.14 |
| Red blood count        | 5.00  | ± 0.03 | 5.06                                      | ± 0.04 | 5.14       | ± 0.02 | 5.10  | ± 0.03 |
| Hemoglobin             | 15.40                                       | ± 0.09 | 15.27                                     | ± 0.11 | 15.45      | ± 0.05 | 15.33   | ± 0.08 |
| Hematocrit             | 45.4  | ± 0.3  | 45.7                                      | ± 0.3  | 46.0       | ± 0.1  | 45.3  | ± 0.2  |
| Glucose                | 104.9                                       | ± 2.2  | 111.0                                     | ± 4.4  | 104.9      | ± 1.8  | 113.5   | ± 3.9  |
| Urea nitrogen          | 15.4  | ± 0.4  | 15.7                                      | ± 0.5  | 16.2       | ± 0.2  | 16.5  | ± 0.3  |
| Creatinine             | 1.18  | ± 0.01 | 1.18                                      | ± 0.01 | 1.15       | ± 0.01 | 1.13  | ± 0.01 |
| Sodium                 | 142.1                                       | ± 0.3  | 143.5                                     | ± 0.4  | 143.4      | ± 0.2  | 142.1   | ± 0.2  |
| Potassium              | 4.24  | ± 0.04 | 4.14                                      | ± 0.05 | 4.21       | ± 0.02 | 4.21  | ± 0.04 |
| Chloride               | 103.6                                       | ± 0.3  | 105.2                                     | ± 0.4  | 105.1      | ± 0.2  | 103.7   | ± 0.2  |
| Carbon dioxide         | 28.1  | ± 0.2  | 28.5                                      | ± 0.3  | 28.8       | ± 0.1  | 28.7  | ± 0.2  |
| Uric acid              | 6.20  | ± 0.12 | 6.42                                      | ± 0.14 | 6.43       | ± 0.17 | 6.10  | ± 0.09 |
| Calcium                | 9.78  | ± 0.05 | 9.90                                      | ± 0.04 | 9.94       | ± 0.02 | 9.77  | ± 0.03 |
| Inorganic phosphate    | 2.83  | ± 0.05 | 2.97                                      | ± 0.05 | 2.95       | ± 0.03 | 2.89  | ± 0.03 |
| Protein                | 7.10  | ± 0.04 | 7.39                                      | ± 0.05 | 7.29       | ± 0.02 | 7.15  | ± 0.03 |
| Albumin                | 4.50  | ± 0.02 | 4.41                                      | ± 0.03 | 4.47       | ± 0.01 | 4.42  | ± 0.02 |
| Cholesterol            | 217.4                                       | ± 2.8  | 222.7                                     | ± 3.6  | 222.2      | ± 1.9  | 225.2   | ± 2.6  |
| Bilirubin              | 0.58  | ± 0.03 | 0.57                                      | ± 0.03 | 0.59       | ± 0.01 | 0.53  | ± 0.02 |
| Alkaline phosphatase   | 72.5  | ± 1.8  | 83.35                                     | ± 4.2  | 80.4       | ± 1.8  | 77.3  | ± 2.1  |
| SGPT                   | 19.6  | ± 1.6  | 19.5                                      | ± 1.0  | 21.0       | ± 0.7  | 20.1  | ± 0.9  |
| SGOT                   | 23.7  | ± 1.0  | 22.5                                      | ± 1.4  | 22.3       | ± 0.6  | 20.9  | ± 0.6  |
| Lactate dehydrogenase  | 168.9                                       | ± 5.6  | 177.7                                     | ± 3.6  | 177.9      | ± 2.0  | 171.9   | ± 3.0  |
| Creatine phosphokinase | 119.0                                       | ± 6.8  | 133.8                                     | ± 8.6  | 133.1      | ± 4.7  | 111.7   | ± 4.9  |

Table 49

The distribution of sputum cytology results and CEA concentrations  
according to job category

| Job Category                                 | <u>Sputum cytology</u> |               |              |            | <u>CEA analysis</u> |                      |            |            |
|--|------------------------|---------------|--------------|------------|---------------------|----------------------|------------|------------|
|  | 1                      | 2             | 3            | 4          | <5                  | (nanogm/ml)<br>5-9.9 | 10-14.9    | 15-19.9    |
| No garage work or<br>asbestos exposure       | 17<br>(39.6)           | 22<br>(51.2)  | 10<br>(23.3) | 0<br>(0.0) | 107<br>(97.3)       | 3<br>(2.7)           | (0.0)      | (0.0)      |
| Garage work but<br>no brakes                 | 24<br>(43.6)           | 21<br>(38.2)  | 8<br>(14.6)  | 2<br>(3.6) | 113<br>(95.0)       | 5<br>(4.2)           | 1<br>(0.8) | 0<br>(0.0) |
| Garage work with<br>brake repair             | 90<br>(45.0)           | 68<br>(34.0)  | 39<br>(19.5) | 3<br>(1.5) | 419<br>(96.3)       | 14<br>(3.2)          | 1<br>(0.2) | 1<br>(0.2) |
| Identified and possible<br>asbestos exposure | 33<br>(38.4)           | 32<br>(37.2)  | 20<br>(23.3) | 1<br>(1.2) | 184<br>(96.3)       | 6<br>(3.1)           | 1<br>(0.5) | 0<br>(0.0) |
| Totals                                       | 164<br>(42.0)          | 143<br>(36.7) | 77<br>(19.7) | 6<br>(1.5) | 823<br>(96.3)       | 28<br>(3.3)          | 3<br>(0.4) | 1<br>(0.1) |

( ) = percentages

1. Normal cytology
2. Regular metaplastic cells, no atypia (considered normal)
3. Mild atypia or dysplasia
4. Moderate atypia or dysplasia

Table 50

The distribution of sputum cytology results and CEA concentrations  
according to smoking category

| Smoking Category | Sputum cytology |               |              |            | CEA analysis  |                      |            |            |
|------------------|-----------------|---------------|--------------|------------|---------------|----------------------|------------|------------|
|                  | 1               | 2             | 3            | 4          | <5            | (nanogm/ml)<br>5-9.9 | 10-14.9    | 15-19.9    |
| Smokers          | 65<br>(36.7)    | 70<br>(39.5)  | 40<br>(22.6) | 2<br>(1.1) | 248<br>(93.2) | 16<br>(6.0)          | 2<br>(0.8) | 0<br>(0.0) |
| Ex-smokers       | 63<br>(44.7)    | 49<br>(34.8)  | 25<br>(24.8) | 4<br>(2.8) | 359<br>(97.8) | 6<br>(1.6)           | 1<br>(0.3) | 1<br>(0.3) |
| Nonsmokers       | 33<br>(45.2)    | 27<br>(34.0)  | 12<br>(16.4) | 1<br>(1.4) | 215<br>(97.3) | 6<br>(2.7)           | 0<br>(0.0) | 0<br>(0.0) |
| Totals           | 161<br>(41.0)   | 146<br>(37.3) | 77<br>(19.7) | 7<br>(1.8) | 822<br>(96.3) | 28<br>(3.3)          | 3<br>(0.4) | 1<br>(0.1) |

( ) = percentages

1. Normal cytology
2. Regular metaplastic cells, no atypia (considered normal)
3. Mild atypia or dysplasia
4. Moderate atypia or dysplasia

Table 51

The number and percentage of individuals with reported shortness of breath (MRC) according to smoking category

| <u>MRC shortness of<br/>breath category</u> | <u>Smoking category</u> |                |                 |
|---|-------------------------|----------------|-----------------|
|   | Smokers                 | Ex-<br>smokers | Non-<br>smokers |
| None  | 199<br>(72.6)           | 274<br>(76.3)  | 181<br>(82.6)   |
| Walking fast or up<br>a slight hill         | 51<br>(18.6)            | 55<br>(15.3)   | 28<br>(12.8)    |
| Walking with others<br>of own age           | 10<br>(3.6)             | 19<br>(5.3)    | 6<br>(2.7)      |
| Walking at own pace                         | 14<br>(5.1)             | 11<br>(3.1)    | 4<br>(1.8)      |
| Total                                       | 274                     | 359            | 219             |

( ) = percentage

Table 52

The number and percentage of individuals  
reporting symptoms of chronic bronchitis (MRC)  
according to smoking category

| Chronic bronchitis | Smoking category |               |               |
|--------------------|------------------|---------------|---------------|
|                    | Smokers          | Ex-smokers    | Non-smokers   |
| Yes                | 80<br>(29.1)     | 34<br>(9.5)   | 21<br>(9.5)   |
| No                 | 195<br>(70.9)    | 325<br>(90.5) | 199<br>(90.5) |
| Totals             | 275              | 359           | 220           |



Table 53

The number and smoking standardized percentage of individuals  
reporting symptoms of chronic bronchitis according to work activity

| Job Category                                 | Smokers          | Ex-smokers       | Non-smokers     | Smoking<br>standardized<br>percentage |
|--|------------------|------------------|-----------------|---------------------------------------|
| No garage work or<br>asbestos exposure       | 20/68<br>(29.4)  | 12/56<br>(21.4)  | 7/28<br>(25)    | 24.9                                  |
| Garage work but<br>no brakes                 | 7/36<br>(19.4)   | 2/40<br>(5.0)    | 2/27<br>(7.4)   | 10.2                                  |
| Garage work with<br>brake repair             | 40/126<br>(31.7) | 20/186<br>(10.8) | 11/118<br>(9.3) | 17.0                                  |
| Identified and possible<br>asbestos exposure | 28/69<br>(40.6)  | 10/88<br>(11.4)  | 5/50<br>(10.0)  | 20.3                                  |
| Auto body work                               | 5/12<br>(41.7)   | 3/15<br>(20.0)   | 1/7<br>(14.3)   | 25.4                                  |

( ) = percentage

Table 54

Nickel concentrations in the plasma of amphibious  
vehicle construction welders compared to other groups

| Group             | No. of<br>individuals | Plasma nickel concentrations<br>( $\mu\text{g/liter}$ ) |             |                |
|-------------------|-----------------------|---|-------------|----------------|
|                   |                       | Median  | Range       | Mean $\pm$ SD  |
| Vehicle welders   | 12                    | 11.8  | 5.4 - 14.3  | 10.8 $\pm$ 3.2 |
| Shipyard welders  | 62                    | 2.5   | <0.5 - 15.3 | 3.1 $\pm$ 3.3  |
| Hospital controls | 15                    | 0.7   | <0.5 - 2.6  | 1.0 $\pm$ 0.7  |

ASBESTOS EXPOSURE DATAREVIEW OF PUBLISHED RESULTSReview of fiber concentration data for brake work

A variety of measurements have been made of asbestos air concentrations during brake lining repair and maintenance activities in the United States and Great Britain. These include both long-term sampling over a working day to obtain information on time-weighted average exposures to workers, and short-term sampling to document the concentrations during various work practices.

The largest set of samples of brake maintenance work is that obtained by NIOSH during the years 1975 through 1976 where 283 samples were taken in five brake repair facilities, including 152 over a one week period in one facility, documenting both long-term, time-weighted average (TWA) exposures and asbestos concentrations in specific brake repair activities (NIOSH, 1972-1976). Unfortunately, no documentation exists as to the number of brake jobs performed in a facility or by workmen during sampling periods or on the work practices utilized during the repair and maintenance work. Table 55 summarizes the data on time-weighted exposures, over a sampling period, for men changing lining material, punching out rivets, riveting linings to shoes, and working in the parts department. Table 56 lists general area samples taken during the course of a day. These data demonstrate that, in general, the long-term asbestos exposures of garage employees are below 0.5 f/ml. However, during the air blowing of drums, punching out of rivets and riveting asbestos concentrations can be considerably higher. Further, area samples taken distant from the location of brake repair show widespread dissemination of airborne asbestos with concentrations up to 1.3 f/ml found to be present.

More limited data are available from industry sources. In Great Britain, Hickish and Knight (1970)<sup>2</sup> of the Ford Motor Company have provided information on both long and short-term sampling during different

Table 55

Long-term personal sampling of brake lining  
repair and maintenance work

| Garage                            | Number of samples | Number of sets of samples | Time weighted average asbestos concentration during sampling period (fibers/ml) |      |
|-----------------------------------|-------------------|---------------------------|---|------|
|                                   |                   |                           | Range   | Mean |
| <u>Changing Lining Materials</u>  |                   |                           |   |      |
| A (1976)                          | 16                | 5                         | 0.05-1.68   | 0.59 |
| B (1976)                          | 71                | 21                        | 0.01-0.17   | 0.09 |
| C (1976)                          | 3                 | 1                         | 0.12  | 0.12 |
| D (1975)                          | 4                 | 1                         | 0.04  | 0.04 |
| E (1976)                          | 2                 | 2                         | 0.02-0.03   | 0.03 |
| <u>Riveting</u>                   |                   |                           |   |      |
| A                                 | 14                | 2                         | 3.71-4.47   | 4.09 |
| <u>Punching out rivets</u>        |                   |                           |   |      |
| A                                 | 11                | 2                         | 0.95-7.52   | 4.24 |
| <u>Parts Man</u>                  |                   |                           |   |      |
| A                                 | 1                 | 1                         | 1.21  | 1.21 |
| B                                 | 13                | 5                         | 0.03-0.16   | 0.10 |
| <u>Replacing Lining Materials</u> |                   |                           |   |      |
| F (1972)                          | 2                 | 1                         | 0.2   | 0.2  |

From: NIOSH (1975-1976, unpublished data)

Table 56

Long-term area sampling of brake lining  
repair and maintenance work

| Garage                      | Number of<br>samples | Number of sets<br>of samples | Time weighted average asbestos concentration<br>during sampling period<br>(fibers/ml) |      |
|-----------------------------|----------------------|------------------------------|---|------|
|                             |                      |                              | Range   | Mean |
| <u>General Area Samples</u> |                      |                              |   |      |
| A (1976)                    | 30                   | 6                            | 0.03-1.34   | 0.57 |
| B (1976)                    | 99                   | 18                           | 0.01-0.17   | 0.07 |
| C (1976)                    | 4                    | 1                            | 0.04  | 0.04 |
| D (1975)                    | 7                    | 1                            | 0.02  | 0.02 |
| E (1976)                    | 1                    | 1                            | 0.01  | 0.01 |
| F (1972)                    | 1                    | 2                            | 0.6-0.9   | 0.8  |

From: NIOSH (1972-1976, unpublished data)

Table 57

Long-term asbestos fiber concentrations during brake maintenance  
service involving air blowing of drum dust

| Sampling<br>circumstances                                       | Approximate<br>total sampling<br>interval | Number of<br>samples | Asbestos concentrations<br>(fibers/ml) |      | Activities or<br>comments                |
|---|---|----------------------|--|------|--|
|   |   |                      | Range                                  | Mean |  |
| Static samples<br>by side of car                                | 90  | 2                    | 1.12-1.42                              | 1.25 | 3 brake<br>changes done                  |
| Static samples<br>by car in dust<br>cloud                       | 90  | 2                    | 1.71-3.62                              | 2.55 | " "                                      |
| Personal samples<br>on mechanic<br>engaged in brake<br>cleaning | 480                                       | 6                    | 0.38-1.12                              | 0.68 | 11 vehicles<br>serviced                  |
| Personal sample   | 100                                       | 1                    | 7.09                                   | 7.09 | truck brake<br>service<br>incl: cleaning |
| Personal sample   | 300                                       | 1                    | 0.08                                   | 0.08 | truck brake<br>service after<br>cleaning |
| Time weighted average of above two samples                      |   |                      |  | 1.83 |  |
| Static area<br>samples  | 180                                       | 4                    | 0.07-0.28                              | 0.15 | nearby work<br>bays                      |

From: Hickish, O. E., and K. L. Knight, Ann. Occup. Hyg. 13, 17-21 (1970)

brake repair activities. These are shown in Table 57. The work practices sampled by Hickish and Knight appeared typical of those of past years with the drum dust being removed by air blowing. During the full course of brake service air concentrations of from 0.4 to 3.6 f/ml were found. More recent information has been supplied by Raybestos-Manhattan (Marsh, 1979). These show that during the course of well controlled brake repair work with exhaust ventilation utilized asbestos exposures of 0.02 to 0.3 f/ml may occur during the course of a brake job.

Short-term asbestos concentrations during specific work activities have been measured by several groups in both automotive and truck servicing. These are shown in Table 58.

They demonstrate that short-term asbestos concentrations during brake maintenance work can be high. However, because they comprise only a portion of the work involved in brake maintenance, the TWA concentrations are generally much lower. Clearly, reduction of these peak exposures by engineering controls or by work practices can significantly reduce TWA exposures.

Two sets of data on the time course of asbestos concentrations exist. The measurements of total dust by Lee<sup>8</sup> indicate that after air blowing short-term dust concentrations are high but fall within minutes to lower values. The data on fiber counts by Rohl<sup>4</sup> indicate that measurable concentrations of asbestos persists in the workplace for as long as 15 minutes after air blowing as far as 75 feet away. These data, coupled with the previous information on asbestos concentrations in general garage areas, indicate that a general, low-level background contamination of asbestos can exist throughout a garage where brake repair is conducted.

#### MEASUREMENTS IN NEW YORK CITY FACILITIES (1979)

During 1979, NIOSH made measurement at three New York City facilities employing workers who attended examinations in this survey. These were the repair shops of the Departments of Sanitation, Transportation and Police. The results are shown in Table 59. They are similar to those

Table 58

Summary of asbestos concentrations during automobile  
and truck brake maintenance activities

Short-term samples during specific brake repair activities

| Activity                          | Study                  | Range of concentrations<br>measured (f/ml) | Number<br>samples | Mean concentra-<br>tion (f/ml) |
|-----------------------------------|------------------------|--|-------------------|--------------------------------|
| Air blowing<br>dust from<br>drums | Rohl et al, 1976       | 6.6-29.8                                   | 4                 | 16.0                           |
|                                   | NIOSH, 1972-76         | 0.45-14.54                                 | 11                | 2.9                            |
|                                   | Knight & Hickish, 1970 | 87   | 1                 | 87                             |
|                                   |                        | 2.1-8.2                                    | 8                 |                                |
| Brushing of<br>dust from<br>drums | Rohl et al, 1976       | 1.3-3.6                                    | 2                 | 2.5                            |
|                                   | Marsh, 1979            | 0.02-0.2                                   |                   | 0.1                            |
| Grinding<br>linings               |                        |  |                   |                                |
| good exhaust                      | Marsh, 1979            | 0.02-0.4                                   | 3                 | 0.3                            |
| poor exhaust                      | Rohl et al, 1976       | 1.7-7.0                                    | 10                | 3.8                            |
|                                   | Marsh, 1979            | 2.8-14                                     | 4                 | 8.3                            |
|                                   |                        | 0.4-21.5                                   | 6                 |                                |
| Beveling                          | Rohl et al, 1976       | 23.7-72.0                                  | 5                 | 37.3                           |
| Riveting                          | Rohl et al, 1976       | 1.9-2.0                                    | 2                 | 1.9                            |
| Sweeping and<br>cleaning          | Rohl et al, 1976       | 2.4-3.6                                    | 2                 | 3.0                            |



Table 59

Long- and short-term sampling of brake lining repair  
and maintenance work in New York City garage facilities

| Activity                            | Sampling<br>time | fiber concentration (f/ml) |      |
|-------------------------------------|------------------|----------------------------|------|
|                                     |                  | Sample                     | TWA  |
| <u>Department of Sanitation</u>     |                  |                            |      |
| Brake change                        | 136              | 0.33                       | 0.21 |
| After finishing job                 | 190              | 0.12                       |      |
| Wet brushing of dust                | 10               | 0.54                       |      |
| Area sample                         | 395              | 0.06                       |      |
| Area sample                         | 390              | 0.05                       |      |
| <u>Department of Transportation</u> |                  |                            |      |
| Brake mechanic 1                    | 150              | 0.15                       | 0.23 |
|                                     | 196              | 0.30                       |      |
| Brake mechanic 2                    | 175              | 0.31                       | 0.28 |
|                                     | 194              | 0.26                       |      |
| Brake mechanic 3                    | 135              | 0.24                       |      |
| Wet brushing of dust                | 3                | 2.62                       |      |
| (mechanics 1,2,3)                   | 3                | 2.22                       |      |
|                                     | 9                | 0.87                       |      |
| <u>Police Department</u>            |                  |                            |      |
| Brake mechanic 1                    | 129              | 0.20                       | 0.20 |
|                                     | 68               | 0.21                       |      |
| Brake mechanic 2                    | 124              | 0.34                       | 0.19 |
|                                     | 177              | 0.08                       |      |
| Brake mechanic 3                    | 47               | 0.03                       |      |
| Dry brushing of dust                | 12               | 0.81                       |      |
|                                     | 21               | 0.61                       |      |

discussed previously. TWA exposures during a full brake job averaged about 0.20 f/ml. During either wet or dry brushing of brake dust concentrations could exceed 2 f/ml.

#### ESTIMATES OF STUDY POPULATION EXPOSURE

TWA exposures during automobile brake maintenance ranged generally between 0.2 to 0.7 f/ml if brushing or air blowing of brake dust occurred. Prior to 1950, riveted brake linings were used and somewhat higher concentrations may have occurred. Table 60 lists the distribution of brake jobs per week done by U.A.W. Local 259 members. The average is about one every two days for those who do brake work. If the exposure during the 1-2 hours required for a job is 1 f/ml, an approximate TWA exposure would be 1 f/ml (1.5/16) or about 0.1 f/ml. General garage exposure from other jobs would add slightly to this, but the upper limit of the average exposure for the commercial garage mechanics examined in this study would be 0.2 f/ml. For the S.E.I.U. Local 246, mechanics employed by the City, higher average exposures would have occurred from the riveting, drilling and grinding that was done on large truck brake assemblies. However, these high exposure work activities would only require a few minutes of work. As with commercial garage workers, few City employees worked on a brake job as often as daily. Thus, even with the intermittently higher exposures experienced by S.E.I.U. members, the average exposure for the group over time is unlikely to exceed 0.5 f/ml.

#### SUMMARY

The clinical examination of garage employees engaged in the repair and maintenance of vehicles indicate the following results:

1. A greater prevalence of X-ray abnormalities is found among garage mechanics who repaired brakes than among blue collar controls or garage workers who do not engage in brake or auto body work. The age standardized percentage of abnormalities is significantly greater ( $P < 0.05$ ) in those with 30 or more years of employment.

Table 60

Distribution of frequency of brakework  
in U. A. W. Local 259 shops from  
personal estimates

| Number of brake jobs<br>done                                  | Number of<br>men | Percentage of<br>men |
|---|------------------|----------------------|
| 1/day or more   | 24               | 14                   |
| 1-4 /week   | 71               | 41                   |
| 1 -5/month  | 27               | 16                   |
| less than 1/month   | 4                | 2                    |
| no brake work<br>(engine mechanics, parts<br>personnel, etc.) | 46               | 27                   |
| Totals  | 172              | 100                  |

compared to workers with lesser service. The increased prevalence in commercial garage workers exposed, on average, to less than 0.2 f/ml was not statistically significant while a significant excess is seen in workers who had occasion to grind and machine brake linings prior to installation on larger vehicles. The prevalence of X-ray abnormalities is in accord with estimates of asbestos exposure in the different circumstances.

2. The prevalence of X-ray abnormalities among workers in auto body shops was particularly high and, although the number of such individuals was limited, also achieved a level of significance at  $P < 0.05$ . The higher percentage of abnormalities in this group can be attributed to the past sanding of auto body fillers containing asbestos.
3. Individuals who had previous employment in shipyards, averaging five years in duration, also had a greater percentage of abnormal X-rays. The percentage age standardized percentage was significantly greater in those whose shipyard employment began 30 or more years previously.
4. The pulmonary function results of garage mechanics engaged in brake work are no different from non-garage workers and other general population controls. As the major spirometric tests are less sensitive measures of asbestos exposure than X-rays, this finding is in accord with the low exposures of the groups under study.
5. Car jockeys, new vehicle preparation men and auto body shop workers have significantly reduced pulmonary function tests ( $FVC_1$ ,  $FEV_1$ , and MMF) compared to other garage workers and general population controls.

The auto body shop employees had exposure to asbestos and a variety of solvents. The causal exposure among the car jockey-vehicle preparation men is uncertain. The role of automobile exhaust should be investigated.

6. Pulmonary function deficits correlated with X-ray abnormalities. Greater percentages of X-ray abnormalities and pulmonary function deficits were seen among current and former smokers than among non-smokers.
7. No unusual physical examination of laboratory findings were identified. As in other studies, a high prevalence of hypertension was observed among blacks, which was not correlated with any job category.
8. A small group of welders in amphibious vehicle construction showed significantly elevated plasma concentrations of nickel.

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APPENDIX I

## BRAKE REPAIR AND MAINTENANCE WORKERS SURVEY

No. \_\_\_\_\_

Name: \_\_\_\_\_ Date: \_\_\_\_\_ Union Local #: \_\_\_\_\_

Address: \_\_\_\_\_  
Street City State ZIP

Telephone: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Age \_\_\_\_\_ Sex \_\_\_\_\_

Garage Facility: \_\_\_\_\_

Name of Physician (optional) \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

URINE:

Albumin \_\_\_\_\_

Protein \_\_\_\_\_

**ENVIRONMENTAL SCIENCES LABORATORY**  
MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK

\_\_\_\_\_ 1862.

162

[illegible]

## No.

[illegible]

non-occupational activities with possible exposure to:

NAME 

|   |   |   |   |
|---|---|---|---|
| 1 | 2 | 3 | 4 |
|   |   |   |   |

|      |  |  |  |  |       |  |  |  |  |
|------|--|--|--|--|-------|--|--|--|--|
|      |  |  |  |  |       |  |  |  |  |
| LAST |  |  |  |  | FIRST |  |  |  |  |

NUMBER 

|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |

 D 5

Year first exposed to asbestos (occupationally)

Year last exposed to asbestos (occupationally)

Total number of years and months exposed to asbestos

- subtract # yrs during period not exposed

MO. YR.

Dates employed as Garage worker

Dates performing <sup>orclatch</sup> brake <sup>work</sup> ~~brake~~ <sup>brake</sup> ~~work~~

Dates performing undercoating

*date performing body work*

Exposed to other dusts

1-No 2-Yes

If yes, Silica

Year first exposed

Year last exposed

Total number of years and months exposed

Coal

Year first exposed

Year last exposed

Total number of years and months exposed

Total years underground

Other

Year first exposed

Year last exposed

Total number of years and months exposed

Prior to becoming a garage worker did any member of your immediate family work with asbestos? 1-No 2-Yes

If yes, who,   
 and employed   
 as

|  |             |  |    |  |  |  |  |    |
|--|-------------|--|----|--|--|--|--|----|
|  | employee-as |  | to |  |  |  |  | 41 |
|  | employee-as |  | to |  |  |  |  | 43 |
|  | employee-as |  | to |  |  |  |  | 57 |

|  |  |  |  |    |
|--|--|--|--|----|
|  |  |  |  | 11 |
|  |  |  |  | 12 |
|  |  |  |  | 15 |

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|  |  |  |  |  | to |  |  |  | 19 |
|  |  |  |  |  | to |  |  |  | 27 |
|  |  |  |  |  | to |  |  |  | 35 |
|  |  |  |  |  | to |  |  |  | 43 |
|  |  |  |  |  | to |  |  |  | 51 |
|  |  |  |  |  | to |  |  |  | 59 |
|  |  |  |  |  | to |  |  |  | 67 |
|  |  |  |  |  |    |  |  |  | 12 |

|  |  |  |  |    |
|--|--|--|--|----|
|  |  |  |  | 12 |
|  |  |  |  | 14 |
|  |  |  |  | 16 |

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|  |  |  |  | 24 |
|  |  |  |  | 28 |

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|  |  |  |  | 32 |
|  |  |  |  | 34 |
|  |  |  |  | 36 |

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|  |  |  |  | 40 |
|--|--|--|--|----|

## RESPIRATORY QUESTIONNAIRE

NAME 

|  |  |  |  |  |
|--|--|--|--|--|
|  |  |  |  |  |
|--|--|--|--|--|

  
Last
First
Middle  
initial

NUMBER 

|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|

  
1
5

I am now going to ask you some questions, mainly about your chest. I would like you to answer "YES" or "NO" whenever possible.

COUGH

1. Do you usually cough first thing in the morning (on getting up+)? 

|  |  |
|--|--|
|  |  |
|--|--|

 11  
     Count a cough with first smoke or on first going out of doors.  
     Exclude clearing throat or a single cough. 1 - No 2 - Yes

2. Do you usually cough during the day (or at night+)? 

|  |  |
|--|--|
|  |  |
|--|--|

 12  
     Ignore an occasional cough. 1 - No 2 - Yes

3. If yes: Do you cough like this on most days  
     (or nights) for as much as three months each year? 

|  |  |
|--|--|
|  |  |
|--|--|

 13  
     1 - No 2 - Yes

Have you been coughing like this:

- (1) - less than two years 

|  |  |
|--|--|
|  |  |
|--|--|

 14  
 (2) - more than two years

PHLEGM

Do you usually bring up any phlegm from your chest first thing in the morning (on getting up+)? 

|  |  |
|--|--|
|  |  |
|--|--|

 15

Count phlegm with first smoke or on first going out of doors.  
 Exclude phlegm from the nose.  
 Count swallowed phlegm. 1 - No 2 - Yes

5. Do you usually bring up any phlegm from your chest during the day (or at night+)? 

|  |  |
|--|--|
|  |  |
|--|--|

 16  
     1 - No 2 - Yes

6. If yes: Do you bring up phlegm like this on most days  
     (or nights+) for as much as three months each year? 

|  |  |
|--|--|
|  |  |
|--|--|

 17  
     1 - No 2 - Yes

Have you done so for:

- (1) - less than two years 

|  |  |
|--|--|
|  |  |
|--|--|

 18  
 (2) - more than two years

What color is your sputum or phlegm?

- (1) - whitish  
 (2) - yellow and/or green  
 (3) - grey and/or black  
 (4) - brown 

|  |  |
|--|--|
|  |  |
|--|--|

 19

\* Column Number

+ For night shift employees

Is your cough and/or phlegm production related to any season?

- (1) - spring
- (2) - summer
- (3) - fall
- (4) - winter
- (5) - all year

20

DOES THE PHYSICIAN THINK THAT THE PATIENT FULFILLS THE CRITERIA FOR CHRONIC BRONCHITIS?

- (1) - No
- (2) - Yes
- (3) - Can't specify

21

7. In the past three years have you had a period of (increased) cough and phlegm lasting for three weeks or more?

1 - No 2 - Yes

22

8. Have you had more than one such period?

1 - No 2 - Yes

23

9. Have you ever coughed up blood?

1 - No 2 - Yes

24

If yes: how often?

- (1) - only occasionally
- (2) - only occasionally with a severe cold
- (3) - sputum streaked with blood (frequently)
- (4) - hemorrhage
- (5) - other (specify other)

25

10. Was this in the past year?

1 - No 2 - Yes

26

#### BREATHLESSNESS

11. Are you troubled by shortness of breath when hurrying on level ground?

1 - No 2 - Yes

27

12. Do you get short of breath walking with other people of your own age?

1 - No 2 - Yes

28

13. Do you have to stop for breath when walking at your own pace on level ground?

1 - No 2 - Yes

29

#### WHEEZING

14. Does your chest ever sound wheezing or whistling?

1 - No 2 - Yes

30

15. If yes: Do you get this mostly during the day or mostly at night, or both?

1 - Days 2 - Nights 3 - Both

31

16. Have you ever had attacks of shortness of breath with wheezing?

1 - No 2 - Yes

32

Use of air hoses to blow out brake shoes:

Which years done \_\_\_\_\_

65

Do/did you bevel or machine shoes?

Which years done \_\_\_\_\_

66

What current dust removal practice is used during brake or clutch jobs at your garage?

67

When did this begin? \_\_\_\_\_

68

What was done before? \_\_\_\_\_

69

Did you do undercoating work or were you near such work?

70

Describe: \_\_\_\_\_

#### RESPIRATORS:

1. Do you wear a respirator?  
1 - No 2 - Yes

71

2. What type is it, mainly?  
1 - Filter  
2 - Cartridge  
3 - Air Supply

72

3. Do you wear it usually?  
1 - Usually  
2 - Occasionally  
3 - Infrequently

73

#### HYGIENE:

1. Do you eat at your work site:  
1 - No 2 - Yes

74

2. Do you smoke at your work site?  
1 - No 2 - Yes 3 - Nonsmoker

75

3. Do you change your clothes before going home?  
1 - No 2 - Yes

76

4. Does your employer furnish work clothes and launder them?  
1 - No 2 - Yes

77

5. Do you have separate lockers for street and work clothes?  
1 - No 2 - Yes

78

6. Do you shower before going home?  
1 - No 2 - Yes

79



17. If yes: Is/was your breathing absolutely normal between attacks? 1 - No 2 - Yes

|  |    |
|--|----|
|  | 33 |
|--|----|

WEATHER

18. Does the weather affect your chest?  
Only record "YES" if adverse weather definitely and regularly causes chest symptoms.

|  |    |
|--|----|
|  | 34 |
|--|----|

19. If yes: Does the weather make you short of breath?

Type of weather (1) - heat  
(2) - cold  
(3) - dampness  
(4) - dryness  
(5) - any extreme

|  |    |
|--|----|
|  | 35 |
|  | 36 |
|  | 37 |
|  | 38 |
|  | 39 |
|  | 40 |

NASAL CATARRH

20. Do you usually have a stuffy nose or catarrh at the back of your nose in the winter? 1 - No 2 - Yes

|  |    |
|--|----|
|  | 41 |
|--|----|

21. Do you have this in the summer?

|  |    |
|--|----|
|  | 42 |
|--|----|

PHYSICIAN COMMENT: Nasal catarrh: (1) has no relation to chest condition  
(2) aggravates cough and phlegm production from chest, as described above.  
(3) is a cause of cough and phlegm  
(4) is a separate entity - no cough and phlegm are produced by patient.  
(5) cannot be specified

|  |    |
|--|----|
|  | 43 |
|--|----|

CHEST ILLNESSES

22. During the past three years have you had any chest illness which has kept you from your usual activities for as much as a week?

|  |    |
|--|----|
|  | 44 |
|--|----|

23. If yes: Did you bring up more phlegm than usual in any of these illnesses?

|  |    |
|--|----|
|  | 45 |
|--|----|

24. How many illnesses like this have you had in the past three years?

|  |    |
|--|----|
|  | 46 |
|--|----|

25. Do you have a heart condition for which you are under a doctor's care? 1 - No 2 - Yes

|  |    |
|--|----|
|  | 47 |
|--|----|

If "YES" specify conditions and drug therapy

---



---



---

## TOBACCO SMOKING

26. Do you now smoke cigarettes?

46

27. If "NO": Have you ever smoked cigarettes? 1 - No 2 - Yes

28. How old were you when you started smoking regularly?

50

29. How old were you when you last gave up smoking cigarettes?

52

List year person last stopped smoking. \_\_\_\_\_ years.

54

30. How much do/did you smoke on the average?

56

31. Do/did you inhale the cigarette smoke?

58

1 - No 2 - Yes

32. What do/did you mostly smoke?

Type (1) - filter  
(2) - non-filter

59

Size (1) - regular  
(2) - king size  
(3) - 100 millimeter

60

33. Do you smoke a pipe?

1 - No 2 - Yes

61

34. If "NO": Have you ever smoked a pipe? 1 - No 2 - Yes

35. How many pipefuls a day do/did you smoke?

63

36. Do you smoke cigars?

1 - No 2 - Yes

65

37. If "NO": Have you ever smoked cigars? 1 - No 2 - Yes

66

38. How many cigars a day/do/did you smoke?

67

39. Do you chew tobacco?

1 - No 2 - Yes

69

|   |   |   |   |       |    |
|---|---|---|---|-------|----|
| 1 | 2 | 3 | 4 | First | MI |
|---|---|---|---|-------|----|

|  |  |  |  |  |   |   |
|--|--|--|--|--|---|---|
|  |  |  |  |  | D | 5 |
|--|--|--|--|--|---|---|

\_\_\_\_\_

|  |  |  |  |  |  |    |
|--|--|--|--|--|--|----|
|  |  |  |  |  |  | 11 |
|--|--|--|--|--|--|----|

|  |  |  |  |    |
|--|--|--|--|----|
|  |  |  |  | 17 |
|--|--|--|--|----|

|  |    |
|--|----|
|  | 21 |
|--|----|

2. acute illness (hospitalized, other than trauma)
3. trauma (not hospitalized)
4. trauma (hospitalized)
5. follow-up, acute illness
6. follow-up, chronic illness
7. routine check-up
8. occupational surveillance program (exam, supplied by employer)
9. pre-employment physical

| month |  | year |  |    |
|-------|--|------|--|----|
|       |  |      |  | 22 |

PHYSICIAN: Code 0001  
if never had  
chest x-ray

|  |    |
|--|----|
|  | 25 |
|--|----|

Have you ever been hospitalized?

1. No

|  |    |
|--|----|
|  | 27 |
|--|----|

2. Yes

Describe below

DATE \_\_\_\_\_

HOSPITAL

REASON

[illegible]

FOR PHYSICIAN: Have release forms been signed? 1 = No

2 = Yes

|  |    |
|--|----|
|  | 28 |
|--|----|

Have you ever been told by a doctor that you had any of the following conditions?

Cardio-Vascular

- 01. Heart murmur
- 02. Angina
- 03. Heart Attack
- 04. Any other heart condition for which you are under the care of a doctor? (specify)
- 05. High blood pressure
- 06. Claudication

Pulmonary

- 07. Pneumonia
- 08. Pleurisy
- 09. Asthma
- 10. Bronchitis
- 11. Emphysema
- 12. Bronchiectasis
- 13. Pulmonary tuberculosis
- 14. Silicosis
- 15. Asbestosis
- 16. Other Pulmonary (specify)

Gastrointestinal

- 17. Gastric ulcer - told by M.D.
- 18. " " - UGIS
- 19. " " - Hemorrhage
- 20. Duodenal ulcer - told by M.D.
- 21. " " - UGIS
- 22. " " - Hemorrhage
- 23. Bleeding from ulcer
- 24. Other GI bleeding
- 25. Hiatus hernia
- 26. Hepatitis
- 27. Jaundice
- 28. Gall bladder disease
- 29. Liver disease
- 30. Enlarged liver
- 31. Cirrhosis - alcoholic
- 32. " - Other

- 33. Ulcerative colitis

- 34. Diverticulitis

- 35. Other GI (specify)

Genitourinary

- 36. Nephritis
- 37. Kidney disease (indicate type)
- 38. Urinary infection
- 39. Kidney stones
- 40. Prostate enlargement
- 41. Blood in urine (not caused by above)
- 42. Protein in urine (not caused by above conditions)
- 43. Other genitourinary

Skin

- 44. Psoriasis
- 45. Eczema
- 46. Other skin (specify)

Blood

- 47. Acute Anemia
- 48. Chronic Anemia
- 49. Low white blood count
- 50. Problems with blood clotting or bleeding
- 51. Sickle cell
- 52. Thalassemia
- 53. Other Blood (specify)

Eye

- 54. Require glasses
- 55. Glaucoma
- 56. Cataracts
- 57. Weak or lazy eye
- 58. Optic neuritis
- 59. Other Eye (specify)

Ear, Nose and Throat

- 60. Chronic Sinusitis
- 61. Impaired hearing
- 62. Nasal allergies

63. Nasal polyps  
 64. Laryngeal polyps  
 65. Tonsilectomy  
 66. Other ENT (specify)

Nervous System

67. Seizure disorder  
 68. Stroke  
 69. Parkinson's Disease  
 70. Psychiatric illness  
 71. Other Nervous (specify)

Musculoskeletal

72. Rheumatoid arthritis  
 73. Other arthritis  
 74. Back injury  
 75. Degenerative disc disease  
 76. Yes: Neurologic involvement?  
 77. Bone lesions  
 78. Other Musculoskeletal (specify)

General and Metabolic

79. Thyroid disease or goiter  
 80. Diabetes  
 81. Gout  
 82. Night sweats  
 83. Fever  
 84. Other (specify)

Cancer

85. Skin  
 86. Throat  
 87. Lung  
 88. Stomach  
 89. Bowel  
 90. Rectum  
 91. Prostate  
 92. Breast  
 93. Cervix  
 94. Uterine  
 95. Other CA (specify)

A B C D

A,B = condition number

C,D = year diagnosed

Currently inactive

|  |  |  |  |    |   |
|--|--|--|--|----|---|
|  |  |  |  | 7  | * |
|  |  |  |  | 11 |   |
|  |  |  |  | 15 |   |
|  |  |  |  | 19 |   |
|  |  |  |  | 23 |   |
|  |  |  |  | 27 |   |
|  |  |  |  | 31 |   |
|  |  |  |  | 35 |   |
|  |  |  |  | 39 |   |
|  |  |  |  | 43 |   |

Active, not under physician's care

|  |  |  |  |    |    |
|--|--|--|--|----|----|
|  |  |  |  | 47 |    |
|  |  |  |  | 51 |    |
|  |  |  |  | 55 |    |
|  |  |  |  | 59 |    |
|  |  |  |  | 63 |    |
|  |  |  |  | 67 |    |
|  |  |  |  | 71 |    |
|  |  |  |  | 75 |    |
|  |  |  |  | 7  | ** |
|  |  |  |  | 11 |    |

Active, under care of physician

|  |  |  |  |    |  |
|--|--|--|--|----|--|
|  |  |  |  | 15 |  |
|  |  |  |  | 19 |  |
|  |  |  |  | 23 |  |
|  |  |  |  | 27 |  |
|  |  |  |  | 31 |  |
|  |  |  |  | 35 |  |
|  |  |  |  | 39 |  |
|  |  |  |  | 43 |  |
|  |  |  |  | 47 |  |
|  |  |  |  | 51 |  |

\* Col 1-5 as above; punch E in col 6 \*\*Col. 1-5 as above; punch H in col. 6

Other

ALCOHOL

1.3

approx. 10 shots = 1 pint

| 1. Type   | Quantity          |
|-----------|-------------------|
| 1. Beer   | _____ cans/week   |
| 2. Wine   | _____ quarts/week |
| 3. Whisky | _____ pints/week  |

1. no alcohol intake
2. < 6 cans; < 1 qt.: < 1/2 pint
3. 6-24 cans; 1-4 quarts  
1/2-2 pints/week
4. > 24 cans; > 4 quarts;  
> 2 pints/week
5. ex-alcoholic < 1 year
6. ex-alcoholic 1-5 years
7. ex-alcoholic > five years

|  |    |
|--|----|
|  | 55 |
|--|----|

MEDICATION: ARE YOU CURRENTLY TAKING ANY OF THE FOLLOWING MEDICATIONS?

- 1 = Not taking any medication  
2 = Currently taking medication

|  |    |
|--|----|
|  | 56 |
|--|----|

- 01 - Diuretics (water pills)
- 02 - High Blood Pressure meds (other)
- 03 - Nitroglycerine
- 04 - Digitalis
- 05 - Other cardiac
- 06 - Antihyperlipidemics
- 07 - Anticoagulants (blood thinner)
- 08 - TB medication
- 09 - Long-term antibiotics
- 10 - Short-term antibiotics
- 11 - Steroids - oral
- 12 - Steroids - topical
- 13 - Broncho-dilators
- 14 - Insulin
- 15 - Oral diabetes meds
- 16 - Thyroid meds
- 17 - Gout medication
- 18 - Tranquilizers
- 19 - Anti-depressants
- 20 - Anti-psychotics
- 21 - Sleeping pills daily
- 22 - Have you ever had radiotherapy?
- 23 - Anti-convulsants
- 24 - Anti-inflammatory
- 25 - Laxatives
- 26 - Antihistamines
- 27 - Decongestants
- 28 - Analgesics
- 29 - Antacids
- 30 - Other (specify) \_\_\_\_\_

List medications currently  
being taken, by number

|  |  |    |
|--|--|----|
|  |  | 57 |
|  |  | 59 |
|  |  | 61 |
|  |  | 63 |
|  |  | 65 |
|  |  | 67 |
|  |  | 69 |
|  |  | 71 |
|  |  | 73 |
|  |  | 75 |
|  |  | 77 |
|  |  | 79 |

## PHYSICAL EXAMINATION

NAME

1 2 3 4

Middle  
initial

Number

J 5

Height

11

(in.)

Weight

13

Sex

1 - male

2 - female

16

Blood pressure

17

Pulse: rate

2 3

Regularity

26

1 = normal

2 = irregular

3 = other

GENERAL APPEARANCE.....

28

1 = well developed, well nourished

4 = appears chronically ill

2 = obese

5 = pale

3 = underweight

6 = other

CYANOSIS.....

29

1 = absent

2 = lips, tongue

3 = fingers, toes

EXTREMITIES

clubbing

1 = No 2 = Yes

30

nails

(normal = 1, other = 2); characterize

31

ankle edema

1 = No 2 = Yes

32

JOINTS

33

1 = normal

2 = abnormal

If abnormal:

Joint code: 1 = PIP

2 = DIP

3 = Wrist

4 = Elbow

5 = Shoulder

6 = Knee

7 = Ankle

8 = More than 3

9 = other

joint description

34

37

40

descriptive code:

1 = swelling

2 = redness, heat

3 = deformity

4 = crepitations

5 = associated with hx of trauma

6 = other

SKIN ..... 

|  |    |
|--|----|
|  | 43 |
|--|----|

- 1 = normal
- 2 = abnormality present

If abnormal:

|  |    |
|--|----|
|  | 44 |
|--|----|

- 1 = seborria \_\_\_\_\_
- 2 = acne vulgaris \_\_\_\_\_
- 3 = psoriasis \_\_\_\_\_
- 4 = other (describe) \_\_\_\_\_

EYES

- Pupils (1=normal, 2=abnormal) (Specify \_\_\_\_\_)
- Sclera icteric (1=No, 2=Yes).....
- Conjunctiva (1=normal, 2=injected, 3=pale, 4=other .....

|  |    |
|--|----|
|  | 46 |
|  | 47 |
|  | 48 |

Describe "other": \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

MOUTH (1=normal, 2=gingival abnormality 3=other).....

|  |    |
|--|----|
|  | 49 |
|--|----|

Describe "other": \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

THYROID ..... 

|  |    |
|--|----|
|  | 50 |
|--|----|

- 1 = normal
- 2 = enlarged
- 3 = nodular

LYMPHADENOPATHY (list locations)

|  |  |  |  |    |
|--|--|--|--|----|
|  |  |  |  | 51 |
|--|--|--|--|----|

- 1 = normal
- 2 = cervical
- 3 = supraclavicular
- 4 = axillary
- 5 = inguinal
- 6 = more than 3 sites
- 7 = other

CHEST INSPECTION ..... 

|  |    |
|--|----|
|  | 55 |
|--|----|

- 1 = normal
- 2 = dullness, right
- 3 = dullness, left
- 4 = increased AP diameter
- 5 = flaring of costal margins



## CHEST PERCUSSION.....

56

- |                           |                                      |
|---------------------------|--------------------------------------|
| 1 = normal                | 5 = hyper-resonant, left             |
| 2 = dullness, right       | 6 = hyper-resonant, bilateral, bases |
| 3 = dullness, left        | 7 = other                            |
| 4 = hyper-resonant, right |                                      |

Describe "other": \_\_\_\_\_

## AUSCULTATION.....

57

- |  |                                      |
|--|--------------------------------------|
| 1 = normal breath sounds                         | 6 = wheezing and/or rhonchi-diffuse  |
| 2 = decreased - right                            | 7 = lengthening of respiratory phase |
| 3 = decreased - left                             | 8 = moist rales                      |
| 4 = decreased bilaterally                        | 9 = other                            |
| 5 = wheezing and/or rhonchi in a localized area: |                                      |

Describe "other": \_\_\_\_\_

## RALES.....

60

- |          |            |                           |
|----------|------------|---------------------------|
| 1 = none | 4 = RMAL   | 7 = LPAL                  |
| 2 = RAAL | 5 = R-Base | 8 = L-Base                |
| 3 = RPAL | 6 = LAAL   | 0 = diffuse (more than 3) |

## CARDIAC PALPATION AND PERCUSSION.....

63

- |                                   |                             |
|-----------------------------------|-----------------------------|
| 1 = normal                        | 4 = left ventricular heave  |
| 2 = heart palpable in epigastrium | 5 = right ventricular heave |
| 3 = heart enlarged by percussion  | 6 = displaces P.M.I.        |

## HEART SOUNDS.....

66

- |                          |                    |
|--------------------------|--------------------|
| 1 = normal               | 4 = pII > A II     |
| 2 = murmur               | 5 = S <sub>3</sub> |
| 3 = distant heart sounds | 6 = other          |

Describe "other": \_\_\_\_\_

## ABDOMEN

Inspection: \_\_\_\_\_

Masses: \_\_\_\_\_

Abdominal tenderness to palpation.....

69

- |                |                    |
|----------------|--------------------|
| 1 = none       | 5 = Peri-umbilical |
| 2 = RUG        | 6 = LUQ            |
| 3 = RLQ        | 7 = LLQ            |
| 4 = Epigastric | 8 = other          |

Describe: "other": \_\_\_\_\_

|  |                     |    |
|--|---------------------|----|
| PALPABLE LIVER (1=not palpable, 2=palpable) .....      |                     | 70 |
| If palpable, span on mid-clavicular line - in cm ..... |                     | 71 |
| Liver tenderness (1=No, 2=Yes) .....                   |                     | 73 |
| Liver consistency .....                                |                     | 74 |
| 1=normal   | 3=irregular nodular |    |
| 2=increased firmness                                   | 4=other             |    |

|   |    |
|---|----|
| PALPABLE SPLEEN (1=not palpable, 2=palpable ..... | 75 |
| If palpable, two dimensions by percussion (cm)    | 76 |

PALPABLE KIDNEYS (1=not palpable, 2=palpable, right,  
3=palpable, left).....

ABDOMINAL MASSES BY PALPATION (1=none, 2=present)..... 79

Location, size and consistency

## NEUROLOGICAL EXAMINATION

- Deep tendon reflexes

1=normal                      3=decreased  
2=hyperactive                4=absent

|                      |       |    |        |    |
|----------------------|-------|----|--------|----|
| Specify abnormality: | ankle | 11 | biceps | 12 |
|                      | knee  | 13 | wrist  | 14 |

|             |    |
|-------------|----|
| MOTOR ..... | 15 |
|-------------|----|

l=normal

2=decreased strength

Indicate muscle group involved:

3=wrst drop

4=teleky sign

5=extensor weakness

G=Babinski

7=other

|             |    |
|-------------|----|
| TREMOR..... | 18 |
|-------------|----|

```
l=None
```

2=outstretched hands

3=intention

4=face

5=other

Describe "other": \_\_\_\_\_

\*Col. 1-9 as above; punch K in col. 10

SENSORY: pin

Arms (1 = absent, 2 = present)

|  |    |
|--|----|
|  | 20 |
|--|----|

Legs (1 = absent, 2 = present)

|  |    |
|--|----|
|  | 21 |
|--|----|

OTHER NEUROLOGIC

|  |    |
|--|----|
|  | 22 |
|--|----|

1 = none

2 = present (describe) \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

EXAMINER'S CODE.....

|  |  |    |
|--|--|----|
|  |  | 23 |
|--|--|----|

- 1 = Selikoff
- 2 = Anderson
- 3 = Daum
- 4 = Fischbein
- 5 = Holstein
- 6 = Lillis
- 7 = Lorimer
- 8 = Ron
- 9 = Rosenmann
- 10 = Todaro

I. PROJECT DESCRIPTION

1. Investigation of Health Hazards in Brake Lining Repair and Maintenance Workers Occupationally Exposed to Asbestos (NIOSH 210-77-0119-0000)
2. Investigators: Irving J. Selikoff, M.D. and William J. Nicholson, Ph.D.
3. Purpose: To identify any human health hazards associated with brake repair and maintenance.

II. CONSENT TO PARTICIPATE

I, \_\_\_\_\_, age \_\_\_\_\_, hereby voluntarily agree to cooperate in the above named study and to undergo the tests listed in Attachment A. The study has been discussed with me and I have been given a copy of this document. I understand that:

1. The procedures and tests to be followed are as stated in Attachment A; no procedures are experimental.
2. Attendant discomforts and risks are as noted in Attachment A and, except as noted, are minimal and provision has been made for any necessary medical care, and I have been told what to do if I have any reaction.
3. Benefits are as indicated in the Purpose statement above (Part I, Item 3).
4. If alternative procedure advantageous to me are available, they are specified in Attachment A; and if they become available during the project, the procedure most advantageous for me will be indicated and used or an explanation will be given to me as to use of any other procedure.
5. My inquiries will be answered by the project directors or other personnel involved in the project. (Telephone 212-650-5823)
6. I am free to terminate my consent and to discontinue participation in the project at any time without prejudice to myself.
7. My identity and my relationship to any information (1) disclosed by me in completing any project questionnaire and (2) reported by me or derived from me during my participation in the above named project shall be kept confidential and will be disclosed to others without my written consent except as required by law and except that such information will be used for statistical and research purposes in such a manner that no individual can be identified. I understand that if any information is found out concerning me that can endanger the health and safety of others, this information will be given to the proper authority.
8. If any of my medical records are required for purposes of this project, a separate written consent for release of the records will be requested from me.

9. There will be questions that I will be asked to answer, and my inquiries concerning the questions will be answered by:

Dr. Selikoff or Dr. Nicholson 212-650-5823

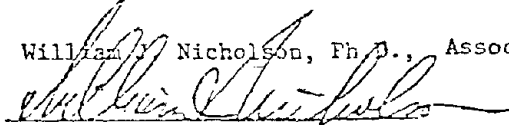
10. A report of any significant information from the study that specifically concerns me, including medical information, will be furnished by the project officer or his designated representative to me or to my designated physician(s) upon completion of the study or earlier if appropriate.

SIGNATURE \_\_\_\_\_

Subject's Name

Date

11. INVESTIGATOR: William J. Nicholson, Ph.D., Associate Professor

  
Signature

### III. CONSENT FOR MAINTENANCE OF RECORDS

I hereby give consent for Mount Sinai School of Medicine to maintain all records of this examination. They will be completely confidential as above and information will be released to any physician I designate upon my written request and authorization at any time in the future.

SIGNATURE \_\_\_\_\_

Subject's Name

Date

### IV. REQUEST AND AUTHORIZATION FOR RELEASE OF INFORMATION

I, \_\_\_\_\_, hereby request and authorize the Project Director to inform the following physicians whose names and addresses I have entered below of any significant findings from the above named study concerning me. (DO NOT LEAVE BLANK: WRITE "NO" WHERE YOU DO NOT WISH TO GIVE A NAME AND ADDRESS).

1. My personal physician:

2. Other physician:

Dr. \_\_\_\_\_

Dr. \_\_\_\_\_

Street: \_\_\_\_\_

Street: \_\_\_\_\_

City: \_\_\_\_\_

City: \_\_\_\_\_

State/Zip: \_\_\_\_\_

State/Zip: \_\_\_\_\_

ATTACHMENT A

- A. Investigation of Health Hazards in Brake Lining Repairs and Maintenance Workers Occupationally Exposed to Asbestos (NIOSH 210-77-0119-0000)
- B. The procedures and tests which involve human subjects in conduct of this project are as follows:

Blood tests

Urine analysis

Sputum cytology

Chest x-ray

Pulmonary function tests

Physical examination

Each of the above provides important information for the evaluation of health status. There is minor discomfort associated with the drawing of blood from the arm. The sputum cytology involves coughing up phlegm from the throat into a container which is provided. The pulmonary function test involves a maximum breathing effort.

- C. Rights under the Privacy Act of 1974 Title 5 United States Code, Section 552(a)(e)(3)

The information required to be given to me under the Privacy Act of 1974 is as follows:

- 1) Authority for collecting information is the Occupational Safety and Health Act of 1970,
- 2) The principal purpose of this study is as stated in Section I, Item 3.
- 3) Routine use of this information is in developing criteria and programs for a safe and healthy place of employment.
- 4) I do not have to furnish any information I do not wish to. Nothing happens to me as a result of my not providing information, whether all or in part of that requested, except that I may be terminated from the project.

BrakeworkersOccupational History Asbestos Exposure CodeWork Practice (Primary Code)

1. Brake work: (Greater than 1 job per 6 months) or garage procedures which would result in heavy direct exposure, e.g. brake work, clutch work, or front end work which involves the air blowing of asbestos containing debris.
2. Garage work not directly involving brakes but where other exposure to asbestos could occur in the facility (i.e. brake work done elsewhere at the work site).
  - 2A. Body repair work involving an asbestos filler.
  - 2B. Undercoating work on a regular basis.
3. Heavy occupational exposure (activity would normally raise visible dust), e.g. factories, insulation work.
4. Indirect asbestos exposure, e.g. in shipyard work, taping by painters, construction work near spraying of asbestos or insulation work, work in plumbing and heating trades with asbestos exposure.
5. Low indirect exposures, possible indirect, or very occasional direct.

Brake Work CodesSecondary Code

(For a Primary Code of 1, only)

Frequency

- |   |                      |
|---|----------------------|
| A | 1 job/day or greater |
| B | 1 - 4 jobs per week  |
| C | 1 - 4 jobs per month |
| D | 1 - 5 jobs/6 months  |

Tertiary Code

- |   |                           |
|---|---------------------------|
| 0 | No grinding or beveling   |
| 1 | Some grinding or beveling |

Sample Entry:    1 B 1  
                      1 C 0  
                      2 0 0

# ENVIRONMENTAL SCIENCES LABORATORY

MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK

Number \_\_\_\_\_

Name \_\_\_\_\_

Research Group \_\_\_\_\_

Comment: \_\_\_\_\_

ILO U/C International Classification of Radiographs of Pneumoconioses      BIT U/C Classification Internationale des Radiographies de Pneumoco

|      |         | ROUNDED<br>SMALL OPACITIES |             |                         | IRREGULAR<br>SMALL OPACITIES |             |                         | SMALL<br>OPACITIES    | LARGE<br>OPACITIES | PLEURAL<br>THICKENING |                            |      |       | ILL DEFINED<br>DIAPHRAGM | ILL DEFINED<br>CARDIAC<br>OUTLINE | PLEURAL<br>CALCIFICATION |       |     |       |       |         |          |    |     |     |
|------|---------|----------------------------|-------------|-------------------------|------------------------------|-------------|-------------------------|-----------------------|--------------------|-----------------------|----------------------------|------|-------|--------------------------|-----------------------------------|--------------------------|-------|-----|-------|-------|---------|----------|----|-----|-----|
| Date | Quality | Type                       | Profusion   | Zones                   | Type                         | Profusion   | Zones                   | Combined<br>Profusion | Type               | Size                  | Costo-<br>phrenic<br>angle | Site | Width | Extent                   |                                   |                          | Diaph | W.H | Other | Grade | Symbols | Comments |    |     |     |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |                       |                            |      |       |                          |                                   |                          |       |     |       |       |         |          |    |     |     |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |                       |                            |      |       |                          |                                   |                          |       |     |       |       |         | 103      |    |     |     |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |                       |                            |      |       |                          |                                   |                          |       |     |       |       |         |          |    |     |     |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |                       |                            |      |       |                          |                                   |                          |       |     |       |       |         |          |    |     |     |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |                       |                            |      |       |                          |                                   |                          |       |     |       |       |         |          |    |     |     |
|      | +       | p                          | 0/- 0/0 0/1 | Check zones<br>involved | s                            | 0/- 0/0 0/1 | Check zones<br>involved | 0/- 0/0 0/1           |                    | 0                     | 0                          |      |       | 0                        | 0                                 |                          |       |     |       | 0     | ax      | bu       | ca | ca  | ca  |
|      | ±       | q                          | 1/0 1/1 1/2 |                         | t                            | 1/0 1/1 1/2 |                         | 1/0 1/1 1/2           | wd                 | A                     | R                          | R    | a     | 1                        | R                                 | 1                        |       |     | R     | 1     | ap      | cv       | di | ef  | em  |
|      | ++      | r                          | 2/1 2/2 2/3 |                         | u                            | 2/1 2/2 2/3 |                         | 2/1 2/2 2/3           | wd                 | B                     | L                          | L    | b     |                          |                                   |                          |       |     | L     | 2     | cs      | hi       | ho | t   | od  |
|      | +++     |                            | 3/2 3/3 3/4 |                         |                              | 3/2 3/3 3/4 |                         | 3/2 3/3 3/4           |                    | C                     |                            |      | c     |                          |                                   |                          |       |     |       | 3     | pa      | px       | rl | tha | thn |



| Codes           |  |  | Definitions  |
|-----------------|--|--|--|
| Small opacities | Rounded Profusion*   | 0/- 0/0 0/1<br>1/0 1/1 1/2<br>2/1 2/2 2/3<br>3/2 3/3 3/4 | The category of profusion is based on assessment of the concentration (profusion) of opacities in the affected zones. The standard films define the mid-categories (1, 2, 3, 4).<br>Category 0 - small rounded opacities absent or less profuse than in category 1.<br>Category 1 - small rounded opacities definitely present but few in number.<br>Category 2 - small rounded opacities numerous. The normal lung markings are usually still visible.<br>Category 3 - small rounded opacities very numerous. The normal lung markings are partly or totally obscured.  |
|                 | Type   | p, q(m), r(n)  | The nodules are classified according to the approximate diameter of the predominant opacities.<br>p - rounded opacities up to about 1.5mm diameter.<br>q(m) - rounded opacities exceeding about 1.5mm and up to about 3mm diameter.<br>r(n) - rounded opacities exceeding about 3mm and up to about 10mm diameter.   |
|                 | Extent   | RU RM RL<br>LU LM LL                                     | The zones in which the opacities are seen are recorded. Each lung is divided into three zones—upper, middle and lower.   |
|                 | Irregular Profusion*   | 0/- 0/0 0/1<br>1/0 1/1 1/2<br>2/1 2/2 2/3<br>3/2 3/3 3/4 | The category of profusion is based on the assessment of the concentration (profusion) of opacities in the affected zones. The standard films define the mid-categories.<br>Category 0 - small irregular opacities absent or less profuse than in category 1.<br>Category 1 - small irregular opacities definitely present but few in number. The normal lung markings are usually visible.<br>Category 2 - small irregular opacities numerous. The normal lung markings are usually partly obscured.<br>Category 3 - small irregular opacities very numerous. The normal lung markings are usually totally obscured. |
| Large opacities | Type   | s, l, u  | As the opacities are irregular, the dimensions used for rounded opacities cannot be used, but they can be roughly divided into three types.<br>s - fine irregular or linear opacities.<br>l - medium irregular opacities.<br>u - coarse (blotchy) irregular opacities.   |
|                 | Extent   | RU RM RL<br>LU LM LL                                     | The zones in which the opacities are seen are recorded. Each lung is divided into three zones—upper, middle and lower—as for rounded opacities.  |
|                 | Combined Profusion*  |  | When both rounded and irregular small opacities are present, record the profusion of each separately and then record the combined profusion as though all the opacities were of one type. This is an optional feature of the classification.   |
|                 | Size   | A B C  | Category A—an opacity with greatest diameter between 1cm and 5cm, or several such opacities the sum of whose greatest diameters does not exceed 5cm.<br>Category B—one or more opacities larger or more numerous than those in category A, whose combined area does not exceed the equivalent of the right upper zone.<br>Category C—one or more large opacities whose combined area exceeds the equivalent of the right upper zone.   |
| Other features  | Type   | wd, nd   | As well as the letter 'A', 'B' or 'C', the abbreviation 'wd' or 'nd' should be used to indicate whether the opacities are well defined or ill defined.   |
|                 | Pleural thickening<br>Costophrenic angle                               | Right Left   | Obiteration of the costophrenic angle is recorded separately from thickening over other sites. A lower limit standard film is provided.  |
|                 | Walls and diaphragm<br>Site<br>Width                                   | Right Left<br>a b c                                      | Grade a - up to about 5mm thick at the widest part of any shadow.<br>Grade b - over about 5mm and up to about 10mm thick at the widest part of any shadow.<br>Grade c - over about 10mm thick at the widest part of any shadow.  |
|                 | Extent   | 0 1 2  | Grade 0 - not present or less than grade 1.<br>Grade 1 - definite pleural thickening in one or more places such that the total length does not exceed one half of the projection of one lateral chest wall. The standard film defines the lower limit of grade 1.<br>Grade 2 - definite pleural thickening in one or more places such that the total length exceeds one half of the projection of one lateral chest wall.  |
|                 | Diaphragm<br>Ill defined   | Right Left   | The lower limit is one third of the affected hemidiaphragm. A lower limit standard film is provided.   |
|                 | Cardiac outline<br>Ill defined<br>(shaginess)                          | 0 1 2 3  | Grade 0 - not present or up to one third of the length of the left cardiac border or equivalent.<br>Grade 1 - above one third and up to two thirds of the length of the left cardiac border or equivalent.<br>Grade 2 - above two thirds and up to the whole length of the left cardiac border or equivalent.<br>Grade 3 - more than the whole length of the left cardiac border or equivalent.  |
|                 | Pleural calcification<br>Site<br>Diaphragm<br>Walls<br>Other<br>Extent | Right Left<br>0 1 2 3                                    | Grade 0 - no pleural calcification seen.<br>Grade 1 - one or more areas of pleural calcification, the sum of whose greatest diameters does not exceed about 2cm.<br>Grade 2 - one or more areas of pleural calcification, the sum of whose greatest diameters exceeds about 2cm, but not about 10cm.<br>Grade 3 - one or more areas of pleural calcification, the sum of whose greatest diameters exceeds about 10cm.  |

ax coalescence of small rounded pneumoconiotic opacities  
bu bullae  
ca cancer of lung or pleura  
cn calcification in pneumoconiotic opacities  
co abnormality of cardiac size or shape  
cp cor pulmonale  
cv cavity  
di marked distortion of the intra-thoracic organs  
ef effusion  
em marked emphyse  
es eggshell calcification of hilar or mediastinal lymph nodes  
hi enlargement of hilar or mediastinal lymph nodes  
ho honeycomb lung  
k septal (kerley) lines  
od other significant disease. This includes disease not related to dust exposure, e.g. surgical or traumatic damage to chest walls, bronchiectasis, etc.  
pq pleural plaque (un calcified)  
px pneumothorax  
rl rheumatoid pneumoconiosis (Caplan's syndrome)  
tba tuberculosis, probably active  
tbu tuberculosis, active uncertain

# ENVIRONMENTAL SCIENCES LABORATORY

MOUNT SINAI SCHOOL OF MEDICINE OF THE CITY UNIVERSITY OF NEW YORK

Number

Record

Name

Comment:

ILO U/C International Classification of Radiographs of Pneumoconioses BIT U/C Classification International

|      |         | ROUNDED<br>SMALL OPACITIES |             |                         | IRREGULAR<br>SMALL OPACITIES |             |                         | SMALL<br>OPACITIES    | LARGE<br>OPACITIES |      | PLEURAL<br>THICKENING      |      |       | ILL. DEFINED<br>DIAPHRAGM | ILL. DEFINED<br>CARDIAC<br>OUTLINE |   |
|------|---------|----------------------------|-------------|-------------------------|------------------------------|-------------|-------------------------|-----------------------|--------------------|------|----------------------------|------|-------|---------------------------|------------------------------------|---|
| Date | Quality | Type                       | Profusion   | Zones                   | Type                         | Profusion   | Zones                   | Combined<br>Profusion | Type               | Size | Costo-<br>phrenic<br>angle | Site | Width | Extent                    |                                    |   |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |      |                            |      |       |                           |                                    |   |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |      |                            |      |       |                           |                                    |   |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |      |                            |      |       |                           |                                    |   |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |      |                            |      |       |                           |                                    |   |
|      |         |                            | /           |                         |                              | /           |                         | /                     |                    |      |                            |      |       |                           |                                    |   |
|      | +       | p                          | 0/- 0/0 0/1 | Check zones<br>involved | s                            | 0/- 0/0 0/1 | Check zones<br>involved | 0/- 0/0 0/1           |                    | 0    | 0                          |      |       | 0                         | 0                                  | 0 |
|      | ++      | q                          | 1/0 1/1 1/2 |                         | t                            | 1/0 1/1 1/2 |                         | 1/0 1/1 1/2           | wd                 | A    | R                          | R    | a     | 1                         | R                                  | 1 |
|      | +++     | r                          | 2/1 2/2 2/3 |                         | u                            | 2/1 2/2 2/3 |                         | 2/1 2/2 2/3           | ed                 | B    | L                          | L    | b     |                           |                                    |   |
|      | IV      |                            | 3/2 3/3 3/4 |                         |                              | 3/2 3/3 3/4 |                         | 3/2 3/3 3/4           |                    | C    |                            |      | c     |                           |                                    |   |

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Codes

Definitions

|                 |   |  |  |   |
|-----------------|---|--|--|---|
| Small opacities | Rounded Profusion*                                      | 0/- 0/0 0/1<br>1/0 1/1 1/2<br>2/1 2/2 2/3<br>3/2 3/3 3/4 |  | The category of profusion is based on assessment of the concentration (profusion) of opacities in the a. The standard films define the mid-categories (1, 2, 3, 4).   |
|                 | Type  | p, q(m), r(n)  |  | The nodules are classified according to the approximate diameter of the predominant opacities.<br>p - rounded opacities up to about 1.5mm diameter.<br>q(m) - rounded opacities exceeding about 1.5mm and up to about 3mm diameter.<br>r(n) - rounded opacities exceeding about 3mm and up to about 10mm diameter.  |
|                 | Extent  | RU RM RL<br>LU LM LL                                     |  | The zones in which the opacities are seen are recorded. Each lung is divided into three zones—upper,  |
|                 | Irregular Profusion*                                    | 0/- 0/0 0/1<br>1/0 1/1 1/2<br>2/1 2/2 2/3<br>3/2 3/3 3/4 |  | The category of profusion is based on the assessment of the concentration (profusion) of opacities in the. The standard films define the mid-categories.  |
|                 | Type  | s, t, u  |  | As the opacities are irregular, the dimensions used for rounded opacities cannot be used, but they can be re:<br>s - fine irregular or linear opacities.<br>t - medium irregular opacities.<br>u - coarse (blotchy) irregular opacities.  |
|                 | Extent  | RU RM RL<br>LU LM LL                                     |  | The zones in which the opacities are seen are recorded. Each lung is divided into three zones—upper, as for rounded opacities.  |
| Large opacities | Combined Profusion *                                    |  |  | When both rounded and irregular small opacities are present, record the profusion of each separately as profusion as though all the opacities were of one type. This is an optional feature of the classification.  |
|                 | Size  | A B C  |  | Category A—an opacity with greatest diameter between 1cm and 5cm, or several such opacities the sum does not exceed 5 cm.<br>Category B—one or more opacities larger or more numerous than those in category A, whose combined equivalent of the right upper zone.<br>Category C—one or more large opacities whose combined area exceeds the equivalent of the right upper                      |
|                 | Type  | wd, ul   |  | As well as the letter 'A', 'B' or 'C', the abbreviation 'wd' or 'ul' should be used to indicate whether the ill defined.  |
| Other features  | Pleural thickening Costophrenic angle                   | Right Left   |  | Obiteration of the costophrenic angle is recorded separately from thickening over other sites. A lower limit standard film is provided.   |
|                 | Walls and diaphragm Site Width                          | Right Left<br>a b c                                      |  | Grade a - up to about 5mm thick at the widest part of any shadow.<br>Grade b - over about 5mm and up to about 10mm thick at the widest part of any shadow.<br>Grade c - over about 10mm thick at the widest part of any shadow.   |
|                 | Extent  | 0 1 2  |  | Grade 0 - not present or less than grade 1.<br>Grade 1 - definite pleural thickening in one or more places such that the total length does not exceed one lateral chest wall. The standard film defines the lower limit of grade 1.<br>Grade 2 - definite pleural thickening in one or more places such that the total length exceeds one half of chest wall.                                   |
|                 | Diaphragm ill defined                                   | Right Left   |  | The lower limit is one third of the affected hemidiaphragm. A lower limit standard film is provided.  |
|                 | Cardiac outline ill defined (shaginess)                 | 0 1 2 3  |  | Grade 0 - not present or up to one third of the length of the left cardiac border or equivalent.<br>Grade 1 - above one third and up to two thirds of the length of the left cardiac border or equivalent.<br>Grade 2 - above two thirds and up to the whole length of the left cardiac border or equivalent.<br>Grade 3 - more than the whole length of the left cardiac border or equivalent. |
|                 | Pleural calcification Site Diaphragm Walls Other Extent | Right Left<br>0 1 2 3                                    |  | Grade 0 - no pleural calcification seen.<br>Grade 1 - one or more areas of pleural calcification, the sum of whose greatest diameters does not exceed 1 cm.<br>Grade 2 - one or more areas of pleural calcification, the sum of whose greatest diameters exceeds about 2 cm.<br>Grade 3 - one or more areas of pleural calcification, the sum of whose greatest diameters exceeds about 10 cm.  |