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## DNA from multiple viral species are associated with Alzheimer's disease risk

Marlene Tejeda<sup>1</sup>, John Farrell<sup>1</sup>, Congcong Zhu<sup>1</sup>, Lee Wetzler<sup>2,3</sup>, Kathryn L. Lunetta<sup>6</sup>, William S. Bush<sup>8</sup>, Eden R. Martin<sup>9</sup>, Li-San Wang<sup>10</sup>, Gerard Schellenberg<sup>10</sup>, Margaret A. Pericak-Vance<sup>9</sup>, Jonathan L. Haines<sup>8</sup>, Lindsay A. Farrer<sup>1,4,5,6,7</sup>, Richard Sherva<sup>1</sup>

<sup>1</sup>Department of Medicine Biomedical Genetics, Boston University School of Public Health, Boston, MA, 02118, USA

<sup>2</sup>Department of Medicine Infectious Disease, Boston University Chobanian & Avedisian School of Medicine, Boston, MA, 02118, USA

<sup>3</sup>Department of Microbiology, Boston University Chobanian & Avedisian School of Medicine, Boston, MA, 02118, USA

<sup>4</sup>Department of Neurology, Boston University Chobanian & Avedisian School of Medicine, Boston, MA, 02118, USA

<sup>5</sup>Department of Ophthalmology, Boston University Chobanian & Avedisian School of Medicine, Boston, MA, 02118, USA

<sup>6</sup>Department of Biostatistics, Boston University School of Public Health, Boston, MA, 02118, USA

<sup>7</sup>Department of Epidemiology, Boston University School of Public Health, Boston, MA, 02118, USA

<sup>8</sup>Department of Population & Quantitative Health Sciences, Cleveland Institute for Computational Biology, Case Western Reserve University School of Medicine, Cleveland, Ohio, 44106, USA

<sup>9</sup>John P. Hussman Institute for Human Genomics and Dr. John T. MacDonald Foundation Department of Human Genetics, Miller School of Medicine, University of Miami, Miami, Florida, 33136, USA

<sup>10</sup>Penn Neurodegeneration Genomics Center, Department of Pathology and Laboratory Medicine, University of Pennsylvania Perelman School of Medicine, Philadelphia, Pennsylvania, 19104, USA

#### Abstract

**INTRODUCTION:** Multiple infectious agents, including viruses, bacteria, fungi, and protozoa, have been linked to Alzheimer disease (AD) risk by independent lines of evidence. We explored this association by comparing the frequencies of viral species identified in a large sample of AD cases and controls.

Consent Statement: All patients gave their written informed consent.

**Corresponding Author: Richard Sherva** (sherva@bu.edu), **Present address:** 72 E Concord St, Boston, MA 02118, E200. **Conflicts of Interest Statement**: The authors have no conflict of interest to report.

**METHODS:** DNA sequence reads that did not align to the human genome in sequences were mapped to viral reference sequences, quantified, and then were tested for association with AD in whole exome sequences (WES) and whole genome sequences (WGS) datasets.

**RESULTS:** Several viruses were significant predictors of AD according to the machine learning classifiers. Subsequent regression analyses showed that HSV-1 (OR=3.71, P= $8.03 \times 10^{-4}$ ) and HPV-71(OR=3.56, P=0.02), were significantly associated with AD after Bonferroni correction. The phylogenetic-related cluster of Herpesviridae was significantly associated with AD in several strata of the data (P<0.01).

**DISCUSSION:** Our results support the hypothesis that viral infection, especially HSV-1, is associated with AD risk.

#### Keywords

Alzheimer's disease; herpes simplex; antiviral agents; torque teno viruses; human papillomavirus; Alzheimer's Disease Sequencing Project; whole exome sequencing; whole genome sequencing

#### 1. BACKGROUND

Development of efficacious therapies for Alzheimer's disease (AD) is a critically important international research priority. Despite numerous advances in our understanding of the fundamental pathological mechanisms leading to AD, substantial knowledge gaps exist. Neuronal response to stress from multiple sources has been linked to AD pathology [1], and abnormal microglial response and associated inflammation due to viral infection may be one such stressor [1]. Multiple lines of evidence suggest infectious agents might impact this stress and inflammation cascade. Several studies reported an association of microbial DNA/RNA detected in brain samples with AD risk [2],[3]. Production of amyloid beta (AB) increases in response to infection and may protect against infectious agents including herpes simplex type 1 (HSV-1) [4], H1N1 influenza A virus (IAV) [5], and various bacterial agents [6]. HSV-1 infections also induce accumulation of  $A\beta_{42}$  inside neurons by a calcium-dependent mechanism [7]. Herpes infections have also been shown to increase levels of intracellular phosphorylated microtubule associated protein tau protein (P-tau) [8], [9]. In addition, HSV-1 DNA has been found within senile plaques in AD brains [10]. The association between HSV-1 and AD is strongest in carriers of the apolipoprotein E (APOE) e4 allele [11]. Finally, treatment with antiviral agents has been shown to reduce AD pathology in mice [12] and was associated with significantly higher cognitive function in humans in non-AD clinical trials [13],[14]. Acyclovir, which targets viral DNA replication, was shown to significantly reduce the levels of A $\beta$  and P-tau in HSV-1 infected cells in culture, as well as HSV-1 levels [15]. A clinical trial of another antiviral agent, Valacyclovir, for AD treatment is ongoing [16].

In this study, we tested the hypothesis that viral species and/or the aggregate viral load are associated with AD risk. We identified and categorized human viral DNA present in whole exome sequence (WES) or whole genome sequence (WGS) data obtained by 37,000 participants of the Alzheimer Disease Sequencing Project (ADSP) and applied machine learning methods to detect viral species that predicted AD status. Viruses were further tested

for association with AD risk in ancestry population subsets and the total sample using logistic regression models.

#### 2. METHODS

#### 2.1. Subject Ascertainment and Characteristics

Whole genome sequencing (WGS) and whole exome sequencing (WES) data were derived from blood and brain samples donated by participants of the Alzheimer's Disease Sequencing Project (ADSP) which was established by the National Institute on Aging and National Human Genome Research Institute to identify genetic risk factors for late-onset AD [17]. The ADSP ascertained subjects in multiple waves. In the Discovery phase, one group of approximately 11,000 unrelated AD cases and controls including 9,590 individuals of European ancestry (EA) and 386 Caribbean Hispanics (CH) were selected for exome sequencing based on sex, age, and APOE genotype. Controls were deemed to have a low likelihood of conversion to AD by age 90 based on cognitive assessment or neuropathological exam, and AD cases who were likely enriched for genetic factors other than APOE genotype were preferentially selected [18],[19]. The WGS sample contained 583 related individuals from 111 EA and CH families. These families were selected based on the presence of more than three AD affected individuals and families without APOE e4 alleles and other known AD risk variants were preferentially chosen. [19]. WGS was also performed for a portion of the ADSP extension sample that included additional members of the 111 families and approximately 3,000 unrelated AD cases and controls (nearly equal numbers of EAs, CHs and African Americans (AAs) [19]. Approximately 8,000 additional unrelated AD cases and controls including 2,690 EA, 3,984 AA, and 1,673 CH subjects in the extension sample underwent WES. WGS data were obtained from an independent group enriched for AAs included in the ADSP follow-up study containing 9,107 unrelated AD cases and controls. Cases either met NINCDS-ADRDA clinical criteria for AD, or postmortem findings met moderate or high likelihood of neuropathological criteria of AD. Autopsy data was available for 28.7% of the cases and controls used in the analysis. Controls were free of dementia by direct cognitive assessment or neuropathological examination.

#### 2.2. DNA Sequencing and Microbial DNA Detection

WES and WGS methods and quality control (QC) procedures are described in detail elsewhere [17],[18],[19]. The sample included 15,125 WES and 13,396 WGS data derived from either brain (N=3,449) or blood (N= 25,072). We developed a pipeline called MicrobeSeq to detect viral DNA in the human DNA sequence data and classify it using the complete reference genomes (FASTA files) from 318 viral species. We started with 511 viral reference genomes available through NCBI with humans listed as the host species[20]. We removed 20 species that were duplicates, 47 that were primarily zoonotic viruses that rarely affected humans, and one that was acutely fatal. Additionally, we removed seven viruses with no documented cases in the US, 92 with no NCBI number, an indicator that the existence of the virus as a separate species had not been confirmed, and 26 for reasons including sparse information on the virus or whether it was a DNA virus. First, we removed all sequencing reads that mapped to the human genome sequence (build GRCh38) and

generated a new FASTQ file. The resulting FASTQ file, which was enriched for non-human DNA reads, was then aligned to a set of microbe reference sequences encompassing all reference genomes using BWA-MEM [21]. Viral read matches were counted and normalized by the depth of the original host alignment data. Although reads were initially mapped to 61 viral species in more than one sample but after QC filtering 59 unique species remained.

#### 2.3. Statistical Analysis

Three types of analysis were conducted to identify viral species associated with AD. First, supervised machine learning (ML) algorithms, including random forest, decision tree, LASSO, k-nearest neighbors, adaboost, support vector machines and the generalized boosted model (GBM), were applied to total and species-specific viral read counts. An ensemble method was used to aggregate the predictive accuracies from the ML algorithms. Ensemble methods are known to make better predictions and achieve better performance than any single contributing model [22]. Additionally, ensemble methods are more robust and reduce the spread or dispersion of the predictions and model performance [22]. In addition to viral read counts, variables representing potential confounders and technical artifacts (i.e. sequence center, PCR amplification, demographic factors) were also included in these models (Figure S1). Significant non-viral AD predictors were included as covariates in subsequent logistic regression models. These classifiers were fitted on a training set (80% of the data) using the scikit-learn module in Python [23] and then tested on the remaining 20% of the data. The permutation importance algorithm, implemented in the Scikit-Learn module in Python 3 utilizing 10-fold cross-validation in each model was used to determine which viruses were the most important predictors of AD. A feature was considered "important" if randomly permuting its values increased the model error, because the model relied on the feature for the prediction [24]. For each permutation of the response vector, the relevance for all predictor variables was assessed yielding a vector of s importance measures for each variable. Feature importance was defined as the difference in accuracy between the baseline model which included all the predictors and a permuted model where one predictor at a time was replaced with random values [24]. Larger positive values indicate that the baseline model yielded higher accuracy than the model with random values for that feature.

We developed a weighting algorithm to summarize the best features across all classifier models to integrate the information generated by all ML methods. The ML weighting algorithm was applied to four subsets stratified by sequencing method (WES/WGS) and tissue source. The weighting algorithm calculated the number of times a feature's permutation importance score was above zero and that count was further weighted by the accuracy of that model. Ties were broken based on how those features performed in the highest performing model. If tied features did not appear in the highest performing model, the features were iteratively compared in the next best performing model until a difference was found. Features that were identified across many models and ranked most highly in the best performing models were considered the most predictive of AD. ML models were not corrected for multiple testing because they did not produce standard p-values.

GLM models were implemented in R to obtain effect sizes and p-values for the association of AD risk with prevalence and quantity of viruses and also with binary indicators of the presence of any vs. no DNA. Models for analysis of WES data were adjusted for sequencing center, APOE genotype, and ancestry. WGS data analysis models were adjusted for these covariates as well as an indicator variable for the use of PCR amplification. Regression models were evaluated within the same four strata as the ML analysis, and the results for each virus were combined across strata via inverse variance weighted meta-analysis. Multiple testing thresholds were determined based on the number of species detected in every stratum of the data contributing to that meta-analysis, e.g., ten viruses were detected in WES, WGS, blood, and brain so the adjusted significance threshold for that meta-analysis was p<0.005. A secondary analysis was conducted within ancestry groups, further stratified by WES/WGS and body tissue source. A one-way ANCOVA was used to test the association between the prevalence and/or quantity of several viruses and ancestry with the following covariates: sequencing center, APOE genotype, and tissue source. The multiple testing thresholds were determined similar to the primary analysis, e.g., 59 viruses were detected in every AA stratum so the significance threshold was p<0.001. Only HSV-1 was detected in more than 5% of samples and only HPV-71, HCV, and MC were detected in more than 1% of total samples. Therefore, we performed feature selection on only those samples with at least one virus detected to address problems with sparsity in the data. As a sensitivity analysis, we repeated the regression-based analyses using only the samples with any virus detected (Table S1).

To test whether viral clusters were associated with AD and to address the potential for misassignment of reads or identical reads across closely related species, we performed the unsupervised learning algorithm K-means to create phylogenetic clusters within the 59 human viruses detected based on Gower's distance using the Scikit-Learn module in Python 3. We varied the number of clusters from 2 to 20 and found k=5 to be the optimal number based on an elbow plot of within-cluster sums of squares and silhouette scores. Five composite variables were created from these clusters such that the viral load of each virus within each cluster was summed for each individual. AD status was then regressed on each of these five cluster quantities, and also binary indicators of the presence of any vs. no DNA from species within that family, with adjustment for the aforementioned covariates using GLM.

#### 3. RESULTS

#### 3.1 Viral DNA Detected in both Brain and Blood

Less than 0.0001% of the DNA reads did not map to the human genome but rather to 59 distinct viral species deemed likely to appear in elderly human DNA samples. Of these, 19 were detected in brain-derived samples and all 59 were detected in blood-derived samples. Additionally, ten and six viruses were unique to WGS and WES data, respectively. Ten viral species were detected in all four-tissue source and sequencing experiment type strata of the data: HSV-1, Epstein–Barr virus (EBV), HHV-6A, HHV-6B, Human betaherpesvirus 7 (HHV-7), Human papillomavirus 71 (HPV-71), Hepatitis C (HCV), Molluscum contagiosum (MC), Torque teno midi virus 9 (TTMV-9), and Tick-borne encephalitis. Viral reads were

detected in 49% of brain derived and 59% of blood derived sequences. The average cumulative viral read counts in the four strata were 12.56 in blood/WGS, 5.38 in blood/WES, 6.75 in brain/WGS, and 4.52 in brain/WES (see Table S2 for further breakdown by cases and controls). Figure 1 shows the proportion of total reads mapping to a viral species that map to each individual species and taxonomic family within each of the four strata of the data described above. Herpesviridae, Flaviviridae, Anelloviridae, Papillomaviridae, and Poxviridae were five most common virus families detected in both the WES and WGS sequence data. Herpesviridae was the most detected human viral family, comprised almost entirely of HSV-1.

#### 3.2 AD Associations in WES Blood

Figure 2 shows AD-predictive viral features, as well as AD predictive demographic and technical factors. The length of the bars corresponds to the number of ML methods in which the feature was significant. HSV-1, human alphaherpesvirus 2 (HSV-2), HHV-6B, HHV-6A, EBV, human betaherpesvirus 5 (CMV), HPV-71, Torque teno virus 3 (TTV-3), Torque teno virus 7 (TTV-7), Torque teno virus 10 (TTV-10), torque teno midi virus 5 (TTMV-5), TTMV-9, MC, and cumulative mapped viral reads had permutation feature importance scores above zero in this stratum (Figure 2c). The best model was LASSO with 67.2% predictive accuracy for AD status in the test set. The quantity of HSV-1 (OR=4.08,  $P_{adj}=3.58\times10^{-4}$ ) and HPV-71 (OR=3.90,  $P_{adj}=0.02$ ) (Table 1) were significantly associated with AD status using logistic regression models after correcting for the ten viruses detected in all four strata of the data. HSV-1 DNA was detected in 94.9% of samples in this stratum, and HPV-71 DNA in 12.8%.

#### 3.3 AD Associations in WES Brain

HSV-1, HHV-6B, HHV-6A, MC, and cumulative mapped viral reads had permutation feature importance scores above zero in WES brain samples (Figure 2a). The best model was GBM showing 80.0% accuracy predicting AD status in the test set. Although no viral species was significantly associated with AD after multiple test correction using logistic regression, the association with the Herpes family cluster was significant in after multiple test correction for five clusters (OR=4.16,  $P_{adj}$ =0.048) (Table 2). HSV-1 DNA was present in 93.2% of samples in this stratum, while HPV-71 was present in 9.8%.

#### 3.4 AD Associations in WGS Blood

HSV-1, Human alphaherpesvirus 2 (HSV-2), HHV-6A, HHV-6B, HCV, MC, Torque teno midi virus 10 (TTMV-10), EBV, human betaherpesvirus 5 (CMV), HPV-71, Torque teno virus 3 (TTV-3), Torque teno midi virus 5 (TTMV-5), TTMV-9, and cumulative mapped viral reads were top predictors of AD. (Figure 2d). GBM was the best predictor of AD status with 69.1% accuracy in the test set. No viral read counts were significantly associated with AD risk in this stratum in logistic regression models, but the quantity of reads within the Herpes family cluster was significantly associated with AD (OR=2.30,  $P_{adj}$ =0.044) after Bonferroni correction for five tests (Table 2). HSV-1 DNA was detected in 56.4% of samples in this stratum, and HPV-71 DNA in 0.3%.

#### 3.5 AD Associations in WGS Brain

HSV-1, HHV-6B, HHV-6A, MC, and cumulative mapped viral reads had permutation feature importance scores above zero in WGS in brain (Figure 2b). GBM was again the best performing model in this stratum with 77.9% predictive accuracy for AD status in the test set. No viral read counts were significantly associated with AD risk in the WGS brain dataset using logistic regression. HSV-1 DNA was detected in 59.9% of samples in this stratum, and HPV-71 DNA in 1.0%.

#### 3.6 Differences in Viral DNA Prevalence by Ancestry

The prevalence and/or quantity of several viruses, and their association with AD differed across ancestry groups according to ANCOVA tests; p-values are based on an F-statistic of a one-way ANCOVA of ancestry group and viral counts adjusting for covariates (Table 3). The cumulative viral load was highest in the CH group and lowest in EAs (P=9.96  $\times 10^{-17}$ ), driven primarily by HSV-1 (P=9.17 $\times 10^{-88}$ , Table 3). AAs had disproportionately higher levels of HPV-71 (P=0.05), TTV-3 (P=0.01), and TTV-10 (P=4.02 $\times 10^{-8}$ ) and EAs had disproportionately lower levels of HCV (P=0.01) and TTMV-9 (P=0.01) compared to other groups. The association of AD with HSV-1 was evident in both AAs (OR=9.30, P=5.81 $\times 10^{-3}$ ) and EAs (OR=4.95, P=2.27 $\times 10^{-3}$ ), whereas AAs primarily accounted for the associations with HPV-71 (OR=7.24, P=2.13 $\times 10^{-4}$ ) and TTV-10 (OR=534, P=0.01) (Table 4). Permutation feature importance scores above zero within ancestry are shown in figures S2, S3, and S4.

The group of Herpes viruses was also associated with AD in EAs in the WGS dataset (OR=2.82,  $P_{adj}$ =0.017) (Table 2). In contrast, the Torque teno virus family was associated with AD among AAs in the subset of WES data (OR=1.67,  $P_{adj}$ =0.04) (Table 2). Further scrutiny of these results revealed that the association with the Herpesviridae cluster in both WES and WGS data was accounted for primarily by HSV-1. HHV-6B was the second most common herpes virus identified in WES and WGS data. We also note that HHV-6B and HHV-7 were two and ten times, respectively, more frequent in WGS compared to WES samples derived from blood. In contrast, in sequence data derived from brain, there was a higher percentage of AD cases with HHV-6B in WES compared to WGS. HSV-2 was five times more prevalent in WGS brain samples.

#### 4. DISCUSSION

#### 4.1 AD Risk is Differentially Associated with Multiple Viruses in Brain and Blood

We applied a novel approach to detect viral DNA in human WES and WGS data that entailed identifying DNA sequences that did not align to the human reference genome and mapped them to viral reference genomes. Higher quantity of HSV-1 was associated with increased AD risk in AAs and EAs but not CHs. Although the mean level of HSV-1 in CH AD cases was similar to other ancestry groups, CH controls had 1.5 and 2.1 times more HSV-1 than in AA and EA controls, respectively. The overall prevalence of HSV-1 was consistent with a study of 3,533 pregnant women in London showing that the observation that the HSV-1 seroprevalence was nearly 100% in black women born in Africa or the Caribbean and 60–80% in White, Asian and black women born in the UK [25].

Our approach to identify and quantify viral load in DNA sequence data was similar to that employed by Readhead et al. [3] who quantified viruses in RNA sequence data derived from brain tissue obtained from AD cases and controls in three cohorts, including ROS-MAP, which is one of the sources of samples for our study. The viruses most strongly implicated in AD in their study were herpes viruses HHV-6A and HHV-7, which were significant in ML analyses but not logistic regression. While Readhead et al [3] split the viral reference genomes into 31 base pair segments and removed any cross-species duplicate 31-mers from the viral reference genomes prior to mapping the human RNA reads to them, we mapped the DNA sequence reads to the complete viral genomes without removing duplicate 31-mers. It is possible that differences in mapping methods led to differential assignment of herpes reads across herpes species. Despite this difference, both studies identified herpes viruses as the most abundant family and observed association with AD, adding to the body of literature suggesting they increase AD risk [4]–[11].

This is the first study to suggest a role in AD for TTV) in AD. TTV and its sub-variants including Torque Teno Mini and Midi viruses which infect humans at a high rate [26], but are not known to cause disease. A recent study showed that TTV load in plasma increased with age, decreased in the presence of CMV infection, and was associated with HLA type B27 but not AD [27]. The discordance with our finding showing an association between TTV and AD may be explained by differential effect of TTV on AD risk in blood versus brain, where two TTV strains have been detected [28]. One possible mechanism that might explain our observed association with TTV is that EBV, which has been associated with AD risk, may stimulate TTV replication [29].

#### 4.2 AD/virus Associations Vary Across Populations

This was the first study to examine AD-related differences in viral load by ancestry. Total viral load was highest in the CH group primarily driven by HSV-1. This finding is consistent with a CDC report showing that Hispanics had higher HSV-1 prevalence (71.7%) compared to non-Hispanic white persons (36.9%) [30]. In contrast, all other common viruses we detected had the highest prevalence in AAs, including genital HPV, a finding consistent with other studies [31],[32]. These ancestry differences observed could be due to health disparities, genetics, geographic differences, or an artifact of the smaller sample sizes available for non-Europeans.

#### 4.3 Latent vs. Active HSV-1 Infections

HSV-1 is typically transmitted during childhood and is present in approximately 65% of the U.S. population [33]. It generally persists as a latent infection with a viral reservoir present in sensory and autonomic neurons and can periodically reactivate to produce active

infections. During latent infection, sections of DNA called latency associated transcript (LAT) are transcribed, but not thought to be translated or leave the nucleus of the infected neuron [34],[35]. We mapped the HSV-1 viral reads to specific genes in the viral genome and found four samples in which sequence fragments mapped to the LAT region. This number is not likely sufficient to make meaningful inferences about latent vs. active infections. The prevalence of HSV-1 DNA in these samples is consistent with detecting both latent and active infections, but not active infections alone. Although the presence of HSV-1 is not surprising in brain derived samples where the viral reservoirs reside, the presence of HSV-1, as well as HPV-71 DNA in blood derived samples is a potentially surprising finding. Although some evidence suggests herpes virus is shed at low levels even during latency, this is not well established [36],[37]. Several viruses, including EBV, HSV-1, HPV, and TTV have been detected in blood samples [38], [39]. HSV-1 DNA is not known to insert into the host genome [40], so it is unlikely that this explains its presence in non-neuronal tissue. Although it is not possible to definitively determine why HSV-1 and HPV-71 was detected in blood, the fact that its prevalence closely matches that in the epidemiological literature, as well as the fact that the quantity of DNA from these viruses is quite low, are evidence that the identification of DNA from these species is not an artifact.

#### 4.4 Study Strengths and Limitations

Our study has several strengths. The sample size is much larger than previous studies that used next generation sequence data to detect microbial DNA/RNA, providing greater statistical power to detect associations with viruses. Additionally, the fact that 74% of cases were autopsy-confirmed is a strength of this study. Also, we adjusted for several potential confounders and technical artifacts in our models including *APOE*-e4 status, sequencing center, sex, age, tissue source, ancestry, and use of PCR amplification. Substantial effort was also made to remove species not known to infect humans or were unlikely to be observed in elderly residents of the United States (i.e. Ebola). For example, our pipeline initially detected a large quantity of DNA from *Macacine alphaherpesvirus*, which is rarely found in humans and highly lethal. Subsequently, we determined that this species shares a high level of genetic homology to a sub-species of HSV-1 that was not initially included among the reference viral genomes tested.

Several limitations to this work should also be noted. The relatively small number of brain samples may explain why the parametric models detected significant associations only in blood samples. However, the nonparametric ML models identified several viruses as predictors of AD in brain. Second, most of the detected viruses had relatively low read counts, with the exception of HSV-1. As a result, several viral species identified using ML models did not yield robust regression results, as evidenced by very large ORs and standard error estimates. Another caveat is the fact that DNA reflects a "snapshot" of an individual's microbial load at the time the sample was collected. Hence, we are unable to establish temporality for the association with AD. Unlike other viruses that cause acute infection, however, HSV-1 is persistent and generally life-long. Also, despite our efforts to harmonize our analyses, we utilized data that were generated using fundamentally different sequencing methods and tissue sources. Although it is difficult to account for all potential sources of

contamination, the significant viruses were associated across several sequencing centers, indicating that contamination at individual labs was not a likely source of bias.

Although ML-based associations with several viral species were observed across all four strata of the data, many findings were inconsistent across tissue source and type of sequence data. Differences between data derived from blood and brain may be explained by differential cell type infection among viruses and the variable ability of species to cross the blood brain barrier. These factors may explain why substantially more species were detected in DNA derived from blood. Associations between AD and HSV-1 which were observed only in blood derived WES samples could indicate that only more severe or active infections are detectable in blood. The significant association of the quantity of reads from the herpes virus family with AD in brain samples may be evidence that WES samples may be less able to discriminate between different members of species within that family. The detection of HPV-71 in blood only was not surprising because this virus does not infect neurons and instead infect basal epithelial cells [41]. The capture kits used in WES may explain the higher viral load detected in the WGS data because only species containing a sequence complementary to one of the capture probes would be detected. Unfortunately, no duplicate samples were sequenced in DNA derived from both brain and blood, nor from both WGS and WES, making direct comparisons impossible.

#### 4.6 Conclusions

Findings from this study provide further support for a role of viral infections, especially HSV-1, in the development of AD and demonstrate that they can be detected and quantified in human DNA sequence data. Additional studies are needed to determine the role of host genetic modifiers within and across populations on the association of AD with HSV-1 and other viruses, as well as examine the relationship of specific viruses to AD-related pathology and biomarkers. Finally, these findings suggest that reducing the load and/or activity of HSV-1 may lower future risk of AD.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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The ADGC cohorts include: Adult Changes in Thought (ACT) (U01 AG006781, U19 AG066567), the Alzheimer's Disease Research Centers (ADRC) (P30 AG062429, P30 AG066468, P30 AG062421, P30 AG066509, P30 AG066514, P30 AG066530, P30 AG066507, P30 AG066444, P30 AG066518, P30 AG066512, P30 AG066462, P30 AG072979, P30 AG072972, P30 AG072976, P30 AG072975, P30 AG072978, P30 AG072977, P30 AG066519, P30 AG062677, P30 AG079280, P30 AG062422, P30 AG066511, P30 AG072946, P30 AG062715, P30 AG072973, P30 AG066506, P30 AG066508, P30 AG066515, P30 AG072947, P30 AG072931, P30 AG066546, P20 AG068024, P20 AG068053, P20 AG068077, P20 AG068082, P30 AG072958, P30 AG072959), the Chicago Health and Aging Project (CHAP) (R01 AG11101, RC4 AG039085, K23 AG030944), Indianapolis Ibadan (R01 AG009956, P30 AG010133), the Memory and Aging Project (MAP) (R01 AG17917), Mayo Clinic (MAYO) (R01 AG032990, U01 AG046139, R01 NS080820, RF1 AG051504, P50 AG016574), Mayo Parkinson's Disease controls (NS039764, NS071674, 5RC2HG005605), University of Miami (R01 AG027944, R01 AG028786, R01 AG019085, IIRG09133827, A2011048), the Multi-Institutional Research in Alzheimer's Genetic Epidemiology Study (MIRAGE) (R01 AG09029, R01 AG025259), the National Cell Repository for Alzheimer's Disease (NCRAD) (U24 AG21886), the National Institute on Aging Late Onset Alzheimer's Disease Family Study (NIA- LOAD) (U24 AG056270), the Religious Orders Study (ROS) (P30 AG10161, R01 AG15819), the Texas Alzheimer's Research and Care Consortium (TARCC) (funded by the Darrell K Royal Texas Alzheimer's Initiative), Vanderbilt University/Case Western Reserve University (VAN/CWRU) (R01 AG019757, R01 AG021547, R01 AG027944, R01 AG028786, P01 NS026630, and Alzheimer's Association), the Washington Heights-Inwood Columbia Aging Project (WHICAP) (RF1 AG054023), the University of Washington Families (VA Research Merit Grant, NIA: P50AG005136, R01AG041797, NINDS: R01NS069719), the Columbia University HispanicEstudio Familiar de Influencia Genetica de Alzheimer (EFIGA) (RF1 AG015473), the University of Toronto (UT) (funded by Wellcome Trust, Medical Research Council, Canadian Institutes of Health Research), and Genetic Differences (GD) (R01 AG007584). The CHARGE cohorts are supported in part by National Heart, Lung, and Blood Institute (NHLBI) infrastructure grant HL105756 (Psaty), RC2HL102419 (Boerwinkle) and the neurology working group is supported by the National Institute on Aging (NIA) R01 grant AG033193.

The CHARGE cohorts participating in the ADSP include the following: Austrian Stroke Prevention Study (ASPS), ASPS-Family study, and the Prospective Dementia Registry-Austria (ASPS/PRODEM-Aus), the Atherosclerosis Risk in Communities (ARIC) Study, the Cardiovascular Health Study (CHS), the Erasmus Rucphen Family Study (ERF), the Framingham Heart Study (FHS), and the Rotterdam Study (RS). ASPS is funded by the Austrian Science Fond (FWF) grant number P20545-P05 and P13180 and the Medical University of Graz. The ASPS-Fam is funded by the Austrian Science Fund (FWF) project I904), the EU Joint Programme - Neurodegenerative Disease Research (JPND) in frame of the BRIDGET project (Austria, Ministry of Science) and the Medical University of Graz and the Steiermärkische Krankenanstalten Gesellschaft. PRODEM-Austria is supported by the Austrian Research Promotion agency (FFG) (Project No. 827462) and by the Austrian National Bank (Anniversary Fund, project 15435. ARIC research is carried out as a collaborative study supported by NHLBI contracts (HHSN268201100005C, HHSN268201100006C, HHSN268201100007C, HHSN268201100008C, HHSN268201100009C, HHSN268201100010C, HHSN268201100011C, and HHSN268201100012C). Neurocognitive data in ARIC is collected by U01 2U01HL096812, 2U01HL096814, 2U01HL096899, 2U01HL096902, 2U01HL096917 from the NIH (NHLBI, NINDS, NIA and NIDCD), and with previous brain MRI examinations funded by R01-HL70825 from the NHLBI. CHS research was supported by contracts HHSN268201200036C, HHSN268200800007C, N01HC55222, N01HC85079, N01HC85080, N01HC85081, N01HC85082, N01HC85083, N01HC85086, and grants U01HL080295 and U01HL130114 from the NHLBI with additional contribution from the National Institute of Neurological Disorders and Stroke (NINDS). Additional support was provided by R01AG023629, R01AG15928, and R01AG20098 from the NIA. FHS research is supported by NHLBI contracts N01-HC-25195 and HHSN2682015000011. This study was also supported by additional grants from the NIA (R01s AG054076, AG049607 and AG033040 and NINDS (R01 NS017950).

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The FUS cohorts include: the Alzheimer's Disease Research Centers (ADRC) (P30 AG062429, P30 AG066468, P30 AG062421, P30 AG066509, P30 AG066514, P30 AG066530, P30 AG066507, P30 AG066444, P30 AG066518, P30 AG066512, P30 AG066462, P30 AG072979, P30 AG072972, P30 AG072976, P30 AG072975, P30 AG072978, P30 AG072977, P30 AG066519, P30 AG062677, P30 AG079280, P30 AG062422, P30 AG066511, P30 AG072946, P30 AG062715, P30 AG072973, P30 AG066506, P30 AG066508, P30 AG066515, P30 AG072947, P30 AG072931, P30 AG066546, P20 AG068024, P20 AG068053, P20 AG068077, P20 AG068082, P30 AG072958, P30 AG072959), Alzheimer's Disease Neuroimaging Initiative (ADNI) (U19AG024904), Amish Protective Variant Study (RF1AG058066), Cache County Study (R01AG11380, R01AG031272, R01AG21136, RF1AG054052), Case Western Reserve University Brain Bank (CWRUBB) (P50AG008012), Case Western Reserve University Rapid Decline (CWRURD) (RF1AG058267, NU38CK000480), CubanAmerican Alzheimer's Disease Initiative (CuAADI) (3U01AG052410), Estudio Familiar de Influencia Genetica en Alzheimer (EFIGA) (5R37AG015473, RF1AG015473, R56AG051876), Genetic and Environmental Risk Factors for Alzheimer Disease Among African Americans Study (GenerAAtions) (2R01AG09029, R01AG025259, 2R01AG048927), Gwangju Alzheimer and Related Dementias Study (GARD) (U01AG062602), Hillblom Aging Network (2014-A-004-NET, R01AG032289, R01AG048234), Hussman Institute for Human Genomics Brain Bank (HIHGBB) (R01AG027944, Alzheimer's Association "Identification of Rare Variants in Alzheimer Disease"), Ibadan Study of Aging (IBADAN) (5R01AG009956), Longevity Genes Project (LGP) and LonGenity (R01AG042188, R01AG044829, R01AG046949, R01AG057909, R01AG061155, P30AG038072), Mexican Health and Aging Study (MHAS) (R01AG018016), Multi-Institutional Research in Alzheimer's Genetic Epidemiology (MIRAGE) (2R01AG09029, R01AG025259, 2R01AG048927), Northern Manhattan Study (NOMAS) (R01NS29993), Peru Alzheimer's Disease Initiative (PeADI) (RF1AG054074), Puerto Rican 1066 (PR1066) (Wellcome Trust (GR066133/GR080002), European Research Council (340755)), Puerto Rican Alzheimer Disease Initiative (PRADI) (RF1AG054074), Reasons for Geographic and Racial Differences in Stroke (REGARDS) (U01NS041588), Research in African American Alzheimer Disease Initiative (REAAADI) (U01AG052410), the Religious Orders Study (ROS) (P30 AG10161, P30 AG72975, R01 AG15819, R01 AG42210), the RUSH Memory and Aging Project (MAP) (R01 AG017917, R01 AG42210Stanford Extreme Phenotypes in AD (R01AG060747), University of Miami Brain Endowment Bank (MBB), University of Miami/ Case Western/North Carolina A&T African American (UM/CASE/NCAT) (U01AG052410, R01AG028786), and Wisconsin Registry for Alzheimer's Prevention (WRAP) (RF1AG027161 and R01AG054047).

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#### Data Availability

ADSP WES and WGS data and summarized results are available from the National Institute on Aging Genetics of Alzheimer Disease Storage site (NIAGADS; https://www.niagads.org).

#### Abbreviations

AA	African American
Αβ	beta-amyloid
AD	Alzheimer disease
ADSP	Alzheimer's Disease Sequencing Project
СН	Caribbean Hispanic
EA	European ancestry
EBV	Epstein-barr virus
CMV	Cytomegalovirus
GLM	general linear model
HCV	hepatitis C virus
HHV	human herpes virus
HPV	human papillomavirus
HSV	herpes simplex virus
LAT	latency associated transcript
МС	Molluscum contagiosum
ML	machine learning
OR	odds ratio
QC	quality control
TTMV	Torque teno midi virus
TTV	torque teno virus
WES	whole exome sequencing
WGS	whole genome sequencing

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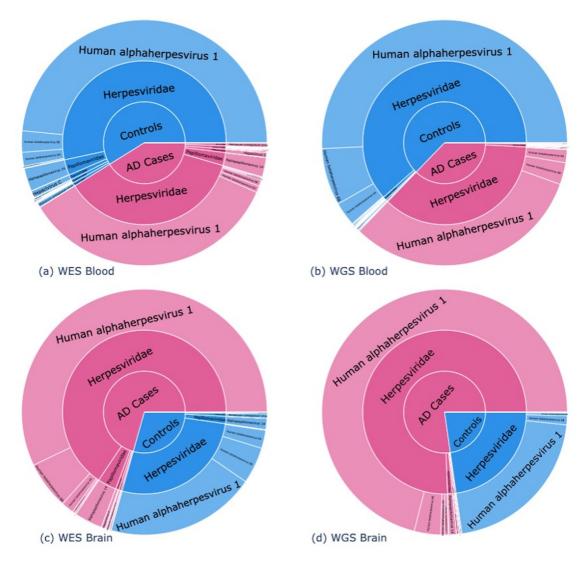
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#### **RESEARCH IN CONTEXT**

- 1. Systematic review: We searched PubMed sources for relevant articles. Prior studies have reported that herpes simplex virus type 1 (HSV-1) might contribute to Alzheimer's disease (AD) pathogenesis. In recent years, there have been reports indicating that antiviral treatment might protect against dementia in herpes infected individuals.
- 2. Interpretation: Our findings, together with previous work, suggest that viral infection, especially HSV-1, is associated with AD risk, and demonstrate the value of deep sequencing technology for detecting microbial agents in multiple tissues and detecting associations between infectious agents and AD.
- **3.** Future directions: We aim to determine the role of host genetic modifiers within and across populations on the association between AD and HSV-1 and other viruses, as well as examine the relationship between viruses and more specific AD pathology and biomarkers.

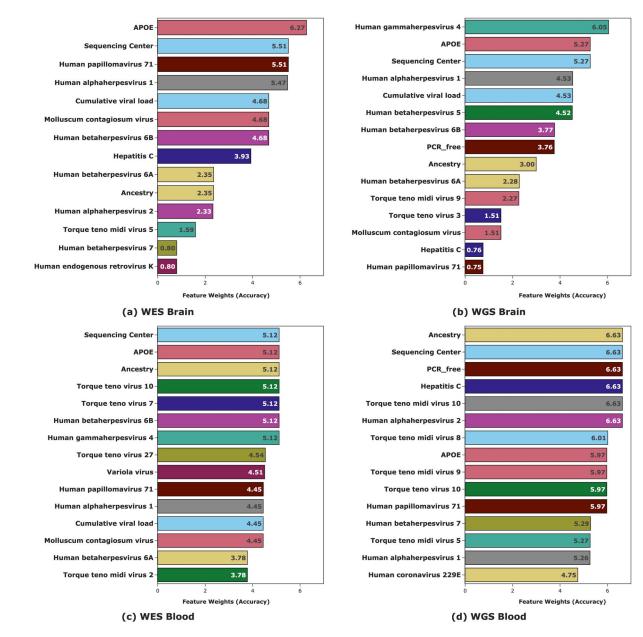
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#### Figure 1. Frequency of viral reads by tissue source and type of sequencing.

Proportion of total viral reads mapping to individual species in (a) whole exome sequence (WES) data from blood, (b) whole genome (WGS) sequence data from blood, (c) WES data from brain in WES, (d) WGS data from brain. The innermost circle shows the proportion of all viral reads between Alzheimer disease (AD) cases and controls within each of these subsets. The middle ring shows the proportion of viral reads mapping to a viral family within AD cases and controls and the outer ring is the breakdown between viral species within a viral family.

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**Figure 2.** Top virus predictors of Alzheimer disease (AD) by tissue source and type of sequencing. Bar charts of the ML weighted algorithm for (a) whole exome sequence (WES) data from brain, (b) whole genome (WGS) sequence data from brain, (c) WES data from blood in WES, (d) WGS data from blood. Each feature within each subset is assigned a score created by summing the accuracy of the ML prediction model in which it improved the prediction of AD. The top 15 features are shown in each bar chart though several other viruses improved the prediction models.

#### Table 1.

Significant associations of viral read counts and AD risk

Virus	Tissue	Dataset <sup>1</sup>	Odds Ratio	p-value	Adjusted p-value	Effect Direction <sup>2</sup>
	Meta-analysis	Meta-analysis	3.69	6.71x10 <sup>-5</sup>	6.71x10 <sup>-4</sup>	++
	Blood	WES	4.08	3.58x10 <sup>-5</sup>	3.58x10 <sup>-4</sup>	+
HSV-1 <sup>3</sup>	BIOOd	WGS	0.49	0.64	1	-
	Brain	WES	4.83	0.44	1	+
	ыаш	WGS	1.80x10 <sup>-5</sup>	0.26	1	-
	Meta-analysis	Meta-analysis	3.55	3.41x10 <sup>-3</sup>	0.03	+-
	Disad	WES	3.9	1.97x10 <sup>-3</sup>	0.02	+
HPV-71 <sup>3</sup>	Blood	WGS	3.09x10 <sup>-100</sup>	0.93	1	-
	Brain	WES	0.16	0.47	1	-
	DIAIII	WGS	1.83x10 <sup>126</sup>	0.98	1	+

\* Results from blood and brain anaylzed by dataset (WES/WGS) and combined by meta-analysis

 $^{\dagger}$  + indicates virus associated with increased AD risk, - indicates lower risk. The order of datasets is WES-blood, WES-brain, WGS-blood, WGS-brain

<sup>‡</sup>p-values adjusted for 10 tests.

#### Table 2.

	Subset		Herpes C	Herpes Cluster <sup>*</sup> Torque Teno Cluster <sup>†</sup>		o Cluster <sup>†</sup>	Retrovirus	Cluster ‡
	Subset		Odds Ratio	p-value <sup>§</sup>	Odds Ratio	p-value <sup>§</sup>	Odds Ratio	p-value <sup>§</sup>
	То	tal	1.10	0.42	1.40	0.01	0.30	0.09
		African American	0.82	0.29	1.67	8.73x10 <sup>-3</sup>	0.50	0.52
WES	Ancestry	Caribbean Hispanic	1.89	0.11	0.90	0.78	4.02x10 <sup>-7</sup>	0.98
WES		European Ancestry	1.22	0.27	1.20	0.45	0.30	0.33
	De du Tierre Course	Blood	1.02	0.85	1.39	0.02	0.36	0.18
	Body Tissue Source	Brain	4.16	9.54x10 <sup>-3</sup>	2.53x10 <sup>7</sup>	0.99	0.04	0.04
	То	tal	1.80	0.04	1.29	0.04	0.57	0.45
		African American		0.58	1.46	0.03	0.73	0.72
w.c.a	Ancestry	Caribbean Hispanic	1.48	0.06	0.95	0.83	_NA	NA_
WGS		European Ancestry	2.82	3.4x10 <sup>-3</sup>	1.58	0.10	2.53	0.59
	Body Tissue Source	Blood	2.30	8.79x10 <sup>-3</sup>	1.29	0.03	0.61	0.50
	Body Hissue Source	Brain	1.89x10 <sup>-5</sup>	0.99	4.21x10 <sup>6</sup>	0.99	_NA	_NA

Association of viral phylogenetic clusters with AD by ancestry and DNA source

\*Includes HSV-1, HSV-2, HSV-3, EBV, CMV, HHV-6A, HHV-6B, HHV-7 and HHV-8

<sup>†</sup>Includes TTV-1, TTV-2, TTV-3, TTV-5, TTV-6, TTV-7, TTV-8, TTV-9, TTV-10, TTV-11, TTV 12, TTV-14, TTV-25, TTV-27, and TTV-ALA22

tIncludes HIV, Human endogenous retrovirus K, Primate T-lymphotropic virus 1, and Primate T-lymphotropic virus 2

 ${}^{\&}P$  < 0.01 significant level after Bonferroni correction of 5 tests

NA = viral family not detected

#### Table 3.

Average viral load and standard deviation for top viruses by ancestry group

Species	African American (n=5078)	African American SD	Caribbean Hispanic (n=3132)	Caribbean Hispanic SD	European Ancestry (n=8074)	European Ancestry SD	p-value <sup>*†</sup>
Epstein-barr virus (EBV)	2.76x10 <sup>-3</sup>	0.07	0.01	0.11	2.85x10 <sup>-3</sup>	0.07	0.08
Human betaherpesvirus 6A (HHV-6A)	0.33	8.96	0.25	10.67	0.23	5.49	0.53
Human betaherpesvirus 6B (HHV-6B)	0.33	8.64	1.26	33.75	0.65	14.71	0.13
Human betaherpesvirus 7 (HHV-7)	0.01	0.17	0.02	0.22	0.01	0.11	6.59x10 <sup>-4</sup>
Human papillomavirus 71 (HPV-71)	0.4	1.44	0.03	0.22	0.06	0.28	0.05
Human alphaherpesvirus 1 (HSV-1)	7.23	8.11	9.89	10.81	5.69	9.49	9.17x10 <sup>-88</sup>
Hepatitis C (HCV)	0.09	0.43	0.09	0.43	0.04	0.3	0.01
Molluscum contagiosum virus (MC)	0.08	0.47	0.01	0.13	0.02	0.14	0.18
Torque teno midi virus 9 (TTMV-9)	0.03	0.25	0.03	0.27	0.01	0.16	0.01
Torque teno virus 10 (TTV-10)	0.02	0.32	0.01	0.15	2.97x10 <sup>-3</sup>	0.08	4.02x10 <sup>-8</sup>
Tick-borne encephalitis virus (TBE)	0.01	0.16	0.01	0.12	2.72x10 <sup>-3</sup>	0.07	0.07
Cumulative Viral Load	8.76	15.87	11.79	37.74	6.79	18.58	9.96x10 <sup>-17</sup>

\* p-value is based on an F-statistic of a one-way ANCOVA of ancestry group

 ${}^{\dot{\tau}}\!\mathrm{Adjusted}$  for sequencing center, APOE genotype, and body tissue source

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# Table 4.

Significant associations of viral read count with AD in at least one ancestry group

		African	African American			Europea	European Ancestry			Caribbeau	Caribbean Hispanic	
Virus	Mean Vi	Mean Viral Load	Odds Ratio p-value	p-value	Mean Vii	ral Load	Mean Viral Load Odds Ratio p-value	p-value	Mean Vii	al Load	Mean Viral Load Odds Ratio p-value	p-value
	AD cases Controls	Controls			AD cases Controls	Controls			AD cases Controls	Controls		
Human alphaherpesvirus 1 (HSV-1) *	7.32	7.18	9:30	5.81x10 <sup>-3</sup>	6.04	5.26	4.95	2.27 x10 <sup>-3</sup>	6.77	11.0	1.35	1.00
Human Papilomavirus 71 (HPV-71) *	0.50	0.34	7.24	2.13x10 <sup>-4</sup> 0.05	0.05	0.07	0.04	0.06	0.05	0.02	0.70	1.00
Torque teno virus 10 (TTV-10) ${}^{\dot{\tau}}$	0.05	0.01	5.34x10 <sup>2</sup>	0.01	0.003	0.003	0.56	1.00	0.01	0.01	3.04	1.00
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\* Adjusted for 10 independent tests.\  $\dot{r}$ Adjusted for 59 independent tests

Analyses were stratified by dataset (WES/WGS) and combined by meta-analysis