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## Fatal Human Rabies Infection With Suspected Host-Mediated Failure of Post-Exposure Prophylaxis Following a Recognized Zoonotic Exposure—Minnesota, 2021

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### Supplementary Data

Supplementary materials are available at *Clinical Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

**Availability of data.** Laboratory results, biologics evaluation, and exposure interviews can be shared for analysis and review after deidentification. Requests should be directed to the corresponding author (S. M. H.).

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## Abstract

**Background.**—No human rabies post-exposure prophylaxis (PEP) failure has been documented in the United States using modern cell culture–based vaccines. In January 2021, an 84-year-old male died from rabies 6 months after being bitten by a rabid bat despite receiving timely rabies PEP. We investigated the cause of breakthrough infection.

**Methods.**—We reviewed medical records, laboratory results, and autopsy findings and performed whole-genome sequencing (WGS) to compare patient and bat virus sequences. Storage, administration, and integrity of PEP biologics administered to the patient were assessed; samples from leftover rabies immunoglobulin were evaluated for potency. We conducted risk assessments for persons potentially exposed to the bat and for close patient contacts.

**Results.**—Rabies virus antibodies present in serum and cerebrospinal fluid were nonneutralizing. Antemortem blood testing revealed that the patient had unrecognized monoclonal gammopathy of unknown significance. Autopsy findings showed rabies meningoencephalitis and metastatic prostatic adenocarcinoma. Rabies virus sequences from the patient and the offending bat were identical by WGS. No deviations were identified in potency, quality control, administration, or storage of administered PEP. Of 332 persons assessed for potential rabies exposure to the case patient, 3 (0.9%) warranted PEP.

**Conclusions.**—This is the first reported failure of rabies PEP in the Western Hemisphere using a cell culture–based vaccine. Host-mediated primary vaccine failure attributed to previously unrecognized impaired immunity is the most likely explanation for this breakthrough infection. Clinicians should consider measuring rabies neutralizing antibody titers after completion of PEP if there is any suspicion for immunocompromise.

## Keywords

rabies; post-exposure prophylaxis; vaccine failure; whole-genome sequencing; bat

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Rabies is a zoonotic, vaccine-preventable viral disease that affects mammals [1]. Rabies virus is typically transmitted via saliva from an infected mammal bite. With a fatality rate >99% upon symptom onset, rabies causes an estimated 59 000 deaths worldwide annually [2]. Rabies post-exposure prophylaxis (PEP) is highly effective at preventing disease if administered before symptom onset [3]. The US Advisory Committee on Immunization Practices (ACIP) recommends that PEP include immediate wound cleaning, infiltration of human rabies immunoglobulin (HRIG) within and around the wound (in unvaccinated persons), and intramuscular administration of modern cell culture–based rabies vaccines. The regimen depends on the immunity and vaccination history of the exposed person [4].

In the United States, approximately 60 000 people receive PEP annually following a confirmed or suspected rabies exposure. During 2000–2021, an average of 2.5 persons (median, 2; range, 0–8) died from rabies each year [5-7], none of whom received pre- or post-exposure prophylaxis before symptom onset. We describe the first reported failure of rabies PEP in the Western Hemisphere using modern cell culture–based vaccine in a patient who received PEP promptly after a confirmed exposure.

On 27 July 2020, an 84-year-old male in Minnesota was awoken by a bat biting his right hand. The bat tested positive for rabies on 30 July at the Minnesota Department of Health (MDH) (Figure 1) prompting initiation of PEP that day. The patient was previously unvaccinated against rabies. Though there was no visible wound, he washed his hands with soap and water after the exposure. The patient received HRIG (total dose of 20 IU/kg with as much as possible infiltrated at the bite site and the remaining administered into the right thigh) and rabies vaccine at an emergency department (ED). The patient’s medical history included coronary artery disease with prior coronary artery bypass and automatic defibrillator placement, controlled diabetes mellitus type II, hypertension, hyperlipidemia, chronic kidney disease (stage 2/5), and benign prostatic hyperplasia. He received 3 additional doses of rabies vaccine (days 3, 7, 14), as recommended for previously unvaccinated immunocompetent persons [4]. The patient’s wife received PEP for a possible unrecognized exposure during sleep. She received the same regimen, with the same dates and at the same healthcare facility as her husband, with both completing PEP on 13 August. The patient received 4 vaccines from 2 different lots. The patient’s wife received 4 vaccines from 4 different lots, including 2 lots in common with the patient (Supplementary Section 1). The bat was subsequently identified as a silver-haired bat (*Lasionycteris noctivagans*) by 12S rRNA gene sequencing (Supplementary Section 4).

On 7 January 2021, approximately 5 months after exposure and PEP administration, the patient developed right-sided facial paroxysms of severe pain with excessive right eye lacrimation. He presented to an ED on 9 January and, with an elevated erythrocyte sedimentation rate (110 mm/h), was discharged with oxycodone, carbamazepine, and corticosteroids for suspected trigeminal neuralgia or temporal arteritis. The patient’s symptoms persisted; he was evaluated at the clinic on 11 January for surgical clearance and during a telehealth appointment on 12 January for preoperative evaluation for a temporal artery biopsy. He returned to the ED on 13 January with facial paresthesia, dysphagia, bilateral shoulder and arm myalgias, right arm paresthesia, nausea, and vomiting. He was discharged with ondansetron for nausea attributed to oxycodone. On 14 January, he returned to the ED and was hospitalized with worsening facial pain and paresthesia, generalized weakness, and decreased oral intake secondary to dysphagia. He had dysarthria, night sweats, right eye redness and discomfort, right-sided facial paralysis, and left ear pain. Computed tomography of the head was unremarkable. Temporal artery biopsy showed no arteritis. The clinical team considered rabies due to the clinical presentation and confirmed exposure. However, as is customary prior to pursuing rabies diagnostic testing and because the patient was given timely and appropriate PEP, other infectious, autoimmune, and paraneoplastic diagnoses were explored.

On 15 January, cerebrospinal fluid (CSF) analysis revealed 10 nucleated cells with lymphocytic predominance, consistent with viral encephalitis (Supplementary Section 2). The patient was intubated due to hypoxia and inability to protect his airway. On 16 January, he developed fever that continued until his death, with a maximum recorded temperature of 103.1°F (39.5°C). Signs of autonomic dysfunction included labile blood pressures that required norepinephrine. Serum protein electrophoresis revealed immunoglobulin (Ig) M monoclonal gammopathy of undetermined significance (MGUS) with an elevated gamma monoclonal protein and elevated IgM in the presence of reduced IgA and IgG. Testing for MYD88 L265P alteration, a mutation highly associated with IgM-producing lymphoplasmacytic lymphoma, and IgM MGUS was negative. Other diagnostic tests were noncontributory, and the patient did not improve with empiric treatment. Premortem specimens were submitted for rabies testing to the Centers for Disease Control and Prevention (CDC).

Supportive care was withdrawn, and the patient died 15 days after symptom onset on 22 January. The CDC confirmed rabies virus (RABV) infection [8] on 26 January (Table 1; Supplementary Section 5). Detection of viral RNA by real-time reverse-transcription polymerase chain reaction (RT-PCR) in saliva and detection of antirabies antibodies in CSF confirmed the laboratory criteria for rabies diagnosis. Although RABV IgG was detected in the CSF and serum by indirect fluorescence antibody test, no RABV neutralizing antibodies were detected in CSF or serum by rapid fluorescent focus inhibition test (RFFIT), indicating absence of an immune response to rabies vaccine administered during PEP and suggesting immunocompromise. No RABV RNA was detected in a nuchal skin biopsy by RT-PCR.

A public health investigation was initiated to understand the reason for rabies breakthrough infection and identify family members and healthcare personnel (HCP) potentially exposed to RABV from the patient.

## METHODS

### Patient Investigation

The patient's medical record was reviewed, and an autopsy was performed to identify underlying conditions and obtain postmortem CNS specimens. Whole-genome sequencing (WGS) was performed on RABV isolated from the offending bat and the patient to confirm the bat was the source of infection (Supplementary Section 6). Serum obtained from the patient during hospitalization was assessed for antibodies to influenza, severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), and seasonal human coronavirus (HCoV) as a proxy measure to evaluate the patient's immune response to recent influenza vaccination and for potential prior infections with influenza, SARS-CoV-2, and HCoV (Supplementary Section 7).

### Evaluation of Rabies Biologics

All relevant lot numbers were shared with the manufacturers of the vaccine (Bavarian Nordic, Morrisville, NC) and HRIG (Grifols, Los Angeles, CA). Records were reviewed to evaluate for manufacturing deviations, adverse events, and product failure. MDH reviewed

clinic records to ensure that PEP storage and administration practices were compliant with manufacturer and ACIP guidelines.

Available HRIG vials from the implicated lots were obtained from the hospital (R2MBD00113) and the manufacturer (R2MBD00113 and R2MFD00163) for potency testing. HRIG potency was determined by the CDC using RFFIT against the CVS-11 RABV variant and the RABV variant isolated from the patient, with slight modification as previously described [9] (Supplementary Section 8). Serum obtained from the patient's wife was tested using RFFIT to confirm adequate antibody response to RABV because some of the lots administered to her were also given to the patient and both received PEP products that were stored in and administered at the same healthcare locations.

## Epidemiologic Investigation and Exposure Assessments

MDH interviewed the patient's family to investigate for additional animal exposures. MDH administered exposure risk assessments to family members and HCP with known contact with the patient during his infectious period, that is, 14 days before symptom onset to cremation. An online assessment algorithm facilitated rapid HCP assessments to determine who should receive rabies PEP (Supplementary Section 9). HCP whose answers indicated no risk for rabies exposures received immediate notification of no further required action, while those with possible rabies exposures received an in-person assessment.

## RESULTS

### Patient Investigation

The patient's records did not identify any immunocompromising conditions associated with lack of seroconversion after rabies vaccination. Postmortem rabies testing of brain stem and cerebellum specimens by direct fluorescent antibody and RT-PCR further established the diagnosis. At autopsy, the prostate was enlarged, and histopathology revealed a previously undiagnosed prostatic adenocarcinoma (Gleason pattern, 5 + 5 = 10; grade group 5) metastatic to bone marrow. No morphologic or immunophenotypic evidence of lymphoma or plasma cell neoplasm was visible in lymph nodes, spleen, or bone marrow. Brain tissue histopathology revealed meningoencephalitis, and immunohistochemistry for RABV showed extensive viral antigen labeling (Figure 2; Supplementary Section 5.2).

WGS of RABV obtained from the patient and the bat were identical (Figure 3; Supplementary Section 6). The patient received high-dose quadrivalent influenza vaccine on 25 August 2020. Serum collected on 15 January 2021 (143 days post-vaccination) was seropositive (40) to 3 of the 4 vaccine antigens by hemagglutination inhibition assay (Supplementary Section 7). There were elevated levels of Pan Ig and total IgG to multiple influenza antigens (including vaccine-like antigens) and human coronavirus OC-43 spike protein but negative to spike (s) and nucleoproteins (N) of SARS-CoV-2 viruses. The patient had no known SARS-CoV-2 infection and had not received the SARS-CoV-2 vaccine. The serum contained moderate levels of IgM to several influenza antigens but was negative for IgA to all antigens tested.

## Evaluation of Rabies Biologics

No concerns were noted in PEP storage procedures and administration practices. Neither manufacturer reported deviations in product manufacturing, packaging, or distribution of implicated lots. No serious adverse events or product complaints were reported to the manufacturers or the Vaccine Adverse Event Reporting Systems as of 28 January 2022. All rabies vaccines underwent National Institute of Health (NIH) potency testing prior to release [10]. HRIG lots underwent routine RFFIT potency testing before release; implicated lots contained rabies Ig above the minimum stated concentration (>300 IU/mL) (Supplementary Section 3).

The patient received HRIG from 2 vials (Grifols lots R2MBD00113 and R2MFD00163). Samples from lot R2MBD00113 were obtained from the ED, and retention samples of both lots were obtained from the manufacturer and sent to the CDC. RFFIT using both standard challenge RABV (CVS-11) and silver-haired bat RABV isolated from the patient demonstrated that all samples contained adequate RABV neutralizing antibody (RVNA) titers, above the stated minimal concentration (Table 1).

The wife's serum was collected on 2 February 2021, and RFFIT showed complete neutralization at 1:45 serum dilution (0.33 IU/mL).

## Epidemiologic Investigation and Exposure Assessments

The patient and his wife lived in a log home. The wife reported occasional intrusions from bats and flying squirrels but did not recall if her husband had any additional exposures to wild animals.

Eight family members were assessed for rabies exposure during the patient's infectious period, and only the patient's wife was exposed. A total of 324 HCP had contact with the patient during his infectious period. Of these, 174 (54%) completed the online risk assessment within 72 hours of it being operational, 312 (96%) within 7 days, and all within 14 days. Two (0.6%) HCP received PEP due to lack of eye protection during aerosol-generating procedures with the patient.

## DISCUSSION

Host-mediated primary vaccine failure (ie, inability of a host to mount a protective antibody response after PEP) that results from an undiagnosed immunosuppressing comorbidity is the most parsimonious explanation for the patient's fatal outcome. Generally, immune response in vaccinated individuals is determined by detection of neutralizing antibodies in serum, which was absent in this patient. In addition, MGUS has been associated with increased infection risk and mortality and decreased titer levels after vaccination [11-13]. In this patient, MGUS in the absence of plasma cell dyscrasias might have occurred secondary to prostate adenocarcinoma [14, 15]. The absence of lymphoma or plasma cell neoplasm at autopsy could be due to recent administration of corticosteroids (Figure 1). The patient's advanced age and comorbidities could have affected seroconversion, although neither immunosenescence nor his comorbidities are known to be immunosuppressive with regard to rabies vaccines, which are highly immunogenic [16-18].

Other potential causes of PEP failures were ruled out. First, hyperimmune globulins such as HRIG are manufactured to slightly exceed the minimum specified potency. Potency excess beyond the minimum standard ensures that expected, relatively minor levels of IgG degradation caused by prolonged storage do not impact effectiveness (US Food and Drug Administration, written communication 29 January 2022). HRIG obtained from the same lots as administered to the patient surpassed the minimum potency standard in both manufacturer reports and independent testing at the CDC, indicating they were properly produced and potent. The patient received a dose of 30.9 IU/kg (based on RFFIT testing), yet doses up to 40 IU/kg have shown no clinically relevant impact on the immune response to rabies vaccination [19-22]. Conversely, HRIG administration without vaccination is not expected to provide complete protection against RABV infection. In one study, only 25% of unvaccinated animals survived infection when challenged with RABV after treatment with HRIG only [23]. In addition, HRIG potency testing showed complete neutralization against the silver-haired bat virus isolated from the patient, excluding the hypothesis that this specific virus would escape neutralization by HRIG [24-26]. Second, the positive RVNA titer from the patient's wife confirmed that at least 1 of the vaccines administered was immunogenic. At the time of this investigation, the World Health Organization (WHO) and ACIP used different criteria for the minimum acceptable rabies antibody level at which serum collected 1–2 weeks after pre- or post-exposure prophylaxis completion is expected to completely neutralize challenge virus: 1:5 serum dilution per ACIP [4] and 0.5 IU/mL per WHO [27]. Although titers from the patient's wife were lower than the WHO standard, her serum showed complete neutralization at dilution levels above the ACIP standard. Given that her serum was collected almost 6 months after PEP completion, these results suggest adequate vaccine response, as declines in antibody titers can be expected within 2 to 6 months of PEP completion [16-18]. Third, no deviations were noted in PEP manufacturing, storage, or administration. Repeat NIH potency testing and antigenic testing of vaccine product from implicated lots were not conducted because standard NIH potency testing and manufacturing records did not reveal any abnormalities. Finally, the possibility that the patient had a second cryptic exposure [25] after completing PEP could be excluded based on the indistinguishable sequences from the patient and bat isolates.

More than 29 million people worldwide and 60 000 people in the United States receive PEP each year [1, 7], yet infection with RABV after timely and appropriate administration of PEP is exceedingly rare. A systematic literature review of PEP failures worldwide identified 124 cases during 1980–2022, none of which were caused by a bat RABV variant [28]. Of these, 54 had no known deviations in PEP core practices; RIG potency tests were only conducted in 3 cases and vaccine potency tests in 2, all of which excluded a failure of PEP biologics [29-31]. The remaining 70 cases had known deviations in PEP core practices. Although reports were insufficiently detailed to conclude if potency testing was conducted, they include at most 3 RIG potency tests and 5 vaccine potency tests, none of which were found to be at fault [32-34]. Immunocompromising conditions have rarely been identified as a reason for PEP failures, possibly because this information is not routinely collected. Of the 54 PEP failures with no known deviation in core practices, 3 persons were reported to have chronic comorbidities and none were immuno-suppressed. When the definition is broadened

to include 70 PEP failures with known deviations in core practices, only 2 persons were diagnosed with immunosuppression [28, 35, 36].

This investigation is notable for the few people who required PEP. The proportion of exposed persons recommended to receive PEP (0.9%) was substantially lower despite a higher number of HCP who were close contacts compared with previous US investigations (Supplementary Section 10). This could be attributed to the SARS-CoV-2 pandemic, as personal protective equipment (PPE) recommendations for SARS-CoV-2 exceeded the standard precautions recommended for treating patients with rabies [37, 38]. Considering that PEP and PEP-related fees range between \$3764 and \$21 754 per person [7, 39], increased use of PPE [37] likely led to substantial savings in PEP-related health expenditures.

Our investigation is subject to several limitations. First, we did not assess serum titers from individuals who received rabies vaccines from all the implicated lots. Second, no archived serum samples from the patient were available to ascertain the MGUS timeline. Further, although elevated antibodies to recent influenza vaccines were detected in the single serum sample collected post-vaccination, the absence of a paired serum pre-vaccination prevented differentiation of recent influenza vaccination from the cross-reactive responses of past influenza virus exposures. Despite these limitations, our investigation is, to our knowledge, the only one to investigate PEP biologics, potential for cryptic exposures, and underlying comorbidities.

This report highlights several considerations for the interpretation of rabies ACIP guidelines, especially in immunocompromised persons [4]. These guidelines acknowledge that rabies vaccines, like other vaccines, can be less effective in immunocompromised persons [40-42]. Since 2008, ACIP has recommended that immunocompromised individuals receive an additional vaccine dose, with additional doses if rabies serology demonstrates inadequate titers [4]. In this case, the challenge was that the patient was not diagnosed with nor showed overt clinical signs of an immunocompromising condition; therefore, additional doses and titer were not considered. Clinicians may consider performing a review of systems for patients where rabies PEP is administered. If an immunocompromising condition is suspected, err on the side of caution by obtaining an antibody titer. In immunocompromised patients, efforts to optimize the patient's immune system may be needed and serial HRIG or vaccine doses may need to be administered. Patients with who are severely immunocompromised and need rabies PEP have been successfully managed through consultation with health departments and the CDC. Further research is needed to determine if additional HRIG should be administered to persons with inadequate response to PEP and if high-risk rabies exposures should systematically prompt immediate initiation of PEP prior to test results being available for the offending animal. While earlier vaccine administration would not have changed the patient's immune dysfunction, it remains unknown if quicker administration of HRIG might have changed the outcome.

Ensuring adequate immune response to rabies vaccines is increasingly important given the rising prevalence of immunocompromised adults in the United States [43]. In this investigation, host-mediated primary vaccine failure due to immune dysfunction is the most



likely explanation for the fatal outcome for this patient, and our findings do not challenge the high efficacy or safety profile of rabies PEP biologics.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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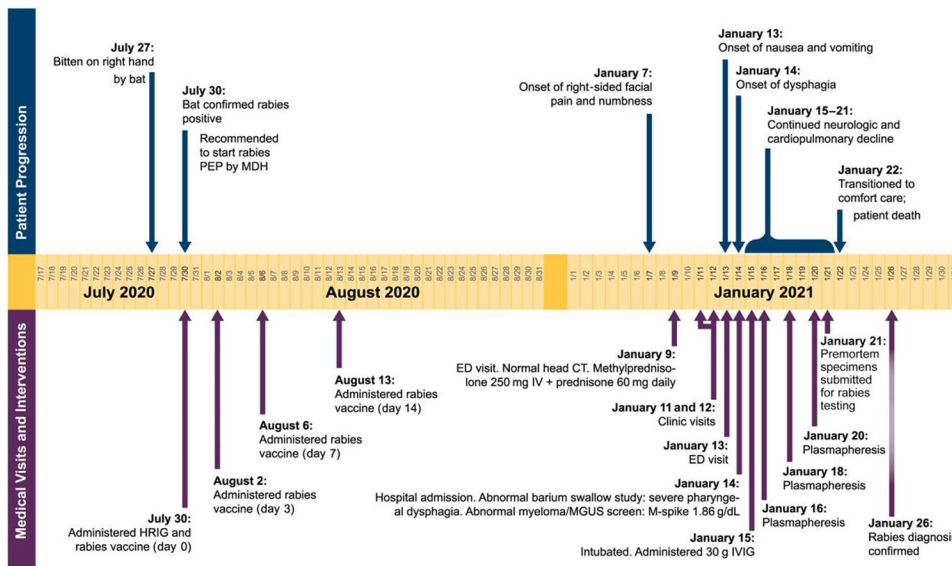
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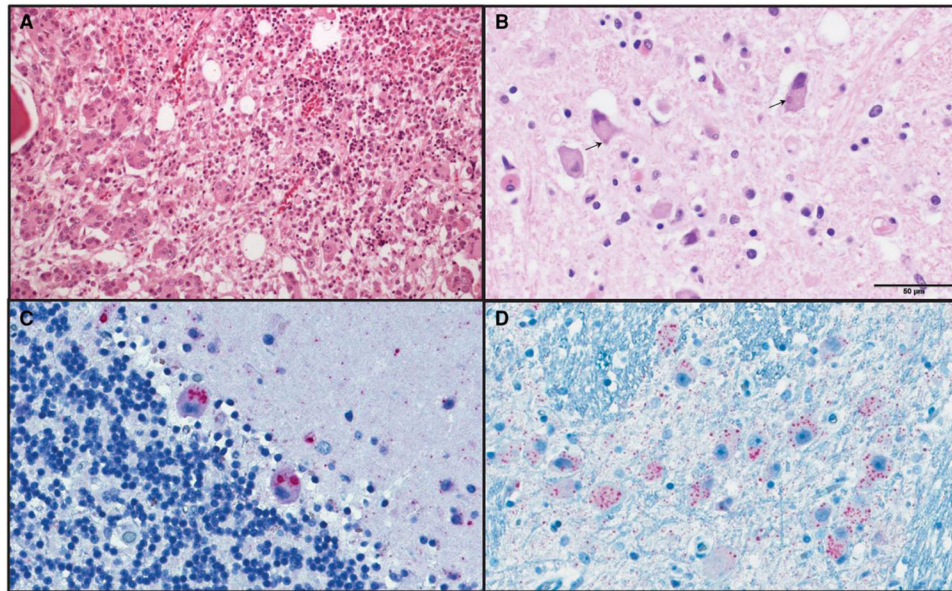
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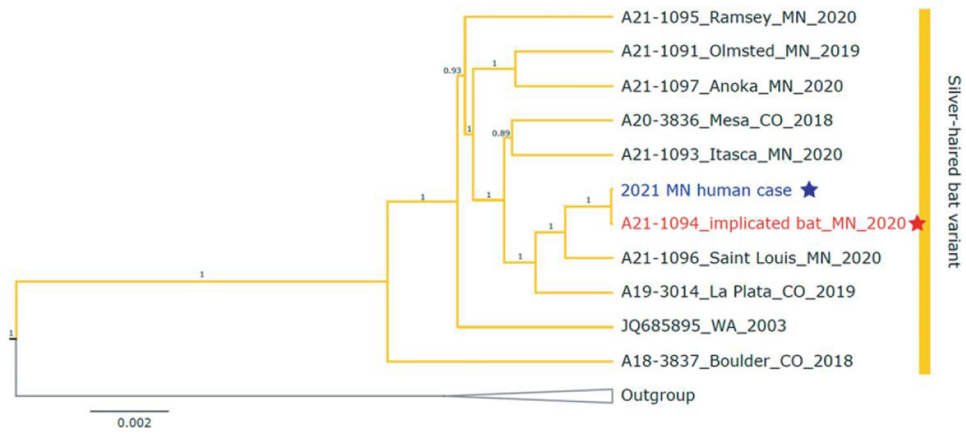
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**Figure 1.** Timeline of bat exposure, rabies post-exposure prophylaxis, and clinical course of patient infected with rabies virus. Abbreviations: CT, computed tomography; ED, emergency department; HRIG, human rabies immunoglobulin; IV, intravenous; IVIG, intravenous immune globulin; MDH, Minnesota Department of Health; MGUS, monoclonal gammopathy of undetermined significance; PEP, post-exposure prophylaxis.



**Figure 2.** Metastatic prostatic adenocarcinoma and rabies viral encephalitis. *A*, Hematoxylin and eosin (H&E) staining of vertebral bone marrow revealed prostatic adenocarcinoma with no evidence of a hematologic malignancy. *B*, H&E stained medulla showed widespread neuronal viral cytopathic effect and eosinophilic cytoplasmic exclusion bodies (Negri bodies, indicated by arrows). Cerebellum (*C*) and brain stem (*D*) showed extensive labeling of rabies viral antigen by immunohistochemistry.



**Figure 3.** Phylogenetic tree generated with rabies virus (RABV) whole-genome sequences from the patient isolate and selected bats infected with silver-haired bat (*Lasionycteris noctivagans*) RABV variant. Isolate from the human patient (blue star) and offending bat (red star) confirming sequences were 100% identical. Silver-haired bat variant is shown by yellow branches.

**Table 1.** Test Results for Rabies Virus Diagnostics and Post-Exposure Prophylaxis Biologics

Sample Type	Date Collected	Reverse-Transcriptase Polymerase Chain Reaction Rabies Virus RNA	Direct Fluorescent Antibody Rabies Virus Antigen	IFA RABV Specific IgM	IFA RABV Specific IgG	RVNA/Titer (CVS.11 <sup>a</sup> )	RFIT RVNA/Titer (Minnesota Patient, Silver-Haired Bat Variant <sup>b,c</sup> )
Serum	15 Jan 2021			Not detected	Detected	Not detected	Not detected
CSF	15 Jan 2021			Not detected	Detected	Not detected	
CSF	20 Jan 2021			Not detected	Detected	Not detected	
Saliva	21 Jan 2021	Detected					
Saliva	21 Jan 2021	Indeterminate					
Skin	22 Jan 2021	Not detected	Not detected				
Brain stem	23 Jan 2021	Detected	Detected				
Vermis	23 Jan 2021	Detected	Detected				
Right cerebellum	23 Jan 21	Detected	Detected				
Left cerebellum	23 Jan 2021	Detected	Detected				
HRIG (hospital) lot no. R2MBD00113						Detected 1:53 887 (399 IU/mL)	Detected 1:31 950
HRIG (Grifols) lot no. R2MBD00113						Detected 1:45 687 (366 IU/mL)	Detected 1:33 489
HRIG (Grifols) lot no. R2MFD00163						Detected 1:67 491 (482 IU/mL)	Detected 1:40 269
Serum (wife)	2 Feb 2021					Detected 1:45 (0.33 IU/mL)	

Abbreviations: CSF, cerebrospinal fluid; HRIG, human rabies immunoglobulin; IFA, indirect fluorescent antibody; Ig, immunoglobulin; RABV, rabies virus; RFIT, rapid fluorescent foci inhibition test; RVNA, rabies virus neutralizing antibodies.

<sup>a</sup> Virus dose FFD40 52.

<sup>b</sup> Virus dose FFD40 42.

<sup>c</sup> Silver-haired bat virus MN Hu A21-0686 P3q44 Snate 2-13-21.