Traumatic Brain Injury in the United States
Assessing Outcomes in Children
Traumatic Brain Injury in the United States: Assessing Outcomes in Children

Summary and Recommendations from the Expert Working Group
Atlanta, GA
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Editor
Jean A. Langlois, Sc.D., M.P.H.
Epidemiologist

Division of Acute Care, Rehabilitation Research and Disability Prevention
National Center for Injury Prevention and Control

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Centers for Disease Control and Prevention
Jeffrey P. Koplan, M.D., M.P.H., Director

National Center for Injury Prevention and Control
Sue Binder, M.D., Director

Division of Acute Care, Rehabilitation Research and Disability Prevention
Daniel Pollock, M.D., Acting Director
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**Group Participants**

**Shanthi Ameratunga**, MB.Ch.B., M.P.H., F.R.A.C.P.  
Senior Research Fellow  
Department of Community Health  
Faculty of Medicine and Health Science  
The University of Auckland  
Auckland, New Zealand

**Allan Bergman**  
President and CEO  
The Brain Injury Association, Inc.  
Alexandria, Virginia

**Nancy Carney**, Ph.D.  
Assistant Professor  
Department of Emergency Medicine  
School of Medicine  
Oregon Health Sciences University  
Director, Oregon Traumatic Brain Injury Model System Project  
Portland, Oregon

**Jeanne Dise-Lewis**, Ph.D.  
Associate Professor  
University of Colorado Medical School  
Director of Psychology Services, Department of Rehabilitation Medicine  
The Children's Hospital  
Denver, Colorado

**Arlene Greenspan**, Dr.P.H., P.T.  
Assistant Professor  
Center for Rehabilitation Medicine  
Emory University School of Medicine  
Atlanta, Georgia

**Stephen M. Haley**, Ph.D., P.T.  
Director  
Center for Rehabilitation Effectiveness  
Sargent College of Health and Rehabilitation Sciences  
Boston University  
Boston, Massachusetts

**Jeanne M. Landgraf**, M.A.  
Co-Founder, Vice President and Chief Scientific Officer  
HealthAct and outcomesPro.com  
Boston, Massachusetts

**Jean A. Langlois**, Sc.D., M.P.H.  
(moderator)  
Epidemiologist  
Division of Acute Care, Rehabilitation Research and Disability Prevention  
National Center for Injury Prevention and Control  
Centers for Disease Control and Prevention  
Atlanta, Georgia

**Melissa McCarthy**, Sc.D.  
Assistant Professor  
Department of Emergency Medicine  
Johns Hopkins University School of Medicine  
Baltimore, Maryland

**Terrie Price**, Ph.D.  
Director  
Neuropsychology and Social Services  
The Rehabilitation Institute of Kansas City  
Kansas City, Missouri

**Bonnie Todis**, Ph.D.  
Associate Professor  
Teaching Research  
Western Oregon University  
Eugene, Oregon

**Keith Yeates**, Ph.D.  
Associate Professor  
Department of Pediatrics  
College of Medicine and Public Health  
The Ohio State University  
Columbus, Ohio
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Executive Summary

This report summarizes the comments, suggestions, and recommendations of a working group convened by the Centers for Disease Control and Prevention (CDC) on assessment of Traumatic Brain Injury (TBI) outcomes in children and youth. It is intended for researchers, public health professionals—including those from state health departments—and advocates interested in furthering research on outcomes of TBI in children.

TBI is often described as the leading cause of disability in children, but data to support this assertion are lacking. We know that each year an estimated 3,000 children and youth die from TBI; 29,000 are hospitalized; and 400,000 are treated in hospital emergency departments. Currently, no population-based studies of the outcomes of TBI among children and youth exist to provide national estimates of TBI-related disability and document the need for services.

On October 26 and 27, 2000, the National Center for Injury Prevention and Control at the CDC held a meeting of researchers, advocates and other professionals from the U.S. and New Zealand to discuss “Methodological Issues in Assessing Outcomes of TBI in Children and Youth.” The primary purpose of this meeting was to determine the feasibility and appropriate methods for conducting population-based follow-up studies of outcomes of TBI in children and youth.

Meeting participants identified key research topics and variables to measure in assessing longer-term outcomes of TBI in children and youth (ages 0-16 years). They reviewed several conceptual models of disability, including the Institute of Medicine Model and the World Health Organization Model, that could provide a framework for designing appropriate studies of TBI outcomes. They also discussed the advantages and shortcomings of available measures for assessing these outcomes. Finally, the working group described the challenges in designing and implementing studies on TBI in children and youth and recommended ways to address those challenges.
Meeting participants recommended that further research be done to examine physiological responses to brain injury, patterns of recovery and treatment and costs of TBI. The group suggested that the field needs to explore the applicability of various types of research, including qualitative research. Some examples suggested included focus groups and individual interviews. Meeting participants also recommended methods for improving measurement, data analysis and terminology used in the study of TBI.
**Background**

**Methodological Issues in Assessing Outcomes of Traumatic Brain Injury (TBI) in Children and Youth**

**Purpose of this Report**

On October 26 and 27, 2000, the Centers for Disease Control and Prevention (CDC) convened an expert working group to discuss “Methodological Issues in Assessing Outcomes of Traumatic Brain Injury in Children and Adolescents.” This report documents the comments and suggestions of the working group.

**Meeting Goal and Objectives**

The goal of the meeting was to determine the feasibility and appropriate methods for conducting a population-based follow-up study of outcomes of TBI in children and youth. The meeting objectives were to

- Identify key domains and variables to measure in assessing longer-term outcomes of TBI in children and youth (ages 0-16 years).
- Discuss the advantages and shortcomings of available measures for assessing these outcomes.
- Highlight the need for other types of research on TBI in children and youth.
- Discuss methodological issues in assessing TBI outcomes in this population.

**Meeting Participants and Process**

The eleven participants included researchers, advocates, psychologists, educators and other professionals from the United States and New Zealand. With input from the Brain Injury Association, CDC selected invitees for their potential to contribute to a greater understanding of the important outcomes of TBI in children that need to be studied or the methods appropriate for collecting information about those outcomes. Two of the participants are the developers of key measures of health status in children.

For each objective, CDC staff prepared background materials for discussion; selected meeting participants assisted in these preparations. The meeting began with presentations of preliminary CDC multi-state surveillance data and South Carolina surveillance data to provide background information on the importance of TBI among children and youth as a public health problem (See Appendix B and Appendix C). For the remainder of the first day, participants discussed the background materials. A professional note taker recorded participants’ comments and suggestions. On the second day, the moderator presented a synthesis of the suggestions for review and revision by the participants.
This report documents the final summary of the comments and recommendations. For some sections, more detailed definitions, references and other materials have been added to clarify the information from the meeting.

**TBI as a Public Health Problem in Young People**

Among children and youth aged 0 to 14 years in the U.S.:

Each year traumatic brain injury results in an estimated:

- 3,000 deaths
- 29,000 hospitalizations
- 400,000 emergency department visits.*

Unintentional injury is the leading cause of death, and traumatic brain injury is the type of injury most often associated with death.

The annual total of TBI-related deaths is:

- More than 6 times the number the number of deaths related to HIV/AIDS*
- 20 times the number of deaths from asthma**
- 38 times the number of deaths from cystic fibrosis.***

* CDC
*** CDC Wonder

TBI is reported to be the leading cause of disability in young people in the U.S., but the evidence is limited. Most studies of the outcomes of TBI in children and youth are based on case series from selected hospitals or rehabilitation facilities, small regional samples or anecdotal reports. Few studies have followed the same group of children over time. (See Appendix B for additional statistics on TBI in children and youth.)

**The Need for More Research**

*Population-based longitudinal studies* provide data representative of the long-term outcomes of all children and youth in a defined area who have had a TBI. They are necessary to allow generalization to the U.S. population of children and youth with TBI. Currently no population-based studies of the outcomes of TBI among children and youth exist to provide national estimates of TBI-related disability and to document the need for services.

CDC has funded such studies, referred to as “follow-up studies” or “outcomes surveillance,” of older adolescents and adults (ages 15 years and older) in two states, South Carolina and Colorado. In each of these studies, a sample of people hospitalized with a TBI is identified and given a telephone questionnaire at yearly intervals to find out about TBI outcomes, including disability. For more information about CDC-funded TBI studies, see Appendix E. When the first of these studies was initiated in 1994, children were not included, in part, because few measures were available. The difficulty of measuring the effects of the injury in the context of naturally occurring developmental changes contributes to the challenge of assessing outcomes of TBI in young people.
The Brain Injury Association, the Federal Advisory Committee for Injury Prevention and Control and a wide range of researchers and other professionals in the field have long argued for a population-based follow-up study of outcomes of TBI in children. In its October 2000 reauthorization of the TBI Act of 1996, Congress emphasized the need for CDC to support TBI studies among all age groups. This meeting was conducted to obtain advice regarding the feasibility of conducting a CDC-funded study of TBI outcomes in children and youth.

A Note about Terminology

**Child vs. Pediatric:** Meeting participants advocated using the term *child* because *pediatric* is associated with the medical model. Reference to *child* encourages consideration of broader, longer-term issues, not only medical concerns. Researchers and professionals should avoid using *pediatric* especially when referring to longer-term outcomes of TBI in children.

**Youth vs. Adolescent:** Participants referred to the term *youth* over *teenagers* or *adolescents* because it is more widely used in educational and other settings.

**Caregiver vs. Parent:** The term *caregiver* may be more appropriate than *parent* because in many cases the person caring for the child is someone other than a parent.
Designing Studies that Assess Longer-Term TBI Outcomes in Children and Youth: Conceptual Models, Operational Models and Other Resources

Objective
This section provides background information about selection of a conceptual model and development of an operational model as well as other resources available to help guide the study design.

Conceptual Models
Meeting participants mentioned several conceptual models that could provide a framework for designing appropriate studies of TBI outcomes. It is important to select an appropriate conceptual model before finalizing an operational model and selecting specific measures.

- **Institute of Medicine (IOM) Model**
  The original IOM model links the four main components of the disabling process—pathology, impairment, functional limitation and disability. The modified IOM model removes disability from this chain to emphasize that disability is not an individual characteristic but a result of interaction between an individual and his or her environment. (Brandt EN Jr., Pope AM, editors. Enabling America: Assessing the Role of Rehabilitation Science and Engineering. Washington, DC: National Academy Press; 1997).

- **World Health Organization (WHO) Model (ICIDH-2)**
  This WHO model of disability addresses both the individual factors, including body systems, impairment, activity, and social participation, and the role of the environment. The model is still under revision and has not yet been adapted for children, although work is in progress. Meeting participants recommended that CDC consider adopting this model, which is being applied to disability research internationally. (ICIDH-2: International Classification of Functioning, Disability, and Health Website. Available at: www.who.int/icidh/. Accessed January 10, 2001; Simeonsson RJ, Lollar D, Hollowell J, Adams M. Revision of the International Classification of Impairments, Disabilities, and Handicaps Developmental Issues. J Clin Epidemiol 2000;53:113-124.)

- **Family Systems Model**
  This model assesses the family environment, including structural dimensions such as family size and family composition, as well as qualitative aspects such as family stressors, sources of internal and external support, and areas of strength and need. (Kalesnik, J. Family assessment. In Nutall EV, Romero I, et al. editors. Assessing and Screening Preschoolers: Psychological and Educational Dimensions (2nd ed.). Boston: Allyn and Bacon, Inc; 1999. pp. 112-125.)

- **Developmental Models**
  A developmental model is a framework that recognizes a wide range of factors including the intricate matrix of a changing child and environment, evolving familial and societal expectations, and the link between disrupted and normal development.
Consideration of developmental models is critical in designing studies of longer-term outcomes of TBI in children so that the studies address not only the effects of the injury but also how these effects relate to development.

Models of disability (IOM or WHO) are the most useful as overall guides for the study design. Family systems and developmental models suggest key areas to be considered for inclusion in the study.

**Operational Models**

Operational models are one-page summaries of topics and variables to consider in planning studies of outcomes of TBI in young people. Meeting participants reviewed and discussed draft operational models, which were prepared before the meeting. Figure 1 (General Model) and Figure 2 (Service-Related Model) show the topics and related variables recommended by the working group.

These topics and variables were suggested by researchers, advocates and professionals. It is also important to conduct qualitative research, such as focus groups, with families and children or youth with TBI to understand the key issues from their perspectives. Minority populations should be included in qualitative studies to increase understanding of the unique experiences and service needs of these subpopulations.

To date, studies have focused largely on determinants of secondary conditions and adverse outcomes, but studies must also be developed to identify factors related to good outcomes.

**Other Resources**

Meeting participants mentioned several other resources that could be used to guide selection of research priorities. These resources include:

- Healthy People 2010 Health Objectives for children and people with disabilities
- Declarations of children's rights
- HRSA/Maternal and Child Health Bureau rights of the child

To read portions of these documents, see Appendix C of this report.

**More About the Domains for Assessing Service Needs**

Parents and advocates report that appropriate services for children and youth with TBI are lacking. Studies of outcomes of TBI among this population should document the needs for services and the barriers to receiving them. For that reason, meeting participants developed a separate operational model specifically for these issues (Figure 2).
For clarification, a more detailed description of some of the important barriers that were discussed follows below:

- Lack of referrals made by healthcare providers.
- Caregivers not aware of available services.
- Lack of appropriate identification of TBI as the underlying reason for the need for the service or misidentification (e.g., instead of being identified as having a TBI, the child is diagnosed as having a learning disability).
- Lack of appropriate services and service providers with expertise specifically in TBI.
- Lack of insurance or inadequate insurance; e.g., an HMO or PPO may not have appropriate service providers.
- Continuation of pre-injury services; children may tend to receive the same kind of services after the TBI, even if these services are not appropriate for their specific post-TBI problems.
- Lack of acceptance of services because they are not perceived as culturally relevant.
- Lack of appropriate educational services; the Individualized Educational Plan (IEP) does not meet the child / youth's need or schools may tend to identify conditions they know how to manage. Research is needed to determine whether classifying children as having a TBI affects how they are managed in school.
Figure 1: General Operational Model for Outcomes Studies of Traumatic Brain Injury in Children and Youth

| Characteristics and Risk Factors | Pre-injury | TBI event | Symptoms/sequelae | Environmental/personal mediators | Functional limitation | Secondary conditions &
<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>Socio-demographic</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>other adverse outcomes</td>
</tr>
<tr>
<td>Child/youth</td>
<td>Medical history</td>
<td>Date</td>
<td>General health</td>
<td>Child/youth</td>
<td>Activities of daily living (ADLs)/</td>
<td>Secondary conditions</td>
</tr>
<tr>
<td>Age</td>
<td>History of previous TBI(s)</td>
<td>Age</td>
<td>Sub-acute &amp; post-acute</td>
<td>Personal assistance/ supervision</td>
<td>self-care</td>
<td>Alcohol/substance use problem (youth)</td>
</tr>
<tr>
<td>Sex</td>
<td>Pre-existing medical conditions</td>
<td>Severity</td>
<td>Cognitive</td>
<td>Mental health services</td>
<td>Walking/mobility</td>
<td>Depressive symptoms</td>
</tr>
<tr>
<td>Race/ethnicity</td>
<td>Alcohol/substance use (youth)</td>
<td>Multiple</td>
<td>Language</td>
<td>Alcohol/drug abuse</td>
<td>Instrumental activities of daily living (IADLs)</td>
<td>Psychiatric problems</td>
</tr>
<tr>
<td>Education</td>
<td>General health/quality of life</td>
<td>trauma</td>
<td>Affective</td>
<td>treatment</td>
<td>Communication</td>
<td>Other adverse outcomes</td>
</tr>
<tr>
<td>Health insurance</td>
<td>Depressive symptoms</td>
<td>Circumstances of injury</td>
<td>Behavioral</td>
<td>Awareness of disability</td>
<td>Leisure/play</td>
<td>Child/Youth</td>
</tr>
<tr>
<td>Parent/caregiver</td>
<td>Development</td>
<td></td>
<td>Neurologic</td>
<td></td>
<td>Driving</td>
<td>Decline in grade level</td>
</tr>
<tr>
<td>Age</td>
<td>Physical/motor</td>
<td></td>
<td>Mental health</td>
<td></td>
<td>(youth)</td>
<td>Decline in academic performance</td>
</tr>
<tr>
<td>Education</td>
<td>Cognitive</td>
<td></td>
<td>Self-esteem</td>
<td></td>
<td></td>
<td>Special education services</td>
</tr>
<tr>
<td>Employment</td>
<td>Language/communication</td>
<td></td>
<td>Post-traumatic stress</td>
<td></td>
<td></td>
<td>Behavior problems</td>
</tr>
<tr>
<td>Relationship to child/youth</td>
<td>Social</td>
<td></td>
<td>disorder</td>
<td></td>
<td></td>
<td>Decrease in social participation</td>
</tr>
<tr>
<td>Family</td>
<td>Affective</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Problems with peer/family relationships</td>
</tr>
<tr>
<td>County of residence</td>
<td>Behavioral</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Change in living situation</td>
</tr>
<tr>
<td>ZIP code</td>
<td>(ADD/ADHD)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>(e.g., nursing home)</td>
</tr>
<tr>
<td>Composition*</td>
<td>Learning disabilities</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Change in lifestyle (e.g., decreased exercise)</td>
</tr>
<tr>
<td>Family income</td>
<td>Education</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Repeat injury</td>
</tr>
<tr>
<td>Housing</td>
<td>Grade</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>TBI since discharge</td>
</tr>
<tr>
<td>Rural vs urban¹</td>
<td>Academic achievement</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Interpersonal violence, neglect</td>
</tr>
<tr>
<td>Family environment/ function</td>
<td>Behavior problems</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Delinquency</td>
</tr>
<tr>
<td>Employment and personal income (youth)</td>
<td>Special education services</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Incarceration</td>
</tr>
<tr>
<td>Living situation</td>
<td>Functional status</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Family environment/ function</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Social support</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Functional status</td>
<td></td>
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</tr>
</tbody>
</table>

* Environmental/personal mediators includes factors not covered in other categories and services that may influence functional status and/or decrease the likelihood of secondary conditions/other adverse outcomes, and thus affect the overall outcome.

¹ These factors were added or modified during the meeting.
### Figure 2: Operational Model for Studying Service Needs and Use Among Children and Youth with TBI

#### Factors influencing receipt of services

<table>
<thead>
<tr>
<th>Awareness of existing services</th>
<th>Need for services</th>
<th>Barriers to receiving services</th>
<th>Mediating factors</th>
<th>Services received</th>
</tr>
</thead>
<tbody>
<tr>
<td>Need for information</td>
<td>&quot;Measured&quot; need</td>
<td>Cognitive problems but not</td>
<td>Advocate/case</td>
<td>Health Care Level</td>
</tr>
<tr>
<td>Cultural sensitivity*</td>
<td>(e.g., child reports physical impairments†)</td>
<td>Behavioral problems†</td>
<td>manager to help</td>
<td>Occupational therapy</td>
</tr>
<tr>
<td>Caregiver lack of knowledge</td>
<td>Perceived need</td>
<td>Lack of or inadequate</td>
<td>with referral to</td>
<td>Physical therapy</td>
</tr>
<tr>
<td>of TBI (e.g., &quot;My child</td>
<td>(Self-report: child insurance*</td>
<td>services†</td>
<td>services*</td>
<td>Speech therapy</td>
</tr>
<tr>
<td>didn’t have a brain injury&quot;)*</td>
<td>Observed need</td>
<td>Low income</td>
<td>Good social support</td>
<td>Medical equipment</td>
</tr>
<tr>
<td>Lack of awareness in</td>
<td>(Proxy report:</td>
<td>Lack of transportation</td>
<td>network†</td>
<td>Adaptive/communication/ mobility</td>
</tr>
<tr>
<td>medical community*</td>
<td>caregiver or</td>
<td>Lack of family follow-through</td>
<td></td>
<td>equipment</td>
</tr>
<tr>
<td></td>
<td>other reports</td>
<td>Pre-injury services*</td>
<td>Cognitive rehab.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>child’s need for services)</td>
<td>Lack of eligibility*</td>
<td>Behavioral intervention</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lack of acceptance of services*</td>
<td></td>
<td>Mental health services</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Lack of awareness—not</td>
<td>Family income</td>
<td>Information, referral &amp; case</td>
</tr>
<tr>
<td></td>
<td></td>
<td>connecting problem to TBI*</td>
<td>Caregiver education</td>
<td>management/ service</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Provider/Health/Education</td>
<td>Previous receipt of</td>
<td>coordination.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Levels</td>
<td>services</td>
<td>Preventive services*</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Self-advocacy*</td>
<td>Respite service*</td>
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</tbody>
</table>

*These factors were added or modified during the meeting.

† From U.S. General Accounting Office. Traumatic Brain Injury: Programs Supporting Long-Term Services in Selected States. February, 1998. Adults with these characteristics encounter substantial barriers in accessing services that will support their reintegration into the community. People with behavior problems are defined as exhibiting unmanageable behaviors such as aggression, destructiveness or participation in illegal behaviors. Without treatment, they are the most likely to become homeless, be committed to a mental institution or be sentenced to prison.
Available Measures for Assessing Outcomes of TBI in Children and Youth

Objective
This section summarizes existing measures for assessing outcomes and describes their applicability to studies of TBI.

Key Criteria
Meeting participants reviewed key criteria for evaluating the usefulness of currently available measures for assessing outcomes of TBI. These criteria included:

- **Developed for use with children and youth**
  Because the problems resulting from TBI in children are unique, most measures designed for adults cannot be effectively adapted for children and youth.

- **Previously used with children/youth with TBI**
  Potentially useful measures that were not developed specifically for this population need to be validated or, at a minimum, pilot-tested first.

- **Useful for measuring change during longer-term follow-up**
  Some measures have ceiling or floor effects (limitations in their ability to detect more minor or more severe problems, respectively). Ceiling effects in particular may limit the usefulness of a measure to assess changes over time, as recovery occurs. Many measures have only been used to assess status at one point of time; thus, their usefulness for measuring change is not known.

- **Norms/comparison data available for other conditions**
  Measures with norms for the general population or that have been used to document outcomes associated with other conditions are very useful for determining the effects of TBI.

- **Appropriate for the target age group**
  Many more measures have been developed for use with school-aged children and youth than for very young children. The majority of measures developed for children aged 5 years or younger are developmental measures not specifically designed for children with TBI. Longitudinal research that applies the appropriate measures at each developmental level, but that also tracks important milestones and late emerging deficits from early childhood through older ages, will be especially challenging.

Specific Measures
A wide range of child health and other measures are available. (For tables that summarize the measures, see Appendix A.) However, not all of these measures are useful or appropriate for studying children and youth with TBI.
Key Measures: The Child Health Questionnaire (CHQ) and the Pediatric Evaluation of Disability Inventory (PEDI)

Prior to the meeting, participants identified two promising measures for assessing outcomes of TBI in children and youth, CHQ and the PEDI. The working group discussed the characteristics of these measures, which are summarized below.

**Child Health Questionnaire (CHQ)**
This summary was presented by Jeanne Landgraf, who developed the measure.

<table>
<thead>
<tr>
<th>Characteristics</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>• Serves as a generic quality-of-life instrument.</td>
<td></td>
</tr>
<tr>
<td>• Assesses physical and psychosocial well being.</td>
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</tr>
<tr>
<td>• Is appropriate for ages 5-17 years; version for ages &lt;5 is under development.</td>
<td></td>
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<tr>
<td>• Measures 14 health concepts.</td>
<td></td>
</tr>
<tr>
<td>• Includes 28- or 50-item parent-completed forms.</td>
<td></td>
</tr>
<tr>
<td>• Includes 87-item child-completed form (a short form is currently being developed).</td>
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</tr>
<tr>
<td>• Probes for information about the family.</td>
<td></td>
</tr>
<tr>
<td>• Includes normative data and has been used in studies of a wide range of other conditions; thus, it can be used to help estimate the burden of TBI compared to other conditions.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Strengths</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>• Is specifically developed for children and youth. Provides high reliability.</td>
<td></td>
</tr>
<tr>
<td>• Scores can be compared to available norms and benchmarks.</td>
<td></td>
</tr>
<tr>
<td>• Allows for parallel reporting of parents and children.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Weaknesses</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>• The majority of studies to date using the CHQ have used a cross-sectional design.</td>
<td></td>
</tr>
<tr>
<td>• Limited data about sensitivity to change over time are available.</td>
<td></td>
</tr>
<tr>
<td>• No published studies used it with children with TBI/cognitive impairment, but some work is currently planned or being conducted (reported by Keith Yeates and Melissa McCarthy).</td>
<td></td>
</tr>
<tr>
<td>• CHQ may not be as sensitive as condition-specific instruments.</td>
<td></td>
</tr>
<tr>
<td>• Paper and pencil version have normative data; the telephone interview version is scripted, but normative data are not available.</td>
<td></td>
</tr>
</tbody>
</table>
Pediatric Evaluation of Disability Inventory (PEDI)
This summary was presented by Stephen Haley, who developed the measure.

<table>
<thead>
<tr>
<th>Original PEDI</th>
<th>New Version of PEDI</th>
</tr>
</thead>
<tbody>
<tr>
<td>° Serves as a functional assessment instrument.</td>
<td></td>
</tr>
<tr>
<td>° Is designed for children in active rehabilitation programs or children with severe problems.</td>
<td></td>
</tr>
<tr>
<td>° Is standardized for children between ages 6 months and 7 ½ years.</td>
<td></td>
</tr>
<tr>
<td>° Can also be used in inpatient and outpatient rehabilitation settings with older children who are functioning at lower levels.</td>
<td></td>
</tr>
<tr>
<td>° Is based on the WHO model of disability.</td>
<td></td>
</tr>
<tr>
<td>° Is being developed for children with brain injury.</td>
<td></td>
</tr>
<tr>
<td>° Is designed for children and youth aged 1 to 18 years.</td>
<td></td>
</tr>
<tr>
<td>° Has an activity scale that extends beyond basic functional skills; intended to examine recovery of basic skills needed for return to the community.</td>
<td></td>
</tr>
<tr>
<td>° Includes a participation scale that emphasizes life roles and assesses levels of participation in the community and school environments.</td>
<td></td>
</tr>
<tr>
<td>° Is designed to be completed by parents or providers; a child-administered form is not available.</td>
<td></td>
</tr>
<tr>
<td>° Is designed for use in the rehabilitation setting.</td>
<td></td>
</tr>
<tr>
<td>° Will allow risk-adjustment to account for variability across institutions.</td>
<td></td>
</tr>
<tr>
<td>° Can be adapted for use in follow-up studies, although not originally developed for such studies.</td>
<td></td>
</tr>
</tbody>
</table>

Selected Clinical Measures
A wide range of clinical measures is available for assessing outcomes of TBI. The working group discussed the applicability of these measures, some of which were originally developed for use with adults, to studies of children and youth, and the comments are summarized below:

Glasgow Coma Scale (GCS)
- Is a useful indicator of severity, but not for children younger than age 5.
- Scores for the same patient vary depending on when they were collected, e.g., GCS scores collected by Emergency Medical Technicians (EMTs) before admission are not as reliable as those collected in the ED or hospital. CDC TBI surveillance guidelines recommend use of the first GCS after admission to ED or hospital.

Children's Coma Score
- Is a modification of the Glasgow Coma Score designed to be used in children aged 3 years and younger.

TBI in the U.S.: Assessing Outcomes in Children
• Is unclear how widely this score is being used or whether the score represents a significant improvement in the GCS for use with children. More research on this topic is needed.

**Abbreviated Injury Score/Injury Severity Score (AIS/ISS)**
• Are used routinely in the clinical setting.
• Most recent version (AIS 98) is better than previous versions for assessing children.
• Because of the variability within AIS levels, researchers should consider supplementing AIS/ISS with Therapeutic Intensity Level, which is used in some clinical settings to determine severity based on the intensity of treatment required by the patient (according to Nancy Carney).
• The AIS score for the head is highly correlated with GCS and is a useful measure of TBI severity.

**Loss of Consciousness (LOC)**
• Measures the length of time between injury and when the patient regains consciousness.
• Is strongly correlated with outcomes in children and adults and is a key piece of information that should be collected.

**Length of Post-traumatic Amnesia (PTA)**
• Measures the time from when a patient emerges from coma until he or she is no longer disoriented.
• Appears to be strongly correlated with outcome; however, it is difficult to document consistently and accurately within a hospital protocol.
• Inter-rater reliability is low; that is, different people report different lengths of PTA.
• Despite limitations, PTA should be collected and reported as accurately as possible.

**Rancho Los Amigos Scale**
• Is a 7-level scale for assessing early recovery in the brain injury rehabilitation setting.
• Rates behavior, cognitive functioning, and response to the environment.
• May be useful for research on outcomes but to date has not been used widely or evaluated for that purpose.

**Pediatric Trauma Score (PTS)**
• Is a composite injury score in which the injured child receives a score of -1 (severely injured), +1 (moderately injured) or +2 (slightly injured or not injured) in each of six areas—body weight/size, airway, blood pressure, central nervous system activity, open wounds and skeletal injuries.
• Score is not useful for TBI research because it does not separate head injury from injury to other body regions/functions.
Neuropsychological/psychiatric tests
- These detailed tests of cognitive and psychological functioning are frequently conducted by trained professionals.
- Results from these tests are important, particularly to document more subtle deficits, but they must be done in a clinical setting.

School Performance Assessments
Assessments of school performance include achievement tests, which measure students’ academic performance, and school function assessments, which assess students’ ability to behave appropriately in the classroom.

Achievement tests
- These tests of academic achievement are not sensitive to TBI-related problems.
- Thinking and reasoning are not assessed.
- Bright students may do well based on previous learning, thus masking TBI-related problems.
- Scores may improve even as behavior worsens.
- Achievement test results, if available for review, might provide some useful information about previous performance; however, meeting participants did not strongly recommend including them in studies assessing longer term outcomes of TBI.

School function assessments
- These checklists are specifically designed to assess functioning in the classroom setting.
- They are helpful in detecting problems specific to the classroom, including awareness of hygiene and behavior regulation.
- Meeting participants recommended including at least some key items from school function assessments in studies of outcomes of TBI in children and youth.
Recommendations for Additional Research into TBI in Children and Youth

Objective
This section summarizes participant recommendations regarding the wide-ranging needs for additional research and improved research methods in studies of TBI in children and youth.

The Need for Qualitative Research
Qualitative research facilitates an increased understanding of the experiences of people with TBI, their caregivers, or professionals working with people with TBI from their own unique and personal perspectives. Such information can guide the development of informational materials and questionnaires for epidemiologic studies. Common qualitative research techniques include focus groups and individual interviews. (See Patton MQ. Qualitative Evaluation and Research Methods (2nd Ed). Newbury Park, CA: Sage Publications, 1990.)

One meeting participant also had experience in conducting in-depth ethnographic, naturalistic studies with children with other health conditions (e.g., a study of children with asthma collected data by allowing them to carry video cameras). Participants recommended exploring the applicability of these methods to studies of TBI.

Research Topics

Basic
Basic research addresses physiologic responses to brain injury. Meeting participants noted that further studies are needed to:

- Reveal the underlying mechanisms of recovery
- Identify outcomes due to the injury itself vs. those that are secondary (e.g., neurogenic vs. situational depression).

Patterns of Recovery
Because recovery after TBI can be lengthy and because the risk of developing secondary conditions changes over time, better information about patterns of recovery from TBI is needed to understand the long-term effects of TBI. Meeting participants noted that further studies are needed to describe:

- The natural history of recovery from TBI. For example, when are secondary conditions most likely to develop?
- Recovery trajectories. That is, how quickly does recovery occur and in what order are skills regained?
- The later emergence of TBI-related problems especially in children. For example, are infants with TBI more likely to have later learning disabilities, such as trouble with reading or math, or behavior problems that are associated with the TBI?
Treatment
The NIH Consensus Conference on the Rehabilitation of Persons with Traumatic Brain Injury documented the need for improved research on treatment of TBI, including treatment for children. Meeting participants noted the need for further studies about

- The effectiveness of various treatments and the importance of the intensity level of those treatments
- The effectiveness of educational and behavioral interventions
- The implementation of treatment guidelines – Specific issues include how widely the guidelines are being applied and what effects implementation has on TBI outcomes.
- Personal (or person-centered) outcomes – Personal outcomes are the expectations that people with disabilities have for their lives, including what they expect from the services and supports they receive. Many service providers have adopted personal outcomes as a measure of quality of their services. (The Council on Quality and Leadership in Supports for People with Disabilities, Towson, MD. 410-583-0060)

Costs
Few studies have looked at the cost of TBI, especially among children. Cost data can help focus greater attention on a public health problem such as TBI. Meeting participants noted that further studies are needed to document:

- The costs of TBI in children, including reduced quality of life, compared with costs for other child health conditions (e.g., asthma, HIV)
- The economic impact of TBI on children, their families, and society.

Issues of Measurement and Data Analysis
Among the greatest challenges to conducting high-quality research on outcomes of TBI in children are limitations in existing outcome measures and analytic approaches as well as the lack of standard terminology to describe the outcomes. Meeting participants offered the following recommendations to improve measurement, analysis, and terminology:

- Conduct studies to assess the validity of existing measures for use in follow-up studies (i.e., sensitivity to individual changes over time) and apply improved methods for testing item validity.
- Use new types of measures including cognitive measures for use by school psychologists and applicable to consumers; a global measure of cognitive function that assesses memory; a cognitive screening tool for surveillance; a TBI-specific outcomes measure;¹ a severity measure for comparing the burden of TBI with that of other conditions; and improved measures of the environment and its relationship to disability.
- Develop a standard terminology to describe and document service needs and barriers.
- Develop new analytic approaches including application of growth curve analysis to detailed studies of the relationship between development and recovery from TBI.

¹ To expedite development of this measure, it would be preferable to apply enhancements to existing measure(s) to address the limitations of those measures (e.g., add to an existing measure an appropriate assessment of cognitive functioning) as opposed to developing an entirely new measure.
Other Methodologic Issues in Assessing TBI Outcomes in Children

Objective

The objective for this section is to review key issues to consider in conducting studies of TBI outcomes in children and youth.

Meeting participants had a wide range of experiences in obtaining information from children with TBI, their families and schools. These sections document participants’ ideas about the challenges of designing and implementing a study of outcomes of TBI in children and youth and how they should be managed.

Considerations for Defining the Study Population

Degree to which the Study Population Represents the General Population

Meeting participants discussed how representative the study population would be if children were selected for follow-up using the same approach used in CDC follow-up studies of older adolescents and adults. That approach, which identifies cases from surveillance systems using hospital discharge data sets, has some important limitations:

- It may underestimate problem outcomes because those who can still be identified and followed after one-year post-hospital discharge tend to have more resources and more stable lives (for adults followed in the Colorado follow-up system, about 35% are lost to follow-up within one year).
- It probably does not include an adequate subsample of children from low-income families.
- It does not include children seen in the emergency department (ED) but not admitted to hospital. The majority of children with TBI are seen only in the ED.

Sampling Frame

Participants discussed an appropriate sampling frame for selecting cases for follow-up studies of children. They determined that the sampling frame should include both children treated and released from the ED and children discharged from the hospital. The population should then be stratified by severity before selecting the sample.

Information from Parents / Caregivers and Schools

Participants also discussed whether the study should collect information from parents / caregivers or schools:

Parent / caregiver:

- A study should include assessments of both the parent (caregiver) and older children (age 8 to 10 or older). Parents and children may differ in reporting, especially about the social experiences of the child, with the parent reporting that the child has more friends and fewer problems with peer interactions than the child reports.
**School:**
- A study should incorporate school assessments of the child's abilities, if possible.
- Parents' and teachers' understanding of the child's individual educational plan (IEP) may differ, so getting both perspectives is important.
- Parents tend to rate their child's school performance higher than do school personnel. The more time that has elapsed since the injury, the higher parents tend to rate pre-injury performance (including school performance).
- Interviews of teachers could yield some valuable information, especially for younger children who have one teacher only.
- Information from school records may also be useful; however, school records may not be complete, and schools are inconsistent in classifying children's disability. For example, a child with a brain injury may be classified as having a learning disability, not a TBI. School records may not be a thorough source of information about grade retention and services received, but these areas are important, so a study should obtain information about them using other methods if necessary.
- Other school-related information of interest includes drop-out rates, achievement on statewide standardized tests and transfers to alternative schools.
- Whether obtaining information requires IRB approval from each school might vary by location, so researchers should check before beginning the study.

**Appropriateness of Telephone Interviews for Children / Youth Follow-up Studies**

Instead of using in-person interviewing, which is very expensive, CDC has used telephone interviewing to collect information in its follow-up studies of older adolescents and adults. Meeting participants discussed the appropriateness of this approach for studies among children and youth.

**General Issues**

Before beginning telephone interviewing, researchers should investigate telephone coverage among the proposed study population because low-income families may not have telephones. Researchers might also consider newer alternatives such as Internet administration, but Internet coverage among low-income families is likely to be even lower than for telephones.

**Telephone vs. In-Person**

Studies show that responses vary according to mode of administration (telephone vs. in-person and, for in-person interviews, whether the interview is conducted by a doctor/nurse or other type of interviewer). People tend to report fewer impairments when they are interviewed in-person than when they are interviewed by telephone. Therefore, researchers might need to compare responses from in-person and phone interviews in a sample of participants to evaluate reliability.

**Interviewing Children by Telephone**

Studies suggest that interviewing by telephone may not be appropriate for children and youth younger than age 13. TBI-related deficits and problems such as fatigue and limited attention span may make phone interviews with young people more difficult.
Suggestions for Enhancing Telephone Administration

- Pilot the questionnaire and revise based on results and experience before beginning the main study.
- Consider mailing the parent questionnaire before the interview to give them time to think about their answers.

Appropriate Interview Length

- Questionnaires for use with children and youth with TBI should be as short as possible to minimize fatigue among participants. Questionnaires for use with their parents may be longer but should also be kept as short as possible.
- Interviews should not exceed 45 minutes for adolescents while approximately 60 minutes is appropriate for parents. Meeting participants suggested that questionnaires for use with children should be pilot tested to determine the appropriate interview length.
- Meeting participants also suggested pilot testing a longer version for use with adolescents or parents to see how they respond. Families of children with TBI may be very invested in outcomes and often like to have someone to talk with. Some meeting participants reported surprise at the willingness of parents to be interviewed for longer than 60 minutes.

Effective Follow-up Interviews

Longitudinal studies of TBI outcomes involve an initial interview sometime after TBI, then tracking participants and re-interviewing them periodically, often at one-year intervals. Of particular concern is the potential for loss to follow-up because the families move or no longer agree to be part of the study. Meeting participants offered these suggestions for follow-up with children who have TBI:

Timing of Follow-Up

- Begin interviewing earlier than one year after the injury (optimally at 3-6 months). This approach could help decrease loss to follow-up because the family is less likely to have moved from the address found in the medical record. This approach would also provide useful information about patterns of early recovery.
- Consider beginning active surveillance and follow-up while people are still in the hospital. Follow-up beginning at one year is not timely enough to meet the needs of people with TBI to be identified early and linked to information that can help them get the services they need. Meeting these needs requires a case management approach, even if it is cost- and resource-intensive. The principal investigator for the South Carolina follow-up study of older adolescents and adults estimates that double the funding it currently receives from CDC would be required to initiate active surveillance of TBI. To decrease the cost and improve the feasibility of conducting more active surveillance, researchers should consider limiting surveillance to the few hospitals that see the majority of child TBI cases—for example, Level I trauma centers and children’s hospitals.
- Consider alternative approaches to tracking, especially for very young children, such as early intervention tracking systems that follow very young children (younger than age 3) who have TBI or other disability (e.g., in Rhode Island).
Length of Follow-Up
A single year of follow-up is insufficient to document important outcomes. Ideally, a study should follow children as long as possible through as many developmental transitions as possible to try identifying late-emerging problems resulting from TBI. Based on experience with the currently funded CDC studies of outcomes in older adolescents and adults, three- to five-year follow-up is feasible considering estimated cost and loss to follow-up.

Tracking
To reduce loss to follow-up, researchers should test a mechanism for routinely contacting participant families, such as sending a postcard at frequent intervals to track changes of address.

Advantages of and Selected Methods for Comparison Groups
Meeting participants strongly recommended including a comparison group as part of any follow-up study of outcomes of TBI in children to strengthen the study design and improve the usefulness of the findings. A comparison group completes the same questionnaire as the follow-up study participants, and researchers compare their responses with those of the children with TBI. The comparison group need not be the same size as the group of children with TBI. Methods of selecting a comparison group vary. Meeting participants discussed the pros and cons of each method, as summarized below:

Non-TBI Trauma Comparison Group
This approach entails selecting a population of children who were injured but did not have a TBI, matched by age and sex with the TBI children. The approach helps control for risk factors for injury that are similar between the two groups. The comparison group should be matched by socio-economic status to the TBI group.

- Researchers should consider the length of time following the trauma in selecting the comparison group. If the concern is adjusting for pre-injury risk factors only, selecting children who have recovered from the trauma may be more appropriate.
- Keeping non-TBI trauma controls involved in the study requires much effort, especially if they will be interviewed more than once, because they are not as invested in it as family members.
- Meeting participants strongly recommended this approach.

Friend Comparison Group
This approach involves selecting one similar-aged friend for each child with a TBI. The “friend control” completes the questionnaire. The approach helps control for social environment and school context, but friend controls might not have the same pre-injury risk factors.

- Defining "friend" may be difficult for very young children. Also, many children lose their friends after the TBI, so identifying a friend control may be difficult.
- Keeping friends involved in the study requires effort because they are not as invested in it as family members.
- Meeting participants recommended this approach as useful but with several limitations.
Sibling Comparison Group
This approach involves selecting a brother or sister of the child with TBI to serve in the comparison group. The approach helps control for family environment factors, but matching on age and sex may be impossible or there may not be any siblings.
- Siblings may not be a good comparison group because, as part of the family of the child with TBI, they also feel effects of the trauma.
- *Meeting participants did not recommend this approach.*

Age- and Sex-Matched Comparison group
This approach involves selecting a sample of children from the general population, matched by age and sex. The approach allows comparison of the normal developmental trajectory with the trajectory for children with TBI.
- *Meeting participants recommended this approach.* They also suggested that the optimal study would include both an age- and sex-matched comparison group and a non-TBI trauma comparison group.
Other TBI Issues

Research on outcomes of TBI is only one component of a broader effort to improve the lives of children with TBI. Meeting participants also noted the need for improved education and awareness of TBI as a public health problem and the need for more CDC research. To address those needs, participants offered the following suggestions:

Education and Awareness
In the TBI Act Reauthorization of 2000, CDC has been given expanded authorization for an education and awareness campaign. The campaign should consider:

Increasing overall public awareness
- A recent Harris poll, commissioned by the Brain Injury Association, revealed that the American public greatly underestimates the magnitude and importance of TBI (www.biausa.org/harrispollresults.htm. Accessed January 24, 2001).
- All educational efforts should strive to use standard terminology to increase understanding and improve communication about TBI.

Raising awareness in the medical and TBI communities
- The campaign should educate the medical and TBI communities that so-called “mild” TBI can result in serious, long-term deficits. A relatively large number of children have problems after mild TBI.
- A group of neuropsychologists, now at the University of Pittsburgh, has developed a computer-based sports sideline screening for symptoms of concussion. The National Football League (NFL) first used the screening, and now some college and high school sports use it, which has generated a lot of attention from parents.

Increasing the awareness of parents and caregivers
- Parents and caregivers also need to better understand what they can expect after a child has a TBI, especially a less severe TBI.
- Cultural sensitivity is critical.
  - Efforts to develop materials that increase awareness should begin with focus groups to understand children’s and families’ perspectives and the language that is meaningful to them.
  - Educational efforts should be sensitive to the different information needs of parents of children whose disability resulted from a sudden change (i.e., TBI) and of parents of children with developmental disability. An education campaign should try to ensure that the information about possible outcomes of TBI in children does not result in negative self-fulfilling prophecies. For example, children with mild-to-moderate TBI may have cognitive deficits, but they are still capable of learning.
Dissemination
CDC should distribute educational materials as widely as possible, including through the HRSA Maternal and Child Health Bureau Clearinghouse, which distributes a wide range of materials on children’s health.

CDC Research Efforts
Meeting participants offered the following additional suggestions for steps the CDC should take to improve knowledge about TBI outcomes in children.

- **Continue to collect data while ensuring more widespread dissemination.**
  CDC should continue to increase awareness of the TBI problem by collecting and sharing data about the incidence and prevalence of TBI and TBI-related disability. Information should be in a form that can be distributed by health professionals to people with TBI and their families. For example, CDC should publish more brochures like the concussion brochure *(Facts about Concussion and Brain Injury, www.cdc.gov/ncipc/tbi. Accessed January 24, 2001)*.

- **Support, promote and conduct additional research.**
  CDC should make its surveillance data sets more widely and readily available to other researchers and professionals, including via the Internet.

  CDC needs to identify research gaps and issue requests for proposals (RFPs) to address those gaps. Future CDC studies should document:
  - the disproportionately low funding for TBI compared with the burden of TBI
  - poor coverage of services by insurance companies
  - the percentage of children with TBI receiving services and the percentage not receiving them
  - the need for appropriate educational programming for children and youth with TBI.
# Appendix A

## Overview of Outcome Assessments Available for Use with Children and Youth with TBI

### Quality of Life

<table>
<thead>
<tr>
<th>Title</th>
<th>Purpose</th>
<th>Age Range (yrs)</th>
<th>Parent or child response</th>
<th>Administration mode</th>
<th>Number of items</th>
<th>Time (min)</th>
<th>Reliability studies?</th>
<th>Validity studies?</th>
<th>Used in child / youth TBI studies?</th>
<th>Ref.</th>
<th>Strengths</th>
<th>Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td>CHIP (Child Health &amp; Illness Profile)</td>
<td>Assess physical &amp; mental health</td>
<td>11-17</td>
<td>Child / youth</td>
<td>Self-administered</td>
<td>153</td>
<td>30-45</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>12, 15, 22</td>
<td>Can be used to assess change over time</td>
<td>Utilization questions within the health status scale; requires professional interpretation</td>
</tr>
<tr>
<td>CHQ (Child Health Questionnaire)</td>
<td>Assess physical, emotional &amp; social well-being</td>
<td>5-17</td>
<td>Parent</td>
<td>Child</td>
<td>28 or 50</td>
<td>87</td>
<td>-----</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>6, J. Landgraf</td>
<td>Specifically developed for children/youth; well normed; used in other pediatric populations; allows parallel child &amp; parent reports; telephone interview scripted</td>
</tr>
<tr>
<td>COOP Charts (Dartmouth Primary Care Cooperative Info. Project)</td>
<td>Assess functioning and health-related quality of life</td>
<td>8-12</td>
<td>Child</td>
<td>Self-administered</td>
<td>9</td>
<td>14</td>
<td>-----</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>12</td>
<td>Floor and ceiling effects; relies on sample size for power</td>
</tr>
<tr>
<td>PedsQL (Pediatric Quality of Life Inventory)</td>
<td>Assess health-related quality of life</td>
<td>8-18</td>
<td>Child / youth</td>
<td>Child: interview Youth &amp; parent: self-administered</td>
<td>15 core, 30 disease-specific</td>
<td>-----</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>25</td>
<td>Weighted based on population preferences; adapted from adult measure; low parent/ youth correlation; not designed for a neurological population</td>
<td></td>
</tr>
<tr>
<td>QWB (Quality of Well-Being)</td>
<td>Assess quality of life</td>
<td>12 and older</td>
<td>Youth</td>
<td>Structured interview</td>
<td>-----</td>
<td>15</td>
<td>For adults</td>
<td>Yes</td>
<td>-----</td>
<td>3, 8</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

--- Information was not found.  
* Measure was discussed during the meeting.  
** General topic was discussed during the meeting.
### Epidemiology

<table>
<thead>
<tr>
<th>Title</th>
<th>Purpose</th>
<th>Age Range (yrs)</th>
<th>Parent or child response</th>
<th>Administration mode</th>
<th>Number of items</th>
<th>Time (min)</th>
<th>Reliability studies?</th>
<th>Validity studies?</th>
<th>Used in child/youth TBI studies?</th>
<th>Ref.</th>
<th>Strengths</th>
<th>Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td>OCHS (Ontario Child Health Survey)</td>
<td>Assess prevalence of emotional &amp; behavioral disorders</td>
<td>4-16</td>
<td>Parent</td>
<td>Interview &amp; self-administered</td>
<td>304</td>
<td>-----</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>12</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Behavioral / Cognitive

<table>
<thead>
<tr>
<th>Title</th>
<th>Purpose</th>
<th>Age Range (yrs)</th>
<th>Parent or child response</th>
<th>Administration mode</th>
<th>Number of items</th>
<th>Time (min)</th>
<th>Reliability studies?</th>
<th>Validity studies?</th>
<th>Used in child/youth TBI studies?</th>
<th>Ref.</th>
<th>Strengths</th>
<th>Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td>*BASC (Behavior Assessment System for Children)</td>
<td>Measure anxiety, depression, aggression, coping, social relationships</td>
<td>2.5-18</td>
<td>Parent/teacher</td>
<td>Self-administered</td>
<td>130</td>
<td>10-20</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>14</td>
<td>Normed; relatively brief</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>8-18</td>
<td>Child/youth</td>
<td>Self-administered</td>
<td>152 child 186 youth</td>
<td>30-45</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>*BRIEF (Behavior Rating Inventory of Executive Function)</td>
<td>Assess executive functioning including self-monitoring, organizational control, &amp; individual planning</td>
<td>5-18</td>
<td>Parent &amp; teacher</td>
<td>Self-administered</td>
<td>86</td>
<td>10</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>10</td>
<td>5-18 year parent version normed; more specific than some other measures</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>2.5-5</td>
<td>Parent</td>
<td>Self-administered</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>2.5-5 year parent and 11-22 year youth versions still being normed; rather long</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>11-22</td>
<td>Youth</td>
<td>Self-administered</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>*CBCL (Child Behavior Checklist)</td>
<td>Assess children's competencies &amp; behavioral/emotional problems</td>
<td>1.5-5</td>
<td>Parent</td>
<td>Self-administered</td>
<td>99</td>
<td>-----</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>1, 20</td>
<td>Designed to assess psychopathology; may not be appropriate for brain injured population; quite long; can give inconsistent results</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>4-18</td>
<td>Parent</td>
<td>Self-administered</td>
<td>118</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>

--- Information was not found.  
* Measure was discussed during the meeting.  
** General topic was discussed during the meeting.
### Behavioral / Cognitive continued

<table>
<thead>
<tr>
<th>Measure</th>
<th>Purpose</th>
<th>Age Range (yrs)</th>
<th>Parent or Child response</th>
<th>Administration mode</th>
<th>Number of items</th>
<th>Time (min)</th>
<th>Reliability studies?</th>
<th>Validity studies?</th>
<th>Used in child/youth TBI studies?</th>
<th>Ref.</th>
<th>Strengths</th>
<th>Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>FS-II(R)</em>&lt;br&gt;(Functional Status II(R))</td>
<td>Assess behavioral response to illness that interferes with normal social roles</td>
<td>0-16</td>
<td>Parent</td>
<td>Structured interview</td>
<td>long: 43 short: 14</td>
<td>-----</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>12, 15, 23</td>
<td>Can be used repeatedly to document change</td>
<td>Designed for lower end of the functional continuum; permission must be obtained from authors</td>
</tr>
</tbody>
</table>

### Functional

<table>
<thead>
<tr>
<th>Title</th>
<th>Purpose</th>
<th>Age Range (yrs)</th>
<th>Parent or Child response</th>
<th>Administration mode</th>
<th>Number of items</th>
<th>Time (min)</th>
<th>Reliability studies?</th>
<th>Validity studies?</th>
<th>Used in child/youth TBI studies?</th>
<th>Ref.</th>
<th>Strengths</th>
<th>Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>PEDI</em>&lt;br&gt;(Pediatric Evaluation of Disability Inventory)</td>
<td>Measure capability &amp; performance of functional activities</td>
<td>0.5-7.5</td>
<td>Parents and/or physicians</td>
<td>Interview or direct observation</td>
<td>41</td>
<td>45</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>2, 9 S. Haley</td>
<td>Incorporates more cognitive, behavioral, &amp; safety components than WeeFIM</td>
<td>Primarily a rehab instrument; limited age range</td>
</tr>
<tr>
<td><em>PEDI II</em>&lt;br&gt;(Pediatric Evaluation of Disability Inventory, Version II)</td>
<td>Measure ADLs, social/behavioral activities &amp; community participation</td>
<td>0-18</td>
<td>Clinical staff Parent</td>
<td>Self-administered</td>
<td>44</td>
<td>45</td>
<td>Planned</td>
<td>Planned</td>
<td>Designed for TBI</td>
<td>S. Haley</td>
<td>Focuses more on community participation than PEDI; some questions may be useful for TBI surveillance</td>
<td>Still in development; questionable inter-rater reliability; too detailed for surveillance; focuses on rehab, more severe injuries, people who receive services</td>
</tr>
<tr>
<td><em>SIB</em>&lt;br&gt;(Scales of Independent Behavior)</td>
<td>Assess adaptive &amp; maladaptive behavior</td>
<td>0-80+</td>
<td>Parent</td>
<td>Structured interview or checklist</td>
<td>long: 259 short: 40</td>
<td>45-60</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>11, 18, 19</td>
<td>Global index of independence; considers initiation of activity</td>
<td></td>
</tr>
<tr>
<td><em>VABS</em>&lt;br&gt;(Vineland Adaptive Behavior Scales)</td>
<td>Survey activities the child/youth habitually demonstrates in the environment</td>
<td>0-18</td>
<td>Parent</td>
<td>Semi-structured interview</td>
<td>297</td>
<td>30-60</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>11, 16</td>
<td>Short form has global index of independence</td>
<td>Complicated interview process</td>
</tr>
<tr>
<td><em>WeeFIM</em>&lt;br&gt;(Functional Independence Measure for Children)</td>
<td>Assess functional independence by focusing on dependence</td>
<td>0.5-7</td>
<td>Parent</td>
<td>Interview or direct observation</td>
<td>18</td>
<td>15-20</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>9, 15, 24</td>
<td>Can be used repeatedly to document change</td>
<td>Difficult to teach to interviewers with no rehab experience; designed for inpt assessment of kids with serious injury; ceiling effect; underrepresents cognitive defects</td>
</tr>
</tbody>
</table>

--- Information was not found. * Measure was discussed during the meeting. ** General topic was discussed during the meeting.
<table>
<thead>
<tr>
<th>Title</th>
<th>Purpose</th>
<th>Age Range (yrs)</th>
<th>Parent or child response</th>
<th>Administration mode</th>
<th>Number of items</th>
<th>Time (min)</th>
<th>Reliability studies?</th>
<th>Validity studies?</th>
<th>Used in child/youth TBI studies?</th>
<th>Ref.</th>
<th>Strengths</th>
<th>Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td>*PPSC (Play Performance Scale for Children)</td>
<td>Assess play performance</td>
<td>0.5-16</td>
<td>Parent or clinician</td>
<td>Self-administered</td>
<td>Single scale</td>
<td>2</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>13, 15</td>
<td>Assessment can be done by non-professionals; easy to administer, analyze &amp; interpret</td>
<td>Used primarily in oncology studies</td>
</tr>
<tr>
<td>*PSO (Post-Secondary Outcomes)</td>
<td>Assess educational &amp; vocational status</td>
<td>post-high school</td>
<td>Youth &amp; parent</td>
<td>Structured interview</td>
<td>-----</td>
<td>-----</td>
<td>-----</td>
<td>-----</td>
<td>Designed for TBI</td>
<td>Bonnie Todis</td>
<td></td>
<td></td>
</tr>
<tr>
<td>*SFA (School Function Assessment)</td>
<td>Assess ability to perform functional tasks necessary for the academic &amp; social aspects of education</td>
<td>K-6th grade</td>
<td>-----</td>
<td>Interview / evaluation</td>
<td>-----</td>
<td>-----</td>
<td>-----</td>
<td>Yes</td>
<td>-----</td>
<td>7</td>
<td>May have useful elements</td>
<td>Long</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Title</th>
<th>Purpose</th>
<th>Age Range (yrs)</th>
<th>Parent or child response</th>
<th>Administration mode</th>
<th>Number of items</th>
<th>Time (min)</th>
<th>Reliability studies?</th>
<th>Validity studies?</th>
<th>Used in child/youth TBI studies?</th>
<th>Ref.</th>
<th>Strengths</th>
<th>Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td>*FAD (McMaster Family Assessment Device)</td>
<td>Assess global family functioning</td>
<td>na</td>
<td>Parents / family members</td>
<td>Self-administered</td>
<td>53</td>
<td>15-20</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>9, 27</td>
<td>Not designed to measure impact on family; not sensitive to change over time</td>
<td></td>
</tr>
<tr>
<td>*FBII (Family Burden of Injury Interview)</td>
<td>Assess burden of pediatric TBI on families</td>
<td>na</td>
<td>Parent</td>
<td>Interview</td>
<td>27</td>
<td>-----</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>4, 27</td>
<td>Sensitive to change over time</td>
<td>May not be applicable to non-TBI groups; rather long</td>
</tr>
<tr>
<td>*IOF-G (Impact on the Family Scale, Version G)</td>
<td>Measure impact of pediatric disability on family</td>
<td>na</td>
<td>Parent</td>
<td>Interview</td>
<td>34</td>
<td>-----</td>
<td>-----</td>
<td>Yes</td>
<td>Yes</td>
<td>15, 27</td>
<td>Brief, useful; designed to be more sensitive to change</td>
<td>Difficult to get; may not be as useful as FBII</td>
</tr>
</tbody>
</table>

--- Information was not found.  
* Measure was discussed during the meeting.  
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### Depression

<table>
<thead>
<tr>
<th>Title</th>
<th>Purpose</th>
<th>Age Range (yrs)</th>
<th>Parent or child response</th>
<th>Administration mode</th>
<th>Number of items</th>
<th>Time (min)</th>
<th>Reliability studies?</th>
<th>Validity studies?</th>
<th>Used in child / youth TBI studies?</th>
<th>Ref.</th>
<th>Strengths</th>
<th>Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td>BDI (Beck Depression Inventory)</td>
<td>Evaluate depressive symptoms</td>
<td>Youth</td>
<td>Youth</td>
<td>Self-administered</td>
<td>21</td>
<td>5-10</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>17, 20</td>
<td>Measuring depression may not be as important in children/ youth as in adults</td>
<td></td>
</tr>
<tr>
<td>CDI (Child Depression Inventory)</td>
<td>Assess frequency of depressive symptoms</td>
<td>8-17</td>
<td>Child / youth</td>
<td>Self-administered</td>
<td>27</td>
<td>-----</td>
<td>-----</td>
<td>Yes</td>
<td>-----</td>
<td>26</td>
<td>Measuring depression may not be as important in children/ youth as in adults</td>
<td></td>
</tr>
<tr>
<td>CES-D (Center for Epidemiological Studies Depression Scale)</td>
<td>Measure current depressive symptoms</td>
<td>Youth</td>
<td>Youth</td>
<td>Self-administered</td>
<td>20</td>
<td>-----</td>
<td>Yes</td>
<td>Yes</td>
<td>-----</td>
<td>9</td>
<td>Measuring depression may not be as important in children/ youth as in adults</td>
<td></td>
</tr>
</tbody>
</table>

### PTSD

<table>
<thead>
<tr>
<th>Title</th>
<th>Purpose</th>
<th>Age Range (yrs)</th>
<th>Parent or child response</th>
<th>Administration mode</th>
<th>Number of items</th>
<th>Time (min)</th>
<th>Reliability studies?</th>
<th>Validity studies?</th>
<th>Used in child / youth TBI studies?</th>
<th>Ref.</th>
<th>Strengths</th>
<th>Weaknesses</th>
</tr>
</thead>
<tbody>
<tr>
<td>CAPS-CA (Clinician Administered PTSD Scale, Child/Adolescent version)</td>
<td>Measure PTSD-related symptoms</td>
<td>7-18</td>
<td>Child/ youth</td>
<td>Structured interview</td>
<td>33</td>
<td>30-120</td>
<td>-----</td>
<td>-----</td>
<td>Yes</td>
<td>5</td>
<td>Can assess impact of symptoms on functioning; extremely detailed and thorough</td>
<td></td>
</tr>
<tr>
<td>CPTSD-RI (Child Posttraumatic Stress Reaction Index)</td>
<td>Measure PTSD-related symptoms</td>
<td>6-17</td>
<td>Child/ youth Parent</td>
<td>Semi-structured interview</td>
<td>20</td>
<td>20-45</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>5, 28</td>
<td>Relatively brief and easy to administer</td>
<td></td>
</tr>
<tr>
<td>TESI (Traumatic Events Screening Inventory)</td>
<td>Assess trauma history</td>
<td>4-18</td>
<td>Child/ youth Parent</td>
<td>Semi-structured interview</td>
<td>15</td>
<td>10-30</td>
<td>Yes</td>
<td>Yes</td>
<td>Yes</td>
<td>5</td>
<td>Requires administration by a trained professional</td>
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</tbody>
</table>

--- Information was not found.  
* Measure was discussed during the meeting.  
** General topic was discussed during the meeting.
References for Appendix A


Appendix B
Traumatic Brain Injury in Children and Youth as a Public Health Problem: An Overview

Presented by Dr. David Thurman, CDC

This section features copies of the slides from Dr. David Thurman’s presentation. The surveillance data (beginning with Slide 2) are from selected states for the information available at the time of the meeting; therefore, the data should be considered preliminary.

Slide 1 - Estimated Impact of TBI on Children Aged 0-14 Years in the United States

- 3,000 deaths (NCHS, 1994)
- 29,000 hospitalizations
- 400,000 ED visits (NHAMCS, 1996)
- Unknown number with long-term disability

Slide 2 - Preliminary Surveillance Findings for TBI-Related Hospitalizations & Deaths – 1997

TBI Surveillance—Background

- CDC collects data on TBI-related injuries from 15 states.
- Clinical case definition: Injury to the head associated with decreased consciousness, amnesia, neurologic abnormalities, skull fracture, intracranial lesion or death.
- Data case definition: ICD-9 codes 800-801, 803-804, 850-854 and (for fatalities only) 873.
- Data sources: Vital records, hospital discharge data and medical records (for a sample of cases).
- Data elements: Demographic, nature of injury (ICD-9 N-codes), cause of injury (ICD-9 E-codes), severity, and outcome.
- For this presentation, unless otherwise noted, data are from Minnesota, Missouri, South Carolina and Utah
Slide 3 - Figure 1: Rates of TBI in Children and Youth by Age Group & Care Level, 1997

TBI death and hospitalization rates were highest among those aged 15-19 year.

Slide 4 - Figure 2: TBI in Children and Youth: Percent with Fatal Outcomes, 1997

The percent of TBIs that were fatal increased with age.
For all categories of race, the highest TBI rates were among youth, aged 15-19 years followed by children aged 0-4 years.

The TBI rate in black children aged 0-4 years was approximately twice as high as for the same-aged white or other race children.

(Approximately 28% of cases were of unknown race)
- The rate of TBI from falls decreased with increasing age.
- The rate of TBI from transportation incidents was more than three times as high for youth aged 15-19 years as for children in the younger age groups.
- The rate of TBI from firearm-related injuries was highest among 15-19 year-olds.
- The rate of TBI from non-firearm assaults was highest among 0-4 year-olds.

---

**Slide 7 - Figure 5: TBI in Children Aged 0-4 Years: Proportion by Cause & Race, 1997**

For children aged 0-4 years, falls were the leading cause of TBI, followed by transportation and assaults; however, transportation-related TBIs accounted for a greater proportion among black children than among whites.

---

**Slide 8 - Figure 6: TBI in Children Aged 5-14 Years: Proportion by Cause & Race, 1997**

Transportation incidents were the cause of a majority of TBIs in 5-14 year-olds.
Transportation was the leading cause of TBIs, but compared to those aged 5-14 years, a greater proportion were due to assaults and firearm-related injuries, particularly among blacks.
Appendix C
TBI in Children and Youth
Data from the South Carolina Surveillance System

Presented by Dr. Anbesaw Selassie, Medical University of South Carolina

The following are copies of the lecture slides from Dr. Anbesaw Selassie’s presentation:

Slide 1 - Table 1: Distribution of TBI in Children Treated and Released from Emergency Departments—South Carolina, 1996-1999

<table>
<thead>
<tr>
<th>Age Group (yrs)</th>
<th>Female</th>
<th>Male</th>
<th>All</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Number</td>
<td>Number</td>
<td>Number</td>
</tr>
<tr>
<td>0-4</td>
<td>1008</td>
<td>1395</td>
<td>2403</td>
</tr>
<tr>
<td>5-9</td>
<td>623</td>
<td>1192</td>
<td>1815</td>
</tr>
<tr>
<td>10-14</td>
<td>564</td>
<td>1168</td>
<td>1732</td>
</tr>
<tr>
<td>All</td>
<td>2195</td>
<td>3755</td>
<td>5950</td>
</tr>
</tbody>
</table>

- Males 0 to 4 years of age had the greatest number of TBI-related ED visits.
- Among 0 to 14 year-olds, the total number of TBI ED visits (5,950) was more than 4 times the number of TBI-related hospital discharges (1,451—see Table 2).
The annual rate of TBI-related ED visits was greatest among children aged 0-4 years (298 visits per 100,000).

The rate of TBI-related ED visits was similar for children aged 5-9 years and 10-14 years (232 and 228 per 100,000, respectively).

For all age groups, males had higher rates of TBI-related ED visits, and the difference increased with age.
Slide 3 - Table 2: Distribution of TBI in Children Discharged from Acute Care Facilities—South Carolina, 1996-1999

<table>
<thead>
<tr>
<th>Age Group (yrs)</th>
<th>Female</th>
<th>Male</th>
<th>All</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Number</td>
<td>Percent (%)</td>
<td>Number</td>
</tr>
<tr>
<td>0-4</td>
<td>230</td>
<td>41</td>
<td>336</td>
</tr>
<tr>
<td>5-9</td>
<td>152</td>
<td>36</td>
<td>271</td>
</tr>
<tr>
<td>10-14</td>
<td>146</td>
<td>32</td>
<td>316</td>
</tr>
<tr>
<td>All</td>
<td>528</td>
<td>36</td>
<td>923</td>
</tr>
</tbody>
</table>

As for ED visits, the greatest number of TBI-related hospital discharges occurred in males aged 0 to 4 years.
The highest rate of hospitalization was for children aged 0-4 years (70 per 100,000), followed by 10 to 14 year-olds (61 per 100,000), and 5 to 9 year-olds (55 per 100,000).

The rate of hospitalization for males was greater than for females at all ages.
Slide 5 - Table 3: Type of TBI in Children Treated and Released from EDs - South Carolina, 1996-1999

<table>
<thead>
<tr>
<th>ICD-9-CM Based Type of Brain Injury</th>
<th>0-4</th>
<th>5-9</th>
<th>10-14</th>
<th>All</th>
</tr>
</thead>
<tbody>
<tr>
<td>CLOSED CEREB CONTUSION</td>
<td>3</td>
<td>8</td>
<td>3</td>
<td>16</td>
</tr>
<tr>
<td>CLOSED CEREB LACER&amp; UNSPEC HEM</td>
<td>62</td>
<td>30</td>
<td>30</td>
<td>122</td>
</tr>
<tr>
<td>CLOSED UNSPEC IC TBI</td>
<td>1631</td>
<td>1053</td>
<td>795</td>
<td>3479</td>
</tr>
<tr>
<td>CONCUSSION</td>
<td>436</td>
<td>605</td>
<td>774</td>
<td>1815</td>
</tr>
<tr>
<td>DURA-ARACH HEMORRHAGE</td>
<td>40</td>
<td>31</td>
<td>31</td>
<td>94</td>
</tr>
<tr>
<td>FRACTURE SKULL, BASE</td>
<td>39</td>
<td>34</td>
<td>34</td>
<td>94</td>
</tr>
<tr>
<td>FRACTURE SKULL, VAULT</td>
<td>90</td>
<td>17</td>
<td>17</td>
<td>128</td>
</tr>
<tr>
<td>MULT SKULL&amp;FACE FRACTURE</td>
<td>2</td>
<td>1</td>
<td>3</td>
<td>6</td>
</tr>
<tr>
<td>PENETRATING TBI</td>
<td>18</td>
<td>37</td>
<td>57</td>
<td>1</td>
</tr>
<tr>
<td>UNQUAL SKULL FRACTURE</td>
<td>82</td>
<td>25</td>
<td>22</td>
<td>139</td>
</tr>
<tr>
<td>All</td>
<td>2403</td>
<td>1815</td>
<td>1732</td>
<td>5950</td>
</tr>
</tbody>
</table>

- Concussions were the second most frequent diagnosis for TBI-related ED visits after unspecified closed head injuries.
- Skull fractures were more common among children aged 0 to 4 years compared to other age groups.
Slide 6 - Table 4: Type of TBI in Children Discharged from Acute Care Facilities - South Carolina, 1996-1999

<table>
<thead>
<tr>
<th>ICD-9-CM BASED TYPE OF BRAIN INJURY</th>
<th>0-4</th>
<th>5-9</th>
<th>10-14</th>
<th>All</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>%</td>
<td>N</td>
<td>%</td>
</tr>
<tr>
<td>CLOSED CEREB CONTUSION</td>
<td>10</td>
<td>26</td>
<td>15</td>
<td>39</td>
</tr>
<tr>
<td>CLOSED CEREB LACER&amp; UNSPEC HEM</td>
<td>56</td>
<td>29</td>
<td>60</td>
<td>31</td>
</tr>
<tr>
<td>CLOSED UNSPEC IC TBI</td>
<td>102</td>
<td>33</td>
<td>97</td>
<td>31</td>
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<td>CONCUSSION</td>
<td>76</td>
<td>28</td>
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<td>DURA-ARACH HEMORRHAGE</td>
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<td>20</td>
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<tr>
<td>FRACTURE SKULL, BASE</td>
<td>59</td>
<td>41</td>
<td>43</td>
<td>30</td>
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<tr>
<td>FRACTURE SKULL, VAULT</td>
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<td>77</td>
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<td>13</td>
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<tr>
<td>PENETRATING TBI</td>
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<td>34</td>
<td>45</td>
</tr>
<tr>
<td>UNQUAL SKULL FRACTURE</td>
<td>21</td>
<td>57</td>
<td>8</td>
<td>22</td>
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<tr>
<td>All</td>
<td>566</td>
<td>38</td>
<td>423</td>
<td>29</td>
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</tbody>
</table>

- Intracranial hemorrhages were the third most common TBI-related discharge diagnosis after unspecified closed head injuries and concussions.
- Although less common than for ED visits, concussions accounted for nearly 1 in 5 TBI-related hospitalizations.
### Table 5: Distribution of Predicted Disenablement from TBI in Children Treated and Released from ED Facilities - South Carolina, 1996-1999

<table>
<thead>
<tr>
<th>Age (Yrs)</th>
<th>Probable</th>
<th>Possible</th>
<th>Unlikely</th>
<th>All</th>
</tr>
</thead>
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<tr>
<td></td>
<td>Num.</td>
<td>%</td>
<td>Num.</td>
<td>%</td>
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<td>0-4</td>
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<td>10-14</td>
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<td>All</td>
<td>131</td>
<td>2</td>
<td>315</td>
<td>5</td>
</tr>
</tbody>
</table>

‡ Prediction based on an algorithm that incorporated severity, disposition, and type of injury. ROC curve C-Statistic expressing “Association of Predicted Probabilities and Observed Responses” is 0.86 for probable and 0.68 for possible disablement categories.

- These figures represent conservative estimates.
- For additional information, contact Dr. Anbesaw Selassie (please refer to the list of participants).
Slide 8 - Table 6: Distribution of Predicted † Disablement from TBI in Children Discharged from Acute Care Facilities - South Carolina, 1996-1999

<table>
<thead>
<tr>
<th>Age (Yrs)</th>
<th>Probable</th>
<th>Possible</th>
<th>Unlikely</th>
<th>All</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Num.</td>
<td>%</td>
<td>Num.</td>
<td>%</td>
</tr>
<tr>
<td>0-4</td>
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<td>9</td>
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<td>All</td>
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<td>676</td>
<td>47</td>
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</tbody>
</table>

† Prediction based on an algorithm that incorporated severity, disposition, and type of injury. ROC curve C-Statistic expressing "Association of Predicted Probabilities and Observed Responses® is 0.86 for probable and 0.68 for possible disablement categories.

- These figures represent conservative estimates.
- For additional information, contact Dr. Anbesaw Selassie (please refer to the list of participants).
Appendix D
Other Resources

In addition to this report, the following resources can help researchers select topics for research studies of TBI outcomes in young people.

HRSA/MCHB Principles for Meeting the Needs of the Child
Bright Futures Children’s Health Charter

Throughout this century, principles developed by advocates for children have been the foundation for initiatives to improve children’s lives. Bright Futures participants have adopted these principles to guide their work and meet the unique needs of children and families into the 21st century.

- Every child deserves to be born well, to be physically fit and to achieve self-responsibility for good health habits.

- Every child and adolescent deserves ready access to coordinated and comprehensive preventive, health-promoting, therapeutic, and rehabilitative medical, mental health and dental care. Such care is best provided through a continuing relationship with a primary health professional or team and ready access to secondary and tertiary levels of care.

- Every child and adolescent deserves a nurturing family and supportive relationships with other significant persons who provide security, positive role models, warmth, love and unconditional acceptance.

- A child’s health begins with the health of his parents.

- Every child and adolescent deserves to grow and develop in a physically and psychologically safe home and school environment free of undue risk of injury, abuse, violence or exposure to environmental toxins.

- Every child and adolescent deserves satisfactory housing, good nutrition, a quality education, an adequate family income, a supportive social network and access to community resources.

- Every child deserves quality childcare when her parents are working outside the home.

- Every child and adolescent deserves the opportunity to develop ways to cope with stressful life experiences.
Every child and adolescent deserves the opportunity to be prepared for parenthood.

Every child and adolescent deserves the opportunity to develop positive values and become a responsible citizen in his community.

Every child and adolescent deserves to experience joy, have high self-esteem, have friends, acquire a sense of efficacy and believe that she can succeed in life. She should help the next generation develop the motivation and habits necessary for similar achievement.

Reference: www.brightfutures.org

Bright Futures is sponsored by MCHB, HRSA and, in part, supported by unrestricted educational grants from Pfizer Pediatric Health. Bright Futures material is produced by NCEMCH and is not copyrighted.

**Selected Articles from the United Nations Convention on the Rights of the Child**

**Article 2**
1. States Parties shall respect and ensure the rights set forth in the present Convention to each child within their jurisdiction without discrimination of any kind, irrespective of the child's or his or her parents' or legal guardians' race, color, sex, language; religion, political or other opinion; national, ethnic or social origin; property, disability, birth or other status.

**Article 6**
2. States Parties shall ensure to the maximum extent possible the survival and development of the child.

**Article 23**
1. States Parties recognize that a mentally or physically disabled child should enjoy a full and decent life, in conditions that ensure dignity, promote self-reliance and facilitate the child's active participation in the community.

2. States Parties recognize the right of the disabled child to special care and shall encourage and ensure the extension, subject to available resources, to the eligible child and those responsible for his or her care, of assistance for which application is made and which is appropriate to the child's condition and to the circumstances of the parents or others caring for the child.

3. Recognizing the special needs of a disabled child, assistance extended in accordance with paragraph 2 of the present article shall be provided free of charge whenever possible, taking into account the financial resources of the parents or others caring for the child, and shall be designed to ensure that the disabled child has effective access to and receives education, training, health care services, rehabilitation services, preparation for employment and recreation opportunities in a manner conducive to the child's achieving the fullest possible
social integration and individual development, including his or her cultural and spiritual
development

4. States Parties shall promote, in the spirit of international cooperation, the exchange of
appropriate information in the field of preventive health care and of medical, psychological and
functional treatment of disabled children, including dissemination of and access to information
concerning methods of rehabilitation, education and vocational services, with the aim of
enabling States Parties to improve their capabilities and skills and to widen their experience in
these areas. In this regard, particular account shall be taken of the needs of developing
countries.

Article 24
1. States Parties recognize the right of the child to the enjoyment of the highest attainable
standard of health and to facilities for the treatment of illness and rehabilitation of health.
States Parties shall strive to ensure that no child is deprived of his or her right of access to such
health care services.

Article 28
1. States Parties recognize the right of the child to education and, with a view to achieving this
right progressively and on the basis of equal opportunity, they shall, in particular:
   (a) Make primary education compulsory and available free to all;
   (b) Encourage the development of different forms of secondary education, including general
       and vocational education, make them available and accessible to every child and take
       appropriate measures such as the introduction of free education and offering financial
       assistance in case of need;
   (c) Make higher education accessible to all on the basis of capacity by every appropriate
       means;
   (d) Make educational and vocational information and guidance available and accessible to
       all children;
   (e) Take measures to encourage regular attendance at schools and the reduction of drop
       out rates.

Article 29
1. States Parties agree that the education of the child shall be directed to:

   (a) The development of the child's personality, talents and mental and physical
       abilities to their fullest potential;

Article 31
1. States Parties recognize the right of the child to rest and leisure, to engage in play and
recreational activities appropriate to the age of the child and to participate freely in
cultural life and the arts.
2. States Parties shall respect and promote the right of the child to participate fully in cultural and artistic life and shall encourage the provision of appropriate and equal opportunities for cultural, artistic, recreational and leisure activity.


**Selected Healthy People 2010 Goals and Objectives**

**Goal 1: Increase quality and years of healthy life.**

**Access to Medical Care**
- **Objective 1-6:** Reduce the proportion of families that experience difficulties or delays in obtaining health care or do not receive needed care for one or more family members.
- **Objective 1-15:** Increase the proportion of persons with long-term care needs who have access to the continuum of long-term care services.

**Disability and Secondary Conditions**
- **Objective 6-1:** Include in the core of all relevant Healthy People 2010 surveillance instruments a standardized set of questions that identify “people with disabilities.”
- **Objective 6-2:** Reduce the proportion of children and adolescents with disabilities who are reported to be sad, unhappy or depressed.
- **Objective 6-4:** Increase the proportion of adults with disabilities who participate in social activities.
- **Objective 6-5:** Increase the proportion of adults with disabilities reporting sufficient emotional support.
- **Objective 6-6:** Increase the proportion of adults with disabilities reporting satisfaction with life.
- **Objective 6-7:** Reduce the number of people with disabilities in congregate care facilities, consistent with permanency planning principles.
  
  Target: For persons 21 years and younger—total elimination.
- **Objective 6-9:** Increase the proportion of children and youth with disabilities who spend at least 80% of their time in regular education programs.
- **Objective 6-10:** Increase the proportion of health and wellness and treatment programs and facilities that provide full access for people with disabilities.
- **Objective 6-11:** Reduce the proportion of people with disabilities who report not having the assistive devices and technology needed.
- **Objective 6-12:** Reduce the proportion of people with disabilities reporting environmental barriers to participation in home, school, work, or community activities.
- **Objective 6-13:** Increase the number of Tribes, States, and the District of Columbia that have public health surveillance and health promotion programs for people with disabilities and caregivers.
Maternal, Infant and Child Health

Objective 16-22: Increase the proportion of children with special health care needs who have access to a medical home [for the provision and coordination of care].

Objective 16-23: Increase the proportion of Territories and States that have service systems for children with special health care needs.

Mental Health and Mental Disorders

Objective 18-7: Increase the proportion of children with mental health problems who receive treatment.

Public Health Infrastructure

Objective 23-2: Increase the proportion of Federal, Tribal, State and Local health agencies that have made information available to the public in the past year on the Leading Health Indicators, Health Status Indicators, and Priority Data Needs.

Objective 23-5: Increase the proportion of Leading Health Indicators, Health Status Indicators, and Priority data Needs for which data—especially for select populations—are available at the Tribal, State, and Local levels.

Appendix E
Overview of Traumatic Brain Injury Surveillance Activities
Funded By The Centers for Disease Control and Prevention

I. Basic Surveillance: Defining the Magnitude of the Problem, Identifying Causes and High Risk Groups
In 1995, CDC funded TBI surveillance efforts in 4 states and developed *Guidelines for Surveillance of Central Nervous System Injury.* These surveillance efforts expanded with the implementation of Public Law 104-166, the Traumatic Brain Injury Act of 1996. Under the TBI Act, the CDC has developed a uniform reporting system to answer questions about the incidence and causes of TBI deaths and hospitalizations. The surveillance program currently supports data collection in the following 15 states:

- Alaska
- California
- Maryland
- Nebraska
- Rhode Island
- Arizona
- Colorado
- Minnesota
- New York
- South Carolina
- Arkansas
- Louisiana
- Missouri
- Oklahoma
- Utah

II. Outcomes Surveillance: The Colorado and South Carolina Traumatic Brain Injury Follow-up Systems
In 1995, CDC funded a follow-up study in Colorado to describe TBI-related disability, use of services, and other outcomes. A similar project was begun in South Carolina in 1998 including people with TBI aged 15 years or older. These projects:

1. Each year, select a representative sample of people identified by surveillance who have been hospitalized and survived with a TBI
2. Locate each person in the sample within one year of their injury
3. Contact them and obtain consent to do a telephone interview
4. Interview each person about a wide range of outcomes and service needs (approximately 40 minutes); proxies are interviewed if the person is unable to participate themselves
5. Track each person and interview them again at 2 and 3 years post-injury
6. Analyze and report the results

**Project Strengths**
- *Population-based* findings with the potential to generalize results to all people aged 16 years or older who have been hospitalized with a TBI
- Wide range of outcomes assessed using both standardized and nonstandardized measures
- Focus on “macro” outcomes relevant to policy-makers
- Potential to build a case for the needs of people with TBI
- The addition of a comparison group to the SC study to better delineate the impact of TBI
Project Limitations
- Outcomes are assessed in limited detail.
- Self-report may not be valid among people with decreased awareness of problems.
- Loss to follow-up is high (approx. 35% at one year, 10-15% at years 2 and 3).
- Do not include people with less severe TBI seen in the ED or not receiving medical care.
- Do not include children and adolescents.

III. Future Directions: Adding Children and Adolescents to the TBI Outcomes Surveillance Projects
Obtaining population-based TBI outcomes data for children and youth is a high priority for the Advisory Committee of the National Center for Injury Prevention and Control, the Brain Injury Association and a wide range of experts and professionals who participated in the CDC-sponsored TBI in Public Health Meeting in April 1999. The TBI Act Reauthorization of 2000 also highlights it as a priority activity.