

## Supplementary Appendix

Supplement to: Price AM, Olson SM, Newhams MM, et al. BNT162b2 protection against the omicron variant in children and adolescents. *N Engl J Med*. DOI: 10.1056/NEJMoa2202826

This appendix has been provided by the authors to give readers additional information about the work.

## SUPPLEMENTARY APPENDIX

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Supplement to: Price A.M., Olson S.M., Newhams M.M., Halasa N.B. et al. Effectiveness of BNT162b2  
Vaccine Against Omicron Hospitalizations in U.S. Children

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## SUPPLEMENTARY METHODS & RESULTS

### *Logistic regression models*

VE was calculated by estimating the odds of COVID-19 vaccination among case-patients vs controls using multivariable logistic regression, where COVID-19 was the outcome and vaccination status was the exposure variable, with  $VE = (1 - \text{adjusted odds ratio}) \times 100\%$ .

In the primary analysis, we stratified the sample by age group (5-11 years and 12-18 years) and by delta (admitted from July 1, 2021 – December 18, 2021) vs omicron period (admitted from December 19, 2021 – February 17, 2022). We estimated VE within each stratum as:

$$\text{logit}(\text{covid} = 1) = \beta_0 + \beta_1(\text{vacc}) \dots + \beta_Z Z$$

where

*covid* = 1 if laboratory confirmed Covid-19 case (0 otherwise)

*vacc* = 1 if received 2 doses of mRNA vaccine  $\geq 14$  days prior to symptom onset; (0 otherwise)

*Z* = vector of adjustment variables including U.S. Census region as an indicator variable (4 regions), calendar time of admission as an indicator variable (bi-week periods), continuous age, sex (female vs male), race/ethnicity as an indicator variable (non-Hispanic White, non-Hispanic Black, non-Hispanic other race, Hispanic of any race, unknown), with VE defined as

$$\widehat{VE} = [1 - \exp(\beta_1)] * 100\%$$

To assess VE against a gradient of disease severity, subgroup analyses were conducted among patients with and without receipt of life-supporting interventions, or in-hospital deaths. We estimated VE within each severity stratum as:

For  $i = 1$  to 2 strata of with and without life – supporting interventions/death,

$$\text{logit}(\text{covid} = 1) = \beta_0 + \beta_1(\text{vacc}) \dots + \beta_Z Z$$

where variables are defined as above plus life-supporting interventions was defined as receipt of non-invasive mechanical ventilation (BiPAP or CPAP), invasive mechanical ventilation, vasoactive infusions, or extracorporeal membrane oxygenation during admission

$Z$  = vector of adjustment variables including U.S. Census region as an indicator variable (4 regions), calendar time of admission as an indicator variable (bi-week periods), continuous age, sex (female vs male), race/ethnicity as an indicator variable (non-Hispanic White, non-Hispanic Black, non-Hispanic other race, Hispanic of any race, unknown), with VE defined as

$$\widehat{VE} = [1 - \exp(\beta_1)] * 100\%$$

Potential confounding variables ( $Z$ ) listed above were selected *a priori* based on past vaccine effectiveness studies. Other potential confounding factors in the analytic dataset were considered. These included presence of underlying health conditions ( $\geq 1$  vs 0), specific underlying conditions (respiratory, cardiovascular, neurologic/ neuromuscular, immunosuppression or autoimmune, endocrine, diabetes, or other chronic conditions), and continuous score on the Centers for Disease Control and Prevention / Agency for Toxic Substances and Disease Registry (CDC/ATSDR) Social Vulnerability Index. Using a change-in-estimate approach, we assessed confounding from these additional available factors. To derive a parsimonious fully adjusted model, we sequentially added these variables individually to the model to assess if they changed the relative odds ratio for vaccination by >5%. If a variable changed the odds ratio by >5%, we added that variable to the model, and reassessed if adding an additional variable changed our revised estimate by >5%. If another variable was added to the

model and reverted the model to the same point estimate as the *a priori*, the *a priori* model was chosen as the final model. Each model, including the primary models and the subgroups (i.e. 12-18 Overall, 12-18 Delta, 12-18 Omicron, and 5-11 Omicron) were adjusted separately. All fully adjusted models thus only included *a priori* variables because additional factors did not change the odds ratio for vaccination by more than 5% (Table S1). To account for clustering of patients by hospital, alternative models were considered using the `proc surveylogistic` function in SAS with `site` specified as a cluster variable, which yielded similar point estimates and confidence bounds as the primary model (Table S2). Measures of model fit are presented in Table S3.

**TABLE S1.** Model selection using change in estimate approach to evaluate for potential confounding.

| <b>Model Selection - 5-11 Omicron</b>  | <b>OR</b> | <b>VE</b> |
|--|-----------|-----------|
| <b>A priori - sex, age, race, region, calendar time</b>  | 0.32      | 68        |
| Plus Social Vulnerability Index  | 0.33      | 66        |
| Plus underlying conditions   | 0.31      | 69        |
| Plus respiratory   | 0.30      | 70        |
| Plus cardiovascular  | 0.31      | 69        |
| Plus neurologic/neuromuscular  | 0.34      | 66        |
| Plus immunosuppression or autoimmune   | 0.31      | 69        |
| Plus endocrine   | 0.31      | 69        |
| Plus diabetes  | 0.31      | 69        |
| Plus other chronic conditions  | 0.29      | 71        |
| <b>A priori - sex, age, race, region, calendar time + other chronic conditions</b>                 | 0.29      | 71        |
| Plus Social Vulnerability Index  | 0.30      | 70        |
| Plus underlying conditions   | 0.30      | 71        |
| Plus respiratory   | 0.29      | 71        |
| Plus cardiovascular  | 0.30      | 70        |
| Plus neurologic/neuromuscular  | 0.32      | 68        |
| Plus immunosuppression or autoimmune   | 0.30      | 70        |
| Plus endocrine   | 0.31      | 69        |
| Plus diabetes  | 0.29      | 71        |
| <b>A priori - sex, age, race, region, calendar time + other chronic conditions + neuromuscular</b> | 0.32      | 68        |
| Plus Social Vulnerability Index  | 0.33      | 67        |
| Plus underlying conditions   | 0.32      | 68        |
| Plus respiratory   | 0.32      | 68        |
| Plus cardiovascular  | 0.32      | 68        |
| Plus immunosuppression or autoimmune   | 0.33      | 67        |
| Plus endocrine   | 0.33      | 68        |
| Plus diabetes  | 0.32      | 68        |
| <b>Model Selection - 12-18 Overall</b>   | <b>OR</b> | <b>VE</b> |
| <b>A priori - sex, age, race, region, calendar time</b>  | 0.18      | 82        |
| Plus Social Vulnerability Index  | 0.18      | 82        |
| Plus underlying conditions   | 0.17      | 83        |
| Plus respiratory   | 0.17      | 83        |
| Plus cardiovascular  | 0.17      | 83        |
| Plus neurologic/neuromuscular  | 0.17      | 83        |
| Plus immunosuppression or autoimmune   | 0.17      | 83        |
| Plus endocrine   | 0.17      | 83        |
| Plus diabetes  | 0.17      | 83        |
| Plus other chronic conditions  | 0.17      | 83        |



| <b>Model Selection - 12-18 Delta</b>                    | <b>OR</b> | <b>VE</b> |
|---|-----------|-----------|
| <b>A priori - sex, age, race, region, calendar time</b> | 0.08      | 92        |
| Plus Social Vulnerability Index                         | 0.08      | 92        |
| Plus underlying conditions                              | 0.08      | 92        |
| Plus respiratory  | 0.08      | 92        |
| Plus cardiovascular                                     | 0.08      | 92        |
| Plus neurologic/neuromuscular                           | 0.08      | 92        |
| Plus immunosuppression or autoimmune                    | 0.08      | 92        |
| Plus endocrine  | 0.08      | 92        |
| Plus diabetes   | 0.08      | 92        |
| Plus other chronic conditions                           | 0.08      | 92        |
| <b>Model Selection - 12-18 Omicron</b>                  | <b>OR</b> | <b>VE</b> |
| <b>A priori - sex, age, race, region, calendar time</b> | 0.60      | 40        |
| Plus Social Vulnerability Index                         | 0.62      | 38        |
| Plus underlying conditions                              | 0.60      | 40        |
| Plus respiratory  | 0.59      | 41        |
| Plus cardiovascular                                     | 0.59      | 41        |
| Plus neurologic/neuromuscular                           | 0.62      | 38        |
| Plus immunosuppression or autoimmune                    | 0.60      | 40        |
| Plus endocrine  | 0.61      | 39        |
| Plus diabetes   | 0.61      | 39        |
| Plus other chronic conditions                           | 0.60      | 40        |

VE denotes vaccine effectiveness; OR denotes odds ratio

**TABLE S2.** Comparison of vaccine effectiveness using the fully adjusted logistic regression model with census region and with clustering standard errors by hospital

| <b>Age group</b>                    | <b>VE</b> | <b>95% LL</b> | <b>95% UL</b> | <b>OR</b> | <b>95% LL</b> | <b>95% UL</b> |
|-------------------------------------|-----------|---------------|---------------|-----------|---------------|---------------|
| <b>5-11 years</b>                   |           |               |               |           |               |               |
| Logistic Regression                 | 68        | 42            | 82            | 0.68      | 0.42          | 0.822         |
| Cluster by hospital                 | 66        | 44            | 80            | 0.66      | 0.44          | 0.80          |
|                                     |           |               |               |           |               |               |
| <b>12-18 years – delta period</b>   |           |               |               |           |               |               |
| Logistic Regression                 | 92        | 89            | 95            | 0.92      | 0.89          | 0.95          |
| Cluster by hospital                 | 92        | 88            | 95            | 0.92      | 0.88          | 0.95          |
|                                     |           |               |               |           |               |               |
| <b>12-18 years – omicron period</b> |           |               |               |           |               |               |
| Logistic Regression                 | 40        | 9             | 60            | 0.40      | 0.09          | 0.60          |
| Cluster by hospital                 | 39        | 4             | 61            | 0.39      | 0.04          | 0.61          |

VE denotes vaccine effectiveness; LL denotes lower confidence limits; UL denotes upper confidence limits; OR denotes odds ratio

**TABLE S3.** Measure of fit for the logistic regression models

| <b>Model Fit Statistics</b>                             | <b>5-11 years<br/>(omicron)</b> | <b>12-18 years<br/>(delta)</b> | <b>12-18 years<br/>(omicron)</b> |
|---|---------------------------------|--------------------------------|----------------------------------|
| AIC: Intercept Only                                     | 746.423                         | 2434.052                       | 594.744                          |
| AIC: Intercept and<br>covariates                        | 729.481                         | 2107.206                       | 574.485                          |
| SC: Intercept Only                                      | 750.709                         | 2439.572                       | 598.808                          |
| SC: Intercept and<br>covariates                         | 793.771                         | 2234.159                       | 635.441                          |
| -2 Log L: Intercept Only                                | 744.423                         | 2432.052                       | 592.744                          |
| -2 Log L: Intercept and<br>covariates                   | 699.481                         | 2061.206                       | 544.485                          |
| Hosmer and Lemeshow<br>Goodness-of-fit test P-<br>value | 0.2957                          | 0.5593                         | 0.7261                           |
| Deviance Goodness-of-<br>Fit P-value                    | <.0001                          | <.0001                         | <.0001                           |
| Pearson Goodness-of-Fit<br>P-value                      | 0.3404                          | 0.4447                         | 0.3229                           |