

# BMJ Open Trends and socioeconomic disparities in all-cause mortality among adults with diagnosed diabetes by race/ethnicity: a population-based cohort study - USA, 1997–2015

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## ABSTRACT

**Objectives** By race/ethnicity and socioeconomic position (SEP), to estimate and examine changes over time in (1) mortality rate, (2) mortality disparities and (3) excess mortality risk attributed to diagnosed diabetes (DM).

**Design** Population-based cohort study using National Health Interview Survey data linked to mortality status from the National Death Index from survey year up to 31 December 2015 with 5 years person-time.

**Participants** US adults aged ≥25 years with (31 586) and without (332 451) DM.

**Primary outcome** Age-adjusted all-cause mortality rate for US adults with DM in each subgroup of SEP (education attainment and income-to-poverty ratio (IPR)) and time (1997–2001, 2002–2006 and 2007–2011).

**Results** Among adults with DM, mortality rates fell from 23.5/1000 person-years (p-y) in 1997–2001 to 18.1/1000 p-y in 2007–2011 with changes of –5.2/1000 p-y for non-Hispanic whites; –5.2/1000 p-y for non-Hispanic blacks; and –5.4/1000 p-y for Hispanics. Rates significantly declined within SEP groups, measured as education attainment (<high school=–5.7/1000 p-y; high school graduate=–4.2/1000 p-y; and >high school=–4.8/1000 p-y) and IPR group (poor=–7.9/1000 p-y; middle income=–4.7/1000 p-y; and high income=–6.2/1000 p-y; but not for near poor). For adults with DM, statistically significant all-cause mortality disparity showed greater mortality rates for the lowest than the highest SEP level (education attainment and IPR) in each time period.

However, patterns in mortality trends and disparity varied by race/ethnicity. The excess mortality risk attributed to DM significantly decreased from 1997–2001 to 2007–2011, within SEP levels, and among Hispanics and non-Hispanic whites; but no statistically significant changes among non-Hispanic blacks.

**Conclusions** There were substantial improvements in all-cause mortality among US adults. However, we observed SEP disparities in mortality across race/ethnic groups or for adults with and without DM despite targeted efforts to improve access and quality of care among disproportionately affected populations.

## Strengths and limitations of this study

- A population-based study on multidimensional association and disparities between socioeconomic position (SEP), race/ethnicity, diabetes and mortality along with whether any changes have occurred since 1997.
- A series of consecutive national representative surveys (1997–2011) were linked to latest available mortality data through 31 December 2015.
- Aside from investigating changes in mortality rates over time, this study measured the mortality disparity from lower to higher SEP rankings and how those disparities have changed over time.
- Since diabetes and SEP statuses were self-reported and only measured at baseline, misreporting and status changes during the course of the follow-up period may have occurred.

## INTRODUCTION

From mid-1990s, diabetes mellitus has increased rapidly in the USA with an estimated 23.1 million people had diagnosed diabetes, 9.4% of the total population, in 2015.<sup>1</sup> Prevalence and incidence of diagnosed diabetes affects racial/ethnic groups disproportionately, with greater and plateauing estimates among non-Hispanic blacks and Hispanics than the lower declining ones for non-Hispanic whites.<sup>2–4</sup> Additionally, diabetes prevalence is greater at lower socioeconomic position (SEP) levels, measured by education attainment and income, than higher levels.<sup>5 6</sup> Although several national, state and local programmes and initiatives were developed to reduce diabetes and eliminate diabetes-associated disparities,<sup>7</sup> marked racial/ethnic and socioeconomic disparities in prevalence of diabetes were reported between 2004 and 2010 with increased

socioeconomic disparities magnitude among adults with diagnosed diabetes over time.<sup>8–10</sup>

Diabetes socioeconomic patterning is associated with reduced access to care, poor quality of care, underuse of preventive health measures and healthcare behaviours that provide pathways to increased mortality risk.<sup>11</sup> SEP (measured by either education attainment, wealth, income and/or income-to-poverty ratio (IPR)) has been reported to be inversely associated with all-cause mortality risk.<sup>12–16</sup> When the magnitudes of absolute educational disparities (slope index of inequality, SII) were assessed, adults with diabetes experienced a greater all-cause mortality burden associated with low levels of education than those without diabetes.<sup>12–16</sup> Additionally, the educational gradient in all-cause mortality rates was present in non-Hispanic white and non-Hispanic blacks, but not among Hispanics.<sup>12–16</sup>

The socioeconomic mortality association has typically been examined separately without examining the intersectionality of known related characteristics, such as race/ethnicity or diabetes. This approach ignores that individuals inhabit multiple social statuses simultaneously, that these statuses interact to shape the health risk patterns experienced, and thereby the health disparities observed.<sup>16</sup> Investigation in population-level race/ethnic-specific and SEP-specific mortality rate changes among adults with diagnosed diabetes could inform national, state and local efforts aimed at reducing diabetes-related disparities. Furthermore, examining changes in excess mortality risk attributed to diabetes could determine if diabetes-related disparity has narrowed. This study aims to examine whether and to what extent race/ethnic: (1) SEP-specific mortality rates have changed among US adult population during 1997–2011 with diagnosed diabetes, (2) SEP disparities in all-cause mortality exist and changed from 1997 to 2011 among adults with diagnosed diabetes and (3) has the excess mortality risk attributed to diabetes (rate difference between those with and without diabetes) in SEP-specific groups changed during 1997–2011.

## METHODS

### Data and population

Data from the National Health Interview Surveys (NHIS) for the years 1997–2011 were linked with death certificates from the National Death Index (NDI) to obtain the most current mortality status through 31 December 2015.<sup>17</sup> NHIS is an annual ongoing cross-sectional household interview survey (about 35 000 households per year) of a nationally representative civilian, non-institutionalised sample conducted by the Centers for Disease Control and Prevention (CDC)'s National Center for Health Statistics (NCHS).<sup>18 19</sup> Participation in NHIS is voluntary and confidentiality is assured under the Public Health Service Act Section 308(d). NHIS data from 1997 through 2011 had a final adult response rate ranging from 61% to 80%.<sup>20</sup> Most survey participants (a 94.8% average) were eligible for the mortality follow-up based on the

following identifiable data combinations: (1) social security number, last name and first name; (2) social security number, sex and birthday (month, day and year); and/or (3) last name, first name and birth month and year.<sup>17</sup> Sampling weights adjusted for ineligible mortality linkage were used in all analyses.

Participants who responded 'yes' to the question, 'Other than during pregnancy, have you ever been told by a doctor or other health professional that you have diabetes or sugar diabetes?' were classified as having diagnosed diabetes; otherwise, participants were classified as not having been diagnosed with diabetes. The analysis sample was restricted to the 381 247 adults aged  $\geq 25$  years interviewed in the 1997–2011 survey years and were eligible for the mortality follow-up. Of these, 32 986 reported having diagnosed diabetes and 347 928 did not report having diagnosed diabetes. The analysis excluded those missing diagnosed diabetes status ( $n=333$ ) and persons of other race or multiple races ( $n=16 894$ ). Therefore, the analytical sample size was 364 037 (diabetes=31 586 and no diabetes=332 451).

### Variables

#### Outcome

All-cause mortality was determined by vital status after data linkage with NDI. Person-time was calculated from survey interview date to date of death or 31 December 2015 (currently the latest available mortality data) for those assumed alive. To reduce follow-up bias when assessing temporal changes in mortality rates, follow-up was right truncated at death or 5 years of follow-up (whichever came first). For example, for the 1997 cohort, mortality information was assessed up to 2001. Therefore, survey cycles after 2011 were not included since mortality rate for a 5-year period is not available. All-cause mortality rates presented in this study are based on data of a 5-year period from the survey interview date.

#### Socioeconomic position

SEP was measured by two socioeconomic indicators: (1) educational attainment (completion of grades  $<12$  ( $<$ high school), high school graduate or equivalency (high school grad), any education beyond high school ( $>$ high school)); and (2) the family IPR threshold (poor  $<100\%$  federal poverty level (FPL); near poor  $100\%$ – $199\%$  FPL; middle income  $200\%$ – $399\%$  FPL; and high income  $\geq 400\%$  FPL).<sup>21</sup> Education and income were self-reported. Due to missing income values of weighted percentage between 23% and 33% for survey years of 1997–2011, the NCHS CDC imputed missing values using reported multiple-imputation methodology producing five data sets that accompany the data release of each survey year.<sup>22</sup> Income estimates were calculated by averaging the estimates from the five data sets and estimating the variance by calculating the within and between imputation variance.

### Other covariates

Diabetes status, age, sex and race-ethnicity were self-reported at baseline. Characteristics and demographics were described for adults with diagnosed diabetes according to three 5-year periods based on their interview date (1997–2001, 2002–2006 and 2007–2011) as counts, percentages and estimated number in the population for: sex, age groups (25–49, 50–64, 65–79 and  $\geq 80$  years), race/ethnicity (non-Hispanic white, non-Hispanic black and Hispanic), educational attainment categories, IPR categories and diagnosed diabetes status. The counts for IPR were based on the imputed data set number 5. Participants who identified as ‘other race/ethnicity’ were excluded from race/ethnic-specific estimates due to the limited sample size. Characteristics and demographics were also described separately for adults without diagnosed diabetes (online supplemental table 1).

### Statistical analysis

Weighted Poisson regression accounting for survey design was used to calculate population-level mortality rates, weighted death number divided by the total weighted person-time and adjusted for baseline age and compared across the three survey time periods (1997–2001, 2002–2006 and 2007–2011). The mortality-linked adult person-level sample weights were used in the analyses to calculate US population-level estimates. Estimates were expressed as deaths per 1000 person-years. Data were analysed for all adults and by each race/ethnic subgroup using regression model containing a three-way term for interaction between baseline diagnosed diabetes status $\times$ time period $\times$ SEP variable, including all lower order interactions and variables, and baseline age covariate. This modelling allowed comparison test between time periods, SEP levels and diabetes status. Predictive margins were used to estimate adjusted mortality rates by SEP, time period and diabetes status. Marginal effects were used to estimate strata-specific age-adjusted mortality rate differences (excess mortality risk) between those with and those without diagnosed diabetes and change in mortality rates between cohorts (1997–2001 vs 2002–2006, 2002–2006 vs 2007–2011 and 1997–2001 vs 2007–2011). Both predictive margins and marginal effects test differences using t-test.

SEP disparity was examined by defining educational attainment and IPR groups ordered from the highest to the lowest ranks.<sup>23 24</sup> A riddit score for each period was calculated for education attainment and for IPR based on the midpoint of the cumulative proportion of each rank from highest to lowest, ranging between 0 (highest) and 1 (lowest). The riddit score estimates the relative position of each socioeconomic group in the social hierarchy considering their group size.<sup>25</sup> For all adults and by each race/ethnic subgroup, we used a Poisson regression model containing a three-way term for interaction between baseline diagnosed diabetes status $\times$ time periods $\times$ SEP riddit score and the covariate of baseline age. Absolute difference was obtained by fitting a straight line to the mortality rates ordered from the riddit score of educational

attainment or IPR. The linear regression riddit slope, or SII, was interpreted as the average absolute difference in the age-adjusted all-cause mortality rate from each SEP indicator lowest to the highest rank. Relative difference, relative index of inequality (RII) expressed as a per cent change, was obtained by dividing the absolute difference by the age-adjusted all-cause mortality rate for the total population. It is interpreted as the average percentage change in the age-adjusted mortality rate from the lowest to the highest rank of each SEP indicator. From the regression model, SII and RII for each time period and diabetes status subgroup was calculated. We assessed SII and RII magnitudes for the three time periods (1997–2001, 2002–2006 and 2007–2011) and direction of change over time as the simple differences between the time periods (1<sup>st</sup> and 2<sup>nd</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> and 1<sup>st</sup> and 3<sup>rd</sup>).

For adults with no diagnosed diabetes, the results for age-adjusted mortality, SII and RII are provided in the supplemental materials (online supplemental tables 2–4). We used Stata V.15.0 in all analyses to take account of the complex multistage sampling design and to provide representative population estimates with 95% CIs. Estimates for change from Poisson regression models were considered significant if 95% CIs did not include the null value. Since different Poisson regression models were used for each race/ethnic subgroup, comparing estimates between race/ethnic subgroups were conservatively considered statistically significantly different if 95% CIs did not overlap.<sup>26</sup> Although we understand that this approach is very conservative, it was a better option than fitting a four-way interaction in these models which could lead to unstable or uninterpretable results.

### Patient and public involvement

Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

### RESULTS

Between 1997–2001 and 2007–2011, the population of US adults aged  $\geq 25$  years with diagnosed diabetes grew from 10.2 million to 18 million, mean age stayed steady at 60.2 years and 60.4 years and the percentage in minority racial/ethnic group (non-Hispanic black and Hispanic) increased from 29% to 31.3% (table 1). The percentage that had not completed high school fell from 33.3% to 25.2%, and percentage living below the FPL fell from 15.5% to 14.3%.

### Trends in all-cause mortality rates by SEP

Among all adults with diagnosed diabetes, age-adjusted all-cause mortality rates steadily declined by 14% from 1997–2001 to 2002–2006 and 10% from 2002–2006 to 2007–2011, a net decline from 23.5/1000 person-years (p-y) in 1997–2001 to 18.1/1000 p-y in 2007–2011 or 5.4/1000 p-y (table 2). In each 5-year period, all-cause mortality rates in adults with diagnosed diabetes were

**Table 1** Selected characteristics of US adults aged  $\geq 25$  years with diagnosed diabetes for three time period cohorts (1997–2001, 2002–2006 and 2007–2011)—National Health Interview Survey, 1997–2015

	1997–2001		2002–2006		2007–2011				
	n	% (95% CI)	N in population (in millions)	n	% (95% CI)	N in population (in millions)	n	% (95% CI)	N in population (in millions)
Total	9391	100	10.2	10 453	100	13.6	11 742	100	18
Gender									
Men	3939	47.7 (46.3 to 49.0)	4.9	4628	49.4 (48.3 to 50.5)	6.7	5274	49.7 (48.5 to 50.9)	9
Women	5452	52.3 (51.0 to 53.7)	5.3	5825	50.6 (49.5 to 51.7)	6.9	6468	50.3 (49.1 to 51.5)	9.1
Age groups (years)									
25–49	2057	23.4 (22.4 to 24.4)	2.4	2211	23.0 (21.9 to 24.1)	3.1	2245	21.3 (20.3 to 22.3)	3.8
50–64	3130	35.0 (33.7 to 36.3)	3.6	3713	37.2 (36.0 to 38.3)	5.1	4420	39.9 (38.8 to 41.0)	7.2
65–79	3351	33.7 (32.6 to 34.9)	3.4	3501	31.3 (30.1 to 32.4)	4.3	3869	29.8 (28.8 to 30.9)	5.4
$\geq 80$	853	7.9 (7.2 to 8.6)	0.8	1028	8.6 (8.0 to 9.3)	1.2	1208	9.0 (8.4 to 9.6)	1.6
Age (years): mean (SE)		60.2 (0.2)		60.2 (0.2)			60.4 (0.2)		
Race/ethnicity									
Non-Hispanic white	5677	71.0 (69.5 to 72.4)	7.3	6477	71.6 (70.4 to 72.7)	9.8	6694	68.6 (67.5 to 69.8)	12.4
Non-Hispanic black	1998	17.2 (15.9 to 18.5)	1.8	2129	16.2 (15.2 to 17.1)	2.2	2745	16.4 (15.5 to 17.4)	3
Hispanic	1716	11.8 (11.0 to 12.8)	1.2	1847	12.3 (11.5 to 13.1)	1.7	2303	14.9 (14.0 to 15.8)	2.7
Education									
<High school	3546	33.3 (32.1 to 34.5)	3.4	3306	27.2 (26.1 to 28.2)	3.7	3395	25.2 (24.1 to 26.3)	4.5
High school graduate	2805	32.0 (30.8 to 33.2)	3.3	3169	31.8 (30.7 to 33.0)	4.3	3487	31.6 (30.4 to 32.7)	5.7
>High school	3040	34.7 (33.4 to 36.0)	3.5	3978	41.0 (39.7 to 42.3)	5.6	4860	43.2 (42.0 to 44.4)	7.8
Income-to-poverty ratio									
Poor (<100%)	1987	15.5 (14.6 to 16.5)	1.6	2144	14.9 (14.1 to 15.7)	2	2346	14.3 (13.5 to 15.2)	2.6
Near poor (100%–199%)	2561	24.8 (23.7 to 26.0)	2.5	2737	24.0 (22.9 to 25.0)	3.2	3052	23.9 (22.8 to 25.0)	4.3
Middle income (200%–399%)	2853	33.2 (32.1 to 34.4)	3.4	3108	32.1 (30.9 to 33.2)	4.4	3598	32.6 (31.4 to 33.8)	5.9
High income ( $\geq 400\%$ )	1990	26.4 (25.1 to 27.6)	2.7	2464	29.1 (27.9 to 30.3)	4	2746	29.2 (27.9 to 30.5)	5.3

Five data files with imputed income data were provided by the Centers for Disease Control and Prevention's National Center for Health Statistics. Multiple imputation methodology was used to calculate all estimates related to income-to-poverty ratio threshold; N's based on imputed income data file number 5.

**Table 2** Age adjusted all-cause mortality rate (deaths/1000 person-years) of US adults aged  $\geq 25$  years with diagnosed diabetes by race/ethnicity—National Health Interview Survey, 1997–2015

	Mortality at each time period with 5 years follow-up through 2015				
	1997–2001	2002–2006	2007–2011	Change 1997–2001 to 2002–2006	Change 1997–2001 to 2007–2011
All	23.5 (22.2 to 24.8)	20.2 (19.0 to 21.4)	18.1 (17.0 to 19.2)	-3.3 (-5.0 to -1.6)	-5.4 (-7.1 to -3.7)
Non-Hispanic white	25.3 (23.6 to 27.0)	22.1 (20.6 to 23.6)	20.1 (18.7 to 21.5)	-3.2 (-5.5 to -1.0)	-5.2 (-7.4 to -3.0)
Non-Hispanic black	20.2 (17.3 to 23.2)	18.6 (16.0 to 21.1)	15.0 (13.2 to 16.9)	-1.7 (-5.2 to 1.9)	-5.2 (-8.6 to -1.8)
Hispanic	15.7 (12.9 to 18.6)	10.4 (8.4 to 12.4)	10.3 (8.5 to 12.1)	-5.3 (-9.0 to -1.6)	-5.4 (-8.8 to -2.1)

highest among non-Hispanic whites (20.1–25.3 p-y), lowest among Hispanics (10.3–15.7 p-y) and intermediate for non-Hispanic blacks (15.0–20.2 p-y) based on non-overlapping CIs. Within each racial/ethnic group, all-cause mortality rates also declined between 1997–2001, 2002–2006 and 2007–2011, but in different patterns. In non-Hispanic white adults with diagnosed diabetes, there was steady decline in mortality rates by 13% from 1997–2001 to 2002–2006 and 9% from 2002–2006 to 2007–2011, 5.2/1000 p-y. In non-Hispanic blacks, there was no statistically significant change in mortality rate from 1997–2001 to 2002–2006, but a 19% decline from 2002–2006 to 2007–2011. In Hispanics, there was a 34% decline in mortality rate from 1997–2001 to 2002–2006 and no statistically significant difference from 2002–2006 to 2007–2011.

Overall mortality rates among adults with diagnosed diabetes showed a significant decline between 1997–2001 and 2007–2011 in education attainment (<high school=-5.7/1000 p-y (22%); high school graduate=-4.2/1000 p-y (19%); and >high school=-4.8/1000 p-y (23%)) (table 3). However, the pattern of mortality rate decline of educational attainment varied by racial/ethnic group where rates declined for all levels in non-Hispanic whites (ranging from -3.7/1000 p-y to -4.9/1000 p-y (15%–22%)) but significantly only for the lowest educational attainment level in non-Hispanic black (-5.0/1000 p-y (23%)) and Hispanic (-5.6/1000 p-y (34%)) adults.

For all adults with diagnosed diabetes, there were IPR mortality rate declines for poor=-7.9/1000 p-y (28%), middle income=-4.7/1000 p-y (21%) and high income=-6.2/1000 p-y (31%); but no statistically significant change for the near poor group (table 4). There were differences by race/ethnicity in the pattern of significant IPR mortality rate decline occurring in the high income (-7.5/1000 p-y (33%)) and middle income (-4.5/1000 p-y (18%)) groups, but not significantly in the two poorer groups, for non-Hispanic white adults. In contrast, mortality rates only declined significantly among the poor for non-Hispanic blacks (-10.8/1000 p-y (38%)) and Hispanics (-6.1/1000 p-y (37%)), and not among the more affluent groups.

### Socioeconomic disparities in mortality

Among all adults with diagnosed diabetes, age-adjusted all-cause mortality rates showed an inverse gradient with educational attainment (table 3) and IPR (table 4) with greater rates in the lower levels than the higher ones. Overall, the absolute education attainment disparity in all-cause mortality (SII) across the three time periods in the lowest level (<high school) than the highest (>high school) ranged from 5.9/1000 p-y to 7.4/1000 p-y greater mortality rate with no statistically significant change in the disparity over time (table 3). The relative disparity (RII) in the <high school than >high school showed a 32.3% higher mortality rate in 1997–2001, and no statistically significant difference in the RII from the 42% in 2002–2006, and 33% in 2007–2011. The overall absolute

**Table 3** Age adjusted all-cause mortality rate (deaths/1000 person-years) of US adults aged  $\geq 25$  years with diagnosed diabetes and slope index of inequality (SII) and relative index of inequality (RII) for different education attainment and by race/ethnicity—National Health Interview Survey, 1997–2015

	Mortality at each time period with potential follow-up through 2015					
	1997–2001	2002–2006	2007–2011	Change 1997–2001 to 2002–2006	Change 2002–2006 to 2007–2011	Change 1997–2001 to 2007–2011
All						
<High School	26.1 (24.2 to 28.0)	23.5 (21.4 to 25.6)	20.3 (18.3 to 22.4)	-2.5 (-5.4 to 0.3)	-3.2 (-6.1 to -0.3)	-5.7 (-8.5 to -3.0)
High school graduate	22.6 (20.3 to 24.8)	19.7 (17.7 to 21.8)	18.4 (16.5 to 20.3)	-2.8 (-5.7 to 0.1)	-1.4 (-4.1 to 1.4)	-4.2 (-7.1 to -1.3)
>High school	21.1 (18.8 to 23.5)	17.8 (15.9 to 19.6)	16.4 (14.7 to 18.0)	-3.4 (-6.4 to -0.3)	-1.4 (-3.9 to 1.1)	-4.8 (-7.6 to -1.9)
SII (95% CI)	7.4 (3.0 to 11.9)	8.4 (4.3 to 12.5)	5.9 (2.2 to 9.7)	0.9 (-5.2 to 7.1)	-2.5 (-8.1 to 3.2)	-1.5 (-7.3 to 4.3)
RII (95% CI)	32.3 (12.3 to 52.2)	42.0 (20.9 to 63.1)	33.0 (11.8 to 54.3)	9.7 (-19.6 to 39.1)	-9.0 (-39.2 to 21.2)	0.8 (-28.4 to 29.9)
Non-Hispanic white						
<High school	28.9 (26.2 to 31.7)	27.0 (23.9 to 30.1)	24.3 (20.9 to 27.6)	-1.9 (-6.2 to 2.4)	-2.8 (-7.3 to 1.7)	-4.7 (-9.0 to -0.4)
High school graduate	24.3 (21.5 to 27.0)	21.4 (18.9 to 23.8)	20.6 (18.1 to 23.0)	-2.9 (-6.4 to 0.6)	-0.8 (-4.3 to 2.7)	-3.7 (-7.3 to -0.1)
>High School	22.5 (19.7 to 25.3)	19.4 (17.1 to 21.7)	17.6 (15.6 to 19.6)	-3.1 (-6.8 to 0.7)	-1.8 (-4.9 to 1.3)	-4.9 (-8.3 to -1.4)
SII (95% CI)	9.2 (3.3 to 15.0)	10.0 (4.5 to 15.5)	9.2 (4.0 to 14.3)	0.8 (-7.5 to 9.1)	-0.9 (-8.4 to 6.7)	-0.03 (-7.8 to 7.7)
RII (95% CI)	37.1 (12.8 to 61.4)	45.8 (20.2 to 71.4)	45.9 (20.2 to 71.6)	8.7 (-27.6 to 45.0)	0.1 (-36.3 to 36.6)	8.8 (-26.5 to 44.1)
Non-Hispanic black						
<High school	21.4 (18.0 to 24.9)	22.3 (18.6 to 26.1)	16.4 (13.5 to 19.3)	0.9 (-3.6 to 5.4)	-5.9 (-10.7 to -1.2)	-5.0 (-9.4 to -0.6)
High school graduate	19.0 (14.1 to 24.0)	16.9 (12.0 to 21.8)	14.6 (10.8 to 18.4)	-2.1 (-9.3 to 5.1)	-2.3 (-8.2 to 3.6)	-4.4 (-10.6 to 1.8)
>High school	20.5 (14.5 to 26.5)	15.1 (10.9 to 19.3)	14.6 (11.2 to 18.1)	-5.4 (-12.9 to 2.1)	-0.5 (-5.8 to 4.9)	-5.8 (-12.7 to 1.1)
SII (95% CI)	1.8 (-8.3 to 11.9)	11.3 (3.0 to 19.6)	2.5 (-4.6 to 9.6)	9.5 (-4.1 to 23.2)	-8.8 (-20.3 to 2.7)	0.7 (-11.6 to 13.0)
RII (95% CI)	8.7 (-41.1 to 58.6)	61.5 (14.5 to 108.4)	16.3 (-30.6 to 63.3)	52.7 (-19.2 to 124.7)	-45.1 (-114.1 to 23.9)	7.6 (-60.7 to 75.9)
Hispanic						
<High school	16.7 (13.2 to 20.3)	10.7 (8.2 to 13.2)	11.2 (8.6 to 13.7)	-6.0 (-10.5 to -1.6)	0.5 (-3.1 to 4.0)	-5.6 (-9.9 to -1.3)
High school graduate	15.0 (9.0 to 21.1)	11.4 (6.8 to 15.9)	9.4 (6.2 to 12.5)	-3.7 (-11.0 to 3.6)	-2.0 (-7.6 to 3.6)	-5.7 (-12.5 to 1.2)
>High school	13.6 (7.7 to 19.6)	9.4 (5.3 to 13.6)	9.7 (6.3 to 13.1)	-4.2 (-12.4 to 4.0)	0.2 (-5.2 to 5.7)	-4.0 (-10.8 to 2.9)
SII (95% CI)	5.1 (-5.5 to 15.7)	1.5 (-5.8 to 8.7)	2.8 (-3.7 to 9.2)	-3.7 (-16.6 to 9.3)	1.3 (-8.3 to 10.9)	-2.4 (-14.7 to 10.0)
RII (95% CI)	32.9 (-36.4 to 102.1)	13.8 (-55.7 to 83.3)	26.7 (-36.2 to 89.6)	-19.1 (-119.2 to 81.0)	12.9 (-80.4 to 106.3)	-6.1 (-99.3 to 87.0)

**Table 4** Age adjusted all-cause mortality rate (deaths/1000 person-years) of US adults aged  $\geq 25$  years with diagnosed diabetes and slope index of inequality (SII) and relative index of inequality (RII) for different income-to-poverty ratio and by race/ethnicity — National Health Interview Survey, 1997–2015

	Mortality at each time period with potential follow-up through 2015					
	1997–2001	2002–2006	2007–2011	Change 1997–2001 to 2002–2006	Change 2002–2006 to 2007–2011	Change 1997–2001 to 2007–2011
All						
Poor (<100%)	28.7 (25.0 to 32.5)	26.1 (22.2 to 30.0)	20.8 (17.7 to 23.9)	-2.6 (-8.3 to 3.1)	-5.3 (-10.1 to -0.5)	-7.9 (-13.0 to -2.8)
Near poor (100%–199%)	25.0 (22.4 to 27.6)	23.1 (20.2 to 26.0)	21.7 (19.2 to 24.3)	-1.9 (-6.0 to 2.1)	-1.4 (-4.8 to 2.1)	-3.3 (-7.0 to 0.4)
Middle income (200%–399%)	22.5 (19.9 to 25.1)	18.6 (16.3 to 20.9)	17.8 (15.8 to 19.9)	-3.9 (-7.4 to -0.4)	-0.8 (-3.8 to 2.3)	-4.7 (-8.0 to -1.3)
High income ( $\geq 400\%$ )	19.8 (16.7 to 23.0)	16.3 (13.3 to 19.2)	13.7 (11.4 to 15.9)	-3.6 (-7.9 to 0.8)	-2.6 (-6.3 to 1.1)	-6.2 (-9.9 to -2.4)
SII (95% CI)	10.5 (5.2 to 15.8)	12.7 (7.1 to 18.2)	11.7 (7.0 to 16.4)	2.2 (-5.5 to 9.8)	-1.0 (-8.2 to 6.1)	1.1 (-5.7 to 8.0)
RII (95% CI)	46.0 (22.2 to 69.9)	64.3 (35.4 to 93.3)	65.4 (38.4 to 92.4)	18.3 (-19.4 to 56.0)	1.1 (-37.9 to 40.1)	19.4 (-15.3 to 54.1)
Non-Hispanic white						
Poor (<100%)	30.3 (24.8 to 35.9)	30.3 (23.6 to 37.0)	26.3 (20.8 to 31.8)	-0.03 (-9.3 to 9.2)	-4.0 (-12.3 to 4.3)	-4.1 (-12.3 to 4.2)
Near poor (100%–199%)	27.1 (23.6 to 30.5)	25.9 (22.0 to 29.8)	24.5 (21.1 to 27.9)	-1.2 (-6.6 to 4.3)	-1.4 (-6.1 to 3.4)	-2.5 (-7.3 to 2.3)
Middle income (200%–399%)	24.5 (21.2 to 27.8)	20.1 (17.3 to 22.9)	19.9 (17.4 to 22.5)	-4.4 (-8.6 to -0.2)	-0.2 (-4.0 to 3.7)	-4.5 (-8.8 to -0.3)
High income ( $\geq 400\%$ )	22.4 (18.5 to 26.3)	18.6 (15.1 to 22.1)	14.9 (12.1 to 17.7)	-3.8 (-9.1 to 1.5)	-3.7 (-8.0 to 0.6)	-7.5 (-12.2 to -2.8)
SII (95% CI)	9.0 (2.2 to 15.9)	13.4 (5.9 to 20.9)	15.9 (9.1 to 22.7)	4.3 (-6.1 to 14.7)	2.5 (-7.3 to 12.3)	6.8 (-2.5 to 16.2)
RII (95% CI)	36.3 (8.1 to 64.6)	61.5 (26.5 to 96.6)	79.9 (45.4 to 114.3)	25.2 (-21.1 to 71.5)	18.3 (-29.7 to 66.3)	43.5 (0.3 to 86.7)
Non-Hispanic black						
Poor (<100%)	28.1 (21.1 to 35.1)	25.9 (20.0 to 31.8)	17.3 (13.1 to 21.5)	-2.2 (-11.1 to 6.7)	-8.6 (-16.0 to -1.2)	-10.8 (-18.9 to -2.8)
Near poor (100%–199%)	21.5 (16.3 to 26.6)	18.4 (13.6 to 23.2)	17.5 (13.1 to 21.9)	-3.1 (-9.6 to 3.5)	-0.9 (-6.9 to 5.1)	-4.0 (-10.6 to 2.6)
Middle income (200%–399%)	16.8 (10.5 to 23.2)	16.6 (10.2 to 22.9)	13.7 (9.9 to 17.4)	-0.3 (-9.2 to 8.7)	-2.9 (-10.2 to 4.4)	-3.2 (-10.3 to 4.0)
High income ( $\geq 400\%$ )	12.0 (5.4 to 18.5)	11.5 (4.9 to 18.1)	11.6 (6.3 to 16.8)	-0.5 (-10.2 to 9.3)	0.1 (-8.7 to 8.9)	-0.4 (-8.8 to 8.0)
SII (95% CI)	21.6 (8.9 to 33.7)	17.6 (6.7 to 28.6)	8.4 (0.2 to 16.7)	-3.7 (-19.9 to 12.6)	-9.2 (-23.2 to 4.8)	-12.9 (-27.9 to 2.2)
RII (95% CI)	107.6 (44.0 to 171.2)	97.4 (35.6 to 159.2)	55.6 (-0.4 to 111.7)	-10.2 (-97.6 to 77.2)	-41.8 (-126.5 to 43.0)	-52.0 (-137.2 to 33.3)
Hispanic						
Poor (<100%)	16.3 (12.3 to 20.3)	11.2 (6.8 to 15.6)	10.2 (6.8 to 13.6)	-5.1 (-11.6 to 1.4)	-1.0 (-6.4 to 4.4)	-6.1 (-11.4 to -0.7)
Near poor (100%–199%)	15.4 (11.0 to 19.7)	12.3 (7.9 to 16.6)	11.7 (8.2 to 15.2)	-3.1 (-9.4 to 3.2)	-0.6 (-6.1 to 4.9)	-3.7 (-9.0 to 1.7)
Middle income (200%–399%)	16.1 (7.5 to 24.6)	10.3 (6.0 to 14.6)	9.5 (6.0 to 13.1)	-5.8 (-15.3 to 3.8)	-0.7 (-6.3 to 4.9)	-6.5 (-15.8 to 2.7)
High income ( $\geq 400\%$ )	15.8 (6.4 to 25.2)	5.3 (1.5 to 9.0)	9.5 (4.9 to 14.0)	-10.6 (-20.7 to -0.4)	4.2 (-1.7 to 10.2)	-6.4 (-16.9 to 4.2)
SII (95% CI)	0.3 (-10.8 to 11.4)	6.1 (-1.7 to 13.9)	1.8 (-4.9 to 8.4)	5.8 (-8.0 to 19.6)	-4.3 (-14.5 to 5.9)	1.5 (-11.5 to 14.5)
RII (95% CI)	1.9 (-68.7 to 72.5)	60.4 (-17.2 to 138.0)	17.2 (-47.9 to 82.2)	58.5 (-48.5 to 165.4)	-43.2 (-144.1 to 57.6)	15.3 (-81.0 to 111.5)

IPR disparity in all-cause mortality (SII) in the poor than the high-income group ranged from 10.5/1000 p-y to 12.7/1000 p-y greater mortality rate across the three time periods, with no statistically significant change in the disparity over time (table 4). The relative IPR disparity (RII) in the poor than the high-income group showed a 46% higher mortality rate in 1997–2001, 64.3% in 2002–2006 and 64.4% in 2007–2011; but no statistical difference between the three time periods.

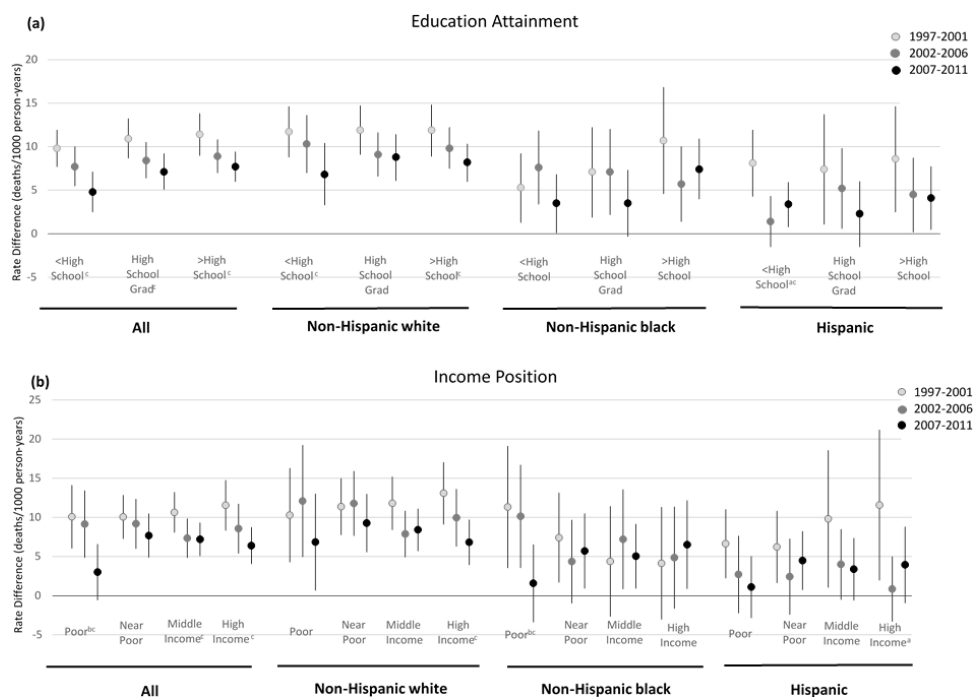
When comparing each strata of SEP levels and time periods across race/ethnic groups, mortality rates were mostly greater for non-Hispanic white adults than non-Hispanic black and Hispanic adults based on non-overlapping CIs (tables 3 and 4). For non-Hispanic white adults, the absolute disparity (SII) in all-cause mortality did not significantly change across the three time periods and ranged from 9.2/1000 p-y to 10.0/1000 p-y for education attainment and 9.0/1000 p-y to 15.9/1000 p-y for IPR. While the relative disparity (RII) for education attainment in non-Hispanic whites did not statistically significantly change and ranged from 37.1% to 45.9%, the IPR RII increased from 36.3% to 79.9%, a significant increase of 43.5%. For non-Hispanic blacks, there was a significant education attainment disparity in all-cause mortality observed only for 2002–2006 (SII=11.3/1000 p-y and RII=61.5%). While the IPR SII was significant across all three time periods for non-Hispanic blacks (range=8.4/1000 p-y to 21.6/1000 p-y), the IPR RII was only significant in 1997–2001 (107.6%) and in 2002–2006 (97.4%). There were no statistically significant absolute

or relative education attainment or IPR disparity in all-cause mortality during these time periods for Hispanic adults with diagnosed diabetes.

### Excess mortality risk for adults with diagnosed diabetes

The overall all-cause excess mortality risk in adults with diagnosed diabetes steadily decreased from 11.3/1000 p-y in 1997–2001, 8.9/1000 p-y in 2002–2006 and 7.3/1000 p-y 2007–2011, a net decrease of 4.0/1000 p-y (online supplemental table 5). The excess mortality risk tended to be greater among non-Hispanic white adults compared with non-Hispanic black and Hispanic adults. The decreased excess mortality risk for those with diabetes from 1997–2001 to 2007–2011 was only observed among non-Hispanic white (change=−3.8/1000 p-y) and Hispanics (−4.9/1000 p-y) adults, but no significant change for non-Hispanic black.

Within SEP, trends in the overall excess mortality risk for adults with diagnosed diabetes showed significant decrease at each level of educational attainment and among the poor, middle income and high income categories between 1997–2001 and 2007–2011 (figure 1). By race/ethnicity, significant excess mortality risk decreases were only observed in those with <high school and >high school education attainment and in the high-income group for non-Hispanic white and among the poor group in non-Hispanic black adults. Among Hispanics, there were significant decreases in excess mortality risk observed for those with <high school and high-income groups.



**Figure 1** Age-adjusted all-cause mortality rate difference between US adults aged  $\geq 25$  years with diagnosed diabetes and those without diagnosed diabetes for three time period cohorts (1997–2001, 2002–2006 and 2007–2011)—National Health Interview Survey, 1997–2015. <sup>a</sup>P value  $< 0.05$  for rate difference change from 1997–2001 cohort to 2002–2006 cohort. <sup>b</sup>P value  $< 0.05$  for rate difference change from 2002–2006 cohort to 2007–2011 cohort. <sup>c</sup>P value  $< 0.05$  for rate difference change from 1997–2001 cohort to 2007–2011 cohort.



## DISCUSSION

In this study of a nationally representative sample of adults with diagnosed diabetes, we found age-adjusted all-cause mortality rates declined from 1997 to 2011 overall and within each racial/ethnic group, and mortality rates were lower among racial/ethnic minority groups than non-Hispanic whites in each 5-year period. Age-adjusted mortality rates were inversely associated with SEP measures and significant SEP disparities in all-cause mortality rates were present overall but varied by racial/ethnic group and SEP measure. Regardless of declining mortality trends in adults with diagnosed diabetes, SEP disparity (SII and RII) did not change significantly over time meaning, for the most part, that the magnitude of the inverse association between SEP and all-cause mortality has remained constant from 1997 to 2011. The one exception was the significant increase in the IPR relative disparity (RII) of 43.5% from 1997 to 2011 for non-Hispanic white adults with diagnosed diabetes. When considering the excess mortality risk of US adults with diagnosed diabetes, we found that the excess risk has decreased between 1997 and 2011 overall and in non-Hispanic white and Hispanic adults, but not among non-Hispanic black adults. Additionally, the excess mortality risk for adults with diagnosed diabetes has decreased within SEP level, but the changes varied throughout race/ethnic groups and SEP levels.

Our finding that age-adjusted all-cause mortality rates in adults with diagnosed diabetes were lower for non-Hispanic blacks and Hispanics than the rates for non-Hispanic whites is consistent with reports from studies that used US nationally representative<sup>12–15</sup> or large convenient<sup>27–30</sup> samples and even after adjustment for multiple covariates. This racial/ethnic patterning of all-cause mortality in diabetic populations is not consistent with that of the general population's twofold greater risk reported for minority racial/ethnic groups compared with whites.<sup>28 31</sup> Several factors may account for these different patterns. First, in the general population the prevalence of diabetes is higher among minority racial groups than whites, so that racial/ethnic-specific mortality rates are attributable to the distribution of diabetes across the different racial/ethnic subgroups in the general population. In contrast, mortality rates in diabetic populations are estimated conditional on individuals having diabetes which removes the effect of racial/ethnic differences in prevalence. Second, despite the decline during the time period of interest, undiagnosed diabetes remained more prevalent among racial/ethnic minority groups than among non-Hispanic whites, accounting for as much as 50% of diabetes cases in racial/ethnic minority populations<sup>32</sup>; consequently, the lower rates in the diagnosed population may reflect missed undiagnosed cases and higher rates among racial/ethnic minority groups in the general population. Third, mortality rates may be lower among non-Hispanic blacks and Hispanics because they may be in better health than non-Hispanic whites at older ages when diabetes occurs.<sup>33 34</sup> Non-Hispanic blacks are more likely to have higher mortality rates at younger

ages than non-Hispanic whites<sup>33</sup>; the high proportion of foreign-born among the current Hispanic population may contribute the assets (younger, healthier and better educated) of the 'healthy migrant'.<sup>34</sup> In this study, we observed the age distribution of US adults with diagnosed diabetes varied by race/ethnicity with an older distribution for non-Hispanic whites (43% aged  $\geq 65$  years) compared with non-Hispanic blacks (33% aged  $\geq 65$  years) and Hispanics (30% aged  $\geq 65$  years). Based on all these reasons, we chose to use all-cause mortality instead of disease-specific mortality to minimise bias and have a comprehensive clear outcome.

The results of this study confirm earlier reports of no excess mortality risk among racial/ethnic minority groups with diagnosed diabetes but inverse relationships between SEP measures (educational attainment, income, wealth) and mortality risk within these groups.<sup>12 13 15</sup> However, we document that within racial/ethnic groups, adults with diabetes exposed to the greatest socioeconomic disadvantage experienced significantly greater mortality burden than their more affluent peers despite declining trends in mortality. We are not aware of other evidence that SEP-mortality relationships persist or worsened despite secular improvement in the health of the diabetic population, as measured by declining mortality risk. Additional analyses (online supplemental table 2 and 5) showed that among adults without diagnosed diabetes age-adjusted all-cause mortality rates were half as high as those for adults with diagnosed diabetes; yet, they experienced improvements to a much lesser degree during this period, especially by SEP measures, and SEP disparity in mortality was more consistently persistent across race/ethnic groups (online supplemental tables 3 and 4).

Although greater improvements in all-cause mortality among adults with diagnosed diabetes compared with adults without diabetes were noted, the underlying diabetic population has experienced marked changes in the distribution of the SEP indicators. For example, the proportion of adults with diagnosed diabetes reporting greater than high school education attainment increased and those with less than high school graduation decreased by 8 percentage points while only high school graduation remained at 31% between 1997–2001 and 2007–2011. Additionally, those with IPR  $\geq 400\%$  increased by almost 3 percentage points while the proportion living below the FPL remained at about 15%. Surprisingly, the population of adults without diagnosed diabetes also experienced an increase in those who reported greater than high school education attainment by about 6 percentage points but the IPR distribution remained fairly the same with a slight suggestion of an increased shift towards poorer levels. Therefore, in adults with diabetes, not only has the SEP distribution shifted towards higher SEP levels but the shape of the distribution has changed suggesting that the underlying diabetic population in 1997–2001 is not the same as the more recent 2007–2011 population. The population of adults without diagnosed diabetes seems to have experienced a different pattern in SEP distribution



changes. Considering the inverse relationship between SEP and mortality, the distribution changes in SEP observed in the underlying populations of adults with and without diabetes can explain why greater improvements were seen in those with diabetes than those without. If health improvements are responsible for the decreasing mortality rates rather than the changes in the SEP distribution, then these improvements have not benefited adults at the highest risk (lower SEP levels) since SEP disparity gap in all-cause mortality did not significantly change during this time.

### Limitations and strengths

First, diabetes status was self-reported and ascertained only at baseline. It is possible that individuals had the disease at baseline but were undiagnosed or that they developed diabetes through the course of the follow-up time. Therefore, the number of diagnosed cases may have been subject to recall and social desirability bias. However, self-reported diagnosed diabetes has been shown to have high reliability.<sup>32</sup> These findings do not reflect disparities in the prevalence of all diabetes (diagnosed plus undiagnosed); approximately 28% of all diabetes is undiagnosed<sup>32</sup> and might vary by SEP as well as race/ethnicity. Additionally, we only considered self-reported diagnosed diabetes and were unable to assess diabetes management; related to quality of care, medication adherence and glycated haemoglobin levels, which may differ by SEP and race/ethnicity and associated with increased mortality. Also, to avoid bias related to the high non-response to survey questions on income, NHIS data sets with imputed income were used in all analyses. However, when using imputed data, there is the potential for misclassification. Furthermore, SEP measures (education attainment and IPR) were measured only at baseline; although education attainment did not likely change for many in this cohort of adults aged  $\geq 25$  years, their income may have fluctuated. Also, SEP measures were self-reported and if income was misreported it could have a bias effect on the imputed values. In this study, we were unable to further stratify by sex or age groups due to sample size constraints. Finally, although there is potential for bias based on the exclusion of those ineligible for mortality linkage, the majority of NHIS participants were linkage eligible and we used sampling weights adjusted for ineligible linkage.

### CONCLUSIONS

During the period 1997–2011, age-adjusted all-cause mortality rates improved across all levels of SEP, measured as education attainment and IPR. We observed no change or, in a few instances, worsening in the magnitude of the SEP disparities in mortality during the time period of interest across race/ethnic groups or for adults with and without diabetes. More research that investigates and identifies potential modifiable system-level factors that contribute to SEP disparity in all-cause mortality beyond diabetes and race/ethnicity is needed.

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**Data availability statement** Data are available in a public, open access repository. NHIS data are publicly available at <https://www.cdc.gov/nchs/nhis/data-questnaires-documentation.htm> and NHIS mortality data to link is available at <https://www.cdc.gov/nchs/data-linkage/mortality-public.htm>.

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